

INVESTIGATION OF NUTRITIONAL RISK FACTORS USING ANTHROPOMETRIC INDICATORS IN HOSPITALIZED SURGERY PATIENTS

Vânia Aparecida LEANDRO-MERHI¹ and José Luiz Braga de AQUINO²

ABSTRACT – *Context* - The investigation of risk factors associated with nutritional status could contribute for better knowledge of the malnutrition. *Objective* - To investigate the incidence of malnutrition and its possible association with many parameters that assess nutritional status and to identify the associated risk factors. *Methods* - The nutritional status was assessed in 235 hospitalized patients. Malnutrition was defined as present when the patient presented at least two anthropometric criteria below the normal range and habitual energy intake below 75% of the energy requirement (HEI/ER<75%). Gender, age, type of disease, recent weight change and dental problems were investigated as possible associated risk factors. The chi-square and Mann-Whitney tests were used to compare the data and univariate and multiple logistic regressions were used to identify the factors associated with malnutrition. The odds ratio (OR) and confidence interval (CI) of 95% were calculated with the significance level set at 5% ($P<0.05$). *Results* – One-fifth (20%) of the patients were malnourished on admission to the hospital and 27.5% reported recent weight loss. Malnutrition ($P<0.0001$) was greater in patients with malignant diseases. The only variables significantly associated with malnutrition according to univariate logistic regression were recent weight loss ($P = 0.0058$; OR = 2.909; IC95% = 1.362; 6.212) and malignant disease ($P = 0.0001$; OR = 3.847; IC95% = 1.948; 7.597). When multiple regression was used in the model which included type of disease, malignant disease was shown to increase the chance of malnutrition fourfold ($P = 0.0002$; OR = 3.855; IC95% = 1.914; 7.766). When disease was excluded, recent weight loss also increased malnutrition fourfold ($P = 0.0012$; OR = 3.716; IC95% = 1.677; 8.236). *Conclusion* - Patients with a history of recent weight loss and those with malignant diseases are more susceptible to malnutrition.

HEADINGS – Nutritional status. Malnutrition. Postoperative period. Anthropometry. Risk factors. Inpatients.

INTRODUCTION

Malnutrition in hospitalized patients is still considered highly prevalent in most hospitals, with hospital malnutrition rates ranging from 15% to 60%^(1, 11, 18, 35, 39) depending on the type of hospital, region, studied population and methods routinely used to investigate nutritional status^(2, 3, 11, 28, 32). These data have been used in an attempt to establish diagnostic and nutritional intervention strategies that reduce the impact of poor nutritional status and its implications on the disease, morbidity, mortality, length of hospital stay and, especially, to reverse the high hospital malnutrition rates^(1, 11, 35, 39).

The investigation of risk factors associated with nutritional status right after admission could contribute for better knowledge and identification of this situation, allowing better control of strategies for the primary

prevention of this condition. Generally, the diagnosis of malnutrition is based on objective measurements of nutritional status, which may include assessment of energy intake, weight loss, anthropometric data, biochemical data and body composition^(2, 11, 28, 39).

Many methods have been developed and used for the assessment and diagnosis of hospital malnutrition, such as anthropometric measurements, biochemical tests, subjective global assessment (SGA), nutritional risk index (NRI), nutritional risk screening (NRS), and a combination of these. However, literature diverges on what is considered the gold standard among these various methods. Some studies indicate that the SGA has greater sensitivity for identifying patients at nutritional risk⁽⁹⁾, others suggest the use of the NRS^(17, 19) or the NRI^(27, 37) to reflect the risk of malnutrition regardless of the severity of the disease, and still others claim that the

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Pontifícia Universidade Católica de Campinas (PUC-Campinas), Campinas, SP, Brasil.

¹ Escola de Nutrição, PUC-Campinas; ² Escola de Medicina, PUC-Campinas, Campinas, SP, Brasil;

Correspondence: Dr. Vânia A. Leandro-Merhi. E-mail: valm@dglnet.com.br

biochemical and anthropometric markers are good predictors of nutritional status in hospitalized patients^(3, 29, 39).

The objective of the present study was to investigate the incidence of malnutrition at admission and its possible association with many parameters that assess nutritional status and to identify the associated risk factors in hospitalized patient.

METHODS

This study was done from January to December 2010 and included 235 hospitalized patients of both genders (46.4% females and 53.6% males), staying at the surgery ward of Hospital e Maternidade Celso Pierro of the Pontifical Catholic University of Campinas, state of São Paulo, Brazil. This is a tertiary-care university hospital that, in its routine, includes cases of high risk such as politrauma and complex cancer surgeries.

The study began after approval from the hospital administration and local Research Ethics Committee, protocol number 743/09. This study is part of a bigger project called "Nutritional status of the hospitalized patient and its relationship with disease, clinical and surgical variables and length of hospital stay."

The inclusion criteria were: age equal to or greater than 18 years, having undergone nutritional assessment within the first 48 hours after hospital admission, length of hospital stay and disease recorded in the medical records of the institution.

Anthropometric indicators

The following anthropometric indicators were measured: current weight; height; arm circumference; triceps skinfold thickness (TST) and calf circumference (CC). From these measurements the body mass index (BMI), mid-arm muscle circumference (MAMC), arm muscle area (AMA), and arm fat area (AFA) were calculated. AC and AFA were classified according to the parameters established by Frisancho⁽¹³⁾ and MAMC and AMA were classified according to Frisancho⁽¹²⁾. The TST were assessed as determined by Heymsfield et al.⁽¹⁵⁾. The anthropometric parameters established by Burr and Phillips⁽⁵⁾ were used for the elderly.

BMI was obtained by dividing weight by the square of the height and classified according to the WHO's criteria⁽⁴⁰⁾ for adults up to 60 years of age: underweight if $BMI \leq 18.4 \text{ kg/m}^2$; normal weight if $18.5 \leq BMI \leq 24.9 \text{ kg/m}^2$; pre-obese if $25.0 \leq BMI \leq 29.9 \text{ kg/m}^2$ and obese if $BMI \geq 30.0 \text{ kg/m}^2$. For the elderly (≥ 60 years of age), BMI was classified as determined by Lipschitz⁽²⁴⁾, who gives the following cut-off points: underweight if $BMI \leq 22 \text{ kg/m}^2$; normal weight if $22 < BMI < 27 \text{ kg/m}^2$; and overweight if $BMI \geq 27 \text{ kg/m}^2$.

Recent weight change was classified as weight maintenance for patients who reported not losing or gaining weight before admission, weight loss for those who reported losing weight shortly before admission and weight gain for those who reported gaining weight shortly before admission. The average weight loss was declared by the patients.

Investigation of the habitual energy intake (HEI)

The habitual energy intake (HEI) was assessed based on the habitual food intake when the patient was admitted and was calculated by the software NutWin^{®(38)}, as previously described in previous papers^(8, 20, 22, 30). The energy adequacy of the habitual energy intake in relation to the energy requirement (ER) was then calculated ($\%HEI/ER$). The ER represents the total energy expenditure of the individual and was calculated by the Harris-Benedict equation⁽¹⁴⁾.

Assessment of malnutrition

Malnutrition was assessed after the nutritional status with the objective parameters described above. In this study, the criterion to malnutrition was proposed based on anthropometric nutritional status parameters. Malnutrition was defined as present when the patient presented at least two anthropometric criteria below the normal range and habitual energy intake below 75% of the energy requirement ($HEI/ER < 75\%$)⁽²⁶⁾. The anthropometric criteria were: $BMI < 18.5 \text{ kg/m}^2$ for adults and $< 22 \text{ kg/m}^2$ for the elderly and AC, TST, MAMC, AMA or AFA below the 10th percentile ($< P10$).

Assessment of the risk factors associated with malnutrition

Gender, age, type of disease, recent weight change, presence or absence of dental problems, number of drugs prescribed during hospital stay and length of hospital stay were investigated as possible risk factors to malnutrition. The diseases were classified into two categories: benign diseases and malignant diseases (neoplasms). We considered patients with malignant diseases those who had carcinoma in general, and patients with benign diseases those who had other clinical conditions such as the digestive tract diseases and annexed glands, vascular diseases, urological diseases and others.

Statistical analysis

At first, a descriptive analysis of the data was done by determining the mean, standard deviation and proportion of the studied variables. The chi-square test or Fisher's exact test, when necessary, were used to compare the proportions. The Mann-Whitney test was used to compare the continuous or ordinal measurements between two groups. Univariate and multiple logistic regressions were used to identify the factors associated with malnutrition. The odds ratio (OR) and confidence interval (CI) of 95% were calculated^(6, 16) and the significance level was set at 5% ($P < 0.05$). The data were analyzed by the software Statistical Analysis System (SAS)⁽³⁴⁾.

RESULTS

Of the 235 studied patients, 126 (53.6%) were males and 109 (46.4%) were females; 60% were in the 18 to 59 years age range, 20.8% were in the 60 to 69 years age range and 19.2% were 70 years old or older. Most (75.7%) had benign diseases and 24.3% had malignant diseases. About half (50.6%) the patients reported having dental problems (use of prosthesis, missing teeth, etc.). Almost one-third (27.5%) of the patients reported losing weight before

admission, 24.9% reported gaining weight and 47.6% reported no weight change in the few months before admission (6 months). The average weight lost before admission was 9.45 ± 5.95 kg and the mean weight gained was 6.45 ± 5.0 kg.

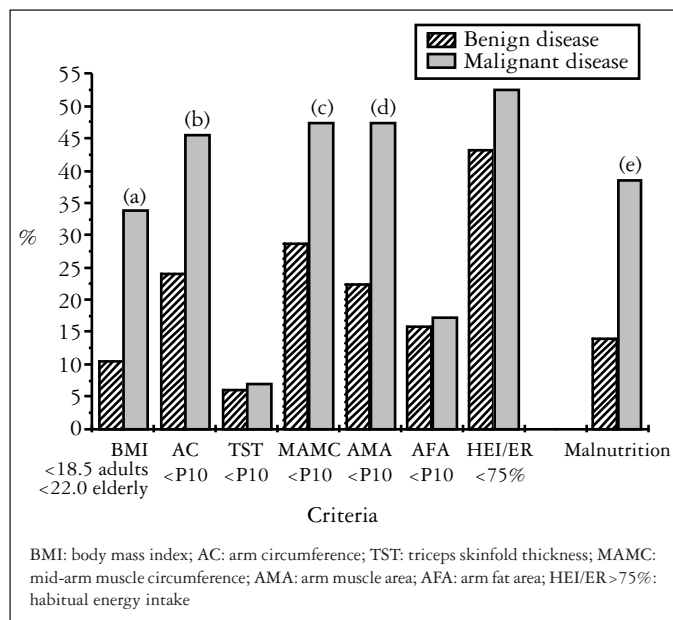


FIGURE 1. Percentage distribution of the criteria used to define malnutrition and of the risk assessed in each disease group. There was a significant difference between the groups for the criteria: (a) *P*-value < 0.0001 (chi-square); (b) *P*-value = 0.0020 (chi-square); (c) *P*-value = 0.0090 (chi-square); (d) *P*-value = 0.0003 (chi-square) and for assessed malnutrition (e) *P*-value < 0.0001 (chi-square)

The mean age of the entire sample was 53.0 ± 17.9 years; the mean BMI was 25.0 ± 5.4 kg/m²; mean CC was 32.2 ± 4.4 cm; mean AC was 28.5 ± 4.5 cm; mean TST was 17.9 ± 9.2 mm; mean MAMC was 228.6 ± 36.0 mm; mean AMA was 42.6 ± 13.1 cm²; mean AFA was 23.6 ± 13.9 cm²; and mean length of hospital stay was 7.7 ± 9.4 days. Note that 20% of the patients were already at malnutrition at admission and 45% of the patients had a HEI below 75% of their requirement.

Figure 1 shows the percentage distribution of the criteria used for the definition of malnutrition in each disease group and also of the assessed malnutrition by type of disease. A significant difference was found between the groups for the following criteria: BMI (*P* < 0.0001); AC (*P* = 0.0020); MAMC (*P* = 0.0090); AMA (*P* = 0.0003) and mainly for malnutrition (*P* < 0.0001), evidencing that malnutrition was greater in patients with malignant diseases.

When the characteristics of the population were compared by type of disease (Table 1), a statistically significant difference was found between the two types of disease regarding gender (*P* = 0.0014), age group (*P* = 0.0004), recent weight change (*P* = 0.0005) and death (*P* = 0.0319). When the numerical variables were compared between the two types of diseases (Table 2), a significant difference was found for nearly all studied indicators, except for calf circumference CC, HEI and %HEI/ER.

Univariate and multiple logistic regressions were then used to analyze the risk factors associated with malnutrition. Table 3 shows the factors associated with malnutrition analyzed using a univariate logistic regression model. The model evidenced that the only significant variables for malnutrition were recent weight loss (*P* = 0.0058; OR = 2.909; CI95% = 1.362; 6.212) and malignant disease (*P* = 0.0001; OR = 3.847; CI95% = 1.948; 7.597). Additional data are shown in Table 3.

TABLE 1. Comparison of the characteristics of the population by type of disease

Variables	Types of disease		<i>P</i> -value
	Benign disease n (%)	Malignant disease n (%)	
Gender			
female	93 (52.3)	16 (28.1)	0.0014*
male	85 (47.7)	41 (71.9)	
Age group (years)			
18-59	119 (66.8)	22 (38.6)	
60-69	33 (18.5)	16 (28.1)	0.0004*
≥70	26 (14.6)	19 (33.3)	
Dental problems			
yes	84 (47.2)	35 (61.4)	
no	94 (52.8)	22 (38.6)	0.0618*
Recent weight change			
weight gain	44 (24.9)	14 (25.0)	
weight loss	38 (21.5)	26 (46.4)	0.0005*
no change in weight	95 (53.7)	16 (28.6)	
Death			
yes	2 (1.1%)	4 (7.0%)	
no	176 (98.9%)	53 (93%)	0.0319 **

*Chi-square test; **Fisher's exact test

TABLE 2. Comparison of the studied nutritional indicators by type of disease

Indicators	n	$\bar{X} \pm SD$	Median	P-value
Age (years)				
benign disease	178	50.2 ± 18.0	52.5	
malignant disease	57	61.9 ± 14.7	64.0	<0.0001
Length of hospital stay (days)				
benign disease	178	7.4 ± 9.9	4.0	
malignant disease	57	8.6 ± 7.1	7.0	0.0053
Current weight (kg)				
benign disease	171	69.1 ± 14.4	68.0	
malignant disease	56	62.0 ± 15.0	59.9	0.0043
Body mass index (kg/m ²)				
benign disease	171	25.6 ± 5.2	24.9	
malignant disease	56	23.4 ± 5.7	22.8	0.0015
Calf circumference (cm)				
benign disease	153	32.4 ± 4.4	32.0	
malignant disease	53	31.5 ± 4.6	31.0	NS
Arm circumference (cm)				
benign disease	178	29.1 ± 4.3	29.0	
malignant disease	57	26.7 ± 4.5	26.0	<0.0001
Triceps skinfold thickness (mm)				
benign disease	178	18.8 ± 9.1	17.0	
malignant disease	57	15.3 ± 9.0	14.0	0.0039
Mid-arm muscle circumference (mm)				
benign disease	178	231.9 ± 35.0	232.1	
malignant disease	57	218.0 ± 37.3	215.4	0.0092
Arm muscle area (cm ²)				
benign disease	178	43.8 ± 12.9	42.9	
malignant disease	57	38.9 ± 12.9	36.7	0.0092
Arm fat area (cm ²)				
benign disease	178	25.0 ± 13.9	21.7	
malignant disease	57	19.9 ± 12.9	15.9	0.0005
HEI/ER < 75%				
benign disease	178	87.4 ± 36.9	81.1	
malignant disease	57	77.3 ± 32.8	74.5	NS
Number of drugs taken during stay				
benign disease	142	6.0 ± 3.4	5.0	
malignant disease	48	7.5 ± 3.9	7.0	0.0132

* Mann-Whitney test

TABLE 3. Factors associated with malnutrition analyzed by univariate logistic regression

Variables	P-value	Odds ratio	Confidence interval (95%)
Gender M x F	0.1189	1.691	0.874; 3.272
Age group 60-69 x 18-59 years	0.7621	1.134	0.501; 2.562
Age group ≥70 x 18-59 years	0.3811	1.431	0.642; 3.192
Recent weight change: gain x not	0.2298	1.670	0.723; 3.854
Recent weight change: loss x not	0.0058	2.909	1.362; 6.212
Dental problems: yes x no	0.1727	1.571	0.821; 3.006
Disease: malignant and benign	0.0001	3.847	1.948; 7.597
Age	0.3988	1.008	0.990; 1.026
Amount of weight lost or gained	0.1161	1.040	0.990; 1.091

TABLE 4. Multiple logistic regression model for the study of malnutrition estimated by the stepwise selection process with and without the type of disease

Variables	Estimate	Standard error	P-value	OR	CI (95%)
1st model *					
Intercept	-1.7707	0.2208	<0.0001		
Malignant x benign disease	1.3495	0.3573	0.0002	3.855	1.914;7.766
2nd model **					
Intercept	-1.8563	0.2776	<0.0001		
RWC: gain x not	0.5126	0.4268	0.2298	1.670	0.723; 3.854
RWC: loss x not	1.3127	0.4061	0.0012	3.716	1.677; 8.236

RWC: recent weight change; OR: odds ratio; CI: confidence interval

In an attempt to identify the best model for malnutrition analysis (Table 4), a multiple logistic regression analysis was done for studying malnutrition estimated by the stepwise selection process, with or without type of disease. In the model with type of disease, the presence of malignant disease increased the chance of malnutrition fourfold ($P = 0.0002$; OR = 3.855; CI95% = 1.914; 7.766). When type of disease was left out, recent weight loss also increased malnutrition almost fourfold ($P = 0.0012$; OR = 3.716; CI95% = 1.677; 8.236).

DISCUSSION

First of all, it is important to point out that the present study is part of an area of research that has been conducted about the nutritional status of hospitalized patients as shown in previous works^(21, 22, 25).

Despite the existence of many published studies^(1, 11, 18, 29, 39) discussing the nutritional status of hospitalized patients, the strength of this study was to use univariate and multiple logistic regression analyses to investigate which factors were associated with risk.

In this study, 20% of the patients presented malnutrition and this diagnosis was done shortly after admission to the hospital, as well as the investigation of the other nutritional indicators, which may suggest that these patients were already at nutritional risk at admission and the findings of the study are consistent with many published studies^(11, 29, 39). In a recent study, Filipovic et al.⁽¹¹⁾, compared nutritional assessment methods in 299 patients with gastrointestinal diseases and found some degree of malnutrition in 45.7% of their sample when they used the SGA and 63.9% when they used the NRI. In another multicentric study, Amaral et al.⁽¹⁾ found 36% of their sample to be at risk of malnutrition when the NRS was used and 9.7% to be malnourished when anthropometry was used. Other studies in European hospitals have shown a prevalence of malnutrition ranging from 10% to 50%, depending on the group of studied patients⁽³³⁾. In a British study, Stratton et al.⁽³⁶⁾ reported that the prevalence of malnutrition in hospitalized patients ranged from 19% to 60%, and a study in German hospitals revealed a malnutrition rate of 27.4% according to the SGA⁽²⁹⁾. Recently, a study with hospitalized patients in Turkey⁽¹⁸⁾ found 15% of the patients to be at nutritional risk. Other studies^(21, 22) carried out in Brazil, with hospitalized surgery patients using anthropometrical

indicators to assess the nutritional status, showed malnutrition rates between 11.4% and 14.1%. These findings corroborate the data found in this present study. Another study⁽²⁶⁾, also conducted in Brazil but with medical clinic inpatients, found that 45.3% of the patients were underweight according to their BMI.

Other contemporary studies have also reported prevalence of 30% to 50%^(23, 35). This evidences the different malnutrition rates found by different studies, using different instruments. This situation implies on the need to use many nutritional assessment parameters in an attempt to diagnose hospital malnutrition. This fact was considered in the present study which used more than one indicator to investigate malnutrition with objective parameters and associated factors. Other studies^(10, 21, 22, 25, 39) also show malnutrition with objective parameters of nutritional assessment in hospitalized patients. This fact reinforces the importance of submitting the patient to nutritional assessment right after admission in order to implement intervention strategies early to improve the nutritional status of the patient, improve his or her clinical course and reduce the length of hospital stay⁽⁷⁾. However, a study which compared the accuracy of commonly used nutritional assessment parameters found that the adopted methods were considered weak predictive factors of clinical outcomes, death, infection and length of hospital stay⁽³⁾.

The data found in the present study show that when nutritional indicators are compared by type of disease (Table 2) depletion is greater in those with malignant diseases, except for CC and HEI/ER <75%. Habitual food intake is a weak tool to evaluate energy intake to compare with energy needs and this was a limitation of this study. For the same sample, other factors were higher age ($P = 0.0004$), recent weight loss ($P = 0.0005$) and death ($P = 0.0319$) (Table 1), in addition to greater malnutrition ($P < 0.0001$) (Figure 1) found in those with malignant diseases.

With specific regard to inpatients of a surgery ward, many studies have already documented malnutrition that these patients present in the postoperative period when they had a poor nutritional prognosis in the preoperative period, especially patients who had lost weight shortly before surgery. Literature has shown that malnutrition in this population is a significant risk factor for postoperative complications, especially after abdominal surgeries^(4, 10, 18, 31).

The present study also found that 46.4% of the patients with malignant diseases and 21.5% of those with benign diseases lost weight during their hospital stay. This is a worrisome fact since literature states that isolated weight loss or weight loss in combination with other assessment parameters has been considered the main indicator of poor nutritional status⁽⁴⁾.

Univariate analysis revealed that weight change and presence of malignant disease are significant factors for malnutrition. Multiple regression analysis then revealed that the risk was greater in patients who had lost weight recently ($P = 0.0012$; OR = 3.716; CI95% = 1.677; 8.236) or had malignant diseases ($P = 0.0002$; OR = 3.855; CI95% = 1.914; 7.766): they were almost 4 times more likely to present malnutrition.

This was a study on anthropometric nutritional status indicators of hospitalized patients. The results showed that malignant disease is a determinant in the depletion of body tissues, regardless of gender and age. This confirms the pertinence of using anthropometry for assessing the

nutritional status of hospitalized patients and this study reinforces the need of paying attention to patients who report weight loss at admission. This is often ignored by the medical and health professional teams during initial assessment. Using univariate and multiple logistic regressions, this study has shown that recent weight loss is the main factor, together with malignant disease, that facilitates the development of malnutrition.

CONCLUSION

In the conditions of this study, the analyzed data demonstrated that the main factors associated with malnutrition were recent weight loss and malignant disease.

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RESUMO – Contexto – A investigação de fatores de risco associados ao estado nutricional pode contribuir para o melhor conhecimento da desnutrição.

Objetivo - Investigar a incidência de risco nutricional com a associação de vários parâmetros de avaliação nutricional e identificar os fatores de risco relacionados. **Método** - Foi avaliado o risco nutricional em 235 pacientes hospitalizados com doenças benignas e malignas, sendo o sexo, a faixa etária, o tipo de doença, a alteração de peso recente e os problemas dentários, investigados como possíveis fatores de risco associados. Para a comparação dos dados, foi utilizado o teste Qui ao quadrado e Mann-Whitney e para identificar os fatores associados ao risco nutricional foi utilizada a análise de regressão logística univariada e múltipla, sendo calculado o odds ratio (OR) e o intervalo de confiança (IC) de 95%, com $P < 0,05$. **Resultados** - Verificou-se 20% dos pacientes com risco nutricional na admissão hospitalar e 27,5% referiram perda de peso recente, com diferença significativa entre os grupos nos parâmetros avaliados e para o risco nutricional de desnutrição ($P < 0,0001$), maior naqueles com doenças malignas. Na regressão logística univariada, as únicas variáveis significativas para o risco nutricional foram a perda de peso recente ($P = 0,0058$; OR = 2,909; IC95% = 1,362; 6,212) e a doença maligna ($P = 0,0001$; OR = 3,847; IC95% = 1,948; 7,597). Posteriormente, na regressão múltipla, no modelo com o tipo de doença, foi comprovado que a doença maligna elevou a chance de risco nutricional em 4 vezes ($P = 0,0002$; OR = 3,855; IC95% = 1,914; 7,766). Excluindo-se a doença, comprovou-se que a perda de peso recente elevou o risco nutricional também em quase 4 vezes ($P = 0,0012$; OR = 3,716; IC95% = 1,677; 8,236).

Conclusão - Pacientes que perderam peso recentemente e aqueles com doença maligna apresentaram mais chances de desenvolver risco nutricional.

DESCRITORES – Estado nutricional. Desnutrição. Período pós-operatório. Antropometria. Fatores de risco. Pacientes internados.

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