

Artificial Neural Networks for Producing a Low-Cost Austempered Ductile Iron

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Two artificial neural networks (ANNs) were developed for producing an austempered ductile iron (ADI) with low-cost chemical composition and mechanical properties as per ASTM A897/897M-16-grade-1050/750/07 standard. Thus, the first ANN predicted the chemical composition range within the lowest cost and required mechanical properties. Next, in the second ANN, the resulting values from the first ANN were refined considering the target chemical composition suggested in the standard. Moreover, mechanical properties and microstructural analyses were undertaken in the ADI produced to support the ANNs' findings. Hence, ANNs can be used to make a standard-compliant ADI and achieve cost savings.

Keywords: Austempered ductile iron, Artificial neural network, Mechanical properties, Cost-savings.

1. Introduction

The mechanical properties of cast irons make them interesting for applications such as gearboxes, connecting rods, and wheel hubs, amongst others¹. Overall, the microstructure of ductile cast iron (DCI) is composed by ferrite and pearlite with nodular graphite². Besides, low-cost production with improved yield strength (YS) and ultimate tensile strength (UTS) through the austempering process can be reached³. The mechanical properties are classified in ASTM A897/A897M-16⁴ to ensure reliability for producing ADI. Thus, austempering process consists of austenitising at 815-925°C to generate an austenite microstructure (γ), followed by quenching at 260-400°C for the process to occur^{5,6}. The resulting microstructure is acicular ferrite, which might be called ausferrite in a carbon saturated austenite matrix⁷⁻¹⁰.

Artificial neural networks (ANN) can be used in varied engineering fields due to their excellent self-learning function. ANNs are a potential tool for forecasting various consequences of the manufacturing process^{11,12}. Cao and Guo¹¹ showed that the cutting force for machining an ADI was successfully estimated using ANN, with an error of around 4%. Hammood and Lieth¹³ presented ANN for the effect of retained austenite on fatigue life, and the mechanical properties were predicted with high accuracy. Guo¹⁴ analysed the hardness through ANN and varied austempering parameters, and thus the predicted values approached the measured data. Ławrynowicz and Dymski¹⁵ compared the mechanical properties of ADI through an algorithm showing that ANN

is suitable for analysing the ultimate tensile strength (UTS), yield strength (YS), and elongation.

The current work aims at producing an ADI with low-cost chemical composition and required mechanical properties by applying ANNs. Thus, these mechanical properties are as per ASTM A897/897M - 16 Grade 2 1050/750/07 standard.

2. Materials and Methods

2.1. Database

The current investigation was based on reports and technical sheets related to ADI production¹⁶⁻³⁷. The database was organised with a variation in the chemical compositions (low to high levels of alloying elements) seventy-five chemical compositions with thirteen alloying elements (C, Si, Mn, Mo, Ni, Mg, S, Cu, Cr, Ti, Al, V, Nb) and their mechanical properties (UTS) [MPa], YS [MPa], elongation [%], and hardness Brinell [HB]). All these are required following ASTM A897/897M-16. So, this database was used for calculating the furnace charge and estimating the cost variation [\$/ton].

2.2. The furnace charge, cost variation, and dataset

The charge calculation estimated a minimum of a ton of cast iron for each alloy³⁸. The primary raw materials were pig iron, steel scrap, and ferroalloys. To that, the data from the international market³⁹ and the Brazilian Foundry Association⁴⁰ were used, and quantities for producing cast iron in the charge, and the gross cost was estimated^{40,41}. Therefore, the

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alloy cost was obtained by its variation between different charges. The minimum cost was identified as “100%”, up to a maximum of “472.71%”. Thus, a dataset containing variables as costs in charge and chemical compositions with their mechanical properties (C, Si, Mn, Mo, Ni, Mg, S, Cu, Cr, Ti, Al, V, Nb, cost variation [\$/ton], YS, UTS, %E, and HB), totalling 1350 pieces of information. Table 1 shows a significant price variation.

2.3. Artificial neural network models, inputs, and outputs

An ANN model involves computations and mathematics for simulating the human–brain processes. ANNs have a specific architecture format, and regression models between data collection and analysis, structure design, hidden layers, simulation, and weights/bias trade-off computed through learning and training methods. Using an error optimisation algorithm (Levenberg-Marquardt (LMT), the ANN could find optimum weight for each synapse (the connection between nodes of different layers) after a certain number of epochs. Once the ANN is successfully trained, input parameters can be fed into the model, and afterwards, the ANN can predict the output parameters. In the current investigation, the ANN models were made using MATLAB software. The architecture of the LMT algorithm had multiple layers of the backpropagation type, which led to a superior performance in heat treatment⁴². The mean square error (MSE) was calculated using:

$$MSE = \frac{1}{N} \sum_{i=1}^N (f_i - y_i)^2$$

where N is the number of data points, f_i is the value returned by the model, and y_i is the actual value for data point “i”. The MSE was obtained from the ANN training session.

The calculation of the partial derivatives of error concerning the parameters of the ANN is essential⁴³. Thus, the accuracy (R^2) was used as a good indicator; when R^2 was close to 1, it meant maximum accuracy⁴⁴.

The first ANN was designed and trained using the LMT algorithm from the dataset information. Therefore, the LMT algorithm correlated the data by cross-referencing them using 70% for training, 15% for validation, and 15% for testing with these percentages based on^{45,46}. The first ANN was constructed using minimum values simulated according to the standard (UTS 1050 MPa, YS 750 MPa, %E ~7, 302 HB, and \$/ton < 100% (in Table 1); thus, the minimal requirements of these properties could lead to the lowest ADI cost. The minimum values were tested sixty-five times, which resulted in a certain range for each element. The first ANN is shown in Figure 1, and its architecture containing one hidden layer with seventeen nodes is next presented in Figure 2. Moreover, the second ANN is also shown in Figure 1, and its architecture comprising one hidden layer with seventeen nodes is further displayed in Figure 3.

In Figure 2, the architecture of the first ANN shows five input data values (UTS, YS, %E, HB, and \$/ton). Therefore, this ANN contained one hidden layer with seventeen nodes and thirteen output element values (chemical composition).

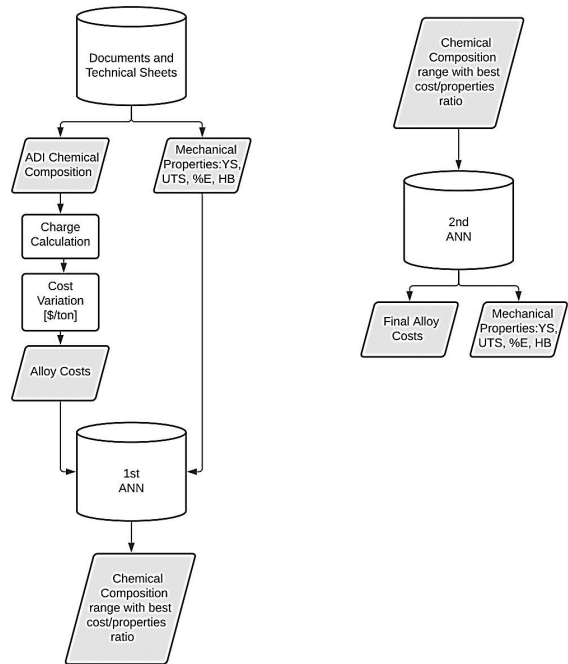


Figure 1. Flowchart showing the two ANNs of this work.

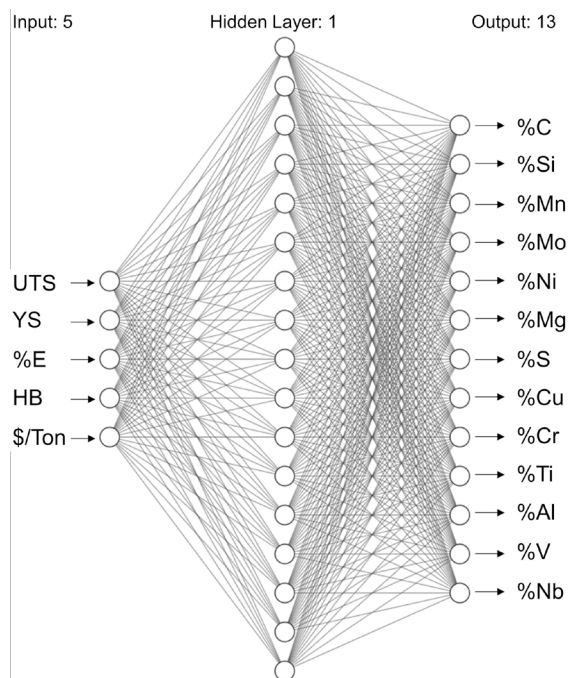


Figure 2. Architecture of the first ANN.

Table 1. Cost variation (%) between chemical compositions.

Sample	1	2	3	...	72	73	74	75
%	100.00%	102.76%	103.11%	...	257.79%	289.45%	359.41%	472.71%

Figure 3 shows the second ANN, where the input layer was the outcomes from the first ANN (chemical composition range tested), with one hidden layer, seventeen nodes, and five outputs (UTS, YS, %E, HB, and \$/ton). The chemical composition range obtained in the first ANN (low and high quantities of alloying elements) was tested ten times at each level in the second ANN, thus equalling twenty trials. Thus, the second ANN was programmed to verify if the resulting chemical composition range of the first ANN implied a chemical composition that led to the required mechanical properties.

2.4. Casting and heat treatment

The molten metal with the resulting chemical composition was prepared in an induction electric furnace Inductotherm with a 1500 kg/hr capacity. Five Y-blocks of 25 mm thickness were pouring, and their dimensions are displayed in Figure 4.

After casting, the five Y-blocks were heat treated. In this context, based on the literature^{2,16,18,24}, the temperature for austenitisation was 850°C, and for austempering, it was 350°C. Considering these temperatures, the steps of the heat treatment were selected. Therefore, as shown in Figure 5, the alloy was austenitised for 30 minutes at 840°C (austenitising step) in a muffle furnace. After quenching, the austempering process was done for 30 minutes at 340°C in a quenching tank with a molten salt bath (sodium and potassium nitrate, $\text{NaNO}_3 + \text{KNO}_3$). Later, the samples were washed in hot water⁴⁷⁻⁴⁹.

Samples with 58 mm length and 13 mm diameter were extracted for tensile tests, as per ASTM A897/A897M-16⁴.

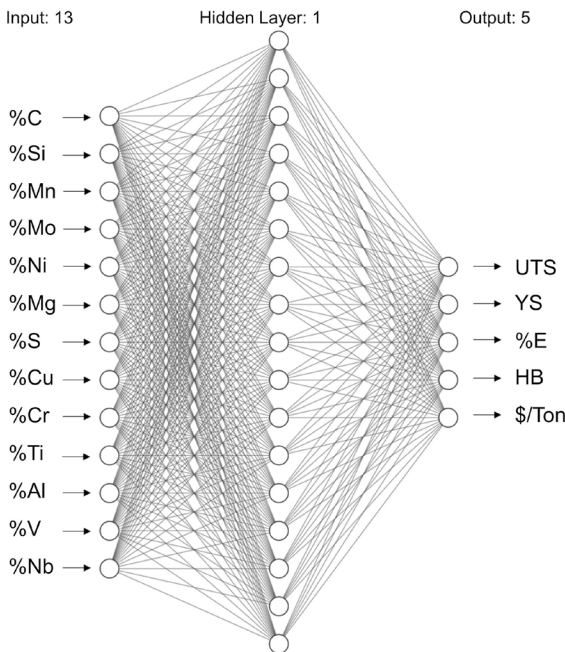


Figure 3. Architecture of the second ANN.

Table 2. Chemical composition range in the first ANN.

	%C	%Si	%Mn	%Mo	%Ni	%Mg	%S	%Cu	%Cr	%Ti	%Al	%V	%Nb
Min.	3.60	2.40	0.50	0.00	0.01	0.05	0.01	0.05	0.05	0.00	0.02	0.00	0.00
Max.	3.70	2.50	0.60	0.00	0.02	0.06	0.01	0.05	0.06	0.00	0.10	0.00	0.00

Furthermore, hardness measurements were performed in a Brinell Hardness tester with a 3000 kg load, as indicated in ASTM A897/897M - 2016. Complementarily, microhardness tests were carried out on a Mitutoyo HV 100 machine with a 1-kilogram load (HV1) due to the resulting microstructure. Thus, five measurements were performed in the ausferrite phase, and an average and standard deviation were calculated.

For microstructure investigation, samples were cut, prepared according to metallography, and etched using 3% Nital. The microstructure was analysed by optical microscopy (OM) in an OLYMPUS and scanning electron microscopy (SEM) in a SHIMADSU SSX-550⁴⁷. In addition, the degree of nodularization was verified through comparison with images of⁵⁰. Next, grain size and nodule count were measured using ImageJ software⁵¹.

3. Results and Discussion

In Table 2, the resulting chemical composition range verified in the first ANN is presented. The suitability of the models proposed can be demonstrated through the R^2 scores achieved. Thus, Figure 6 shows that the R^2 scores of the first ANN were above 98%.

The chemical composition of ADI verified in the first ANN was evaluated by a spectrometer, and it is given in Table 3. In other words, the chemical composition predicted by the ANN was compared to that found in the ADI produced.

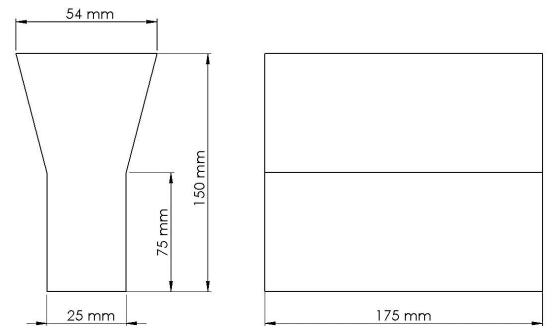


Figure 4. Y-block dimensions.

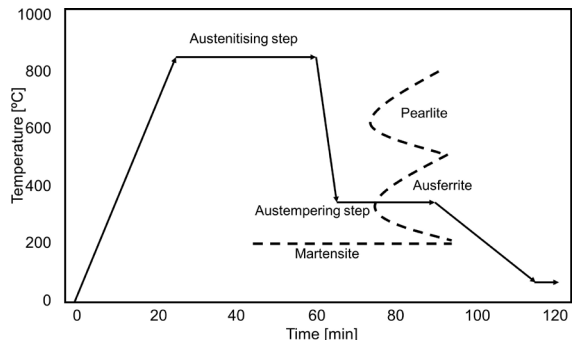


Figure 5. Heat treatment steps for ADI production.

Figure 7 presents the ausferrite phase, with characteristics such as needle-shaped acicular ferrite and stabilised austenite. Moreover, these results have similarities with the study of Mrzygłód B¹⁰, where an ADI was austenitised at 920°C and austempered in salt baths at varying temperatures including a similar as used in this investigation. However, it should be noted that the present work attempted the production of ADI with a low amount of alloying elements, which thus led to a lower final cost. Figure 7a shows the graphite nodule, stabilised austenite, and acicular ferrite, as likewise seen by other authors^{52,53}. Thus, in this way, our outcomes agree well with related studies on ADI^{10,52,53}. Figure 7b presents SEM imaging analysis detailing the results shown in the OM. Finally, with graphite type I, the average nodule count was 362 nodules/mm². In addition, the degree of nodularization was 95%, and the average size of graphite was 35.69 µm. Therefore, it is noted that these findings follow ASTM A247 – 17⁵⁰.

In Figure 8, tensile testing results are shown by comparing the ADI produced, the standard, and three alloys found in

literature^{18,24,25}, which works were selected as they reported an improvement in UTS due to the greater amount of ausferrite. However, Sellamuthu, P. and Jiwang Z^{2,33}, employed a great quantity of alloying elements, which would lead to high costs. Therefore, the non-necessity of high number of alloying elements for achieving desired mechanical properties affects the cost production. The ADI produced shows one of the highest in UTS, besides being up to 81.23% cheaper compared to the selected alloys^{18,24,25}. In addition, the indicated hardness range for ASTM A897/897M – 2016 grade 2 considering the microstructure formed is 302-375 HB; the current work achieved 354 HB, which is suitable. Putanda K. S²⁵, obtained a hardness like the ADI as our study but with a high amount of alloying elements, thus demonstrating the importance of this work. Furthermore, microhardness measurements were done in the ausferrite phase, thus achieving an average of 382 HV ($\sigma \pm 9.633$).

In Figure 9, the average cost of the alloys used for the ANN development was 49.8% higher than the cost of the

Table 3. Manufactured chemical composition ADI alloy (wt%).

	%C	%Si	%Mn	%Mo	%Ni	%Mg	%S	%Cu	%Cr	%Ti	%Al	%V	%Nb
Sample	3.69	2.42	0.54	0.00	0.01	0.05	0.01	0.02	0.05	0.00	0.02	0.00	0.00

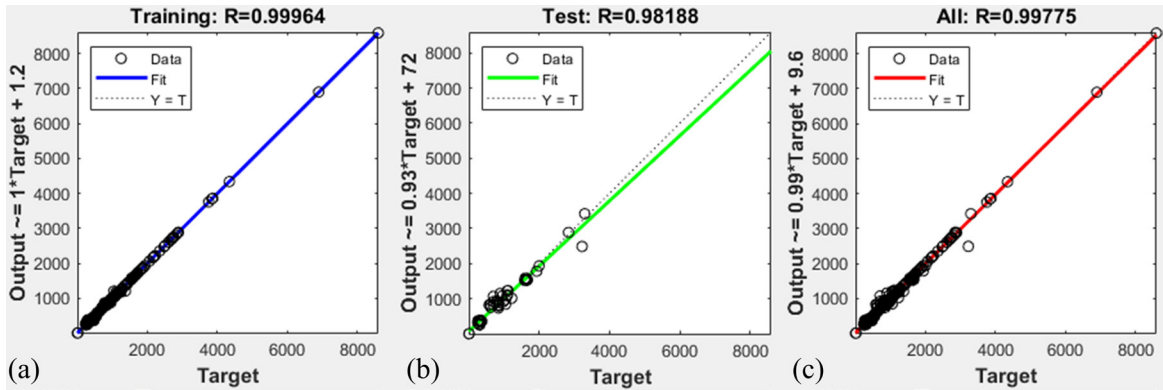


Figure 6. First ANN R2 scores (a) training 99.96%, (b) test 98.2% and (c) all 99.77%.

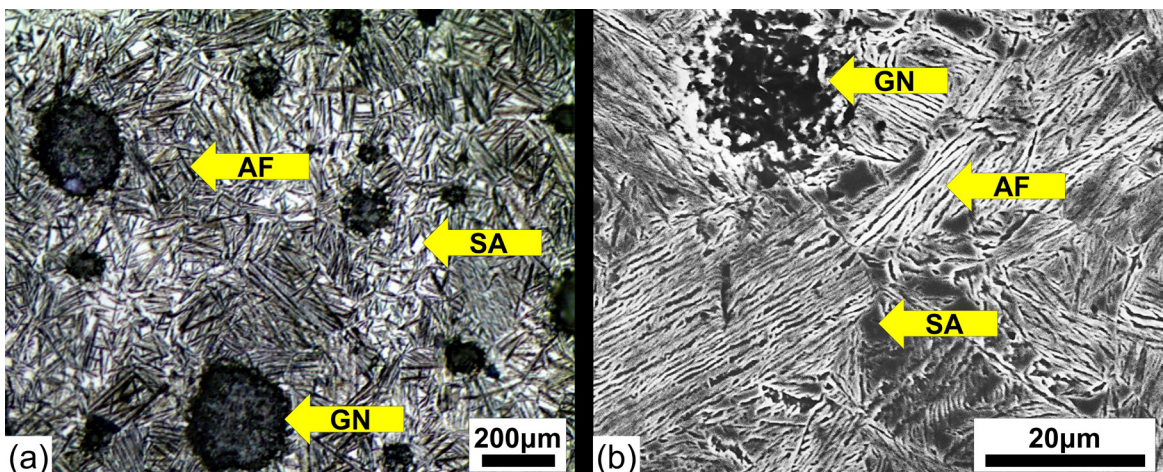


Figure 7. ADI microstructure (a) optical microscopy, where GN is Graphite Nodule, SA is Stabilised Austenite, and AF is Acicular Ferrite. (b) SEM imaging.

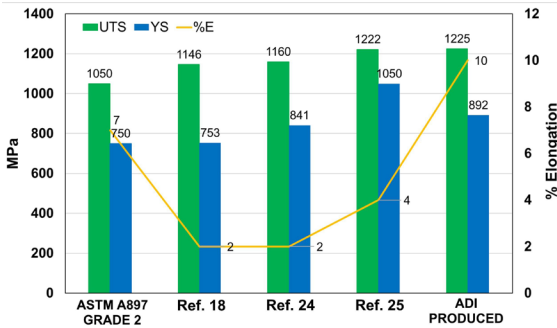


Figure 8. Comparison of mechanical properties of the ADI produced, three reports from the literature^{18,24,25}, and requirements of ASTM A897/897M – 16 Grade 2.

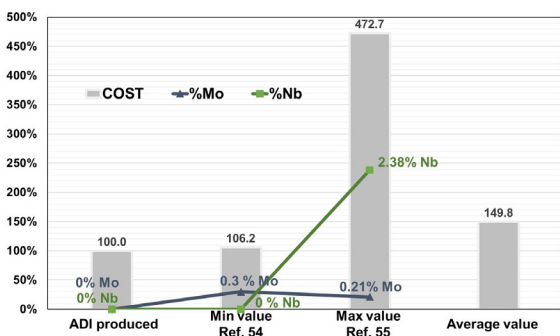


Figure 9. Cost comparison between alloys. Percentage (%) was defined as: ADI produced is 100%, and minimum and maximum values stand for the difference in relation to the ADI produced.

ADI produced. Moreover, these values are also shown as the amount of Mo and Nb plays an essential role in cost savings. Therefore, the ADI manufactured was 6.2% cheaper than the minimum value of the alloy⁵⁴. In addition, the most expensive alloy (maximum value) costs 372.7%⁵⁵ more than the ADI produced. Thus, a low-cost ADI was manufactured, with requirements of microstructure and mechanical properties as per the standard⁴. Furthermore, both quantities of Mo and Nb in the ADI produced were basically zero, which agrees with its lowest cost.

4. Conclusions

- i) A low-cost chemical composition was predicted through artificial neural networks (ANNs) for producing an austempered ductile cast iron (ADI). Next, chemical composition and mechanical properties were analysed in the manufactured ADI. Therefore, the ADI produced met the requirements of the ASTM A897/897M – 16 Grade 2 1050/750/07 standard.
- ii) The architecture of the first and second ANNs using the Levenberg–Marquardt algorithm (LMT) was effective for predicting a low-cost chemical composition, mechanical properties, and final cost of ADI. Furthermore, the microstructure of the ADI manufactured was characterised by ausferrite with stabilised austenite.
- iii) An ADI was produced with a considerable cost reduction compared to the costs of the alloys used

in the database for developing the ANNs. Thus, the ADI manufactured was around 49% cheaper than the average cost of the alloys considered. However, a cost reduction during manufacturing cast parts might be complex and should be analysed in each specific context.

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