



Nonlinear models in the height description of the Rhino sunflower cultivar

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ABSTRACT: Sunflower produces achenes and oil of good quality, besides serving for production of silage, forage and biodiesel. Growth modeling allows knowing the growth pattern of the crop and optimizing the management. The research characterized the growth of the Rhino sunflower cultivar using the Logistic and Gompertz models and to make considerations regarding management based on critical points. The data used come from three uniformity trials with the Rhino confectionery sunflower cultivar carried out in the experimental area of the Federal University of Santa Maria - Campus Frederico Westphalen in the 2019/2020 agricultural harvest. In the first, second and third trials 14, 12 and 10 weekly height evaluations were performed on 10 plants, respectively. The data were adjusted for the thermal time accumulated. The parameters were estimated by ordinary least square's method using the Gauss-Newton algorithm. The fitting quality of the models to the data was measured by the adjusted coefficient of determination, Akaike information criterion, Bayesian information criterion, and through intrinsic and parametric nonlinearity. The inflection points (IP), maximum acceleration (MAP), maximum deceleration (MDP) and asymptotic deceleration (ADP) were determined. Statistical analyses were performed with Microsoft Office Excel[®] and R software. The models satisfactorily described the height growth curve of sunflower, providing parameters with practical interpretations. The Logistics model has the best fitting quality, being the most suitable for characterizing the growth curve. The estimated critical points provide important information for crop management. Weeds must be controlled until the MAP. Covered fertilizer applications must be carried out between the MAP and IP range. ADP is an indicator of maturity, after reaching this point, the plants can be harvested for the production of silage without loss of volume and quality.

Key words: *Helianthus annuus* L., Logistic, Gompertz, growth curve.

Modelos não lineares na descrição de altura da cultivar de girassol Rhino

RESUMO: O girassol produz aquênios e óleo de qualidade, além de servir para produção de silagem, forragem e biodiesel. A modelagem de crescimento permite conhecer o padrão de crescimento da cultura e otimizar o manejo. O objetivo deste trabalho foi caracterizar o crescimento da cultivar de girassol Rhino por meio dos modelos Logístico e Gompertz e fazer considerações a respeito do manejo com base em pontos críticos. Os dados utilizados são oriundos de três ensaios de uniformidade com a cultivar de girassol confeiteiro Rhino, conduzidos na área experimental da Universidade Federal de Santa Maria, Campus Frederico Westphalen, na safra 2019/2020. Foram realizadas 14, 12 e 10 avaliações semanais de altura em 10 plantas, respectivamente, no primeiro, segundo e terceiro ensaio. Os dados foram ajustados em função da soma térmica acumulada. Os parâmetros foram estimados por meio do método dos mínimos quadrados ordinários, usando o algoritmo de Gauss-Newton. A qualidade de ajuste dos modelos aos dados foi medida pelo coeficiente de determinação ajustado, critério de determinação de Akaike, critério bayesiano de informação, e por meio da não linearidade intrínseca e paramétrica. Foram determinados os pontos de inflexão (PI), máxima aceleração (MAP), máxima desaceleração (MDP) e desaceleração assintótica (ADP). As análises estatísticas foram realizadas com Microsoft Office Excel[®] e o software R. Os modelos descreveram de forma satisfatória a curva de crescimento da altura do girassol, fornecendo parâmetros com interpretações práticas. O modelo Logístico apresenta melhor qualidade de ajuste, sendo o mais adequado para caracterização da curva de crescimento. Os pontos críticos estimados fornecem informações importantes para o manejo da cultura. As plantas daninhas devem ser controladas até o MAP. As aplicações de fertilizantes em cobertura devem ser realizadas entre MAP e IP. O ADP é um indicador de maturidade, após atingir este ponto, as plantas podem ser colhidas para a produção de silagem sem perda de volume e qualidade.

Palavras-chave: *Helianthus annuus* L., Logístico, Gompertz, curva de crescimento.

1 INTRODUCTION

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3 Sunflower (*Helianthus annuus* L.) is an
4 annual broadleaf crop belonging to the Asteraceae

family, known worldwide for producing achenes and
oil of the highest quality (KOUTROUBAS et al.,
2020). This species has a great productive ability,
being used for medicinal and ornamental purposes,

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1 silage and forage production, green manure,
2 bioremediation, biofuel production, among others
3 (HESAMI et al., 2015; AMORIM et al., 2020; IRAM
4 et al., 2020).

5 About 10% of the world's annual sunflower
6 production is destined for non-oil purposes, this
7 demand being met by confectionery genotypes that
8 are characterized by having greater stature of larger
9 plants and seeds with lower oil contents and higher
10 protein contents (HLADNI et al., 2011). Height of
11 plants is one of the most important characters for
12 confectionery sunflower genotypes (PEKCAN et al.,
13 2015; HLADNI et al., 2016), as it correlates with
14 characters such as stem diameter, number of leaves,
15 chapter diameter, seed yield per plant and oil and
16 protein contents (PIVETTA et al., 2012; YANKOV
17 & TAHSIN, 2015).

18 Low water availability and incidence
19 of pests are responsible for lower productivity and
20 retraction of sunflower's planted area (CONAB,
21 2020). One way to overcome these difficulties is to
22 seek greater knowledge about how the crop responds
23 to the environment in which it is inserted, aiming to
24 adapt and improve management techniques through
25 growth models. Therefore, modeling becomes an
26 indispensable tool to characterize plant growth and
27 development (STRECK et al., 2008).

28 Nonlinear models have been used to
29 characterize the growth of many crops such as coffee
30 (FERNANDES et al., 2014), cocoa (MUNIZ et al.,
31 2017), tomato (SARI et al., 2019), sugar cane (JANE
32 et al., 2020), among others. Nonlinear, Logistic
33 and Gompertz models are the most used since they
34 provide a better fit compared to linear models in
35 growth studies and for having parameters with
36 practical and biological interpretation (MAZZINI et
37 al., 2003). Both models have a sigmoidal shape ("S"
38 shape), presenting a slow initial growth, increasing
39 until reaching the so-called inflection point, and
40 decreasing again until reaching its asymptotic limit
41 (MISCHAN & PINHO, 2014). The Logistic model
42 is characterized for being symmetrical in relation to
43 the inflection point, that is, at the inflection point,
44 50% of the upper asymptote is reached, while in the
45 Gompertz model the inflection point is reached at
46 37% of the upper asymptote, where there is a change
47 in the concavity of the curve and the growth rate
48 starts to decrease (FERNANDES et al., 2014; JANE
49 et al., 2020).

50 The critical points in non-linear models
51 has been used in many studies in agricultural
52 sciences, as it provides relevant information on crop
53 management. In this sense, CARINI et al. (2020),

used inflection points, maximum acceleration and
maximum deceleration to make inferences about the
growth and behavior of three lettuce cultivars. In turn,
KLEINPAUL et al. (2019), besides using inflection
points, maximum acceleration and maximum
deceleration, made use of the asymptotic deceleration
point to describe the accumulation of fresh and dry
rye mass. Therefore, this study was to characterize
the growth of the confectionery sunflower cultivar
Rhino by nonlinear Logistic and Gompertz models
and to make considerations regarding management
based on critical points of the models.

MATERIALS AND METHODS

During the 2019/2020 agricultural harvest,
three uniformity trials (experiments without treatments)
were carried out with sunflower (*Helianthus annuus*
L.) in the experimental area of the Federal University
of Santa Maria – Frederico Westphalen-RS-Brazil. The
area's soil is classified as Red Latosol and the climate
is characterized by Köppen as Cfa (ALVARES et
al., 2013). Sowing was performed on September 23,
2019 (First), October 7, 2019 (Second) and October
23, 2019 (Third) using the confectionery sunflower
cultivar Rhino, with 0.5 m spacing between rows and
0.33 m between plants.

Sowing was performed manually with two
seeds per point and subsequent thinning to obtain the
recommended population of 60,000 plants.ha⁻¹. Each
trial consisted of a strip of 250 m², containing 10 rows
(5 m) per 50 m in length. Fertilization was carried
out according to soil analysis and recommendations
for the crop (CQFS, 2016), with 10 kg.ha⁻¹ of N,
70 kg.ha⁻¹ of K₂O and 60 kg.ha⁻¹ of P₂O₅ applying at
sowing and 50 kg.ha⁻¹ of N at 30 days after emergence.
All cultural treatments were performed uniformly in
the experimental area. Height was assessed weekly,
destructively on 10 plants per trial, collected at
random, with 14, 12 and 10 assessments for the first,
second and third trials, respectively.

Height data were adjusted according
to the accumulated thermal sum (TSA), calculated
according to the method of GILMORE & ROGERS
(1958) and ARNOLD (1959), with a base temperature
of 4.2 °C according to determinations made by
SENTELHAS et al. (1994). Logistic and Gompertz
models were used according to the equations

$$y_i = \frac{a}{1 + e^{-(b - c \cdot x_i)}} + \varepsilon_i$$
and
$$y_i = a e^{-e^{-(b - c \cdot x_i)}} + \varepsilon_i$$
, respectively,
where y_i represents the observed height values
(dependent variable) for $i = 1, 2, \dots, n$ observations,
and x_i is the i^{th} time measurement of the independent

1 variable (TSa), a represents the asymptotic value of the
 2 dependent variable, b is a location parameter, important
 3 for maintaining the sigmoidal shape of the model and
 4 associated with the abscissa of the inflection point,
 5 c is related to the growth rate, the higher the value of
 6 parameter c , the shorter the time required to reach the
 7 asymptote (a) and ε_i corresponds to the random error,
 8 assumed to be independently and identically distributed
 9 following a normal distribution with a mean zero and
 10 constant variance, that is, $\varepsilon_i \sim N(0, \sigma^2)$.

11 The parameters were estimated using the
 12 ordinary least squares method and the Gauss-Newton
 13 algorithm (BATES & WATTS, 1988), implemented
 14 in the *nls* () function of the R software. Residue
 15 assumptions were verified through the Shapiro-
 16 Wilk (SHAPIRO & WILK, 1965), Breusch-Pagan
 17 (BREUSCH & PAGAN, 1979) and Durbin-Watson
 18 (DURBIN & WATSON, 1950) tests for normality,
 19 homogeneity and independence of residues,
 20 respectively (RITZ & STREIBIG, 2008). To estimate
 21 the parameters, the height data of the trials were used
 22 in isolation (First, Second and Third) and later a fourth
 23 estimation (All) of the parameters was performed using
 24 all three trials in order to observe if model fitting would
 25 be better. The confidence intervals of 95% reliability
 26 ($CI_{95\%}$) for the parameters were calculated through the
 27 difference between 97.5 and 2.5 percentiles of 10,000
 28 bootstrap resamples of model parameters. These upper
 29 and lower limits were used to compare the parameters
 30 between the trials and models based on the overlapping
 31 confidence interval criterion.

32 The diagnosis of the fitting quality of
 33 the model to the data was based on the following
 34 criteria: Adjusted coefficient of determination (R^2_a)
 35 (SEBER, 2003), Akaike information criterion (AIC)
 36 (AKAIKE, 1974), Bayesian information criterion
 37 (BIC) (SCHWARZ, 1978) and through intrinsic (IN)
 38 and parametric (PE) nonlinearity using the Bates and
 39 Watts curvature method (BATES & WATTS, 1988). The
 40 coordinates of the critical points were obtained using
 41 the partial derivatives of the models in relation to the
 42 independent variable (TSa). The inflection point (IP),
 43 maximum acceleration point (MAP) and deceleration
 44 (MDP) and the asymptotic deceleration point (ADP)
 45 were determined according to the methodology proposed
 46 by MISCHAN et al. (2011). Statistical analyses were
 47 performed with Microsoft Office Excel® and R software
 48 (R DEVELOPMENT CORE TEAM, 2020).

50 RESULTS AND DISCUSSION

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 52 The models did not deviate from
 53 the normality, homogeneity and independence

assumptions, as the values of the Shapiro-Wilk, Durbin-
 Watson and Breusch-Pagan tests had a statistical
 p-value > 0.05. These results are in agreement with
 those of CARINI et al. (2020) when using nonlinear
 models to describe the growth of lettuce cultivars. The
 Gompertz model stim or greater height asymptotic
 values (parameter a) for the third trial and the fourth
 situation (All) using all trial, compared to the Logistic
 model (Table 1). The Logistic model estimates higher b
 values for the second and third trials and the Gompertz
 model estimates higher values of parameter b for the
 first trial. The c values estimated for Logistics were
 higher in all trials.

When comparing the Logistic model
 between trials, the estimates of the first and third
 trials are the same for all parameters, based on the
 overlapping of confidence intervals (CI), used by
 WHEELER et al. (2006), BEM et al. (2017) and
 CARINI et al. (2020). According to these authors,
 when at least one parameter estimate is contained
 within the CI of the other, the difference is not
 significant. So, the estimated values of 197.357 cm
 and 202.866 cm for a , respectively, in the first and
 third trials did not differ. The estimates for the second
 trial are for plants with reduced height asymptotic
 (192.058 cm), but with no significant differences for
 b and c in relation to the first and third trials (Table 1).

Gompertz model estimated different a and
 b parameters for all trials (Table 1). The asymptotic
 height values were 201.088, 195.617 and 213.101 cm;
 respectively for the first, second and third trial. The
 b parameter differed between the trials, being more
 variable for the Gompertz model. SARI et al. (2019)
 used nonlinear models to describe the accumulated
 tomato production in successive harvests and named
 b as a “scale parameter”, associated with the degree of
 maturation (initial production), however, this approach
 does not apply to sunflower height growth. According
 to CARINI et al. (2020), the estimate of b , in theory,
 provides a concept of the ratio between the initial
 values and the amount left to reach the asymptote.

The values of parameter c , related to
 precocity (DIEL et al., 2021), are not different
 between the trials for Logistics and Gompertz, but
 they are different between the models, where Logistic
 model estimates are higher (Table 1). The non-
 difference of c between trials can be explained by the
 use of the same cultivar. The models generated using
 data from the three trials estimate asymptotic height
 values of 196.364 cm for Logistics and 200.757 cm
 for Gompertz, a similar pattern to what we have when
 the parameters were estimated for the third trial,
 where the Gompertz values are higher .

Table 1 - Estimation of parameters a , b and c , lower limit (LL) and upper limit (UL) of the confidence interval ($CI_{95\%}$), Adjusted coefficient of determination (R^2_a), Akaike information criterion (AIC), Bayesian information criterion (BIC), intrinsic curvature measurements (IN), parameter effect curvature measurements (PE), maximum acceleration point (MAP), inflection point (IP), maximum deceleration point (MDP) and asymptotic deceleration point (ADP), of the Logistic and Gompertz models for the trials (First, Second, Third and All) as a function of the accumulated thermal sum ($^{\circ}Cd$) of the Rhino sunflower cultivar.

		-----Logistic-----				-----Gompertz-----			
		First	Second	Third	All	First	Second	Third	All
a	LL	194.084	188.080	196.410	193.813	196.824	190.557	203.486	197.443
	Mean	197.357 ^{ba(1)}	192.058 ^{ba}	202.866 ^{ba}	196.364 ^A	201.088 ^{ba}	195.617 ^{ba}	213.101 ^{cb}	200.757 ^B
	UL	200.718	196.094	209.978	198.936	205.419	200.954	223.804	204.145
b	LL	4.137	4.656	4.337	4.504	10.035	2.658	2.289	2.550
	Mean	4.507 ^{ba}	5.168 ^{ba}	4.776 ^{ba}	4.770 ^A	13.091 ^{ab}	3.011 ^{bb}	2.586 ^{cb}	2.737 ^B
	UL	4.920	5.740	5.266	5.056	17.372	3.417	2.928	2.934
c	LL	0.0060	0.0069	0.0057	0.0064	0.0039	0.0045	0.0034	0.0042
	Mean	0.0066 ^{ba}	0.0076 ^{ba}	0.0064 ^{ba}	0.0068 ^A	0.0043 ^{ab}	0.0051 ^{ab}	0.0039 ^{ab}	0.0045 ^B
	UL	0.0072	0.0085	0.0071	0.0072	0.0048	0.0057	0.0045	0.0048
R^2_a		0.972	0.968	0.967	0.966	0.969	0.963	0.964	0.963
AIC		1111.840	967.070	813.306	2917.444	1131.775	990.471	825.891	2966.234
BIC		1123.606	978.220	823.727	2932.988	1143.541	1001.621	836.312	2981.779
IN		0.069	0.082	0.073	0.045	0.095	0.108	0.103	0.060
PE		0.145	0.172	0.236	0.101	0.203	0.240	0.421	0.143
MAP	x	486.545	504.587	542.138	507.958	368.150	403.643	410.909	394.881
	y	41.707	40.587	42.871	41.494	14.678	14.281	15.557	14.657
IP	x	687.964	677.780	749.283	701.958	590.247	594.189	655.954	609.294
	y	98.679	96.029	101.433	98.176	73.784	71.786	78.202	73.676
MDP	x	889.384	850.972	956.427	895.958	812.423	785.011	900.900	823.708
	y	155.657	151.477	160.002	154.863	137.170	133.491	145.338	136.949
ADP	x	1038.632	979.566	1109.068	1039.638	1005.121	950.126	1113.693	1009.815
	y	179.262	174.410	187.237	178.339	170.247	165.637	180.441	169.998

⁽¹⁾Comparison of parameter estimates (a , b and c) between trials and between models, based on the overlapping of confidence intervals ($CI_{95\%}$). Averages followed by the same lowercase letter do not differ between trials for the same model. Averages followed by the same capital letter do not differ for the same trial between models.

1 Both models fit the data; however, the
2 fitting quality estimators used show the Logistic
3 model best described the growth of sunflower plants
4 in height in the four situations studied (Table 1). For
5 all situations, differences between Logistical and
6 Gompertz models were not verified when observing
7 R^2_a in isolation, as the values are similar, varying from
8 0.963 to 0.972, which showed that both models adjust
9 to all situations, and emphasizes the need for more
10 than one criterion for comparison. The differentiation
11 can be made by observing the other evaluators. The
12 Logistic model presented the lowest values of AIC,
13 BIC, IN and PE for the three trials and also for the

fourth situation in which all data are used. Models
that present higher values of R^2_a and lower values
of AIC, BIC, IN and PE, should be preferable for
growth description (ZEVIANI, 2012; FERNANDES
et al., 2014; JANE et al., 2020). The R^2_a , AIC and BIC
estimators cannot be compared between trials of the
same model because they depended on the number of
parameters and observations made (AKAIKE, 1974;
SCHWARZ, 1978; SEBER, 2003), and as already
mentioned, both models have three parameters, but
14, 12 and 10 evaluations were performed for the
first, second and third trials, respectively. So, the
number of observations between trials is unbalanced.

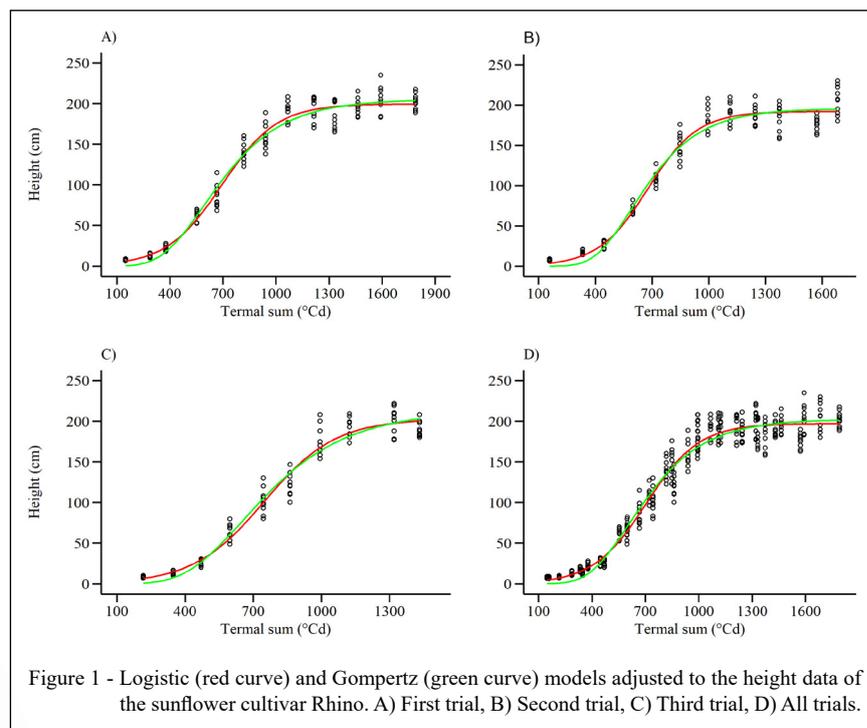
The Logistics model showed a better fit to the data based on the lower values of the AIC, BIC, IN and PE evaluators (Table 1) and on the response of the curves on the data (Figure 1 A-D). Furthermore, the adjustment of Logistics and Gompertz was better when more points were used to estimate the parameters. Also, the Gompertz model underestimated plant height values in the initial period for all situations studied (Figure 1 A-D), being the Logistic model preferable to describe the height growth of the Rhino sunflower cultivar.

As the Logistics model best fits the data, only the critical points generated by this model will be considered. The estimated critical points are shown to be important helpers in crop management. Approximately 21.10% of the asymptote occurs when MAP is reached; 50.00% when IP is reached; 78.80% when MDP is reached; and 90.80% when ADP is reached (MISCHAN & PINHO, 2014). MAP values show plant growth becomes positive and growing from 41.707 cm and 486.545 °C, 40.587 cm and 504.587°C, 42.871 cm and 542.138 °C accumulated for the first, second and third trials, respectively (Table 1). This indicator is important because in the initial period, before MAP, plants have less growth capacity and; consequently, less ability to compete with spontaneous plants, requiring greater care

with weed control up to this point. This observation corroborates studies by BRIGHENTI et al. (2004) and BRIGHENTI (2012), who reported that they are necessary for the plant to express all its productive potential, about 30 days after emergence free of weed plants, as they cause growth reduction, chlorosis and decrease in leaf area, stem diameter, chapter and achenes yield.

When IP is reached, the curve changes in the concavity and the growth rate starts to decrease (FERNANDES et al., 2014; JANE et al., 2020). In this study, the height values for the IP were 98.679 cm, 96.029 cm and 101.433 cm with 687.964 °C, 677.780 °C and 749.283 °C accumulated for the first, second and third trials, respectively. According to LOBO et al. (2013), nitrogen and potassium are the nutrients that most limit sunflower production, and from 28 to 56 days after emergence, a period that can be compared to the MAP and IP interval, there is a rapid increase in nutritional demand. Still, VALADÃO et al. (2020), recommend installment applications of boron at 15, 30 and 45 days after sowing, and nitrogen at 30 days after emergence to achieve higher yields. Therefore, fertilizer coverage applications would have optimized results if they were carried out between MAP and IP range.

The plant height values observed in the ADP were 179.262 cm, 174.410 cm and 187.237



1 cm with 1038.632 °C, 979.566 °C and 1109.068 °C accumulated for the first, second and third trials, respectively. According to UCHÔA et al. (2011), the smaller stature of plants is associated with precocity, which gives plants a shorter period of development. Still, the short stature of plants makes it possible to reduce the spacing in future crops, which would assist in the control of weeds (AMABILE et al., 2003). The ADP can be used as a maturity indicator since when reaching this point plants start growth stabilization and can be harvested for producing silage without volume loss and with higher quality, as the flowering phase would be complete (R6 stage), being suitable for silage production (TAN, 2010).

16 CONCLUSION

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18 The models show differences between the trials. The Logistic model has a better fit quality, being the most suitable for characterizing the growth curve of the sunflower confectionery cultivar in height. The estimated critical points provide important information for crop management. Weeds must be controlled until the maximum acceleration point. Covered fertilizer applications must be carried out between the maximum acceleration and inflection points. Asymptotic deceleration point is an indicator of maturity, after reaching this point the plants can be harvested for the production of silage without loss of volume and quality.

31 DECLARATION OF CONFLICT OF INTEREST

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34 The authors declare no conflict of interest. The founding sponsors had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, and in the decision to publish the results.

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50 AUTHORS' CONTRIBUTIONS

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52 MT designed and supervised the experiment. ACM, RRS, JCS, VM and ACVP performed the experiments and data collection. ACM performed the statistical analyses. ACM and MT prepared the draft of the manuscript. All authors critically revised the manuscript and approved the final version.

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