

# Impacts of Cytochrome P450 2D6 (CYP2D6) Genetic Polymorphism in Tamoxifen Therapy for Breast Cancer

## *Impactos do polimorfismo genético do citocromo P450 2D6 (CYP2D6) na terapia com tamoxifeno para câncer de mama*

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### Abstract

Tamoxifen (TMX) is the main drug used both in pre and postmenopausal women as adjuvant treatment for hormone receptor-positive breast cancer. An important barrier to the use of TMX is the development of drug resistance caused by molecular processes related to genetic and epigenetic mechanisms, such as the actions of cytochrome P450 2D6 (CYP2D6) polymorphisms and of its metabolites. The present study aimed to review recent findings related to the impact of CYP2D6 polymorphisms and how they can affect the results of TMX in breast cancer treatment. The keywords CYP2D6, tamoxifen, and breast cancer were searched in the PubMed, Scopus, The Cochrane Library, Scielo, and Bireme databases. Studies related to other types of neoplasms or based on other isoenzymes from cytochrome P450, but not on CYP2D6, were excluded. The impact of CYP2D6 polymorphisms in the TMX resistance mechanism remains unclear. The CYP2D6 gene seems to contribute to decreasing the efficacy of TMX, while the main mechanism responsible for therapy failure, morbidity, and mortality is the progression of the disease.

### Keywords

- ▶ cytochrome P-450 CYP2D6
- ▶ polymorphism genetic
- ▶ tamoxifen
- ▶ breast neoplasms
- ▶ therapeutic uses

### Resumo

O tamoxifeno é a principal droga que pode ser utilizada como tratamento hormonal adjuvante em pacientes portadoras de câncer de mama receptor hormonal positivo tanto na pré- quanto na pós-menopausa. Uma das maiores barreiras em seu uso é o desenvolvimento de resistência medicamentosa causada por meio de processos moleculares relacionados a mecanismos genéticos e epigenéticos, como a ação dos polimorfismos do gene citocromo P450 2D6 (CYP2D6) e seus metabólitos. O presente estudo busca revisar as descobertas recentes acerca dos impactos dos polimorfismos do gene CYP2D6 e de como eles podem afetar os resultados do tamoxifeno na terapêutica do câncer de mama. As palavras-chave CYP2D6, tamoxifeno e câncer de mama foram buscadas nas bases de dados Pubmed, Scopus, The Cochrane Library, Scielo e Bireme. Estudos relacionados com outros tipos de câncer ou relacionados a outras isoenzimas do citocromo P450 que não o CYP2D6 foram excluídos. O impacto do polimorfismo do CYP2D6 nos mecanismos de resistência ao tamoxifeno permanecem controversos. O gene CYP2D6 parece reduzir a eficácia do TMX; entretanto, os principais fatores associados a falha terapêutica são morbimortalidade e a progressão da doença

### Palavras-chave

- ▶ citocromo P-450 CYP2D6
- ▶ polimorfismo genético
- ▶ tamoxifeno
- ▶ neoplasias da mama
- ▶ usos terapêuticos

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## Introduction

Breast cancer is the most common neoplasm among women worldwide, except for skin cancers, accounting for 31% of the cancer cases, and is the second leading cause of cancer-related deaths in females.<sup>1,2</sup> Breast cancer is an important cause of mortality and morbidity, particularly due to its propensity to metastasize to distant sites such as the liver, the lungs, the brain, and the bones.<sup>3,4</sup> Many therapies have been studied in the last few years to improve the prognosis and to decrease mortality. In general, adjuvant therapy for breast cancer is used after considering the patient age, tumor staging, biological factors, and tumor volume. These therapies include surgery, hormonal therapy (such as tamoxifen [TMX], toremifene, and raloxifene), anti-HER2 drugs, and chemotherapy.<sup>5,6</sup>

For hormone receptor-positive breast neoplasms, hormonal therapy is mandatory.<sup>6-8</sup> Among the available options, TMX is the main adjuvant hormonal therapy used in pre and postmenopausal patients. Third-generation aromatase inhibitors (anastrozole, letrozole, and exemestane) are only used in postmenopausal patients, but can be used to replace TMX due to their better tolerability and safety.<sup>1,6</sup> Considering that 70% of the breast neoplasms are estrogen receptor-positive (ER +), the use of TMX is commonly recommended as the first choice hormonal therapy for breast cancer.<sup>2,5,7</sup>

An important limitation to the use of TMX therapy is the development of drug resistance, which occurs in between 30 and 50% of the cases and may result from complex epigenetic mechanisms, response to stress, inhibition of Bcl-2 family-regulated apoptosis, autophagy, and pharmacologic mechanisms.<sup>2,9-11</sup> The biotransformation of TMX is mediated by isoenzymes from the cytochrome P450, particularly CYP3A4, CYP2B6, CYP2C9, CYP2C19, and CYP2D6; however, the resistance mechanism is also related to phase II metabolism and to ATP-binding cassette (ABC) transporters.<sup>12,13</sup>

The cytochrome P450 family 2 subfamily D member 6 (CYP2D6) gene is present in the chromosome 22q13.1 and is responsible for the metabolism of many drugs, such as antidepressants, antipsychotics, and opioids.<sup>5,14</sup> This enzyme plays a major role in converting TMX into active metabolites, such as 4-hydroxy-N-desmethyltamoxifen (endoxifen), which appears to be inhibited when CYP2D6 inhibitors or TMX are used.<sup>5,12,13</sup>

To date, over 300 variants of the CYP2D6 gene have been described. According to their different enzyme activities, the CYP2D6 alleles are classified into functional alleles, reduced function alleles, and non-functional alleles.<sup>12,15</sup> The type of CYP2D6 polymorphism varies according to the population analyzed. The gene CYP2D6\*4 is more common in Caucasians, CYP2D6\*10 in Asian subjects, and CYP2D6\*5 in African-Americans.<sup>5,16</sup> The development of new drugs and therapy adjustments strongly depends on pharmacogenomics studies, which have examined the role of TMX in the physiopathology and in the resistance mechanisms of breast cancer.<sup>17</sup>

The highly polymorphic CYP2D6 gene encodes the principal enzyme of TMX biotransformation to its major active metabolite, endoxifen.<sup>18</sup> Some genotypes, such as CYP2D6\*4/\*4, have been associated with a higher risk of

disease relapse and with a lower incidence of adverse drug reactions due to the lower metabolic activation of TMX to endoxifen.<sup>19</sup> Endoxifen is therefore considered a key metabolite in the modulation of the estrogen receptor pathway.

The association of CYP2D6 gene polymorphisms with the efficacy of TMX in early-stage breast cancer patients has been assessed in numerous studies, with conflicting results; a notable exception is a complete gene deletion, or alleles with a complete loss of function (such as CYP2D6\*4). Some studies have shown no alterations in the efficacy of TMX in patients with breast cancer carrying the CYP2D6 gene polymorphism in terms of recurrence and overall survival,<sup>12,20,21</sup> while other studies demonstrated that CYP2D6 gene polymorphisms (especially \*3, \*4, and \*6) significantly affected the efficacy of TMX.<sup>22-24</sup> Because of these controversial results, available recommendations do not suggest the use of CYP2D6 genetic testing to select the best endocrine therapy regimen for patients.<sup>18</sup>

Considering previous studies of the role of CYP2D6 polymorphisms in the TMX resistance mechanism and in the pharmacokinetics of its active metabolite, including CYP2D6 allele heterogeneity among different populations, the present study was conducted to analyze how mutations in the CYP2D6 gene affect patients undergoing breast cancer therapy with TMX.<sup>13,25</sup>

## Methods

A narrative review of the presence of CYP2D6 polymorphisms and how they affect the results of TMX therapy for breast cancer was conducted.

The research was conducted accessing the following databases: PubMed, Scopus, The Cochrane Library, Scielo, and Bireme, using a combination of the terms CYP2D6, tamoxifen, and breast cancer. Only original articles, systematic reviews, and meta-analyses published between 1998 and 2018 were included. Articles in English, Spanish, or Portuguese were analyzed.

Studies evaluating the effect of CYP2D6 polymorphisms in patients with breast neoplasms in all age groups were included. Studies related to other types of neoplasms or based on isoenzymes from the cytochrome P450 other than CYP2D6 were excluded.

The abstract found in the databases were screened by two distinct researchers against the inclusion and exclusion criteria. Full-text articles were retrieved and analyzed to produce the present review. Conflicts were solved by a third independent author.

The results of the present review were grouped according to four main topics: pharmacological properties of TMX, ethnic characteristics of CYP2D6 alleles, CYP2D6 polymorphisms and TMX efficacy, and TMX association with other therapies.

## Pharmacological Properties of Tamoxifen

Tamoxifen is considered a selective estrogen receptor modulator (SERM) drug and is a derivative of triphenylethylene.<sup>2,20</sup> Competition by TMX and its metabolites with estradiol for the occupancy of the estrogen receptor, which blocks estradiol-mediated cellular proliferation, is considered as one of the major mechanisms of the pharmacological action of TMX.<sup>23</sup>

The actions of TMX can be explained by oxidative stress mechanisms that inhibit protein kinase C (PKC), modulate transforming growth factor- $\beta$  expression, and induce *c-myc* expression, which causes inhibition of malignant cell proliferation by arresting the cell cycle and inducing the apoptosis of breast cancer cells.<sup>10,11</sup>

Similarly to other SERMs, TMX reduces estrogen levels or blocks the estrogen receptor alpha (ER $\alpha$ ) signaling pathway.<sup>1,2</sup> It is well-known that TMX can be used as therapy for many health conditions beyond breast cancer, such as gynecomastia, infertility, osteoporosis, neurodegenerative diseases, retroperitoneal fibrosis, and idiopathic sclerosing mesenteritis.<sup>1,11</sup> In the endometrial tissue, TMX increases the risk of endometrial cancer by 2.5-fold due to its estrogen agonism and increases the risk of endometrial hyperplasia and of polyp formation.<sup>26</sup>

Through the action of different enzymes, such as CYP2D6, TMX is converted into three active metabolites: N-Desmethyltamoxifen, 4-Hydroxytamoxifen, and endoxifen (**Fig. 1**).<sup>1,27</sup> 4-Hydroxytamoxifen and, particularly, endoxifen, are more potent than TMX itself. Endoxifen is the major molecule responsible for the pharmacological effects of the drug.<sup>5,12,21,27</sup> The presence of functional CYP2D6 alleles is required to ensure the presence of high plasma levels of endoxifen.<sup>26</sup> As demonstrated, endoxifen concentrations are considerably lower in women with lower CYP2D6 activity.<sup>19,27</sup>

Tamoxifen is metabolized in the liver by specific phase I and phase II enzymes.<sup>26,28</sup> N-Desmethyltamoxifen is respon-

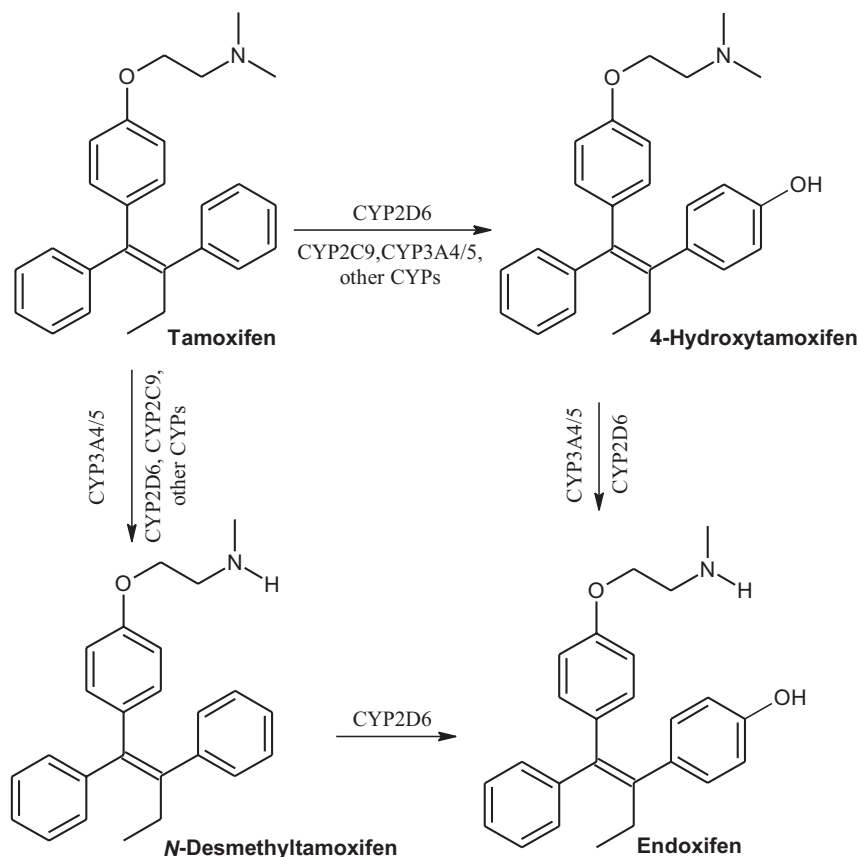
sible for ~92% of TMX oxidation during the primary metabolism. In the secondary metabolism, the biotransformation of TMX to endoxifen is exclusively catalyzed by CYP2D6 and mainly by the CYP3A subfamily in all other biochemical routes (as shown in **Fig. 1**).<sup>19</sup>

### Ethnic Characteristics of CYP2D6 Alleles

According to the literature, the most prevalent variant alleles of the CYP2D6 gene are comparable between different ethnicities, considering that the sample of patients did not present large variations in their characteristics for each population evaluated (**Table 1**).<sup>5,14,15,19,21,28,29</sup> Many ethnic groups remain misrepresented.

In a study evaluating CYP2D6 polymorphisms in a Chinese population, 778 patients receiving TMX (20 mg/day) or aromatase inhibitors (40 mg/day) were evaluated.<sup>16</sup> According to the literature, CYP2D6\*10 was the most common polymorphism detected, and it was significantly associated with 5-year disease-free survival, which was the time between surgery and recurrence or death in the group who received TMX (325 patients). The age at diagnosis, the T stage, the N stage, the clinical stage, and the tumor grade showed no significant associations.<sup>5,12,16</sup>

According to another study examining an Asian population composed of Chinese, Malay, and Indian subjects (76 of each), the allelic frequencies of CYP2D6\*10 and of CYP2D6\*5 were respectively 5-fold and 2-fold higher in the healthy Chinese and Malay populations compared with in the Indian



**Fig. 1** Main tamoxifen active metabolites according to the isoenzymes responsible for its biotransformation process.

**Table 1** CYP2D6 most frequent alleles according to different populations

Population	Most recurrent alleles	Study
African and African-Americans	CYP2D6*5/*17	Chin et al. (2016) <sup>15</sup>
Alaska Native	CYP2D6*1	Khan et al. (2018) <sup>21</sup>
American Indian	CYP2D6*1	Khan et al. (2018) <sup>21</sup>
Caucasian	CYP2D6*4	Moyer et al. (2011) <sup>27</sup>
Chinese	CYP2D6*10	Chin et al. (2016) <sup>15</sup> and Lan et al. (2018) <sup>16</sup>
Malaysian Malay	CYP2D6*10	Chin et al. (2016) <sup>15</sup>
Malaysian Indian	CYP2D6*4/*10	Chin et al. (2016) <sup>15</sup>

population.<sup>29</sup> In contrast, the Indian and Malay populations showed significantly higher frequencies of CYP2D6\*41 compared with the Chinese population.<sup>15</sup>

The CYP2D6 polymorphisms were evaluated in an American Indian and Alaska Native population in a convenience sample of 380 subjects from the Southcentral Foundation and other 187 subjects from the University of Montana and of the Confederated Salish and Kootenai Tribes.<sup>21</sup> This cohort study showed that CYP2D6\*1 (45.21%) and CYP2D6\*2 (26.6%) were the most common alleles identified in the Southcentral Foundation population.

The CYP2D6\*41 allele was the most common in the Confederated Salish and Kootenai Tribes population, but showed reduced activity.<sup>21</sup> The allele induced a non-significant increased plasmatic concentration of N-Desmethyltamoxifen and reduced the plasmatic concentration of endoxifen.<sup>29</sup>

### CYP2D6 Polymorphisms and Tamoxifen Efficacy

The prevalence of breast cancer was found to be associated with CYP2 gene polymorphisms in a study examining the prevalence of the most common allelic variants from CYP2D6 (CYP2D6\*4, CYP2D6\*10, CYP3A5\*3, and CYP2C19\*2).<sup>12</sup> In 128 patients with a diagnosis of breast cancer, a significant difference in the frequencies of genotype was found between the therapy and control groups ( $p = 0.01$ ); the prevalent genotypes were AA (88%) on CYP3A5\*3, GG (88%) on CYP2C19\*2, CC (75%) on CYP2D6\*10, and EM (79%) on CYP2D6\*4.

The CYP2D6 gene was the major contributor to significant associations between genetic variation and TMX metabolism.<sup>21,27,29</sup> The CYP2D6 variation was significantly associated with plasma endoxifen ( $p = 0.0010$ ) levels and with the endoxifen/TMX metabolic ratio ( $p = 4.4 \times 10^{-7}$ ), particularly CYP2D6\*5 and CYP2D6\*10.<sup>21,29</sup> Caucasian patients carrying the CYP2D6\*4 allele had a significantly increased risk of recurrence of breast cancer.<sup>25</sup> Two large studies found no association between the CYP2D6 genotype and clinical outcome, revealing controversial results for the role of CYP2D6 in the TMX resistance mechanism.<sup>16,28</sup>

A few studies found no significant difference between poor, intermediate, and extensive metabolizer genotypes and recurrence or metastasis. However, CYP2D6\*10/\*10 and heterozy-

gous null alleles appeared to decrease the metabolism of TMX and to produce less pharmacologically active TMX metabolites, resulting in a higher risk of recurrence and metastasis.<sup>25,30</sup>

Zembutsu et al.<sup>31</sup> reported a significant effect of the CYP2D6 polymorphism on the response to TMX therapy in the first prospective study on this topic by examining a sample of 279 individuals. The clinical response in breast cancer tissues, measured as the K<sub>i</sub>-67 expression levels, was significantly associated with TMX therapy. Particularly, patients with homozygous variant alleles showed a lower K<sub>i</sub>-67 response than those carrying at least one wild-type allele.<sup>31</sup>

Divergent results were also described. A recent retrospective cohort of 957 subjects found no evidence of decreased TMX effectiveness in patients with CYP2D6 polymorphism phenotypes.<sup>24</sup> In contrast, after adjusting for clinical variables, a positive association between low CYP2D6 activity and superior TXM treatment outcomes was found, which is consistent with the results of two previous studies.<sup>22,23</sup>

An international randomized, phase III double-blind trial obtained tumor tissues and isolated the DNA from 4,861 postmenopausal women with hormone receptor-positive breast cancer and found no association between CYP2D6 metabolism phenotypes and breast cancer-free interval among patients receiving tamoxifen monotherapy; effects were only observed in those who had undergone previous chemotherapy ( $p = 0.35$ ).<sup>32</sup>

In a study of a Brazilian population, an analysis of a cohort of 92 patients with hormone-sensitive breast carcinoma showed no significant association between phenotypes of intermediate and null metabolizers with breast cancer recurrence.<sup>33</sup> The authors hypothesized that the lack of significance was related to the small patient sample, which is the main critique of previous studies showing a negative association. ►Table 2 summarizes the association measurements for the respective studies included in the present review.

### Tamoxifen Association with Other Therapies

Most studies utilized only a dose of 20 mg/day of TMX to evaluate its effects on breast cancer. However, according to Facina et al (2003),<sup>34</sup> a dose of 10 mg/day had antiproliferative effects on the mammary epithelium adjacent to the fibroadenoma in premenopausal women. Other studies did not describe the dose of TMX administered.<sup>13</sup>

In a phase II clinical trial known as TAMRAD (tamoxifen plus everolimus),<sup>9</sup> a comparison between everolimus (10 mg/day) plus TMX (20 mg/day) and TMX (20 mg/day) was performed. The study suggested an improvement in the overall survival following the combination treatment compared with treatment with TMX alone ( $p = 0.007$ ).<sup>9,35</sup> However, only 20% of the patients required a reduction on the dose of everolimus due to adverse effects, such as stomatitis, rash, thrombocytopenia, and pneumonitis. The study showed that TMX alone was not strongly associated with the development of adverse effects.<sup>9</sup>

Tamoxifen (20 mg/day) therapy was associated with significantly increased overall survival compared with fulvestrant (250 mg/day) as the first-line therapy (hazard ratio = 1.29; 95% CI: 1.01–1.64;  $p = 0.04$ ).<sup>35</sup>

**Table 2** Association measurements for studies analyzing the effects of *CYP2D6* on tamoxifen outcomes

Author, Year	Country	Ethnicity	Major Variant Allele	RR/OR/HR	95% CI	Sample size
Hertz et al. (2017) <sup>24</sup>	USA	Caucasian	*2; *4; *41	RR 0.44	0.22–0.98	957
Teh et al. (2011) <sup>25</sup>	Malaysia	Malaysian Malay	*10	OR 9.9	2.11–46.6	95
Lan et al. (2018) <sup>16</sup>	China	Chinese	*10	HR 1.87	1.19–2.93	778
Wegman et al. (2005) <sup>22</sup>	Sweden	Caucasian	*4	RR 0.28	0.21–1.12	226
Nowell et al. (2005) <sup>23</sup>	USA	Caucasian, African-Americans	*4	HR 0.77	0.32–1.81	337
Motamedi et al. (2013) <sup>30</sup>	Iran	-	-	OR 1.6 <sup>‡</sup>	0.53–4.78	79

Abbreviations: CI, confidence interval; HR, hazard ratio; OR, odds ratio; RR, relative risk; USA, United States of America.

<sup>‡</sup>OR for tamoxifen resistance for the presence of three *CYP2D6* copies.

Three other studies evaluated the administration of TMX (20 mg/day) versus anastrozole (1 mg/day) and found no difference in the mortality range.<sup>36–38</sup> Additionally, both drugs showed equivalent efficacies as first-line therapies for advanced breast cancer in postmenopausal women. Flushes, nausea, asthenia, and pain were the most common adverse effects and treatment failure was mainly explained by the progression of the disease.<sup>36,37</sup>

### Limitations of the Studies

Although studies have demonstrated the favorable role of the *CYP2D6* gene in TMX resistance, there were some limitations such as the lack of a description of the TMX dose and of information regarding the adherence to the therapy, inadequate duration, and omissions of concomitant drugs used to treat breast cancer. Additionally, other associated pathologies that may have decreased the effects of TMX were not reported. Moreover, most clinical studies evaluated Asian populations only; therefore, the results may not be applicable to other populations.

Additional studies of the tumor and genome-related resistance mechanism to treatments will facilitate the development of better therapies and will increase the disease-free survival rate and the quality of life of the patients. There is insufficient information to consider the *CYP2D6* genotype as a biomarker for predicting TMX efficacy. Larger clinical studies are necessary, particularly considering the ethnic particularities of the *CYP2D6* polymorphisms.

### Conclusion

Hormonal treatment with TMX had advantages compared with other hormonal drugs on hormone receptor-positive breast cancer, particularly considering that it is the main option for both pre- and postmenopausal women. The effects of *CYP2D6* polymorphisms on the TMX resistance mechanism remain unclear. The *CYP2D6* gene appears to contribute to decreasing the efficiency of TMX, while the main mechanism responsible for therapy failure, morbidity, and mortality is the progression of the disease.

### Conflicts of Interest

The authors have no conflicts of interest to declare.

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