


Association of ESR α XbaI A > G, ESR α PvuII T > C and ESR β AlwNI T > C Polymorphisms with the Risk of Developing Adolescent Idiopathic Scoliosis: A Systematic Review and Genetic Meta-analysis*

Associação dos polimorfismos ESR α XbaI A > G, ESR α PvuII T > C e ESR β AlwNI T > C com o risco de desenvolver escoliose idiopática da adolescência: Revisão sistemática e metanálise genética

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Abstract

Several association studies of genes polymorphisms on estrogen receptors- α and β with respect to adolescent idiopathic scoliosis (AIS) have been published in the past two decades. However, the association with AIS, especially among different ethnic subgroups, still remains controversial. Thus, we investigated these inconclusive data by performing a meta-analysis to systematically evaluate the association.

A literature search was conducted in the PubMed, ISI Web of Science, EMBASE, SCOPUS, EBSCO, Cochrane Library, China National Knowledge Infrastructure (CNKI) and Wanfang databases until January 20, 2018. The strength of relationship was assessed using odds ratios (ORs) and 95% confidence intervals (95% CIs).

A total of 12 case-control studies with 4,304 cases of AIS and 3,123 controls met our criteria. The pooled ORs indicated that the ESR α XbaI A > G, ESR α PvuII T > C and ESR β AlwNI T > C polymorphisms were not significantly associated with the risk of developing AIS in the overall analysis. However, we found a significant association between the ESR α XbaI A > G polymorphism and AIS under the homozygote model (GG versus AA; OR = 1.448, 95%CI: 1.052–1.993; $p = 0.023$).

Keywords

- ▶ idiopathic scoliosis
- ▶ receptors, estrogen
- ▶ polymorphism
- ▶ meta-analysis

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The present meta-analysis suggests that the ESR α XbaI A > G, ESR α PvuII T > C and ESR β AlwNI T > C polymorphisms may not be associated with the risk of developing AIS in the overall analysis. However, ESR α XbaI A > G might have an influence on the susceptibility to develop AIS among Asians. Considering the limited sample size and ethnicity, further larger studies are needed to provide a more precise estimation of the associations.

Resumo

Vários estudos de associação entre os polimorfismos genéticos dos receptores de estrogênio α e β e a escoliose idiopática da adolescência (EIA) foram publicados nas últimas duas décadas. No entanto, a associação com a EIA, especialmente entre diferentes subgrupos étnicos, continua a ser controversa. Assim, o presente estudo investigou esses dados inconclusivos realizando uma metanálise para avaliar sistematicamente essa associação.

Uma pesquisa bibliográfica foi realizada nas bases de dados PubMed, ISI Web of Science, EMBASE, SCOPUS, EBSCO, Cochrane Library, China National Knowledge Infrastructure (CNKI) e Wanfang até 20 de janeiro de 2018. A força de associação foi avaliada usando razões de probabilidades (RPs) e intervalos de confiança de 95% (ICs95%).

Um total de 12 estudos de caso-controle, com 4.304 casos de EIA e 3.123 controles, atenderam aos critérios de inclusão do presente estudo. As RPs combinadas indicaram que os polimorfismos ESR α XbaI A > G, ESR α PvuII T > C e ESR β AlwNI T > C não estavam significativamente associados ao risco geral de desenvolvimento de EIA. No entanto, observou-se uma associação significativa entre o polimorfismo ESR α XbaI A > G e a EIA sob o modelo homocigótico (GG versus AA; RP = 1,448; IC95%: 1,052--1,993; $p = 0,023$).

Esta metanálise sugere que os polimorfismos ESR α XbaI A > G, ESR α PvuII T > C e ESR β AlwNI T > C podem não estar associados ao risco geral de desenvolvimento de EIA. No entanto, ESR α XbaI A > G pode influenciar a suscetibilidade de desenvolver EIA entre indivíduos asiáticos. Considerando o tamanho e a variação étnica limitada da amostra, outros estudos de maior escala são necessários para obter uma estimativa mais precisa das associações.

Palavras-chave

- ▶ escoliose idiopática
- ▶ receptores estrogênicos
- ▶ polimorfismo
- ▶ metanálise

Introduction

Adolescent idiopathic scoliosis (AIS) is a clinically significant disorder with high heritability that affects between 2% and 4% of the world population.¹ It is estimated that AIS affects up to 3% of all children, and its onset occurs after the age of 10.² The pathogenesis of AIS is poorly understood.³ It seems that the cause of AIS is complex, and the possible etiology includes genetic factors, hormones and metabolic dysfunction, abnormal growth, and environmental and lifestyle factors.⁴ Out of all these factors, the genetic factors are widely well documented. Dominant autosomal and dominant X-linked inheritances have been described for the etiology of AIS, but most AIS families have complex and non-Mendelian inheritance.^{5,6} Further efforts to understand the genetic basis of AIS have focused on genome wide association and candidate gene studies. Population studies of index patients and their families have shown that 11% of first-degree relatives are affected, as are 2.4% and 1.4% of second- and third-degree relatives respectively.⁷

Many previous studies have focused on the identification of genes involved in the onset and evolution of AIS, such as

melatonin receptor 1B (*MTNR1B*), ladybird home-box¹ (*LBX1*), tryptophan hydroxylase¹ (*TPH1*), arylalkylamine N-acetyltransferase (*AA-NAT*) and basonuclin² (*BNC2*), and, among them, the *LBX1* gene is widely investigated.^{4,8} Because AIS develops during puberty and it is more common among females, both forms of the estrogen receptors (*ESR α* and *ESR β*) have been implicated as candidate genes.^{3,4}

Though the functional implications of the selected ESR α XbaI (A/G), ESR α PvuII (T/C) and ESR β AlwNI (C/T) polymorphisms are still not fully elucidated, it has been suggested that intronic changes in the ER α sequence may modify the expression or affinity of this receptor by estrogen.⁹ Therefore, we have conducted the current meta-analysis to better understand the association between the polymorphisms of the *ESR α* and *ESR β* genes and AIS using human and animal-based studies.

Materials and Methods

Literature Search Strategy

The present meta-analysis was conducted and reported in accordance with the Preferred Reporting Items for Systematic

reviews and Meta-analyses (PRISMA) guidelines. We performed a search in the Medline, ISI Web of Science, EMBASE, SCOPUS, EBSCO, Cochrane Library, China National Knowledge Infrastructure (CNKI), and Wanfang databases covering all articles published until January 20, 2018. These searches were conducted using the following keywords: *scoliosis*, *AIS*, *ESR1*, *ESR α* , *ESR2*, *ESR β* , *estrogen receptor*, *-351 A > G*, *XbaI*, *rs9340799*, *rs9340799*, *PvuII*, *rs2234693*, *AlwNI*, and *rs1256120*, *gene* or *allele*, *genotype*, *mutation*, *variant*, *variation*, *polymorphism*. We evaluated potentially relevant publications by examining their titles and abstracts, and all of the studies matching the eligible criteria were retrieved. All eligible studies were examined carefully, and their references were checked for other relevant publications. If more than one article had been published by the same author using the same case series, we selected the study in which the most individuals were investigated. In addition, no restrictions were placed on language, and only published studies with full-text articles were included.

Inclusion and Exclusion Criteria

The studies included in the current meta-analysis had to meet all of the following criteria: a) evaluation of the ESR α XbaI (A/G), ESR α PvuII (T/C) and ESR β AlwNI (C/T) polymorphisms and the risks of developing AIS; b) case-control studies; and c) sufficient published data to estimate an odds ratio (OR) with a 95% confidence interval (95%CI). The exclusion criteria were as follows: a) researches not related to AIS; b) population studies; c) summaries, comments, case reports, letters, and reviews; d) duplicates of previous publications; and e) studies with no sufficient data provided.

Data Extraction

Two investigators extracted the data independently, and the results were reviewed by a third investigator. From each study, the following items were noted: name of the first author, year of publication, country, number of cases and controls, gene polymorphisms, minor allele frequencies (MAFs), and deviation from the Hardy-Weinberg equilibrium (HWE) of the control group. If there were any disagreements, they were solved by discussion and consultation with another researcher.

Quality Assessment

The quality assessment of the included studies mostly agreed with the confirmation of the HWE for the genotype distribution of the ESR α XbaI (A/G), ESR α PvuII (T/C) and ESR β AlwNI (C/T) polymorphisms in the controls. If the studies deviated from the HWE in the controls, they were defined as low-quality studies. Conversely, studies with the genotype distribution of the ESR α XbaI (A/G), ESR α PvuII (T/C) and ESR β AlwNI (C/T) polymorphisms in the controls in accordance with the HWE ($p > 0.05$) were defined as high-quality studies.

Statistical Analysis

The strength of the association between the ESR α polymorphism and the risk of developing AIS was measured by ORs, whereas a sense of the precision of the estimate was provided

by the 95% CIs. The ESR α XbaI (A/G) polymorphism and the susceptibility to develop AIS was evaluated using allelic (G versus A) and genotypic comparisons of codominant (GG versus AA and GA versus AA), dominant (GG + GA versus AA), and recessive (GG versus GA + AA) genetic models, in which the G allele was considered the risk allele. The ESR α PvuII (T/C) and ESR β AlwNI (C/T) polymorphisms were evaluated according to the allele (C versus T), homozygote (CC versus TT), heterozygote (TC versus TT), dominant (TC/CC versus TT), and recessive (CC versus TC/TT) models respectively. The genetic model evaluated for the OR of the polymorphism was a dominant model. Cochran's Q statistic was used to formally test for heterogeneity. The heterogeneity was considered significant when $p < 0.01$ in the Q statistic. The percentage variability of the pooled OR attributable to heterogeneity among studies was quantified with the I^2 metric, which is independent of the number of studies in the meta-analysis, and considers values between 0% and 100%, with higher values denoting a greater degree of heterogeneity (0–25%: no heterogeneity; $I^2 = 25$ –50%: moderate heterogeneity; $I^2 = 50$ –75%: great heterogeneity; $I^2 = 75$ –100%: extreme heterogeneity).^{10,11} The random-effects model (DerSimonian-Laird method) or fixed-effects model (Mantel-Haenszel method) were used to calculate pooled effect estimates in the presence or absence of heterogeneity. The HWE was assessed by Fisher exact test.¹² The sensitivity was assessed by changing the effect models. A statistic alteration in the significance indicated that the results were unstable.¹³ In addition, one-way sensitivity analysis was also used to assess the stability of the results by omitting one of the studies each time. The publication bias was assessed by visual inspection of funnel plots, in which the standard error of $\log(\text{OR})$ of each study was plotted against its $\log(\text{OR})$. The publication bias was qualitatively assessed by making Begg funnel plots, and it was quantitatively evaluated by the Egger test. Values of $p < 0.05$ were considered representative of statistically significant publication bias. In addition, an asymmetric plot indicated a possible publication bias.¹¹ Subgroup analyses were performed according to sample size, ethnicity, source of control, family history status and genotyping method separately. All statistical analyses were performed with the Comprehensive Meta-Analysis (CMA, Biostat, Englewood, NJ, US) software, version 2.1. All p -values in the meta-analysis were two-sided, and p -values < 0.05 were considered significant.

Results

Characteristics of the Included Studies

The present study met the requirements of the PRISMA statement (– Fig. 1). In the initial screening, we have identified 174 publications in the database searches, and 98 publications were excluded after we read the titles due to the high rate of repetition of articles in different databases. After eliminating the duplicates, 64 articles were excluded after we screened the titles, abstracts and full texts because they were inconsistent with our inclusion criteria. Finally, 7 eligible case-control studies with a total of 2,377 cases and 1,770 controls were

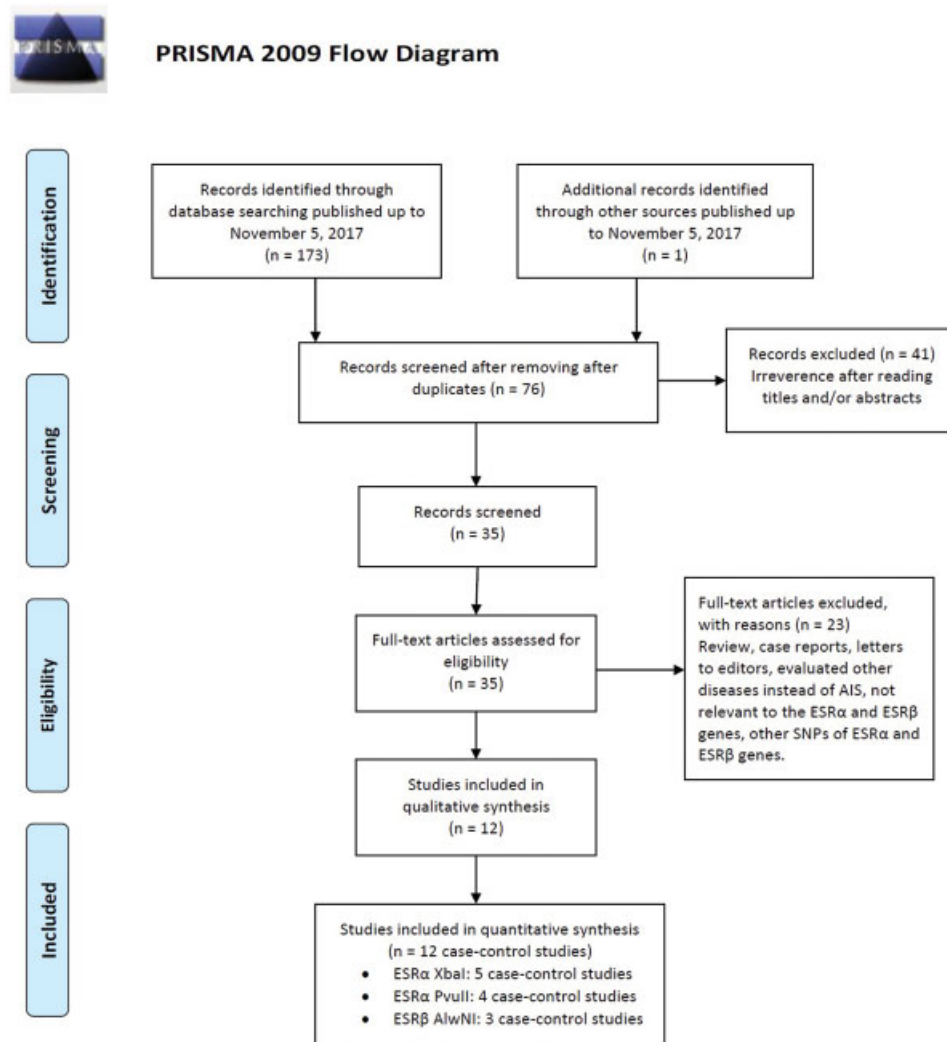


Fig. 1 Flow diagram of the study selection process.

included in the meta-analysis.^{14–20} The characteristics of the included studies are summarized in **Table 1**. The studies were published between 2006 and 2014, and were performed in China, Japan and Poland. The distribution of the ethnic groups among these seven studies was as follows: in 2 studies with 535 cases and 425 controls, the subjects were Caucasian,^{18,20} and in 5 studies with 1,842 cases and 1,982 controls, the subjects were Asian.^{14–19} The genotype distributions among the controls of all studies followed the HWE, except for two studies on XbaI (rs9340799)^{14,16}, and one study on PvuII (rs2234693).¹⁴ Therefore, according to the quality criteria, there were five high-quality studies and two low-quality studies.

ESR α XbaI A > G polymorphism

In total, 5 case-control studies with 1,927 cases and 1,353 controls investigated the association of ESR α XbaI A > G with the risk of developing AIS. Overall, the present meta-analysis suggested that there was no significant association between the ESR α XbaI A > G polymorphism and the risk of developing AIS (G versus A, OR = 1.071, 95%CI: 0.879–1.304, $p = 0.497$ (**Fig. 2A**); GA versus AA, OR = 1.037, 95%CI: 0.889–1.209, $p = 0.641$; GG versus AA, OR = 1.292, 95%CI:

0.979–1.705, $p = 0.070$; GG + GA versus AA, OR = 1.052, 95%CI: 0.910–1.217, $p = 0.492$; GG versus GA + AA, OR = 1.071, 95%CI: 0.922–1.243, $p = 0.369$). In the subgroup analyses by ethnicity, there was a significant association between ESR α XbaI A > G and the risk of developing AIS among Asians under the homozygote model (GG versus AA, OR = 1.448, 95%CI: 1.052–1.993, $p = 0.023$).

ESR α PvuII T > C polymorphism

In total, 4 case-control studies with 1,129 cases and 714 controls investigated the association of the ESR α PvuII T > C polymorphism with the risk of developing AIS. The pooled results based on all included studies did not show a significant association between the ESR α PvuII T > C and the risk of developing AIS under the allele (C versus T, OR = 1.018, 95%CI: 0.888–1.166, $p = 0.800$), the heterozygote (CT versus TT, OR = 0.996, 95%CI: 0.804–1.234, $p = 0.973$), the homozygote (CC versus TT, OR = 1.045, 95%CI: 0.789–1.383, $p = 0.760$) (**Fig. 2B**), the dominant (CC + CT versus TT, OR = 1.005, 95%CI: 0.821–1.229, $p = 0.965$), and the recessive models (CC versus CT + TT, OR = 1.034, 95%CI: 0.808–1.322, $p = 0.792$). In the subgroup analyses by ethnicity, there was no significant association between the ESR α PvuII T > C polymorphism and

Table 1 Main characteristics of studies included in the meta-analysis

First author	Country (ethnicity)	Case/Control	Gender	Cases				Control				MAFs	HWE		
				Genotypes		Alleles		Genotypes		Alleles					
				AA	GA	GG	A	G	AA	AG	GG			A	G
Xbal (rs9340799)				72	76	54	220	184	82	66	26	230	118	0.339	0.042
Wu et al. ¹⁴ (2006)	China (Asian)	202/174	Overall	64	70	51	198	172	72	59	23	223	105	0.340	
			Female	8	6	3	22	12	10	7	3	7	13	0.325	
			Male	328	176	36	832	248	157	85	18	399	121	0.232	0.173
Tang et al. ¹⁵ (2006)	China (Asian)	540/260	Female	58	34	8	150	50	55	31	14	141	59	0.295	0.010
Zhao et al. ¹⁶ (2009)	China (Asian)	100/100	Female	526	248	24	1300	296	421	196	20	1038	236	0.185	0.645
Takahashi et al. ¹⁷ (2011)	Japan (Asian)	798/637	Female	96	141	50	333	241	61	92	29	214	150	0.412	0.559
Janusz et al. ¹⁸ (2013)	Poland (Caucasian)	287/182	Female	T	C	TT	TC	CC	T	C					
PvuII (rs2234693)	TT	TC	CC												
Wu et al. ¹⁴ (2006)	China (Asian)	202/174	Overall	71	92	39	234	170	64	70	40	198	150	0.431	0.017
			Female	65	84	36	214	156	57	61	36	175	133	0.431	
			Male	6	8	3	20	14	7	9	4	23	17	0.425	
Tang et al. ¹⁵ (2006)	China (Asian)	540/260	Female	201	249	93	648	432	102	128	30	332	188	0.361	0.284
Zhao et al. ¹⁶ (2009)	China (Asian)	100/100	Female	31	51	18	113.87	31	50	19	112.88	0.44	0.883		
Janusz et al. ¹⁸ (2013)	Poland (Caucasian)	287/182	Female	77	144	66	298	276	42	93	47	177	187	0.513	0.758
AlwNI (rs1256120)	CC	TC	TT	C	T	CC	TC	TT	C	T					
Zhang et al. ¹⁹ (2009)	China (Asian)	202/174	Overall	36	105	77	177	259	10	55	75	75	205	0.732	0.984
			Female	30	85	61	145	207	5	30	45	40	120	0.750	
			Male	6	20	16	32	52	5	25	30	35	85	0.7083	
Takahashi et al. ¹⁷ (2010)	Japan (Asian)	798/637	Female	99	368	331	566	1030	79	306	252	464	810	0.6358	0.347
Kotwicki et al. ²⁰ (2014)	Poland (Caucasian)	248/243	Female	164	74	10	402	94	159	77	7	395	91	0.1872	0.521

Abbreviations: MAFs, minor allele frequencies; HWE, Hardy-Weinberg equilibrium.

the risk of developing AIS under all five genetic models (► **Table 2**).

ESR β AlwNI C > T Polymorphism

A total of 3 case-control studies with 1,248 cases and 1,054 controls were selected to estimate the association of the ESR β AlwNI C > T polymorphism and the susceptibility to develop AIS. As a result, no statistically no significant association was found in any of the genetic models (C versus T, OR = 1.072, 95% CI: 0.946-1.215, *p* = 0.276; CT versus TT, OR = 0.896, 95% CI: 0.705-1.139, *p* = 0.370; CC versus TT, OR = 0.950, 95% CI: 0.266-3.386, *p* = 0.937; CC + CT versus TT, OR = 1.374, 95% CI: 0.758-2.493, *p* = 0.295 (► **Fig. 2C**); CC versus CT + TT, OR = 1.032, 95% CI: 0.857-1.242, *p* = 0.739); (► **Fig. 2**).

Heterogeneity and Sensitivity Analyses

The heterogeneity was not significant for the ESR α XbaI A > G, ESR α PvuII T > C and ESR β AlwNI T > C polymorphisms in most genetic models, which suggested that the polymorphisms were not the source of heterogeneity among the studies (► **Table 2**). The sensitivity analyses by sequential omission of any individual study, one at a time, or by omitting studies in which the genotype distributions in the healthy controls significantly deviated from the HWE, did not materially alter the pooled ORs, indicating that the results were stable.

Publication Bias

The Begg funnel plot and the Egger tests were performed to estimate the publication bias of the studies regarding the

Table 2 Meta-analysis on the association of the ESR α XbaI (A > G), ESR α PvuII (T > C) and ESR β AlwNI (C > T) polymorphisms with the risk of developing AIS

Polymorphism	Genetic model	Type of model	Heterogeneity (H)		Odds Ratio (OR)				Publication bias	
			I ² (%)	<i>p</i> H	OR	95%CI	Z test	<i>p</i> OR	<i>p</i> Begg	<i>p</i> Egger
<i>XbaI (rs9340799)</i>										
Overall	G versus A	Random	61.79	0.033	1.071	0.879-1.304	0.679	0.497	0.806	0.949
	GA versus AA	Fixed	0.00	0.869	1.037	0.889-1.209	0.466	0.641	0.806	0.401
	GG versus AA	Fixed	46.01	0.116	1.292	0.979-1.705	1.809	0.070	0.462	0.721
	GG + GA versus AA	Fixed	16.61	0.309	1.052	0.910-1.217	0.686	0.492	0.806	0.705
	GG versus GA + AA	Fixed	42.61	0.137	1.071	0.922-1.243	0.897	0.369	0.086	0.233
<i>By ethnicity</i>										
Asian	G versus A	Random	71.18	0.015	1.079	0.834-1.396	0.580	0.562	1.000	0.950
	GA versus AA	Fixed	0.00	0.765	1.048	0.888-1.236	0.552	0.581	0.308	0.359
	GG versus AA	Fixed	44.82	0.142	1.448	1.052-1.993	2.268	0.023	1.000	0.915
	GG + GA versus AA	Fixed	36.58	0.193	1.060	0.906-1.241	0.733	0.464	1.000	0.709
	GG versus GA + AA	Fixed	56.02	0.078	1.084	0.922-1.273	0.976	0.329	0.308	0.268
<i>PvuII (rs2234693)</i>										
Overall	C versus T	Fixed	5.54	0.365	1.018	0.888-1.166	0.253	0.800	1.000	0.472
	CT versus TT	Fixed	0.00	0.788	0.996	0.804-1.234	-0.033	0.973	0.734	0.903
	CC versus TT	Fixed	34.62	0.204	1.045	0.789-1.383	0.305	0.760	1.000	0.608
	CC + CT versus TT	Fixed	0.00	0.548	1.005	0.821-1.229	0.044	0.965	0.308	0.574
	CC versus CT + TT	Fixed	45.60	0.138	1.034	0.808-1.322	0.263	0.792	1.000	0.767
<i>By ethnicity</i>										
Asian (Chinese)	C versus T	Fixed	0.00	0.476	1.075	0.917-1.260	0.889	0.374	1.000	0.398
	CT versus TT	Fixed	0.00	0.813	1.044	0.819-1.330	0.345	0.730	1.000	0.714
	CC versus TT	Fixed	27.64	0.251	1.178	0.847-1.639	0.973	0.330	1.000	0.578
	CC + CT versus TT	Fixed	0.00	0.949	1.085	0.864-1.364	0.704	0.481	0.296	0.041
	CC versus CT + TT	Fixed	55.04	0.108	1.131	0.838-1.526	0.805	0.421	1.000	0.660
<i>AlwNI (rs1256120)</i>										
Overall	T versus C	Fixed	0.00	0.538	1.072	0.946-1.215	1.090	0.276	1.000	0.686
	TC versus CC	Fixed	0.00	0.373	0.896	0.705-1.139	-0.896	0.370	0.296	0.078
	TT versus CC	Random	90.86	≤0.001	0.950	0.266-3.386	-0.080	0.937	1.000	0.489
	TT + CT versus CC	Random	84.41	0.002	1.374	0.758-2.493	1.047	0.295	0.296	0.212
	TT versus TC + CC	Fixed	0.00	0.391	1.032	0.857-1.242	0.333	0.739	1.000	0.970

Abbreviations: 95%CI, 95% confidence interval; AIS, adolescent idiopathic scoliosis.

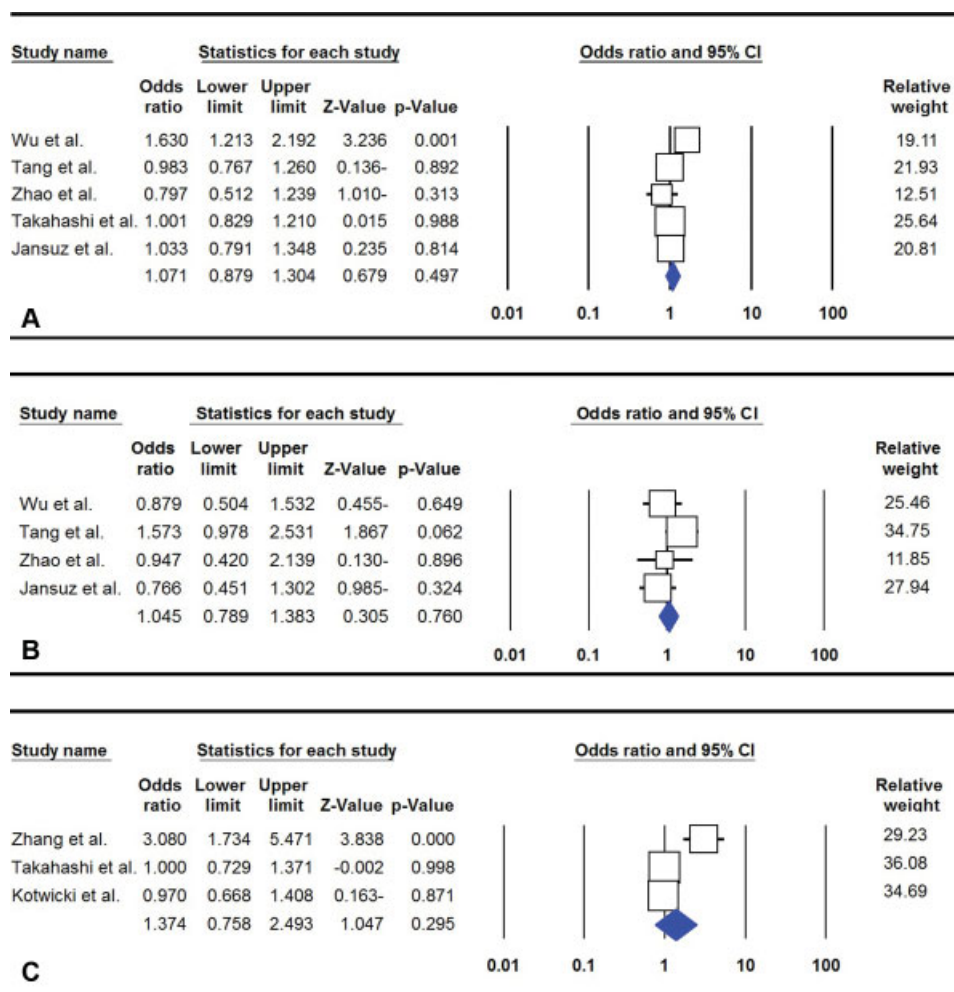


Fig. 2 Forest plots of the association between the ESR α XbaI A > G, ESR α PvuII T > C, and ESR β AlwNI C > T polymorphisms under the dominant model and the risk of developing adolescent idiopathic scoliosis (AIS). (A) XbaI A > G (allele model); (B) PvuII T > C (homozygote model); and (C) AlwNI C > T (dominant model). The squares and horizontal lines correspond to the study-specific OR and 95%CI. The area of the squares reflects the study-specific weight (inverse of the variance). The diamond represents the summary OR and 95%CI.

association between the susceptibility to develop AIS and the ESR α XbaI A > G, ESR α PvuII T > C and ESR β AlwNI T > C polymorphisms. The shape of the funnel plot did not reveal any evidence of obvious asymmetry for the polymorphisms under any of the genetic models. Furthermore, the *p*-values of the Egger tests were higher than 0.05, providing statistical evidence of the symmetry of the funnel plots. However, the results of the Egger tests showed evidence of publication bias for the ESR α PvuII T > C polymorphism in Asians under the dominant model (CC + CT versus TT: $p_{\text{Begg}} = 0.296$, $p_{\text{Egger}} = 0.041$); (\rightarrow Fig. 3).

Discussion

The pathogenesis of AIS is a complex process. It is known that genetic factors play an important role in the susceptibility to develop AIS.¹⁴⁻¹⁶ However, most of the molecular mechanisms leading to AIS development are still unknown. Gene mutations in various loci have been identified by genetic studies, and a genetic basis for the AIS pathogenesis has been established.¹⁷ Although many epidemiological studies have been conducted to assess the roles of the ESR α and ESR β

polymorphisms and the risk of developing AIS in different populations, the results have been inconclusive.^{18,20} The ESR α and ESR β gene polymorphisms have been linked to a higher risk of developing different conditions.²¹ The human *ER α* gene is located on chromosome 6q25, it extends for more than 140 kb, and includes 8 exons.²² The most studied polymorphisms in this gene are the PvuII T > C and XbaI A > G in intron 1, 397 bp and 351 bp upstream of exon 2 respectively.²³ The gene that encodes ESR β is located on chromosome 14q23,¹ and the potential association of the single-nucleotide polymorphisms (SNPs) in ESR β (RsaI G > A, AluI A > G and AlwNI C > T) and disease have not been studied previously.²⁴

The current updated meta-analysis, including 12 eligible studies with a total of 4,304 AIS cases and 3,123 controls, provides a comprehensive examination of the evidence currently available on the associations of the ESR α and ESR β polymorphisms with the susceptibility to develop AIS. The results suggested that the polymorphisms in question had no significant association with the risk of developing AIS. The present meta-analysis is consistent with previous meta-analyses by Chen et al.²⁵ (ESR α XbaI), Yang et al.²⁶ (ESR α XbaI and ESR α PvuII) and Cao et al.⁴ (ESR β AlwNI), who found negative

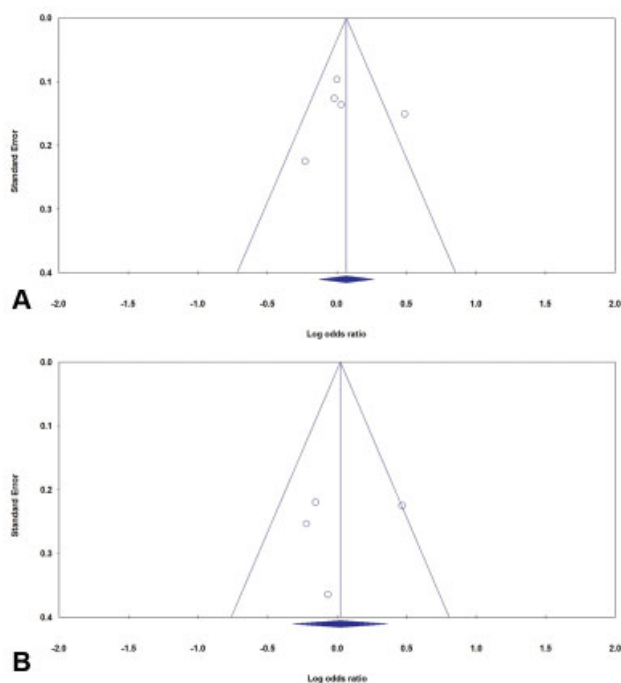


Fig. 3 Funnel plot to detect publication bias for association of *ESRα* XbaI A > G and *ESRα* PvuII T > C polymorphisms with risk of adolescent idiopathic scoliosis (AIS). A: XbaI A > G (allele model: G versus A); B: PvuII T > C (recessive model: CC versus CT TT).

results for the association between the *ESRα* and *ESRβ* polymorphisms and the risk of developing AIS. However, Inoue et al²⁷ and Wu et al¹⁴ reported a significant association between the *ESRα* XbaI A > G and *ESRα* PvuII T > C polymorphisms and the risk of developing AIS. In 2016, Cao et al⁴ reported that there was no significant association between the *ESRα* XbaI A > G and *ESRα* PvuII T > C polymorphisms and the risk of developing AIS in a total population analysis. However, for the comparison with the study by Cao et al,⁴ the subgroup analysis by ethnicity was also performed. In the present meta-analysis, we found that the *ESRα* XbaI A > G polymorphism was associated with the risk of developing AIS in Asians under the homozygote model (GG versus AA, OR = 1.448, 95%CI: 1.052–1.993, $p = 0.023$). The discrepancy between ethnicity subgroups may be due to the limited studies in Europe, since only one study was conducted among subjects of Caucasian ethnicity. Therefore, we performed a stratified analysis only on the Chinese population (Asians). Our data revealed that the XbaI G allele was a risk factor in the Chinese population. Unlike the *ESRα* XbaI polymorphism, no significant difference was found in the *ESRα* PvuII T > C polymorphism allele or genotype distribution among AIS patients and healthy individuals.

In the current meta-analysis, a fixed-effects or a random-effects models were used based on the heterogeneity testing. The differences in the studied populations with different genetic backgrounds and variations in sample selection and environmental exposures may result in heterogeneity.^{28–30} Our meta-regression analysis also showed that ethnicity in case groups and control groups significantly contributed to the heterogeneity. When limiting the analysis to the studies

within the HWE, the result was not altered, suggesting that the present meta-analysis is robust and credible. Moreover, we performed a sensitivity analysis according to sample size and leave-one-out cross validation to determine whether the change in the inclusion criteria by removing one study each time did not materially affected the original results.

There are some limitations to the present meta-analysis. First, the sample size was comparatively small and had insufficient statistical power for the association to be estimated. Second, we have included only studies published in English and Chinese; therefore, publication bias may have occurred. Third, the greatest proportion of statistical power was provided by Asians. There were not enough studies in Caucasians, which limited the statistical power. No study from other parts of the world was found, such as Africa and Latin America. This suggests a partial result that is only relevant to the Asian and Caucasian subgroups. Fourth, in interpreting the results, we should mention that, as with other complex traits, the risk of developing AIS may be modulated by several other genetic markers and candidate genes besides the *ESRα* and *ESRβ* genes. Therefore, further investigations on the haplotypic effect of the polymorphisms and the study of multiple polymorphisms in different genes are necessary. Finally, due to the unavailability of other detailed information, our results were based on single-factor estimates without adjustments for other risk factors. Further evaluations of the risk of developing AIS should pay more attention to the potential gene–gene, gene–environment interactions, and even the interactions of different polymorphism of the *ESRα* and *ESRβ* genes and other loci.

Final Consideration

In summary, the present meta-analysis suggests that the *ESRα* XbaI (A/G), *ESRα* PvuII (T/C) and *ESRβ* AlwNI (C/T) polymorphisms are not associated with an increased risk of developing AIS. However, *ESRα* XbaI A > G might have an influence on the susceptibility to develop AIS among Asians. Based on the aforementioned limitations, it is critical that large, well-designed studies are performed to re-evaluate the potential associations of the *ESRα* and *ESRβ* gene polymorphisms with other candidate gene polymorphisms and the risk of developing AIS.

Conflict of Interests

The authors have no conflict of interests to declare.

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