

Original article

# Multiloci analyses suggest synonymy among *Rhomboplites*, *Ocyurus* and *Lutjanus* and reveal the phylogenetic position of *Lutjanus alexandrei* (Lutjanidae: Perciformes)

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Lutjanidae comprises 21 genera and 135 species widespread throughout Atlantic, Indian and Pacific oceans. Nonetheless, the phylogenetic relationships of Lutjaninae remain uncertain. Furthermore, phylogenetic hypotheses for *Lutjanus alexandrei*, an endemic species from northeastern Brazilian coast, in Lutjanidae are absent so far. Therefore, we carried out multiloci analyses, combining both mitochondrial and nuclear DNA sequences in Lutjaninae species from Western Atlantic focusing on the controversial relationships among *Lutjanus*, *Rhomboplites*, and *Ocyurus*. Besides, we determined the phylogenetic position and dated the origin of *L. alexandrei*. The phylogenetics trees based on the 4.4 kb for 11 species corroborated the synonym among *Lutjanus* and the putative monotypic genera. For the dating of *L. alexandrei*, another nucleotide dataset (3.0 kb; 40 species) validated the genetic identity of this species that diverged from the sister taxon *L. apodus* between 2.5 – 6.5 Mya, probably as a result of the barrier caused by the muddy outflow from Orinoco and Amazon rivers along the coastal zone. This report is the most robust multiloci analysis to confirm the synonymy of the three genera of Lutjaninae from Western Atlantic and the first reliable inference about the phylogenetic relationships and origin of *L. alexandrei*.

**Keywords:** DNA, Lutjaninae, Phylogeny Molecular, Systematics, Taxonomy.

A Família Lutjanidae compreende 21 gêneros e 135 espécies, distribuídas ao longo dos oceanos Atlântico, Índico e Pacífico. As relações filogenéticas dos Lutjaninae são incertas. Além disso, a espécie *Lutjanus alexandrei*, endêmica da costa nordeste do Brasil, não foi incluída em nenhuma hipótese filogenética até o presente. Assim, realizamos uma análise integrando DNA mitocondrial e nuclear para espécies de Lutjaninae do Atlântico Ocidental, direcionada para a controversa relação entre *Lutjanus*, *Rhomboplites* e *Ocyurus*. Além disso, alocamos filogeneticamente *L. alexandrei* e datamos sua origem. As árvores filogenéticas baseadas em 4.4 kb de 11 espécies corroboraram a sinonímia entre os monotípicos e *Lutjanus*. Para a datação de *L. alexandrei*, outro banco de nucleotídeos foi analisado (3.0 kb; 40 espécies), validando geneticamente a espécie e a colocando como irmã de *L. apodus*, da qual se separou entre 2.5 – 6.5 Mya, o que provavelmente foi provocado pela faixa enlameada na região costeira, influenciada pelas descargas dos rios Amazonas e Orinoco, que funciona como barreira. Este trabalho representa a mais robusta análise multiloci direcionada para a sinonimização dos três gêneros de Lutjaninae e a primeira hipótese filogenética a propor um posicionamento e origem para *L. alexandrei*.

**Palavras-chave:** DNA, Filogenia Molecular, Lutjaninae, Sistemática, Taxonomia.

## Introduction

Lutjanidae is a fish family mainly composed of marine demersal species that can be found up to 550 m deep, even though each species seems to present specific depth preferences. In general, the lutjanids (or snappers) inhabit coastal tropical and subtropical waters in association with

rocky bottom and coral reefs, even though some species depend on estuaries during early life cycle (Cervigón, 1993; Nelson *et al.*, 2016). The snappers show remarkable phenotypic variation and medium- to large-sized bodies (up to 1 m in total length), representing important fishery resources along their range (Cervigón, 1993; Nanami, Yamada, 2008; MPA, 2009, 2010, 2011).

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This family has a close phylogenetic relationship with Caesionidae (fusiliers), with much evidence that it is in fact a single family, with Caesionidae being incorporated as a subfamily of Lutjanidae (Johnson, 1993; Miller, Cribb, 2007; Betancur-R *et al.*, 2013; Frédérick, Santini, 2017). Thus, composed of nearly 21 genera and 135 species, the family Lutjanidae is organized into five subfamilies: Etelinae, Apsilinae, Paradicichthyinae, Lutjaninae, and Caesioninae (Allen, 1985; Cervigón, 1993; Betancur-R *et al.*, 2013; Nelson *et al.*, 2016; Betancur-R *et al.*, 2013; Frédérick, Santini, 2017; Eschmeyer, Fong, 2018). These fishes are widespread throughout Atlantic, Indian and Pacific oceans, being the latter characterized by the highest diversity of lutjanids (Allen, 1985; Hastings *et al.*, 2014).

The subfamily Lutjaninae comprises six genera *Pinjalo* Bleeker, 1873; *Macolor* Bleeker, 1860; *Hoplopogrus* Gill, 1861; *Ocyurus* Gill, 1862; *Rhomboplites* Gill, 1862, and *Lutjanus* Bloch, 1790 with about 78 species. Fourteen species are reported in Western Atlantic (WA), being 12 species of *Lutjanus* while the remaining ones are included in monotypic genera endemic to WA: *Rhomboplites aurorubens* (Cuvier, 1829) and *Ocyurus chrysurus* (Bloch, 1791) (Allen, 1985; Cervigón, 1993; Nelson *et al.*, 2016).

Within *Lutjanus*, the most species-rich genus of Lutjanidae, the Brazilian snapper (*L. alexandrei* Moura, Lindeman, 2007) stands out as an endemic species of the Brazilian coast. In the description report of this taxon, the authors highlighted that *L. alexandrei* is a common species from estuarine and reef environments that has been traditionally misidentified as *L. griseus* (Linnaeus, 1758) or *L. apodus* (Walbaum, 1792), suggesting that both taxa are not found in Brazil (Moura, Lindeman, 2007). Nonetheless, molecular studies that attempted to validate the phenotypic description of *L. alexandrei* or to infer the phylogenetic position of this taxon are absent so far.

Indeed, the available phylogenetic hypotheses of snappers, particularly in relation to Lutjaninae species from WA, are conflicting. In this context, a long debate refers to the validation of *Rhomboplites* and *Ocyurus* as monotypic genera. Both genera were defined according to morphological traits (Evermann, Marsh, 1900; Vergara, 1980), but several studies have shown their close relationship with *Lutjanus* representatives. While protein analyses reinforced the separation of *Rhomboplites* as a distinct genus, they provided no evidence for placing *O. chrysurus* apart from *Lutjanus* (Chow, Walsh, 1992).

Furthermore, some molecular phylogenies support the inclusion of *O. chrysurus* within *Lutjanus* and cast doubt on the taxonomic status of *Rhomboplites* (Sarver *et al.*, 1996). The taxonomic classification of these genera has also called into question based on cytogenetic data since chromosomal differences were observed between *Rhomboplites* and *Lutjanus* but not between the latter and *Ocyurus* (Nirchio *et al.*, 2009).

Based on mitochondrial DNA (mtDNA), Gold *et al.* (2011) inferred the evolutionary relationships of 20

species of Lutjaninae (12 taxa from WA, one species from Pacific Ocean and seven from Indo-Pacific). According to their results, the authors recommended that the monotypic genera should be included within *Lutjanus*.

Later, Gold *et al.* (2015) proposed a phylogenetic hypothesis for snappers from Eastern Pacific that also included species from WA, Indo-Pacific, and Western Indian oceans. Again, the molecular data (mtDNA sequences) clustered together *Rhomboplites*, *Ocyurus*, and *Lutjanus*.

Even though there are several pieces of evidence for the synonymy among *Ocyurus*, *Rhomboplites* and *Lutjanus*, no conclusive study has already been reported, possibly because the available morphological analyses were based on limited sampling with a few species. Moreover, there is only one phylogenetic study using a single nuclear gene in Lutjanidae (Frédérick, Santini, 2017).

Therefore, the present study provided a thorough phylogenetic inference of Lutjaninae from WA, based on the most extensive molecular data so far, comprising seven loci from mitochondrial and nuclear genome regions. We carried out an integrative analysis focusing on the controversial relationships among *Lutjanus*, *Rhomboplites* and *Ocyurus*, aiming to reinforce the synonymization. Furthermore, we wanted to propose the phylogenetic position and origin period of *L. alexandrei*.

## Material and Methods

**Sampling.** This study comprised two sample sets. The first one was used for the phylogenetic inferences of Lutjaninae from WA, which included 11 species, being nine of *Lutjanus* (*L. purpureus* (Poey, 1866); *L. campechanus* (Poey, 1860); *L. vivanus* (Cuvier, 1828); *L. buccanella* (Cuvier, 1828); *L. analis* (Cuvier, 1828); *L. synagris* (Linnaeus, 1758); *L. jocu* (Bloch, Schneider, 1801); *L. alexandrei*; *L. cyanopterus* (Cuvier, 1828) and the monotypic taxa *R. aurorubens* and *O. chrysurus*). In addition, *Etelis oculatus* (Valenciennes, 1828) (Etelinae) and *Conodon nobilis* (Linnaeus, 1758) (Haemulidae) were included, totaling 24 specimens (Tab. 1).

The second sample set was more diversified and used to determine the diversification period of *L. alexandrei*, thus comprising Lutjaninae species from other regions as well as other subfamilies, totaling 53 specimens. In total, 40 species of snappers+fusiliers were analyzed, being 27 of Lutjaninae with five out of the six genera recognized for this subfamily (*Lutjanus*, *Macolor*, *Hoplopogrus*, *Rhomboplites*, and *Ocyurus*); five Etelinae species from four of the five valid genera (*Etelis*, *Pristipomoides* Bleeker, 1852; *Aphareus* Valenciennes, 1830, and *Aprion* Valenciennes, 1830); the two monotypic genera of Paradicichthyinae (*Symphorus* Günther, 1872 and *Symphorichthys* Munro, 1967); and five Caesioninae species from two of the four valid genera (*Caesio* Lacepède, 1801 and *Pterocaesio* Bleeker, 1876) (Tab. 1).

**Tab. 1.** Markers and their evolutionary rates included in the present study, with respective Genbank access codes of sequence data. The species in bold represent specimens samples in the present study selected for the phylogenetic analyses (BI and ML) in Western Atlantic species of Lutjaninae, based on all markers. The total set of species was used to infer the evolutionary relationships and the origin of *Lutjanus alexandrei* within Lutjanidae, based on the highlighted markers. \* Haemulidae representatives used as outgroups used in phylogenetic trees.

Subfamily	Species (Voucher)	16S	COI	cyt b	ND-4	Rhod	TMO	RAG-1
	<b><i>Conodon nobilis</i> (24)*</b>							
Caesioninae	<i>Caesio caerulaurea</i>	DQ784724	GU804898	AF381273				
	<i>Caesio cuning</i>	DQ784725	KC970453	AF240749				KF141193
	<i>Pterocaesio marri</i>	DQ784742	GU804914	DQ784766				
	<i>Pterocaesio pisang</i>	DQ784743	KJ202192	DQ784767				KF141343
Etelinae	<i>Pterocaesio tile</i>	AP004447	AP004447	AP004447				
	<i>Aphareus furca</i>	DQ784722	HQ676753	DQ784746				HQ676633
	<i>Aprion virescens</i>	DQ784723	JF492869	DQ784747				
	<b><i>Etelis oculatus</i> (310)</b>		GU225202					
Lutjaninae	<i>Pristipomoides aquilonaris</i>	DQ532943	HQ162403	HQ162457				
	<i>Pristipomoides multidens</i>	KF430626	KF430626	KF430626				
	<b><i>Lutjanus alexandrei</i> (285)</b>							
	<b><i>Lutjanus alexandrei</i> (287)</b>							
Lutjaninae	<b><i>Lutjanus analis</i> (366)</b>							
	<b><i>Lutjanus analis</i> (91)</b>							
	<i>Hoplopagrus guentherii</i>		KJ557448	KJ570970				
	<i>Lutjanus apodus</i>	JQ741057	GU225357	HQ162435				
	<i>Lutjanus cf apodus</i>		HQ162418	HQ162468				
	<i>Lutjanus argentimaculatus</i>	DQ784728	JF493820	EF025494				EU627659
	<i>Lutjanus bengalensis</i>	FJ171339	FJ171339	FJ171339				EU627660
	<b><i>Lutjanus buccanella</i> (68)</b>							
	<b><i>Lutjanus buccanella</i> (335)</b>							
	<b><i>Lutjanus campechanus</i> (08)</b>							
	<b><i>Lutjanus campechanus</i> (19)</b>							
	<b><i>Lutjanus cyanopterus</i> (44)</b>							
	<b><i>Lutjanus cyanopterus</i> (309)</b>							
	Lutjaninae	<i>Lutjanus fulviflamma</i>	DQ784731	JF493832	EF376177			
<i>Lutjanus fulvus</i>		DQ784732	JQ431896	AY501366				EU627672
<i>Lutjanus griseus</i>		AY857944	GU225643	HQ162426				KF141274
<b><i>Lutjanus jocu</i> (236)</b>								
<b><i>Lutjanus jocu</i> (332)</b>								
<i>Lutjanus johnii</i>		KJ643926	KJ643926	KJ643926				EU627663
<i>Lutjanus kasmira</i>		FJ416614	FJ416614	FJ416614				EU627664
<i>Lutjanus mahogoni</i>			GU225372	HQ162445				EU182625
<i>Lutjanus malabaricus</i>		FJ824741	FJ824741	FJ824741				EU627666
<b><i>Lutjanus purpureus</i> (08)</b>								
<b><i>Lutjanus purpureus</i> (66)</b>								
<i>Lutjanus peru</i>		AY947840	HQ162412	HQ162461				
<i>Lutjanus russellii</i>		EF514208	EF514208	EF514208				EU627667
<i>Lutjanus sebae</i>		FJ824742	FJ824742	FJ824742				EU627668
<i>Lutjanus stellatus</i>	DQ444483	EU600133	EF376163				EU627670	
Lutjaninae	<b><i>Lutjanus synagris</i> (13)</b>							
	<b><i>Lutjanus synagris</i> (39)</b>							
	<i>Lutjanus vitta</i>	DQ784739	EF609402	EF376181				EU627669
	<b><i>Lutjanus vivanus</i> (55)</b>							
	<i>Macolor niger</i>	DQ784740	KF489639	DQ784764				
	<b><i>Ocyurus chrysurus</i> (22)</b>							
	<b><i>Ocyurus chrysurus</i> (294)</b>							
	<b><i>Rhomboplites aurorubens</i> (03)</b>							
	<b><i>Rhomboplites aurorubens</i> (300)</b>							
	Paradicichthyinae	<i>Symphoricichthys spilurus</i>	DQ784744	FJ584135	DQ784768			
<i>Symphorus nematophorus</i>		DQ784745	KC130829	DQ784769				EU167876

In both sets, *Conodon nobilis* was used as outgroup since Haemulidae has been regarded as the sister group of Lutjanidae (Betancur-R *et al.*, 2013; Near *et al.*, 2013).

A great portion of the sampling derived from collection expeditions from the present study, while the remaining samples included sequences available in GenBank. Muscle tissues were collected from *C. nobilis* and all species of snappers from WA, except for *L. apodus*; *L. griseus*; *L. mahogoni* (Cuvier, 1828); *Pristipomoides* and *Apsilus*. These samples are originated from local fish markets, trawl net expeditions and fishing landing ports along the American coast, as detailed in Tab. 1. The fish from which samples were taken, were not collected exclusively for this study. They were captured with fishermen support who available only the samples and later destined the whole fish for markets, without some structures like gut, stomach. In this way, not possible the deposit of individuals in a museum.

The samples were stored in microtubes, preserved in 90% ethanol and transported to the laboratory and kept at -20°C up to their utilization.

**Isolation, amplification, and DNA sequencing.** Total genomic DNA was isolated using commercial kits (Wizard Genomic®, PROMEGA), according to the manufacturer's instructions. The extracted DNA was visualized after electrophoresis in 1% agarose gel stained with Gel Red™ (BIOTIUM) under ultraviolet light. The selected genome regions were amplified via PCR, comprising

four mitochondrial genes (16S rRNA - 16S, cytochrome c oxidase subunit 1 - COI, cytochrome b - cyt b, and NADH-dehydrogenase subunit 4 - ND-4) and three nuclear genes (rhodopsin - Rhod, TMO-4C4, and RAG-1). Each PCR comprised a mix of deoxynucleotides (dNTPs) at 200 µM, 1x buffer, MgCl<sub>2</sub> at 2 mM, 0.4 µL of each primer (10 µM), 0.06 U/µL of Taq DNA polymerase, about 50 ng of template DNA and ultrapure water to a final volume of 15 µL. The primers used to amplify each gene are described in Tab. 2.

The amplification conditions were: first denaturation step at 95°C for 5 min., followed by 38 cycles of denaturation at 95°C for 30 sec., hybridization for 30 sec. (see temperature details for each primer pair in Tab. 2) and extension at 72°C for 2 min., plus a final extension step at 72°C for 5 min. The RAG-1 fragment was amplified using nested PCR, in which the first reaction used the primers RAG1-2510F (Li, Orti, 2007) and RAG1-4090R (Lopez *et al.*, 2004), followed by a second reaction with the primers RAG1-2533F and RAG1-4078F (Lopez *et al.*, 2004).

The amplicons were purified in PEG 8000 (polyethylene glycol) according to Paithankar, Prasad (1991) and submitted to dideoxi sequencing reaction (Sanger *et al.*, 1977) using the Big Dye kit (*ABI Prism™ Dye Terminator Cycle Sequencing Reading Reaction – Applied Biosystems, USA*). The fragments were bidirectionally amplified using specific forward and reverse primers. The final product was precipitated and sequenced in ABI 3500 automatic sequencer (Applied Biosystems).

**Tab. 2.** Primers, references and hybridization temperature used for mitochondrial and nuclear markers.

Marker	Primers- 5'-3'	Reference	Hybridization (°C)
16S rRNA	16SL1987- GCCTCGCCTGTTTACCAAAAAC 16SH2609- CCGGTCTGAACTCAGATCACGT	Palumbi <i>et al.</i> (1991)	55
COI	COIFishF1- TCAACCAACCACAAAGACATTGGCAC COIA- AGTATAAGCGTCTGGGTAGTC	Ward <i>et al.</i> (2005) Palumbi, Benzie, (1991)	56, except for <i>L. synagris</i> , <i>L. jocu</i> , <i>L. alexandrei</i> , <i>L. cyanopterus</i> , <i>O. chrysurus</i> and <i>C. nobilis</i> (53.8).
Cyt b	FishCybF- ACCACCGTTGTATTCAACTACAAGAAC TrucCytbR- CCGACTCCGGATTACAAGACCG	Sevilla <i>et al.</i> (2007)	54, except for <i>M. plumieri</i> (49).
ND-4	NAP2- CAAAACCTTAATCTYCTACAATGCT ND4LB- CAAAACCTTAATCTYCTACAATGCT	Arevalo <i>et al.</i> (1994) Bielawski, Gold (2002)	56
Rhod	RodF2W- AGCAACTCCGCTTCGGTGAGAA Rod4R- GGAAGCTGTTTCATGCAGATGTAGAT	Sevilla <i>et al.</i> (2007)	59
TMO-4C4	TMO4C4F2- CGCCCTTCTAAAACCTCTCATTAAAG TMO4C4R2- GTCCTCTGGGTGACAAAGTCTACAG	Farias <i>et al.</i> (2000)	50
RAG-1	RAG12510F- TGGCCATCCGGGTMAACAC RAG14090R- CTGAGTCCTTGTGAGCTTCCATRAAYTT RAG12533F- CTGAGCTGCAGTCAGTACCATAAGATGT RAG14078R- TGAGCCTCCATGAACTTCTGAAGRTAYTT	Li, Orti (2007) Lopez <i>et al.</i> (2004)	55, except for <i>O. chrysurus</i> , <i>L. analis</i> (57); <i>L. purpureus</i> , <i>L. campechanus</i> , <i>L. buccanella</i> and <i>L. synagris</i> (63).

**Phylogenetic Analyses.** The sequences from each gene were organized into individual datasets and edited in the software BioEdit (Hall, 1999). Visual inspection was carried out to correct the sequence position in cases of doubtful or misidentified nucleotides.

The alignment of the corrected sequence datasets was performed automatically in the online version of MAFFT (Katoh, Standley, 2013). The 3' and 5' ends were removed to discard unreadable sequence parts and to decrease the amount of missing data.

In the phylogenetic analysis to test the validation of *Rhomboplites* and *Ocyurus*, the data matrix comprised 4,481 base pairs (bp), being 2,983 pb from mtDNA (16S = 498 bp, COI = 1,133 bp, Cyt b = 750 bp, ND-4 = 600 bp) and 1,500 bp from nuclear DNA (RAG-1 = 650 bp, Rhod = 420 bp, TMO-4C4 = 430 bp). These markers were obtained from 24 specimens, including Lutjaninae species from WA, besides *Etelis oculatus* and *Conodon nobilis*.

The selection of the best scheme of partitions and the evolutionary models to explain the variation in sequences

from each gene was performed in the PartitionFinder2 (Guindon *et al.*, 2010; Lanfear *et al.*, 2012; Lanfear *et al.*, 2016) (Tab. 3).

Based on the multilocus dataset, we estimated the phylogenetic relationships among taxa based on maximum likelihood (ML) and Bayesian inference (BI). The ML tree was built using RAxML version 8.2 (Stamatakis, 2014) with the GTR+G evolutionary model for each partition (see Tab. 3). The support of each branch was calculated using bootstrap with 1000 pseudoreplicates.

The BI was carried out in MrBayes, version 3.2 (Ronquist *et al.*, 2011) using the previously selected evolutionary model for each partition (see Tab. 3).

Two independent runs with four MC<sup>3</sup> chains were performed based on 10 million generations, with tree sampling at each 10,000 generations and 10% of burn-in. The chain convergence was evaluated in Tracer, version 1.6 (Rambaut *et al.*, 2014) and the consensus tree was visualized and edited in FigTree, version 1.4.3 (Rambaut, 2016).

**Tab. 3.** Nucleotide substitution models selected by PartitionFinder for each gene partition, and their position in the alignment, for each phylogenetic analysis performed in the present study.

RAXML		
Model	Subset partitions	Subset sites
GTR+G	16S, ND4_3, Rhod_1, TMO-4C4_1, RAG-1_2	1-498, 2384-2981\3, 3632-4051\3, 4052-4481\3, 2983-3631\3
GTR+G	COI_1, CytB_3, ND4_2	499-1631\3, 1634-2381\3, 2383-2981\3
GTR+G	Rhod_2, RAG-1_3, TMO-4C4_2, CytB_1, COI_2	3633-4051\3, 2984-3631\3, 4053-4481\3, 1632-2381\3, 500-1631\3
GTR+G	TMO-4C4_3, RAG-1_1, Rhod_3, ND4_1, COI_3, CytB_2	4054-4481\3, 2982-3631\3, 3634-4051\3, 2382-2981\3, 501-1631\3, 1633-2381\3
Mr Bayes		
K80+G	TMO4-4C4_1, 16S, ND4_3	4052-4481\3, 1-498, 2384-2981\3
GTR+G	COI_1, CytB_3, ND4_pos2	499-1631\3, 1634-2381\3, 2383-2981\3
K81+I	COI_2, CytB_1, RAG-1_1	500-1631\3, 1632-2381\3, 2982-3631\3
F81+I	TMO-4C4_3, Rhod_3, COI_3, ND4_1, CytB_2	4054-4481\3, 3634-4051\3, 501-1631\3, 2382-2981\3, 1633-2381\3
HKY	Rhod_1, RAG-1_2	3632-4051\3, 2983-3631\3
F81	TMO-4C4_2, RAG-1_3	4053-4481\3, 2984-3631\3
TRNEF+I	Rhod_2	3633-4051\3
Beast - Divergence time		
HKY+G	16S, ND4_3	1-498, 2384-2981\3
GTR+G	COI_1, Cytb_3, ND4_2	499-1631\3, 1634-2381\3, 2383-2981\3
TRN+I	CytB_1, COI_2	1632-2381\3, 500-1631\3
HKY	COI_3, ND4_1, CytB_2	501-1631\3, 2382-2981\3, 1633-2381\3
HKY	Rhod_3, RAG-1_1, TMO-4C4_3	653-1070\3, 1-650\3, 1073-1500\3
HKY+G	Rhod_pos1, RAG-1_2, TMO-4C4_1	651-1070\3, 2-650\3, 1071-1500\3
HKY+I	Rhod_pos2, TMO-4C4_2, RAG-1_3	652-1070\3, 1072-1500\3, 3-650\3
Beast - *Beast		
GTR+G	16S	1-504
TRN+G	COI_1	505-1637\3
TRN+I	RAG-1_3, COI_2, CytB_1, RAG-1_1	2390-3037\3, 506-1637\3, 1638-2387\3, 2388-3037\3
HKY+I	COI_3, CytB_2	507-1637\3, 1639-2387\3
GTR+G	CytB_3	1640-2387\3
HKY+G	RAG-1_2	2389-3037\3

We also used a multi-species coalescent approach to estimate a species tree using the \*BEAST option, available in BEAST v. 1.8.1 (Drummond *et al.*, 2012). The runs were made using a relaxed lognormal clock, Yule Process as tree prior, 400 million of steps, with sampling at 10,000 and using 20% of burn-in. The choice of the optimal partition scheme and evolutionary models were made in PartitionFinder2 (Guindon *et al.*, 2010; Lanfear *et al.*, 2012; Lanfear *et al.*, 2016) (see Tab. 3). The \*BEAST runs were conducted in the CIPRES Science Gateway V. 3.3 (Miller *et al.*, 2010). The mixing and convergence of runs were checked in Tracer, version 1.6 (Rambaut *et al.*, 2014), and the consensus tree was made in the Beast v. 1.8.1 application “treeannotator”, visualized and edited in FigTree, version 1.4.3 (Rambaut, 2016).

**Divergence time of lineages.** To infer the time of diversification among lineages that gave rise to *L. alexandrei*, as well as its phylogenetic position in Lutjanidae, we analyzed a more extensive dataset, including the collected samples of snappers from this study (previously used in ML and BI analyses) as well as the available sequences in Genbank analyzed by Fr  d  rich, Santini (2017), and the sequences provided by Gold *et al.* (2011) from a non-identified species (*L. cf. apodus*). Therefore, the data matrix encompassed 48 specimens and 3,037 characters represented by 504 bp of 16S; 1,133 bp of COI; 750 bp of Cyt b, and 650 bp of RAG-1 (see Tab. 1).

The calibration of the divergence period was based on the divergence time between Lutjanidae and Haemulidae (67 Mya  $\pm$  10 S. D; Fr  d  rich, Santini, 2017) and on the data estimated for the diversification of “*Lutjanus*”, according to the minimum age of the most ancient fossil reported for this family (*Hypsocephalus atlanticus* Swift, Ellwood, 1972) data between 33.9 and 50 Mya (Fr  d  rich, Santini, 2017). Since this fossil is considered a close relative of *Hoplopagrus guntherii* (Gill, 1862), a taxon that has been recovered within *Lutjanus*, this fossil dating was employed to determine the minimum age of *Lutjanus* (Fr  d  rich, Santini, 2017).

The diversification analysis was carried out in BEAST v. 1.8.4 (Drummond *et al.*, 2012) using the CIPRES Science Gateway V. 3.3 (Miller *et al.*, 2010) based on 200 million generations, relaxed log-normal clock, and the evolutionary models and partitions selected by PartitionFinder2 using the “greedy algorithm” (Guindon *et al.*, 2010; Lanfear *et al.*, 2012; Lanfear *et al.*, 2016) (see Tab. 3). Genealogies were sampled at each 10,000 generations using 10% of burn-in. The chain convergence was inspected using the software Tracer, version 1.6 (Rambaut *et al.*, 2014) and the consensus tree was visualized and edited in FigTree, version 1.4.3 (Rambaut, 2016).

## Results

The multiloci phylogenetic inferences herein performed for Lutjaninae species from WA comprised the highest number of loci in Lutjanidae phylogenies so far

(4.4 kb). The topologies of ML, BI, and species trees were very similar and thereby we only show the BI topology, including the support values of the three analyses (Fig. 1).

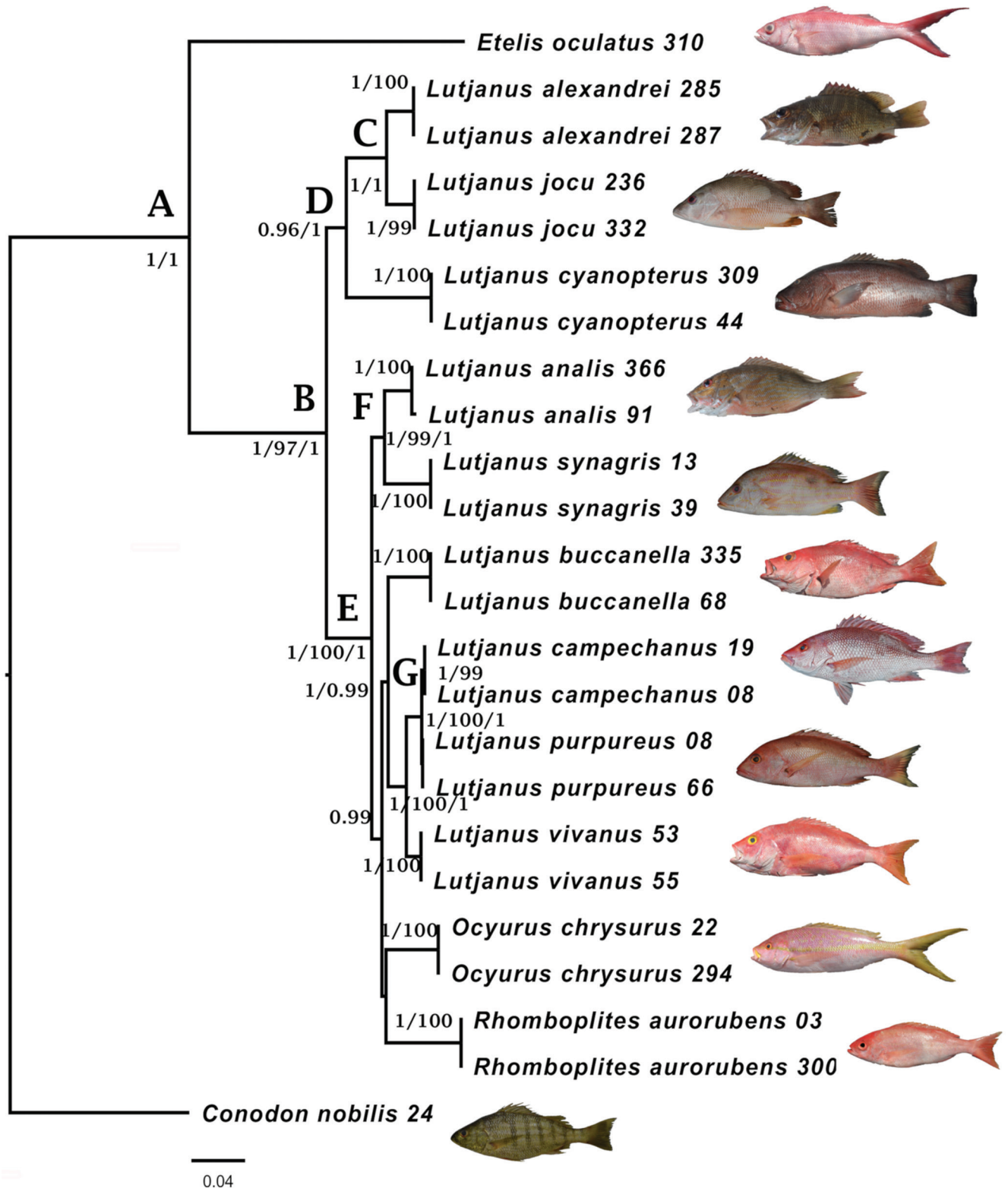
The clade A supports the monophyly of studied Lutjaninae, that is, for Lutjaninae species from WA included in this analysis. The clade B comprises two major lineages: one of them diverges giving rise to the clade D, composed of *L. cyanopterus* and clade C (*L. jocu* and *L. alexandrei*), while the other diversifies into clade E, encompassing the remaining taxa from this study. Within this group, the *L. synagris* + *L. analis* branch (clade F) is a sister group of clade that contains *R. aurorubens* and *O. chrysurus*, which is further related to and *L. purpureus*, *L. campechanus*, *L. vivanus*, and *L. buccanella* that appear to be closely related being named as clade G. All these clades were characterized by high probability values (0.99 or 1), except for clade D (0.96), and the branch that groups *O. chrysurus* and *R. aurorubens* (0.50). However, the bootstrap values in ML inference were more strict (see Fig. 1).

With regard to the phylogenetic positioning of *L. alexandrei* in Lutjanidae, according to the BI based on a dataset of 3.0 kb and 40 species of Lutjanidae, *L. alexandrei* is the sister group of *L. apodus*, forming a well supported clade. This clade is reciprocally monophyletic to *L. griseus* and *L. jocu* that, altogether, are closely related to *L. cyanopterus* + *L. argentimaculatus* (Forsskal, 1775) (Fig. 2).

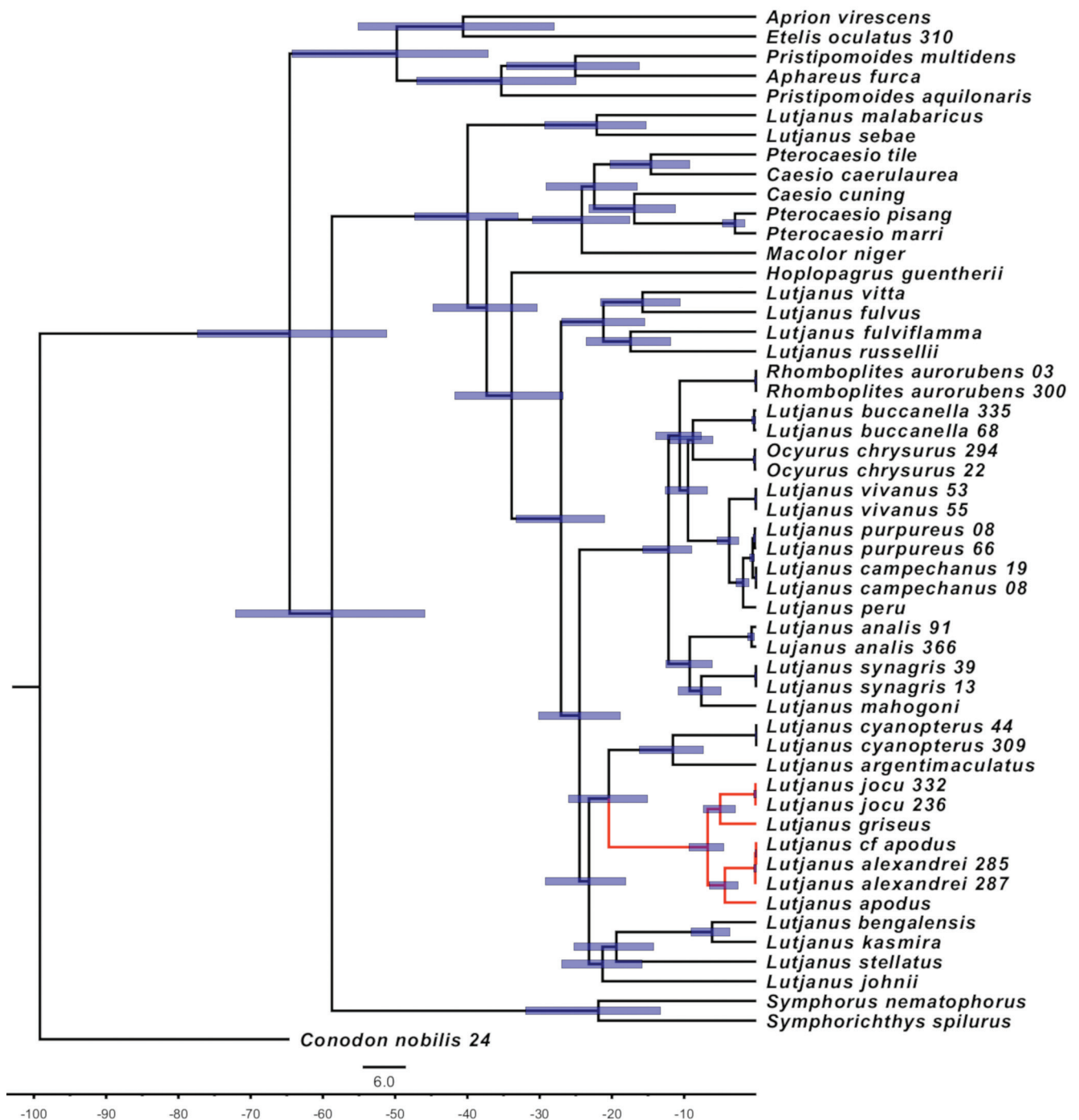
Based on the present results, the diversification of the lineage that gave rise to the Brazilian snapper has begun between 4.5 – 9.2 Mya in Pliocene, while the *L. alexandrei* + *L. apodus* pair diverged between 2.5 – 6.5 Mya. The diversification of *L. griseus* and *L. jocu* occurred simultaneously between 2.9 – 7.3 Mya.

## Discussion

**Phylogenetic relationships of Lutjaninae species from Western Atlantic.** The monophyly recovered for Lutjaninae species from WA included in the study confirms the findings reported by Gold *et al.* (2011) based on mtDNA (cyt b, COI and ND-4). On the other hand, if we consider any subfamily, and not only WA species, other molecular studies considered that the status of this subfamily as a natural group is questionable. For instance, analyses using 16S rRNA, cyt b, ND-4 and COI mitochondrial genes placed Caesionidae within Lutjaninae (Miller, Cribb, 2007; Chu *et al.*, 2013; Gold *et al.*, 2015). Similarly, Fr  d  rich, Santini (2017) indicated that Lutjaninae is a non-monophyletic group inasmuch as all genera of fusiliers (regarded as a subfamily of Lutjanidae by these authors) were closely related to the former. In fact, the inclusion of Caesionidae within Lutjanidae has already been evidenced by morphological analyses (Johnson, 1993; Nelson, 1994) and corroborated by molecular data (Betancur-R *et al.*, 2013), which in the present study is also reported (see Fig. 2).



**Fig. 1.** Phylogenetic relationships in Lutjaninae species from the Western Atlantic estimated by Bayesian (BI), maximum likelihood (ML) and a Species Tree analyses inferences based on a data matrix (4.4 kb) comprising mitochondrial (16S rRNA, COI, cyt b, and ND-4) and nuclear (Rhodopsin, TMO4C4 and RAG-1) markers. The BI topology is presented with the posterior probability (BI and Species Tree) and bootstrap (ML) values. The letters in the nodes refer to the clades discussed in the text.



**Fig. 2.** Bayesian inference based on the 3.0 kb dataset of mtDNA (16S, COI, and cyt b) and nuclear (RAG-1) sequences in 40 Lutjanidae species. The dating was based on the estimated minimum age for the oldest fossil reported to the family. The branch mainly discussed in the text is highlighted, revealing the origin of *Lutjanus alexandrei* between 2.5 – 6.5 Mya.

*Lutjanus alexandrei*, placed as a sister group of *L. jocu*, is a recently described species (Moura, Lindeman, 2007), endemic to the Brazilian coast that lack previous phylogenetic inferences. The clade C herein reported (*L. jocu* + *L. alexandrei*) agrees with the results from Gold *et al.* (2011) and Gold *et al.* (2015) that recovered a close relationship among *L. jocu*, *L. griseus*, *L. apodus* and *L. cf. apodus*, since the latter is likely to represent *L. alexandrei*, as suggested by Gold *et al.* (2011).

The taxa included in clade G (*L. purpureus*, *L. campechanus*, *L. vivanus*, and *L. buccanella*) is partly consistent with the phenetic classification of Lutjaninae, divided into grey snappers (*L. griseus*, *L. apodus*, *L. jocu*, and *L. cyanopterus*) and the “*Lutjanus analis*” group or red snappers (*L. analis*, *L. purpureus*, *L. vivanus*, *L. campechanus*, and *L. buccanella*) (Rivas, 1966; Vergara, 1980).



Based on molecular data, Sarver *et al.* (1996) proposed a phylogenetic hypothesis for 12 species of Lutjanidae from WA using concatenated information of two mitochondrial genes (12S rRNA and cyt b). Similarly to our results, these authors also reported a partial correspondence with the phenetic groups of snappers, as also supported in recent phylogenies for this family (Gold *et al.*, 2011; Gold *et al.*, 2015; Frédérich, Santini, 2017).

Nonetheless, taking into account the first sample set, the present results differ from other studies (Sarver *et al.*, 1966; Gold *et al.*, 2011) in relation to *L. analis*, herein grouped with *L. synagris* (clade F). In addition, *L. cyanopterus*, here, groups together with *L. jocu* and *L. alexandrei* (clade D), corroborating the grey snapper group of the phenetic classification (Rivas, 1966; Vergara, 1980). But, other studies with snappers from WA report that *L. cyanopterus* is not closely related to any other studied species in this Atlantic region (Sarver *et al.*, 1966; Gold *et al.*, 2011). As commonly observed for many other Lutjaninae species from WA, the putative sister taxon of *L. cyanopterus* is *L. novemfasciatus* (Gill, 1862), a native species from Pacific Ocean (Chu *et al.*, 2013; Gold *et al.*, 2015; Frédérich, Santini, 2017).

The relationships in the clade F and in the clade D, apparently discordant with previous studies (Sarver *et al.*, 1966; Gold *et al.*, 2011), are in fact the result of the more limited sampling of the first sample set analyzed, therefore analyzing the second sample set, the relationships between *L. synagris*, *L. mahogoni* and *L. analis*, as well as among gray snapper, resemble results already reported (Chu *et al.*, 2013; Frédérich, Santini, 2017).

**The controversial taxonomic status of *Rhomboplites* and *Ocyurus*.** *Rhomboplites aurorubens* and *Ocyurus chrysurus* were included in clade E along with other *Lutjanus* species, thus reaffirming the paraphyletic status of this genus. This result brings back a long debate about the inclusion of *Rhomboplites* and *Ocyurus* in *Lutjanus*.

These two monotypic genera were recognized by Gill (1862) that differed them from *Lutjanus* based on the following traits: the forked caudal fin with thin lobes in *Ocyurus* and the presence of a rhomboid vomerine tooth in *Rhomboplites* versus more or less truncate caudal fin and triangular vomerine tooth in *Lutjanus* (Gill, 1862). Nonetheless, more extensive and recent studies revealed that the vomerine teeth in *Lutjanus* species might range from “V”-shape to rhomboid or anchor-like shape (Cervigón, 1993; Anderson, 2002; Claro, Lindeman, 2008).

Other morphological traits that have been used to differentiate both monotypic genera from *Lutjanus* include the number of gill rakers (lower in *Lutjanus*) and the presence of ectopterygoid teeth (absent in *Lutjanus*) (Cervigón, 1993; Anderson, 2002). *Rhomboplites* is also diagnosed by the number of spines in dorsal fins (n=12) while *Lutjanus* species present 10 to 11 spines (Cervigón, 1993; Claro, Lindeman, 2008).

On the other hand, phylogenetic inferences based on morphometrics, biochemistry (Chow, Walsh, 1992), and molecular data (Sarver, Freshwater, Walsh, 1996; Gold *et al.*, 2011; Gold *et al.*, 2015; Frédérich, Santini, 2017) revealed that these genera diverged from distinct lineages but closely related to *Lutjanus* red snappers, as observed in clade E.

Accordingly, Sarver *et al.* (1996) proposed the synonymy between the genera *Ocyurus* and *Lutjanus* inasmuch as *O. chrysurus* is clustered along other *Lutjanus* species a pattern also observed in relation to *Rhomboplites*, but not mentioned by the authors. Likewise, the phylogenetic trees reported by Miller, Cribb (2007) also recovered *R. aurorubens* within the *Lutjanus* lineage.

In a comparative approach, Gold *et al.* (2011) showed that both *R. aurorubens* and *O. chrysurus* are placed along with *Lutjanus* in the phylogenetic trees, thereby suggesting the reallocation of these species in the genus *Lutjanus*. These authors stated that most of the distinctive morphological features might represent differential adaptation to feeding thereby representing homoplasies while the chromosomal peculiarities reported by Nirchio *et al.* (2009) between *Rhomboplites* and *Lutjanus* could be autapomorphic since few species have been cytogenetically analyzed. Moreover, they pointed out that the weak support of the branches related to *O. chrysurus* and *R. aurorubens* might reflect fast speciation processes that are hardly identified by mtDNA markers only (Zink, Barrowclough, 2008).

Only recently, Frédérich, Santini (2017) published a study about the time and mode of diversification in Lutjanidae based on fossil calibration that encompassed 70% of their actual diversity and a single nuclear gene (RAG-1). The authors hypothesized that the remarkable distinct ecological traits between *Lutjanus* and other closely related lineages (*e.g.*, peculiar food preferences, occurrence at differential depths and specific life history strategies) may be the result of fast and recent adaptive radiation, differently from the pattern usually reported for reef-associated fish. Furthermore, this report also placed *Rhomboplites* and *Ocyurus* along with *Lutjanus* species, reinforcing this genus as a non-monophyletic group (Frédérich, Santini, 2017).

Taking into account that our results corroborated several reports that reveal the high genetic affinities between *Lutjanus*, *Rhomboplites* and *Ocyurus*, and that the morphological differences among these putative genera are subtle and mostly derived from ecological adaptation, we consider that there is sufficient evidence to allocate these monotypic genera within *Lutjanus*. Even though, the monophyly of the genus remains unsolved and should be investigated by integrative studies, since the grouping of *Lutjanus* with other genera of Lutjaninae and Caesioninae have also been indicated (Chu *et al.*, 2013; Frédérich, Santini, 2017).

**Phylogenetic position and divergence time of *L. alexandrei*.** After the description of *L. alexandrei* (Moura, Lindeman, 2007), this species has been studied in relation to reproduction (Fernandes *et al.*, 2012), aging, growth, mortality

(Aschenbrenner, Ferreira, 2015), and cytogenetics (Rocha, Molina, 2008). However, the phylogenetic relationships and the origin of this species remained unknown.

The relationships recovered in BI for *L. alexandrei*, *L. apodus*, *L. jocu* and *L. griseus* are consistent with previous inferences (Sarver *et al.*, 1996; Gold *et al.*, 2011; Chu *et al.*, 2013; Gold *et al.*, 2015; Fr  d  rich, Santini, 2017), the present study stands out by the inclusion of *L. alexandrei* that was selected for dating inferences.

The phylogenetic analysis by Gold *et al.* (2011) included a non-identified species of *Lutjanus* (*L. cf. apodus*), placed as a sister taxon of *L. apodus*, and related to both *L. jocu* and *L. griseus*. According to these authors, this specimen could represent *L. alexandrei* since it was collected in northeastern Brazil, has proved to be evolutionary related to the abovementioned species, and presented morphological traits similar to those reported by Moura, Lindeman (2007). The relationships herein presented in this clade agree with the reports by Gold *et al.* (2011) and Gold *et al.* (2015), allowing us to confirm that *L. cf. apodus* cited in both studies actually corresponds to *L. alexandrei*.

Our estimates of time for the diversification events that led to the *L. alexandrei* lineage were similar to the ranges recorded by Gold *et al.* (2011) for the *L. apodus* + *L. cf. apodus* (= *L. alexandrei*) pair as well as for the cluster that comprises both taxa, even though these authors used calibration based on the molecular evolutionary rate of *cyt b* marker while we relied on fossil dating as carried out by Fr  d  rich, Santini (2017).

The Pliocene was a period of low tectonism preceded by volcanic activities that formed the western arch of Lesser Antilles islands in the Caribbean Sea. In addition, the uplift of the Isthmus of Panama has possibly intensified the Gulf Current, thus leading to a greater flow of warm waters to the regions southern to the Caribbean (Gold *et al.*, 2011).

The second diversification event that has probably been responsible for the separation between *L. apodus* and *L. alexandrei* should be related to the 2,300 km muddy outflow from the Amazonas and Orinoco rivers in the coastal region of South America that prevented the formation of coral reefs thus acting as a putative barrier (Gold *et al.*, 2011). Indeed, in their description of *L. alexandrei*, the authors emphasized the importance of the freshwater discharges from both rivers in population isolation and allopatric speciation between the Caribbean and most of South America coast (Moura, Lindeman, 2007).

For the separation of *L. apodus* and *L. griseus* we were also in agreement with Gold *et al.* (2011). The species that take part of this clade share some ecological traits, including the preference for estuarine environments for reproduction and during juvenile stages (Cervig  n, 1993; Moura, Lindeman, 2007; Claro, Lindeman, 2008).

In their description of *L. alexandrei*, Moura, Lindeman (2007) discuss the validation of the occurrence of *L. apodus* and *L. griseus* in Brazil, once the specimens formerly recognized as both taxa available in museums, photographic records, voucher material and collections along estuarine and

reef areas of Brazilian coast invariably corresponded to the new species identified by these authors. Therefore, the native range of *L. apodus* and *L. griseus* would be restricted from Massachusetts to the Caribbean Sea, while the Brazilian snapper should be endemic to northeastern Brazil, from Maranh  o to Bahia coast, being sympatric to *L. jocu*, thus leading to their misidentification (Moura, Lindeman, 2007). Nonetheless, *L. jocu* is widespread from the USA coast to northeastern Brazil (Allen, 1985; Cervig  n, 1993).

The apparent absence of *L. alexandrei* in the estuaries from the northern Brazilian coast might be related to ecological features such as the low tolerance of this species to salinity variation when compared to *L. jocu*, a species that can be adapted to riverine habitats with salinity levels above 40‰ (Claro, Lindeman, 2008). Therefore, even though both species depend on the estuarine environments during early life cycles, *L. jocu* is able to inhabit estuaries from northern Brazil which are characterized by remarkable salinity fluctuations influenced by the outflow of large rivers from Amazon, with salinity levels close to zero during rainy seasons (Barletta-Bergan *et al.*, 2002; Barletta *et al.*, 2005; Asp *et al.*, 2016). On the other hand, the estuaries from northeastern Brazil are characterized by high salinity levels (Schwamborn *et al.*, 2001; Araujo, 2006; Silva *et al.*, 2009).

Similar ecological features are reported between *L. jocu* and *L. griseus* and *L. alexandrei* and *L. apodus*, respectively, since juveniles of *L. griseus* are commonly found in brackish and nearly freshwater estuarine waters as well as in hypersaline waters, an adaptation that is not observed in *L. apodus* (Cervig  n, 1993; Claro, Lindeman, 2008).

In relation to *O. chrysurus* and *R. aurorubens*, several reports have already indicated that both taxa should be included within *Lutjanus*. Nevertheless, some authors considered that the morphological differences among them would be enough to their allocation into distinct genera, even though today we currently acknowledge that that such differences may reflect adaptation processes that have probably arisen several times throughout their evolution, thus representing homoplasies.

On the other hand, molecular studies have refuted the validation of both monotypic genera of Lutjanidae, even though they were based only on mitochondrial markers, which jeopardize this inference. However, a recent phylogenetic study included a single nuclear gene also demonstrated the overestimated status of *Rhomboplites* and *Ocyurus* as distinct genera. In the present study, we evaluated a higher number of nuclear and mitochondrial genes, thus providing additional support to the synonymy of these genera and *Lutjanus*, besides reinforcing the apparent non-monophyletic status of the latter.

Therefore, the adaptive radiation of snapper and fisliers should be further investigated, since a single report focused this aspect (Fr  d  rich, Santini, 2017). Studies like this could be helpful to explain and organize the diversity of Lutjanidae.

As for *L. alexandrei*, this is the first report, comprising the largest and most reliable molecular dataset so far, that inferred, unequivocally, the phylogenetic relationships

of this species in relation to other lutjanids. The Brazilian snapper, endemic from the northeastern coast, and *L. apodus* represent sister taxa that diverged between 2.5 – 6.5 Mya, as a result of the vicariance caused by the outflow of Amazon rivers between the actual range of both species.

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