



Effectiveness of muscle strengthening and description of protocols for preventing falls in the elderly: a systematic review

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ABSTRACT | Background: Falls are a geriatric syndrome that is considered a significant public health problem in terms of morbidity and mortality because they lead to a decline in functional capacity and an impaired quality of life in the elderly. Lower limb muscle strengthening seems to be an effective intervention for preventing falls; however, there is no consensus regarding the best method for increasing lower limb muscle strength. Objectives: To analyze the effectiveness of lower limb muscle strengthening and to investigate and describe the protocols used for preventing falls in elderly subjects. Method: We performed a systematic review of randomized and controlled clinical trials published between 2002 and 2012 in the databases PubMed, EMBASE, Scopus, Web of Science, and PEDro that cited some type of lower limb muscle strengthening protocol and that evaluated the incidence of falls as the primary outcome exclusively in elderly subjects. Twelve studies met the inclusion criteria. Qualitative analysis was performed by independent reviewers applying the PEDro scale. Results: The data obtained from the selected studies showed lower fall rates in the intervention groups compared to controls. Six studies described the lower limb muscle strengthening protocol in detail. High methodological quality was found in 6 studies (PEDro score ≥ 7/10 points). Conclusions: The methodological quality of the studies in this area appears to leave little doubt regarding the effectiveness of lower limb strengthening exercises for preventing falls in elderly subjects, however the interventions in these studies were poorly reported.

Keywords: physical therapy; elderly; falls; strength training; rehabilitation; review.

HOW TO CITE THIS ARTICLE

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Introduction

According to data from the World Health Organization (WHO), the world's population over the age of 60 will increase from 605 million to 2 billion between 2000 and 2050¹. Falls in the elderly are considered one of the most serious and expensive public health problems in terms of morbidity, mortality, and enormous costs to health and social services²-⁴. Worldwide, about 424 000 individuals die each year from falls, of which the vast majority are in low- and middle-income countries, and a further 37.3 million falls require medical attention¹. Fall prevention is currently emphasized by the public health system because it is considered an indicator of the quality of service provided to the elderly⁵.

Falls are considered a geriatric syndrome that is associated with extrinsic and intrinsic factors. The extrinsic causes are usually related to the home environment such as rugs, stairs, and poor lighting,

among others⁶, while the intrinsic causes are related to an impairment in balance or gait, muscle weakness, decreased visual acuity, cognitive deficits, the presence of chronic disease, postural hypotension or the use of psychotropic medication^{5,7}.

Recent studies have shown that the most effective interventions for preventing falls are based on balance training and lower limb muscle strengthening⁸. The latter is considered essential for improving mobility and overall functionality. Karinkanta et al.⁹ showed that lower limb muscle training is important for improving functionality in elderly subjects; however, they did not assess its impact on fall prevention⁹. In a literature review, Cumming¹⁰ reported that exercise can help to prevent falls, but the best type of exercise was not well established. According to the authors, the exercise activities that have shown the best results so far are Tai Chi, intensive strength and endurance

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training, and home-based exercises prescribed by a physical therapist¹⁰.

In the current literature, it is difficult to find specific protocols with detailed instructions for balance and lower limb muscle training that are aimed at preventing falls. Therefore, this systematic review aimed to determine whether lower limb muscle strengthening is effective, considering the methodological quality and what exercises are most appropriate for preventing falls in the elderly.

Method

Study design: systematic review reported according to PRISMA (Preferred Reporting Items for Systematic Reviews and Meta-Analysis).

Inclusion criteria for studies: Full articles of randomized and controlled clinical trials that cited some type of lower limb muscle strengthening protocol and that evaluated the incidence of falls as the primary outcome exclusively in elderly subjects. Duplicate articles were excluded.

Search strategy and selection of studies: a search was performed in the electronic databases PubMed, EMBASE, Scopus, Web of Science, and PEDro between September 2012 and December 2012. Studies published between 2002 and 2012 were selected. The search terms used were "elderly" OR "older" AND "accident falls" OR "preventing falls" AND "exercises" OR "lower extremity" OR "limb strength", in any indexes. A second active search was performed in the reference lists of the articles that were selected in the first search to identify additional studies. We considered studies that were published in English, Portuguese, and Spanish.

Three independent reviewers examined the abstracts and contents of each study that was identified in the electronic and active searches. The studies that met all inclusion criteria were selected and re-read.

Data extraction: We standardized data extraction checking and extracting the following information from papers: study objectives; duration of treatment; follow-up; evaluation of primary outcome; participant inclusion and exclusion criteria; age; location of subject recruitment; type, duration, and frequency of intervention; and assessment of type of fall incidence.

Quality criteria: After inclusion and analysis of the studies, the three independent reviewers assessed their quality using the PEDro scale¹¹. The PEDro scale ranges from 0 to 10 according to the following criteria: if eligibility criteria were specified; if participants were randomly allocated to groups; if allocation was concealed; if the groups were similar at baseline regarding the most important prognostic indicators; if there was blinding of all participants; if there was blinding of all therapists who administered the therapy; if there was blinding of all assessors who measured at least one key outcome; if measures of at least one key outcome were obtained from more than 85% of the patients initially allocated to groups; if there was a description of the intentionto-treat analysis; if the results of between-group statistical comparisons were reported for at least one key outcome; if the study provided both point measures and measures of variability for at least one key outcome.

The data extraction as well as the quality analysis was performed by two independent reviewers and, in case of disagreement between the reviewers, a final arbitration was performed by a third independent reviewer during a meeting.

Data analysis: Due to the high clinical heterogeneity and different outcome measurements used by the different studies, a meta-analysis approach was not possible.

Results

We found 357 articles, and 46 were selected for review. After reading the full texts, 34 articles were excluded (Figure 1), therefore 12 studies published between 2002 and 2012 were included in this analysis. Nine studies (75%) scored more than 5 points on the PEDro Scale¹²⁻²⁰. The most common methodological shortcomings were failure to use blind assessment (50% of studies)^{13,16,18,21-23}, no description of group randomization (25% of studies)17,21,22, allocation of subjects was not blinded (25% of studies)^{20,21,23}, absence of initial pairing in the most important prognostic group comparisons (16% of studies)^{21,22}, measuring results in less than 85% of the subjects who were included in the study (16% of studies)^{22,23}, no description of "intention to treat" (25% of studies)18,22,23. and failure to provide measures of point estimates and variability for key outcomes (8% of studies) (Table 1)²².

The pooled sample was composed of 3795 elderly subjects. Of these, 3260 were from the community, 96 from hospitals, and 439 from aged-care facilities (Table 2). Of the included studies, 75% used a monthly calendar to record falling episodes^{12-15,17-21}. Only 1 study presented "falls" as the sole outcome²². Ten studies (83%) showed a reduction in the rate of falls in the intervention group compared with other groups^{12-17,19-22}. Only 6 studies (50%) described lower

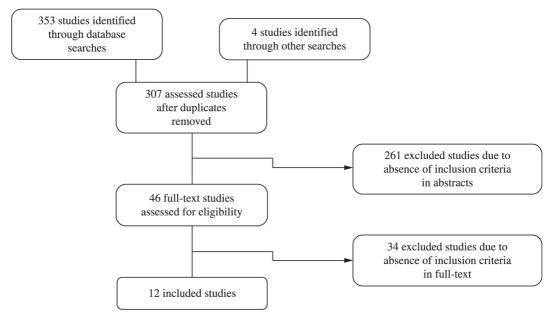


Figure 1. Flow diagram of the items involved in the systematic review.

Table 1. Assessment studies on fall prevention.

Author (year)	Design	Aim	Outcome	PEDro
Clemson et al. 14 (2010)	RCT	Investigate the feasibility and effectiveness of a fall prevention program	Falls, Balance, Strength, Quality of life	8/10
Smulders et al. ¹⁵ (2010)	RCT	Evaluate the effectiveness of the Nijmegen Falls Prevention Program in reducing falls in the elderly with osteoporosis	Falls, Balance	8/10
Spink et al. 13 (2011)	RCT	Determine the efficacy of an intervention in podiatry in prevention falls in elderly	Falls, Strength, ROM, Balance	8/10
Shumway-Cook et al. 17 (2007)	RCT	Evaluate the effectiveness of an intervention on falls and risk factors in the elderly	Falls, Balance	8/10
Clemson et al. 19 (2004)	RCT	Verify the effectiveness of a multi-faceted program for reducing falls	Falls, Strength	7/10
Clemson et al. 12 (2012)	RCT	Determine the effectiveness of strength training and muscle balance in % of falls in elderly	Falls, Strength, Balance, ADL	7/10
Iwamoto et al. 16 (2009)	RCT	Investigate the effect of a training program for preventing falls	Falls, Flexibility, Balance, Strength, Gait	6/10
Becker et al. ²⁰ (2003)	CRT	Evaluate the effectiveness of a multifaceted, nonpharmaceutical intervention on incidence of falls and fallers	Falls, Balance	6/10
Lord et al. 18 (2005)	RCT	Determine if a program of individualized fall prevention can reduce the risk of falls in the elderly	Falls, Strength, Balance	6/10
Beling and Roller ²³ (2009)	RCT	Measure the effectiveness of a balance program on muscle strength, balance, and gait in fall-prone older adults over the 3-month study	Falls, Balance	4/10
Lord et al. ²¹ (2003)	RCT	Determine if a group exercise program can improve physical functioning and reduce the % of falls in the elderly	Falls, Balance	3/10
Jensen et al. ²² (2002)	CRT	Investigate if a multifactorial intervention program can reduce falls and injuries related to falls.	Falls	3/10

PEDro=Index of quality of studies; RCT=Randomized Clinical Trial; R=Randomized; CRT= Cluster Randomized Trial; ROM=Range of Motion; ADL=Activities of daily living; NFPP=Nijmegen Falls Prevention Program.

Table 2. Assessment of endpoints and outcomes.

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Author (year)	Subjects	N	Outcomes	Assessment of endpoint
Clemson et al. 14 (2010)	Community	34	IG had the lowest % of falls, better dynamic balance, better MS knee, better self-efficacy balance and fewer falls than CG.	monthly calendar of falls
Smulders et al. 15 (2010)	Hospital	96	IG% showed fewer falls and improved balance confidence more than CG.	monthly calendar of falls
Spink et al. 13 (2011)	Community	305	IG showed fewer falls, better MS, better ROM, and better balance than CG.	monthly calendar of falls
Shumway-Cook et al. ¹⁷ (2007)	Community	53	IG showed the lowest% of falls, better balance, better MS for the lower limbs and better mobility than CG.	monthly calendar of falls
Clemson et al. ¹⁹ (2004)	Community	317	LIFE showed better balance and fewer falls than SEG or CG; SEG and LIFE showed better dynamic balance and balance confidence than CG; LIFE showed more improvement for MS ankle gain, ADL and on the Physical Activity Scale for the Elderly than CG.	monthly calendar of falls
Clemson et al. 12 (2012)	Community	310	IG showed fewer falls, better confidence maintenance and a greater ability to prevent falls during ADL than CG.	monthly calendar of falls
Iwamoto et al. 16 (2009)	Community	66	IG showed the lowest% of falls, better flexibility, better body balance, better MS and better walking ability than CG.	weekly self-report
Becker et al. ²⁰ (2003)	Community	981	IG% showed lower falls in the first and last quarter of the study than CG.	monthly calendar of falls
Lord et al. 18 (2005)	Community	620	% of falls was equal in EIG, MIG, and CG. EIG and MIG had better sitting and standing than CG; EIG had fewer risk factors for hamstring injury and higher MS than CG	monthly calendar of falls
Beling and Roller ²³ (2009)	Community	23	IG had fewer falls during the intervention and required more ankle and knee MRIs than CG.	
Lord et al. ²¹ (2003)	Community	551	IG showed fewer falls and better reaction time for "step, step away from" than CG.	e monthly calendar and recording by a nurse
Jensen et al. ²² (2002)	Aged-care facility	439	IG showed lower % of falls and lower % of femur fractures than CG; IG was greater for the first fall than CG.	self-reporting and recording by a nurse

LIFE=Lifestyle approach to reducing Falls through Exercise; SEG=Structured Exercise Group; IG=Intervention Group; CG=Control Group; ADL=Activities of daily living; MS=Muscle Strength; ROM=Range of motion; EIG=Extensive Intervention Group; MIG=Minimal Intervention Group.

limb muscle strengthening protocols^{13,15,16,20,21,23} and exercises for the muscle groups surrounding the hip, knee, and ankle joints were those most frequently observed in this review (Table 3).

From all analyzed studies, 50% used combined interventions that also affect other risk factors for falls such as vision correction, foot care, environmental adaptation, and educational activities. The reviewed protocols lasted an average of 26.5 weeks, and intervention sessions had an average frequency of 2.4 times per week. Four studies (33%) used training with

loads, and 8 studies (66%) used functional exercises as an intervention. All of the studies that used training with loads reported a decrease in falls, and 6 of the 8 studies that used functional exercises also showed a reduction in falls^{13,15,17-20} (Table 3).

Discussion

The literature currently shows that increasing lower limb muscle strength is effective for reducing the number of falls. However, the studies analyzed

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Clemson et al. ¹⁴ (2010)		Unquantified exercises incorporated in ADL	IG=bend knees, climbing stairs, sitting and standing, walking sideways and pick up objects on the floor	Balance exercises (reduce support base, one foot ahead of the other during ADL)	Falls LIFE=12, CG=35. LIFE=Self improves efficiency falls during 6 months
Smulders et al. ¹⁵ (2010)	CG=usual care	11 sessions for 5 ½ weeks	IG=climbing stairs, spine extension, sitting and standing, brisk walking	Correction of gait + obstacle course + walking exercises at different speeds and directions + training in fall techniques	non-significant
Spink et al. ¹³ (2011)	CG=routine podiatry care	30 min, 3x/week for 6 months	IG=home exercises to strengthen the feet and ankles with increased load	Orthoses + guidance on shoes + guidance on home exercises for ankles and feet + education + foot care	IG fall 36% less than in CG
Shumway-Cook et al. ¹⁷ (2007)	\cup	1 h, 3x/week for 12 months	IG=LL strengthening with incremental load from 1 to 10kg	UL strengthening exercises with incremental load + static and dynamic balance + education	Falls IG <25% than CG
Clemson et al. ¹⁹ (2004)	CG=social visits	2 h, 1x/week for 7 weeks	IG=exercises performed within ADL, mobility skills without load	Education + adaptation of environment + medication adjustments + vision correction	Falls IG<31% than CG
Clemson et al. ¹² (2012)	CG=stretching	Unquantified exercises incorporated into ADL	LIFE=bend knees, climbing stairs, sitting and standing, walking sideways and picking up objects on the floor	SEG=balance exercises (reduce support base, one foot in front of the other during ADL)	LIFE=172, SEG=193, and CG=224.
Iwamoto et al. ¹⁶ (2009)	CG=no intervention	30 min, 3x/week for 5 months	IG=sitting and standing	Calisthenics + balance training (static tandem + tandem gait + one-leg support) + gait training	Falls IG=0 and CG=12%
Becker et al. 20 (2003)	CG=no intervention	75 min, 2x/week for 12 months. 2 sets of 10 repetitions with a load of 75% of 1 maximal resistance	IG=ankle movements with load increase	Balance exercises + education + environmental adaptation + hip protectors	Falls IG decreased from 1406 to 1022. Time for 1st decrease was similar in IG and CG until the 6th month follow-up
Lord et al. 18 (2005)	CG=no intervention	2x/week for 12 months	IG=individualized intervention to improve strength, coordination, balance, vision, and peripheral sensitivity	Home exercises + written guidelines on how to maximize the vision	No difference between groups
Beling and Roller ²³ (2009)	CG=no intervention	3x/week for 12 weeks	IG=sit-up while holding a ball, increasing weight and repetitions + incremental plantar flexion repetitions	Balance strategies (hip, ankle, and step strategies) + sensory training exercises to increase ROM	Falls IG=11, CG=20 in the last year; Fall IG=1, CG=4 during the study
Lord et al. ²¹ (2003)	CG=stretching and relaxation	1 h, 2x/week for 12 months	IG=muscle group strengthening exercises for ankle dorsiflexors + knee extensors + hip abductors + hip side-flexors	Balance exercises (tandem foot standing, walking, standing on one leg, altering base of support, weight transfers, lateral movement challenges, shifts away from center of gravity) + relaxation + stretching	Falls IG<22% than CG
Jensen et al. ²² (2002)	CG=no intervention	2-3x/week for 11 weeks	IG=self-regulated moderate intensity strengthening exercises and balance training	Balance exercises + walking + safe transfer	Falls IG=0-16, CG=0-26, IG=26% after a fall and CG=33%; IG time to first fall larger than CG

LIFE-Lifestyle Falls Exercise; SEG=Structure Exercises Group; CG=Control Group; IG=Intervention Group; ADL=Activities of daily living; ROM=Range of Motion; LL=lower limbs, UL=Upper Limbs.

in this review did not limit themselves to muscle strengthening interventions. Many of the studies combined exercises with balance training, activities of daily living (ADL) training, gait training, and muscle stretching. Few authors described the intervention protocol in detail, and communitydwelling elderly subjects were the most frequently studied population. Additionally, most studies included in this review had high quality, and the studies with better methodological quality obtained more accurate results.

Most of the authors of the selected studies believe that lower limb muscle strengthening has an impact beyond reducing the risk of falls because the subjects that performed muscle strengthening activities showed improvement in other areas such as balance, flexibility, and functional capacity. This makes it difficult to understand the relative contribution of each fall-preventing program component¹³. Muscle strengthening (performed in a conventional manner or incorporated into ADL^{12,14}) appears to be essential to fall prevention; all of the high-quality studies showed a reduction in falls. The most frequent exercise in the analyzed studies was the sit-to-stand exercise (50%)^{12,14-16,19,23} because it involves an activity that subjects perform frequently in their daily lives^{24,25}. The performance of this activity is also strongly associated with a decrease in falls. Climbing up and down steps/stairs (33%)12,14,15,19 and ankle exercises (25%)^{13,20,21} were also frequently used by the studies. Despite performing exercises such as sit-to-stand and climbing up and down steps, the subjects in one study¹⁵ showed no significant reduction in their rate of falls. Possible explanations for this result could be that the study employed the shortest time of intervention (5½ weeks) compared with other studies (Table 3) or that it included hospitalized elderly patients to create its study population. Hospitalized elderly patients are likely to present greater clinical instability and a poorer nutritional status (thus affecting muscles and exercises) than community-dwelling subjects.

Another important aspect of muscular strengthening exercises is the resistance that is used. Nearly half of the studies used incremental loads 13,17,20,22,23, and 17% used only body weight^{15,19}. The other studies did not describe if or how this type of load was used. Moreover, only the study by Becker et al.²⁰ described in detail all of the information regarding the training program. This description is very important because different loads, frequencies, sets, number of repetitions, and time of intervention are directly related to individual strengthening responses²⁶. Most of the authors studied in this review conducted 2 to 3

training sessions per week, an amount that is justified by the systematic review of Silva and Farinatti²⁷ that showed a 40% average increase in muscle strength after an intervention with this frequency²⁷. Most of the studies conducted interventions for 24 weeks or more, which is consistent with the meta-analysis of Sherrington et al.²⁸ that showed that the ideal length of training is at least 25 weeks.

Reviewing the effectiveness of the protocols of the included studies, the optimal duration of intervention is around 6 months with a frequency of more than 2 times per week. Furthermore, training with loads seems to be more effective in preventing falls than functional exercises. The addition of co-interventions does not seem to define the effectiveness of treatment since all protocols had co-interventions, including those that had no effect on falls.

Beling and Roller²³ claim that the gold standard for the quantification of falls is daily phone calls; however, none of the studies used this form of outcome assessment. This is a potential limitation of the studies in this area because fall quantification methods remain subjective and dependent on the study population. In this review, 75% of the studies12-15,17-21 used a fall diary to measure falls, and most of the studies complemented this information with sporadic phone calls.

The target population of most of the analyzed studies was subjects over 75 years of age and, according to Lopes et al.29, 30% of elderly subjects fall at least once a year and this incidence increases to between 60% and 75% in institutionalized elderly subjects. This raises the question of why the studies were conducted in individuals who are at a lower risk for falls. One hypothesis involves the greater prevalence of cognitive impairment³⁰ and associated diseases in institutionalized elderly subjects³¹ because these impairments and diseases make it difficult to recruit analogous populations for protocol study control groups. Another explanation is that two systematic reviews, published in 2010 and 2012, on muscle strengthening programs for the prevention of falls in institutionalized elderly subjects showed no conclusive results because apparently the elderly subjects did not experience strength gain during the proposed interventions^{32,33}, and factors other than muscular weakness appear to have a greater influence on the high prevalence of falls in this population³⁴. The greatest difficulty in studying hospitalized elderly subjects may be the limited amount of time for intervention training. According to data from DATASUS, in 2012 the average hospital stay for the elderly subjects who suffered falls was 6.6 days1.

In addition, our study shows that there was an improvement in methodological quality over time: only three articles²¹⁻²³ showed a PEDro score<5. Among the missing items were subject randomization and group similarity, the lack of which can generate bias in the results given the heterogeneity of the groups. The findings of the studies with high methodological quality show that lower limb muscle strengthening is essential to any program aimed at preventing falls; however, some limitations of these studies can be identified. Protocols involving unconventional interventions such as Tai Chi35 and the Square-Stepping Exercise³⁶ were excluded from this review because it was not possible to accurately discuss the techniques involved in these modalities or equate the techniques to standard techniques for muscle strengthening in elderly subjects. Furthermore, the use of these techniques depends on the therapist's specific training, which would restrict the applicability of the findings of this systematic review in clinical practice. Another difficulty was a lack of access to 3 full articles that could have been included in this review, but could not be obtained despite attempts to contact their respective authors.

The studies with high methodological quality lead us to conclude that lower limb muscle strength training is effective for preventing falls. However, this training should be accompanied by the training of other skills, such as balance and gait, and education. The interventions in these studies remain poorly reported by the authors, thus preventing the reproduction of these studies in clinical practice.

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