

Executive dysfunction and motor symptoms in Parkinson's disease

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ABSTRACT

The aim of this study is to analyze executive function and motor symptoms in patients with idiopathic Parkinson's disease (PD). The sample consisted of 44 subjects with PD between the ages of 45 to 75, who were examined consecutively. The subjects were divided into two groups according to the duration of the disease. The control group was composed of spouses, family and accompanying members. Patients included were submitted to motor dysfunction evaluation using the UPDRS. The executive functions modalities analyzed included: operational memory, inhibitory control, planning, cognitive flexibility and inductive reasoning. Significant differences between the experimental and control groups were found in all the executive domains studied. Evidence of tremor, rigidity and bradykinesia correlation with executive dysfunction were not observed. Patients with PD, even in the initial phase of the disease, presented executive dysfunction. The cardinal motor signs of the disease were not correlated with the cognitive dysfunction found.

Key words: neuropsychology, neuropsychological tests, executive functions, idiopathic Parkinson's disease.

Disfunções executivas e sintomas motores na doença de Parkinson

RESUMO

O objetivo do estudo é avaliar as funções executivas e sintomas motores em pacientes portadores de doença de Parkinson. A amostra se constituiu de 44 portadores de doença de Parkinson com idade entre 45 e 75 anos, examinados consecutivamente, os quais foram divididos em dois grupos de acordo com o tempo de duração da doença. O grupo controle foi composto de acompanhantes ou cônjuges. Os sujeitos selecionados foram submetidos à avaliação motora utilizando-se a escala UPDRS e à avaliação das funções executivas nas modalidades: raciocínio indutivo, memória operacional, controle inibitório, planejamento e flexibilidade cognitiva. Os resultados apontaram diferenças significantes entre os grupos experimentais e controle nas modalidades analisadas. Não encontramos evidência de associação entre tremor, rigidez e bradicinesia com as funções executivas. Conclui-se que os pacientes com doença de Parkinson, mesmo nas fases iniciais da doença, apresentam comprometimento cognitivo executivo. Os sintomas motores da doença não estavam correlacionados às disfunções executivas.

Palavras-chave: neuropsicologia, testes neuropsicológicos, funções executivas, doença de Parkinson.

Parkinson's disease (PD) is a progressive neurodegenerative condition characterized by tremor, rigidity, bradykinesia and postural instability. Non-motor symptoms such as autonomic and cognitive dysfunction are present but little is known¹. However, it was reported that the preva-

lence of cognitive impairment or executive dysfunction in PD can reach 93% if adequate neuropsychological instruments are performed². Cognitive and mental symptoms could be as incapacitating as motor symptoms which cause problems for both patients and caregivers³. Nigroestriatal

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circuit degeneration results in low concentration of striatal dopamine producing motor symptoms characteristic of the disease while low dopaminergic input from ventral tegmental mesencephalic area to the frontal and limbic regions is the neurochemical process which expresses cognitive-behavioral dysfunction in PD⁴. Other studies have found the reduction of the activity of frontostriatal loops in addition to reduction of dopaminergic input from ventral tegmental area to the frontal lobes^{5,6}. Braak et al. suggested that these changes in PD are secondary to an ascendant progression process. Initially, there are lesions on the brainstem and anterior olfactory nucleus. Subsequently, it is manifested by substantia nigra neuronal lesions and finally cortical area involvement, from anteromedial temporal mesocortex to neocortex where it reaches associative cortex and prefrontal areas⁷.

The neuropsychological function consists of a complex group which includes attention, memory, language, reasoning and executive functions. Executive function (EF) is a set of cognitive abilities which permit the start of activities, planning, programming and sequencing of actions, self regulation and task monitoring, correct selections of behavior and conduct, mental work flexibility and time and space organization⁸. Dysfunction in this area which is coordinated by prefrontal region is called executive dysfunction (ED). It is characterized by difficulties to start actions, decrease in motivation and drive, planning difficulties based on priorities and the maintenance of activity sequence necessary to reach an object⁸. To understand the working of this group of functions is of paramount importance for the development of evaluation and rehabilitation strategies, improving the prognosis and life quality of the patients.

The aim of the study is to better understand this cognitive dysfunction so prevalent in PD through analytic study of patients chosen by diagnostic criteria and by using specific neuropsychological instruments to evaluate the executive domain.

METHOD

The sample consisted of members of the community and PD patients consecutively examined in the Movement Disorders outpatient clinic of Getúlio Vargas Hospital and the Federal University of Piauí. The patients were evaluated and put into two groups (PD1 and PD2). The PD1 group consisted of 23 patients with up to 3 years of the disease duration and the PD2 group 21 patients with more than 3 years of the onset. A brain magnetic resonance imaging (MRI) was performed in all PD patients to exclude other kinds of parkinsonism. The control group (CG) was composed of 25 normal subjects selected from spouses, family or accompanying members with the same social demographic characteristics as the experimental groups.

The PD groups were composed of subjects between the ages of 45 to 75, who fulfilled the diagnostic criteria cited by LANG to separate PD from other kinds of parkinsonism⁸. Individuals with depression, neurological or psychiatric disorders such as delirium or hallucinations, dementia and who had undergone neurosurgery were excluded. All of the subjects of the study underwent screening to investigate depressive symptomatology through BECK Depression Inventory¹⁰. The Mini Mental Status Examination (MMSE)¹¹, basic daily activity questionnaire¹² and a structured neuropsychological interview¹³ were applied to assess subjects with severe cognitive impairment or dementia and exclude them from the study.

The PD groups underwent a neurological evaluation to determine the motor scores in section III of the Unified Parkinson's Disease Rating Scale (UPDRS). All of the subjects of the PD groups were examined in the "on" medication state with levodopa and/or dopaminergic drug. No patient was on anticholinergic drugs. Neuropsychological tests were applied in one session which lasted around one hour and forty minutes depending on the difficulty level of the subjects. The EF modalities analyzed included: operational memory, inhibitory control, planning, cognitive flexibility and inductive reasoning. To evaluate operational memory an inverse order digit subtest and letter-number sequencing (SLN) of Wechsler Adult Intelligence Scale (WAIS) were used. In the inhibitory control evaluation of part "B" of the Trail making test B (TMT B) and Stroop color and words test (SCWT) cards 2 and 3, were used¹⁰.

In the planning mode the WAIS-III cube subtest and Rey complex figure (CFT) was used. To evaluate the cognitive flexibility the Wisconsin card sorting test (WCST) was given. Intuitive reasoning was explored by the Raven progressive matrix test¹⁰.

The statistic analysis was performed with the objective of evaluating the prevalence of the ED of the PD patients and in CG, as well as to analyze the existence of motor factors associated with ED. For the descriptive analysis, tables (standard deviation and media) were used with all variables studies. The analysis of the differences between the media of continuous data was done through parametric tests (variance analysis - ANOVA). To verify where the differences occurred the Tukey test was carried out. To study EF and motor association the Pearson correlation test was used. The probability $p < 0.05$ to indicate statistical significance was established. The subjects in the study signed a term of free consent and knowledge. The current protocol was submitted to a research ethic committee from the Federal University of Pernambuco, Brazil.

RESULTS

Inclusion tests were given to 52 subjects with PD of whom 44 fulfilled the inclusion criteria for the study, 3

Table 1. Comparison of the demographic variables and the duration of PD in the groups.

Variable		N	Parameters				
			Media	Minimum	Maximum	Deviation	
Age (general)	CG	25	59.08	45	75	8.89	
	PD1	23	63.22	53	75	7.44	
	PD2	21	59.67	45	73	9.67	
Schooling (time-year)	CG	25	8.12	0	12	4.07	
	PD1	23	5.70	0	12	3.62	
	PD2	21	6.24	2	12	3.87	
Gender (age)	Male	CG	8	58.13	46	75	11.52
		PD1	11	60.91	53	70	7.04
		PD2	10	55.40	45	73	10.48
	Female	CG	17	59.53	45	74	7.73
		PD1	12	65.33	54	75	7.45
		PD2	11	63.55	49	73	7.30
Duration of disease (time)	DP1	23	1.75	0	3	0.89	
	DP2	21	6.52	4	19	3.37	

PD1: group with PD up to 3 years; PD2: group with PD for 3 years or more; CG: control group.

Table 2. Distribution of the media of the motor functions (Motor) and media of the scores separately which compose Sector III of the UPDRS among the patients.

Symptom	Groups	N	Media*	Minimum value	Maximum value	Deviation	ANOVA
Tremor	PD1	23	5.13 ^a	1.00	11.00	2.91	0.71
	PD2	21	5.52 ^a	0.00	12.00	3.87	
Rigidity	PD1	23	5.57 ^a	1.00	13.00	3.03	0.25
	PD2	21	6.67 ^a	1.00	14.00	3.26	
Bradykinesia	PD1	23	12.74 ^a	1.00	26.00	6.12	0.09
	PD2	21	15.86 ^a	2.00	26.00	6.09	
Motor	PD1	23	28.26 ^a	12.00	56.00	12.41	0.07
	PD2	21	35.19 ^a	8.00	57.00	12.68	

*The mean followed by the same letter do not differ among themselves to the level of 5% probability using the Tukey test. PD1: group with PD up to 3 years; PD2: group with PD for 3 years or more.

patients with MRI signs of multiple system atrophy and 5 patients with signs of ischemic stroke were excluded. About 15% of patients included in PD group had non-specific white matter MRI signal hyperintensities. The control group consisted of 25 people. The demographic data is found in Table 1. The severity of the PD groups was measured according to motor function sector III of the UPDRS. The total score varied from 8 to 57 points. No significant difference in the motor scores was found among the PD groups. Likewise, the media of the motor scores studied for isolated symptoms such as tremor ($p=0.71$), rigidity ($p=0.25$) and bradykinesia ($p=0.09$) was not significant (Table 2).

The media and comparisons of the results of EF modalities and the tests used to evaluate each one among the groups studied are shown in Table 3. In operating mode memory, the control group presented greater scores than the PD groups which revealed significant differences between the CG and PD. No significant difference was noted between the PD groups. For inhibitory control the control group carried out the test TMT B in less time com-

pared to the two PD groups and there were significant statistical differences. Even though the CG made fewer mistakes, there was no statistical difference with the PD groups. No significant differences were found among the PD1 and PD2 groups in TMT B test (time and errors). In SCWT2 test, the control group had the greater number of correct answers when compared to the PD groups showing significant statistical differences. In SCWT3 test significant differences were observed only among the media of the control group (35.83) and with media of the PD1 group (24.20). The CG had scores a slightly higher than the PD2 group but without significance.

In the planning evaluation with the Cube test, the control group was different from both PD groups. In the CFT test, the difference was significant only between the control group and PD2 group. None the tests used to evaluate planning showed a difference between PD1 and PD2 groups. In the investigation of cognitive flexibility, the WCST (completed category and preservative response) pointed out a difference in the CG and the PD groups. However, no significant difference was noted be-

Table 3. Comparison among the results obtained from the tests of the different modalities for executive function among the groups studied.

Test	Groups	N	Media*	Minimum value	Maximum value	Deviation	ANOVA p-valor
OI DIGIT	Control	25	4.96 ^a	2	12	2.07	<0.01
	PD1	23	3.70 ^b	2	7	1.55	
	PD2	21	3.62 ^b	2	6	1.47	
SLN	Control	25	7.84 ^a	2	11	2.54	<0.01
	PD1	21	4.14 ^b	2	10	2.52	
	PD2	21	4.19 ^b	2	10	2.27	
TMT B-t	Control	23	152.17 ^a	60	360	81.13	<0.01
	PD1	19	264.47 ^b	60	415	115.98	
	PD2	21	278.14 ^b	60	420	97.76	
TMT B-e	Control	24	0.92 ^a	0	4	1.25	<0.12
	PD1	19	1.37 ^a	0	3	1.16	
	PD2	20	1.70 ^a	0	4	1.34	
SCT2-ca	Control	25	63.88 ^a	26	100	17.31	<0.01
	PD1	21	42.24 ^b	18	80	16.92	
	PD2	21	45.81 ^b	22	71	15.87	
SCT3-ca	Control	24	35.83 ^a	19	60	11.05	<0.01
	PD1	20	24.20 ^b	9	53	12.03	
	PD2	20	27.95 ^b	10	47	10.81	
CUBE	Control	25	26.72 ^a	5.00	43.00	11.05	<0.01
	PD1	23	15.22 ^b	6.00	46.00	9.23	
	PD2	21	18.22 ^b	6.00	43.00	10.00	
CFT-c	Control	25	30.84 ^a	16.50	36.00	5.59	<0.05
	PD1	22	29.43 ^a	18.00	36.00	4.43	
	PD2	20	26.20 ^b	8.00	35.00	8.10	
WSCT-c	Control	24	5.17 ^a	1.00	6.00	1.43	< 0.01
	PD1	21	2.43 ^b	0.00	6.00	1.54	
	PD2	21	2.52 ^b	0.00	6.00	1.54	
WSCT-fms	Control	24	1.08 ^a	0.00	4.00	1.14	0.52
	PD1	21	0.95 ^a	0.00	4.00	1.28	
	PD2	21	1.48 ^a	0.00	8.00	1.83	
WSCT-pr	Control	24	27.50 ^a	8.00	61.00	14.91	< 0.01
	PD1	21	46.57 ^b	6.00	70.00	16.87	
	PD2	21	44.14 ^b	4.00	98.00	20.56	
RAVEN-p (ponto)	Control	22	32.59 ^a	10	78	10.91	<0.01
	PD1	22	21.45 ^b	11	62	6.88	
	PD2	21	22.62 ^b	13	50	7.66	

*The mean followed by the same letter "a"/"b" do not differ among themselves to the level of 5% probability using the Tukey test. OI Digit: Inverse order; SLN: subtest of digit extension; TMT B-t; Part "B" of the Trail test. SCT; Stroop Test of color and words, cards 2 and 3. Tests parameters - t: time (in seconds); e: mistakes; ca: correct answers; CFT: Rey complex figure; WSCT: Wisconsin test; Tests parameters - c: completed category; fms: failure to maintain the set; pr: preservative response; RAVEN-p: Raven progressive matrix test points; PD1: group with PD up to 3 years; PD2: group with PD for 3 years or more.

tween the two groups of patients. In the WCST test (failure to maintain the set) similar results among the groups were verified. In analyzing the inductive reasoning skills there was significant difference between the media of the CG and the PD groups. However, when comparing the two PD groups no difference was observed.

When the correlation between motor symptoms of PD - rigidity, tremor and bradykinesia- and each EF test given were studied, weak or no association between the cognitive modalities and the results of the motor function in UPDRS - sector III were observed (Table 4).

DISCUSSION

For many years, PD was described as a movement disorder with a tendency to neglect the mental dysfunction associated with the disease^{1,3}. Recently there has been a systematic concern with the cognitive and behavioral aspects of the neurodegenerative disease. In this way the neuropsychological evaluation of the EF has been the object of growing interest of researchers. However, there still remain many doubts about the functioning of EF in neurodegenerative diseases and PD in particular.

The individuals of this study are characterized by a

variation in regards to the level of education among the groups. It is known that intellectual experience in work activities could decrease the difficulties in carrying out neuropsychological tests in the subjects with little schooling¹⁴. In the experimental groups, the duration of PD was not associated with the severity of the parkinsonian motor symptoms. This effect might have occurred because of the selection of patients since those older than 75 and/or with severe tremor, bradykinesia, rigidity, postural instability and depression were excluded. This could have caused limitation in this study.

Neuropsychological evaluation of the EF showed low scores for PD patients in both stages with no statistical difference in the experimental groups suggesting that from the beginning of the disease the individuals with PD already show difficulty in EF. Few studies have addressed the question of cognitive reserve in patients with PD. Greater performance in cognitive tests in PD patients in the initial phase associated with a high level of cognitive reserve has been described such as in other neurodegenerative diseases¹⁵. These results are in agreement with the findings of Foltynie et al.¹⁶, which also noted alterations in the cognitive domain in patients initiating PD symptoms, or without dementia and with light motor manifestations. This is also in agreement with the study of Muslimovic et al.¹⁷, which showed cognitive deficit mainly in the memory domain areas and EF in the early phase of PD.

Fluid intelligence

In the investigation of inductive reasoning or fluid intelligence through the Raven test, significant deficit for the experimental groups was observed when compared to the CG, confirming works by Duncan et al.¹⁸, who found correlations between frontal dysfunctions and difficulty in fluid intelligence tasks. This also is in agreement with the findings of Prabhakaran et al.¹⁹, who mapped the cerebral areas activated while a person is resolving items of the Raven test using functional MRI. This showed which areas of the cerebral areas were activated while the subject solved problems using simple reasoning perceptual processes, analytic problems and problems of perceptual comparison (task control).

Operational memory

The tests used to evaluate operational memory (working memory), SLN and inverse order digits, in DP1 as well as DP2 groups presented greater difficulty than the control group. Dubois and Pillon²⁰ reported that the patients with PD presented alterations in operational memory when performing task which require short-term memory; inhibition of an interference of a stimuli; digital sequencing or special organization. Our findings are also in agreement with these studies related by Starkstein and

Table 4. Association measures among the means of the Motor scores in section III of UPDRS or scores separate from section III and results of tests of executive functions modalities studied.

	Motor	Tremor	Rigidity	Bradykinesia
RAVEN-t	0.0017	0.1663	-0.1358	0.0094
RAVEN-p	0.0000	0.0047	0.0608	-0.0317
OI DIGIT.	-0.1118	-0.1167	0.0166	-0.0964
SLN	-0.0460	0.0283	0.0226	-0.1391
TMT B-t(m)	-0.0216	-0.0670	-0.0943	0.0667
TMT B-e	0.1617	0.0329	0.0902	0.2132
SCT2-CA	-0.0801	-0.1598	0.0102	-0.1043
SCT3-CA	0.0182	0.0221	0.1411	-0.0304
CUBES	-0.0526	0.0116	-0.0232	-0.0864
CFT-p	-0.0628	0.1770	-0.1239	-0.1163
WSCT-c	0.1691	0.1344	0.2666	0.0790
WSCT-fms	0.0096	-0.0527	0.0414	0.0807
WSCT-pr	0.0628	0.1030	0.0250	0.0406

Values of r of Pearson Correlation with lower results than 0.30 showed weak or no association among the variables. RAVEN-p: Raven progressive matrix test points; Oi Digit: Inverse order; SLN: subtest of digit extension; TMT B-t: Part "B" of the Trail test; SCT: Stroop Test of color and words, cards 2 and 3. Tests parameters - t: time (in seconds); e: mistakes; ca: correct answers; CFT: Rey complex figure; WSCT: Wisconsin test; Tests parameters - c: completed category; fms: failure to maintain the set; pr: preservative response.

Merello²¹, which showed operational memory deficit in PD in tests which require correct coordination of two tasks simultaneously. The recent work of Beato et al.²², identified that patients with PD presented inferior performance to the control group in working memory tasks and levodopa therapy presents a positive effect on spatial operational memory.

Inhibitory control

Attention encompasses orientation and mental concentration directed by a task and inhibition of competitors. Distraction, interference of tasks by stimuli coming from the ambient, increases attention difficulty for individuals with frontal lobe disorders. In this study, the evaluation of inhibitory control indicated low scores for PD patients in regards to normal standards. In the study of Osternack-Pinto²³ similar findings were found. PD patients had low scores in attention control tasks which require good operational memory and inhibitory control to resist interference of stimuli competing for attention. In the same study, low scores were also found in SCWT and TMT B tests in PD patients.

Planning

In the investigation of planning the tests showed a difference in the PD groups and the CG confirming the data found in literature³. Planning requires that an individual have the capacity to evaluate alternatives, make choic-

es and study ideas necessary for carrying out the plan. In the qualitative analysis of the performance of PD patients in the CFT test, the majority of the patients evaluated in the study had difficulty in visual and perceptual organization, difficulty in starting a sequence, difficulty in choosing, rejecting and adopting alternative thought and conduct courses. The complexity was so great for these patients that many quit the task.

Cognitive flexibility

The WCST, which originally was developed to evaluate the ability of abstraction reasoning and to change from one line of thought to another, is cited as sensitive for operational and cognitive flexibility. In the investigation of cognitive flexibility with the use of WCST (completed category and persevering response) a significant statistical difference between the CG and the PD groups was found. In studies carried out by Taylor, Saint-Cry and Lang cited by Piovezan²⁴, the use of WCST showed significant differences among the number of categories: there were persevering responses and a large number of errors to reach the first category, which suggest less ability in PD patients to make a plan of action when given a task²⁴. Similar findings were found in the article of Sobreira et al.²⁵ which evaluated the EF in PD and found scores below the average in the following tests: WCST, inverse digit order thus proving an involvement in the EF of these patients.

The PD patients scored lower when compared to the CG in all tests which evaluated EF, in those tests which needed quickness and motor skills (Cube, CFT, SCWT, TMT B) in their fulfillment as well as those that did not need them (SLN, inverse order digits, WCST, Raven).

One of our objectives was to verify if cognitive dysfunction is associated with the motor symptoms of PD when studied separately. We did not find evidence of association between tremor, rigidity and bradykinesia in the scores of the tests which evaluated EF. Possibly, these isolated symptoms are not predictive factors for the prevalence of cognitive deficits. This is partially in agreement with the findings of Piovezan²⁴, which did not find correlation between executive deficits and scores for the scales Hoehn-Yahr and UPDRS. Also in agreement with the literature, Graham and Sagar²⁶ and Mohr et al.²⁷, indicated that such defects are part of a greater cognitive decline or which alternatively have these restrictions in a subgroup of patients and/or did not occur in the initial phase of the disease in the group studied.

In conclusion, the patients with PD had deficits in all tests which evaluated the modalities of EF when compared to the control group. There was little difference in the tests which evaluated executive cognitive domain between the PD groups. The cardinal motor symptoms of the disease, when individually studied, were not correlat-

ed to ED and probably do not have predictive value for the development of future cognitive incapability or dementia.

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