# Effects of Heat Treatment and Yb <sup>3+</sup> Concentration on the Downconversion Emission of Er <sup>3+</sup> /Yb <sup>3+</sup> Co-Doped Transparent Silicate Glass-Ceramics

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The SiO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub>-BaF<sub>2</sub>-TiO<sub>2</sub>-CaF<sub>2</sub> transparent silicate glass-ceramics containing BaF<sub>2</sub> nanocrystals were successfully prepared by heat treatment process through conventional melting method. Effects of heat treatment processes and Yb<sup>3+</sup> concentration on the downconversion (DC) emission of the co-doped  $Er^{3+}/Yb^{3+}$  transparent silicate glass-ceramics were investigated. With the increase of temperatures and times of heat treatment process, the DC emission intensity of the co-doped  $Er^{3+}/Yb^{3+}$  glass-ceramics was significantly enhanced. At the same time, with the increase of Yb<sup>3+</sup> concentration, the value of DC intensity of  $Er^{3+}/Yb^{3+}$  co-doped bands centered at 849, 883 and 1533 nm is maximized when the concentration of Yb<sup>3+</sup> co-doped bands centered at 849, 883 and 1533 nm was decreased, owing to the self-quenching effect. It's interesting that the DC emission intensity of  $Er^{3+}/Yb^{3+}$  co-doped bands centered at 849, 883 and 1533 nm was decreased, At the same time, the DC mechanism and ET processes between Yb<sup>3+</sup> concentration exceed 2.5 mol. %.

**Keywords:** Downconversion,  $BaF_{\gamma}$ , heat treatment, glass-ceramics,  $Er^{3+}/Yb^{3+}$ .

## 1. Introduction

In recent years, the silicon solar cells (Si-SC) are widely used to produce electric energy, it is considered a green and inexhaustible source of energy. Therefore, many studies have developed to enhance the emission spectrum of Si-SC energy <sup>1-4</sup>. Usually, there are two processes that contribute to the increase in emission solar cells (SC) spectrum, which is the downconversion (DC) and the upconversion (UC) of rare earth (RE<sup>3+</sup>) ions. Among them, the DC emission of the single doped Er<sup>3+</sup> and the co-doped Er<sup>3+</sup> with others RE<sup>3+</sup> ions is a promising way to increase the efficiency spectrum of SC <sup>5-7</sup>.

In reality, the solar spectrum is within the wavelength range of 300-2500 nm<sup>8</sup>, whereas the band-gap of the Si-SC converts only a small band around (1.000 nm at full efficiency into the electricity. The spectrum below the band-gap is not absorbed at all, and the spectrum above the band-gap is fully absorbed but is converted into electricity with high thermal losses. This spectral mismatch are caused a major loss of energy. Therefore, the researchers have interested in improve DC luminescence intensity of the co-doped RE<sup>3+</sup> ions to deliver the highest spectrum efficiency for the SC energy<sup>2,9-11</sup>.

Among the existing trivalent RE<sup>3+</sup> ions, the Yb<sup>3+</sup> has a relatively simple electronic structure of two energy-level manifolds: the  ${}^{2}F_{7/2}$  ground state and  ${}^{2}F_{5/2}$  excited state around (1000 nm in the near-infrared (NIR) region, which located just above the band-gap of Si-SC <sup>1,12</sup>. Similar to Yb<sup>3+</sup>, the Er<sup>3+</sup> also is one of most efficient ions combining to enhance SC spectrum because it has a favorable energy level structure with  ${}^{4}I_{15/2} \rightarrow {}^{4}I_{11/2}$  transition corresponding to NIR emission of about 980 nm. Therefore, enhancement on the DC emission can be achieved by combine of the co-doped Er<sup>3+</sup>/Yb<sup>3+</sup>, through energy transfer (ET) process between between Er<sup>3+</sup> and Yb<sup>3+</sup> ions. There upon the energy is transferred to two Yb<sup>3+</sup> ions via a resonant ET process. Finally, the Yb<sup>3+</sup> ions will emit the two required photons with the band-gap energy of Si-SC <sup>13</sup>.

In 2009, L. Aarts et al., <sup>14</sup> have investigated the DC emission for SC in NaYF<sub>4</sub>: Er<sup>3+</sup>, Yb<sup>3+</sup>. This result indicated that the desired DC process from the <sup>4</sup>F<sub>7/2</sub> level has very low efficiency due to fast multi-phonon relaxation from the <sup>4</sup>F<sub>7/2</sub> to <sup>4</sup>S<sub>3/2</sub> level via the intermediate <sup>2</sup>H<sub>11/2</sub> level. Recently, In the paper of M.B. de la Mora et al., <sup>15</sup> mentioned the materials for DC in SC: Perspectives and challenges.

Results of this paper affirmed among different options, downconversion is an appealing way to harvest the efficiency in solar cells because it permits to optimize the solar spectrum usage <sup>15</sup>. With the purposed to improved efficiency photoluminescence for the solar cells application. In previous studies, we have investigated enhancement of upconversion emission of  $Er^{3+}/Yb^{3+}$  co-doped transparent silicate glass-ceramics containing  $BaF_2$  nanocrystals by effects of  $Mn^{2+}$  concentrations <sup>16</sup> and heat treatment processes <sup>17</sup>. In this work, we continues to investigation the effects of the heat treatment processes and  $Yb^{3+}$  concentration on the DC emission intensity of the co-doped  $Er^{3+}/Yb^{3+}$  transparent silicate glass-ceramics containing  $BaF_2$  nanocrystals. At the same time, the mechanism of DC and ET processes between  $Yb^{3+}$  and  $Er^{3+}$  ions are also proposed and discussed.

#### 2. Experimental Details

The glasses were prepared according to a conventional melt-quenching method. High-purity SiO<sub>2</sub>, Al<sub>2</sub>O<sub>2</sub>, BaF<sub>2</sub>, TiO<sub>2</sub>, CaF<sub>2</sub>, Er<sub>2</sub>O<sub>3</sub> and Yb<sub>2</sub>O<sub>3</sub> (99.99%) were used as the starting materials. All glass components (SiO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>, BaF<sub>2</sub>, TiO<sub>2</sub>,  $CaF_2$   $Er_2O_3$  and  $Yb_2O_3$ ) were purchased from the Aladdin Industrial Corporation, China. The compositions chosen in the present study are shown in Table 1. Mixtures with a sufficient weight of approximately 10 g, compacted into a platinum crucible, were set in an electric furnace. The electric furnace in this study manufactured by Nabertherm, Germany. After holding at 1500 °C for 45 min under air atmosphere in an electric furnace, the melts were quenched by putting them onto a polished plate of stainless steel. According to the glass transition temperature  $(T_{o})$  of differential thermal analysis which was determined by differential scanning calorimeter (DTA-60AH SHIMADZU) with a heating rate of 10 °C/min under a nitrogen atmosphere.

The samples were cut into the size of  $10 \times 10 \times 2$  mm<sup>3</sup> and polished for optical measurements. To identify the crystallization phase, XRD (*X-ray diffraction*) analysis was carried out with a powder diffractometer (BRUKER AXS GMBH) using CuK $\alpha$  radiation. The sizes, shape, structure and component compositions of the asprepared nanocrystals were characterized by transmission electron microscopy (TEM, JEM-2100) at 200 kV. The reflectance spectra in the wavelength range of 350-1800 nm were measured on a Hitachi U-4100 spectrophotometer. The DC spectra in the wavelength range of 800-1650 nm and lifetime curves were measured on an Edinburgh Instruments FLS980 fluorescence spectrometer using a  $\mu$ F920 microsecond flash lamp as the excitation source and detected using a liquid-nitrogen-cooled PbS detector upon excitation at 410 nm. All spectral, DTA, XRD, TEM measurements were conducted at ambient temperatures.

### 3. Results and Discussion

To characterize the thermal stability of the prepared  $SiO_2-Al_2O_3-BaF_2-TiO_2-CaF_2$  glass system, a DTA curve of SEY-1 glass sample was measured and showed in Fig.1.



Figure 1. The DTA curves of SEY-0.2E2.5Y glasses.

Table 1. Ch	emical c	omposition	of SiO <sub>2</sub> –Al	<sub>2</sub> O <sub>3</sub> –Ba	F <sub>2</sub> -TiO <sub>2</sub> -	-CaF <sub>2</sub> -H	$Er_2O_3-Y$	b <sub>2</sub> O <sub>3</sub> glass	es (in mol. 9	%)

			Composition ratios of reagents (in mol. %)							
Glasses name		SiO <sub>2</sub>	Al <sub>2</sub> O <sub>3</sub>	$BaF_2$	TiO <sub>2</sub>	CaF <sub>2</sub>	Er <sub>2</sub> O <sub>3</sub>	Yb <sub>2</sub> O <sub>3</sub>		
SEY-1	SEY-0.2E0Y	45	19.8	20	10	5	0.2	0		
	SEY-0.2E1Y	45	18.8	20	10	5	0.2	1		
	SEY-0.2E1.5Y	45	18.3	20	10	5	0.2	1.5		
	SEY-0.2E2Y	45	17.8	20	10	5	0.2	2		
	SEY-0.2E2.5Y	45	17.3	20	10	5	0.2	2.5		
	SEY-0.2E3Y	45	16.8	20	10	5	0.2	3		
SEY-2	SEY-0.1E2.5Y	45	17.4	20	10	5	0.1	2.5		
	SEY-0.3E2.5Y	45	17.2	20	10	5	0.3	2.5		
	SEY-0.5E2.5Y	45	17	20	10	5	0.5	2.5		
	SEY-0.8E2.5Y	45	16.7	20	10	5	0.8	2.5		
	SEY-1E2.5Y	45	16.5	20	10	5	1	2.5		

As can be seen in this figure, three temperature parameters: the glass transition temperature (T<sub>a</sub>) was located around 554 °C, the crystallization onset temperature ( $T_{x1} = 675$  °C), two crystallization peaks temperatures  $(T_{p1}, T_{p2})$  are located around 685 °C and 773 °C, respectively. Therefore, the transparent silicate glass-ceramics can be prepared by heat-treat in the first crystallization peak near 665 °C, by controlling the appropriate crystallization temperature and process. Besides, between ~710°C and 773 °C, an endothermic reaction occurs. It's also the crystallization onset temperature  $(T_{x2})$  and the  $T_{x2}$  is determined value around 753°C. The difference  $\Delta T$  between the crystallization onset temperature  $T_{x1}$  and the glass transition temperature  $T_{a} (\Delta T = T_{x1} - T_{a})$ is used as a rough indicator of glass thermal stability, and the  $\Delta T = 675 \text{ °C} - 554 \text{ °C} = 121 \text{ °C} > 100 \text{ °C}$  indicating the prepared glass is stable and suitable for applications such as fiber amplifiers and solar cells, etc. Based on the analysis results of the DTA curve, all the prepared glasses were heattreated within the range of 665°C to 773 °C. However, when glass-ceramics samples heat-treated up to 695 °C, the glassceramics sample is no longer transparent glass-ceramics. The optical images of glass-ceramics samples heat treatment at ~600, 685, 695 and 773 °C as shown in inset of Fig. 1.

Therefore, in this study, we had chosen heat treatment temperatures for transparent silicate glass-ceramics samples within the range of 600-685 °C. Polished SEY-0.2E2.5Y glass sample was then heat treated at four different temperatures: 600, 630, 660 and 685 °C, which were selected to carry out heat treatment for 5 h to form transparent silicate glass-ceramics and the fabricated samples were named as SEY-0.2E2.5Y-600, SEY-0.2E2.5Y-630, SEY-0.2E2.5Y-660, and SEY-0.2E2.5Y-685, respectively. At the same time, the polished SEY-0.2E2.5Y glass samples were selected to carry out heat treatment at 685 °C for different times 10, 15, 20, 25 and 30 h to form transparent silicate glass-ceramics and the fabricated samples were named as SEY-0.2E2.5Y-10h, SEY-0.2E2.5Y-15h, SEY-0.2E2.5Y-20h, SEY-0.2E2.5Y-25h, and SEY-0.2E2.5Y-30h, respectively.

The transparent silicate glass-ceramics was prepared and the nanocrystals structures in the glass-ceramics were monitored by XRD. The XRD patterns of glass-ceramics after heat treatment at different temperatures are shown in Fig. 2 (a). From the results of Fig. 2(a) shows when the increase of processing temperature from 600 up to 685 °C, crystal size of BaF<sub>2</sub> nanocrystals was increased from 10.7 up to 17.9 nm. Relationship between crystal size with the heat treatment temperatures are shown in the Fig. 2(b).



**Figure 2.** (a) XRD patterns of SEY-0.2E2.5Y glass sample and SEY-0.2E2.5Y-600, SEY-0.2E2.5Y-630, SEY-0.2E2.5Y-660 and SEY-0.2E2.5Y-685 transparent glass-ceramics samples; (b) Relationship between crystal size with the heat treatment temperatures; (c) XRD patterns of the SEY-0.2E2.5Y-10h, SEY-0.2E2.5Y-15h, SEY-0.2E2.5Y-20h, SEY-0.2E2.5Y-25h and SEY-0.2E2.5Y-30h transparent glass-ceramics samples; (d) Relationship between crystal size with the heat treatment times.

Fig. 2 (a) XRD patterns of SEY-0.2E2.5Y glass sample and SEY-0.2E2.5Y-600, SEY-0.2E2.5Y-630, SEY-0.2E2.5Y-660 and SEY-0.2E2.5Y-685 transparent glass-ceramics samples; (b) Relationship between crystal size with the heat treatment temperatures; (c) XRD patterns of the SEY-0.2E2.5Y-10h, SEY-0.2E2.5Y-15h, SEY-0.2E2.5Y-20h, SEY-0.2E2.5Y-25h and SEY-0.2E2.5Y-30h transparent glass-ceramics samples; (d) Relationship between crystal size with the heat treatment times.

Also from the result of the Fig. 2(a), the precursor glass sample presents a broad diffraction curve characteristic of the amorphous state, while in the patterns of transparent silicate glass-ceramics, the intense diffraction peaks are clearly observed, indicating that microcrystallites are successfully precipitated during thermal treatment. The diffraction pattern of the crystalline element is typical of a face-centered-cubic and these diffraction peaks around  $2\theta(degree) = 26^{\circ}$ ,  $30^{\circ}$ ,  $43^{\circ}$ ,  $50^{\circ}$  and  $53^{\circ}$  can be assigned respectively to the (111), (200), (220), (311) and (222) planes of the BaF, cubic phase.

The XRD patterns of glass-ceramics after heat treatment at different times are shown in Fig. 2 (c). From the results of Fig. 2(c) shows when the increase of processing times from 10 up to 30h, crystal size of  $BaF_2$  nanocrystals was increased from 17.6 up to 19.9 nm. Relationship between crystal size with the heat treatment times are shown in the Fig. 2(d).

The crystallites size D for a given (hkl) plane was estimated from the XRD patterns following the Scherrer equation:

$$D = \frac{\lambda \times K}{\beta \times \cos \theta} \tag{1}$$

Where K = 0.9,  $\lambda$  is the wavelength of the incident XRD [CuK<sub>a</sub> ( $\lambda = 0.154056$  nm)],  $\beta$  is the FWHM in radians and  $\theta$  is the diffraction angle for the (hkl) plane. By using Debye-Scherrer equation, the average of BaF<sub>2</sub> crystallites size of

SEY-0.2E2.5Y-600, SEY-0.2E2.5Y-630, SEY-0.2E2.5Y-660, SEY-0.2E2.5Y-685, SEY-0.2E2.5Y-10h, SEY-0.2E2.5Y-15h, SEY-0.2E2.5Y-20h, SEY-0.2E2.5Y-25h and SEY-0.2E2.5Y-30h transparent glass-ceramics samples has been calculated and displayed in the Figs. 2 (c&d). The results calculation of BaF<sub>2</sub> crystallites size and the relationship between the crystal size with the heat-treated different temperatures and times in the glass-ceramics are shown in Figs. 2 (c & d). Clearly, in this figure, the increase of the heat treatment temperatures and times were led to the crystal size increased, similar to the result of our previous works <sup>17-19</sup>.

The TEM image of SEY-0.2E2.5Y-685 transparent silicate glass-ceramics sample is shown in Fig. 3. From result of Fig. 3, it demonstrates that the  $BaF_2$  nanocrystals were distributed homogeneously among the glass matrix and the mean sizes of nanocrystals were about 18-19 nm, which was similar to those calculated by Debye-Scherrer equation. The HRTEM image of the SEY-0.2E2.5Y-685 transparent silicate glass-ceramics sample is shown in inset of Fig. 3. As from this figure, the lattice spacing of (111) was estimated about 0.334 nm.

The reflectance spectra of the Er<sup>3+</sup>/Yb<sup>3+</sup> co-doped SEY-0.2E2.5Y glass and SEY-0.2E2.5Y-600, SEY-0.2E2.5Y-630, SEY-0.2E2.5Y-660 and SEY-0.2E2.5Y-685 transparent glass-ceramics samples within the range of 350 to 1800 nm are exhibited in Fig. 4. The reflectance bands corresponding to transitions from the ground-state (<sup>4</sup>I<sub>152</sub>) to excited states: <sup>4</sup>G<sub>11/2</sub>, <sup>2</sup>H<sub>11/2</sub>, <sup>(4</sup>F<sub>3/2</sub>, <sup>4</sup>F<sub>5/2</sub>), <sup>4</sup>F<sub>7/2</sub>, <sup>2</sup>H<sub>11/2</sub>, <sup>4</sup>S<sub>3/2</sub>, <sup>4</sup>F<sub>9/2</sub>, <sup>4</sup>I<sub>9/2</sub>, <sup>4</sup>I<sub>11/2</sub> and <sup>4</sup>I<sub>13/2</sub> transitions of the Er<sup>3+</sup> and <sup>2</sup>F<sub>7/2</sub>  $\rightarrow$  <sup>2</sup>F<sub>5/2</sub> of the Yb<sup>3+</sup> ions, respectively, were observed. Furthermore, the reflectance intensity at <sup>4</sup>F<sub>5/2</sub>, <sup>4</sup>F<sub>7/2</sub>, <sup>2</sup>H<sub>11/2</sub>, <sup>4</sup>S<sub>3/2</sub>, <sup>4</sup>F<sub>9/2</sub>, <sup>4</sup>I<sub>11/2</sub>, <sup>4</sup>I<sub>13/2</sub> states of the Er<sup>3+</sup> and <sup>2</sup>F<sub>5/2</sub> of the Yb<sup>3+</sup> ions were increased with the increase of heat treatment temperatures from 600 to 685 °C.



Figure 3. (a) TEM image of SEY-0.2E2.5Y-685 transparent silicate glass-ceramics sample; (b) HRTEM image of SEY-0.2E2.5Y-685 transparent silicate glass-ceramics sample.



Figure 4. Reflectance spectra of Er<sup>3+</sup>/Yb<sup>3+</sup> co-doped in SEY-0.2E2.5Yglass, SEY-0.2E2.5Y-600, SEY-0.2E2.5Y-630, SEY-0.2E2.5Y-660, and SEY-0.2E2.5Y-685 transparent glass-ceramics samples.

Figure 5 shows DC emission spectra of the SEY-0.2E2.5Y-glass, SEY-0.2E2.5Y-600, SEY-0.2E2.5Y-630, SEY-0.2E2.5Y-660 and SEY-0.2E2.5Y-685 transparent glassceramics samples under excitation 410 nm in resonance with the  ${}^{2}H_{\alpha\gamma}$  (Er<sup>3+</sup>) level. In contrast to the slight DC luminescence observed through the precursor glass, the strong DC emission intensity of the  $\mathrm{Er}^{3+}/\mathrm{Yb}^{3+}$  co-doped bands centered at 824 nm  $({}^{2}\text{H}_{9/2} \rightarrow {}^{4}\text{I}_{11/2}), 849 \text{ nm} ({}^{2}\text{H}_{11/2} \rightarrow {}^{4}\text{I}_{13/2}), 883 \text{ nm} ({}^{4}\text{S}_{3/2} \rightarrow {}^{4}\text{I}_{13/2}),$ 918 nm ( ${}^{4}F_{7/2} \rightarrow {}^{4}I_{11/2}$ ), 978 nm ( ${}^{4}I_{11/2} \rightarrow {}^{4}I_{15/2}$  of the Er<sup>3+</sup> and  ${}^{2}F_{5/2} \rightarrow {}^{2}F_{7/2}$  of the Yb<sup>3+</sup>), 1265 nm ( ${}^{4}S_{3/2} \rightarrow {}^{4}I_{11/2}$ ), 1533 nm  $({}^{4}I_{13/2} \rightarrow {}^{4}I_{15/2})$  were observed in the glass-ceramics after heat treatment process changing temperatures <sup>18</sup>. The reasons contributing to the increase of the DC emission intensity: in the case of the specimens after heat treatment, the Er<sup>3+</sup> and Yb<sup>3+</sup> ions practically dispersive into precipitated nanocrystal, the Er3+ and Yb3+ ions are condensed in the glass-ceramics, so that the distances between the Er3+ and Yb3+ become closer and consequently result in increasing of the DC emission intensity 20.



Figure 5. DC emission spectra of SEY-0.2E2.5Y-glass, SEY-0.2E2.5Y-600, SEY-0.2E2.5Y-630, SEY-0.2E2.5Y-660 and SEY-0.2E2.5Y-685 transparent glass-ceramics samples.

The DC emission spectra of the SEY-0.2E2.5Y-10h, SEY-0.2E2.5Y-15h, SEY-0.2E2.5Y-20h, SEY-0.2E2.5Y-25h, and SEY-0.2E2.5Y-30h transparent glass-ceramics samples, under excitation 410 nm are shown in Fig. 6. Similar in the case of changing heat treatment temperatures, the DC emission intensity of the  $Er^{3+}/Yb^{3+}$  co-doped bands centered at 824, 849, 883, 918, 978, 1265 and 1533 nm were strongly increased with the increase of heat treatment times from 10 to 30 h. These results confirms that the heat treatment processes greatly affects the DC emission intensity of  $Er^{3+}/Yb^{3+}$  co-doped transparent silicate glass-ceramics.



Figure 6. DC emission spectra of SEY-0.2E2.5Y-10h, SEY-0.2E2.5Y-15h, SEY-0.2E2.5Y-20h, SEY-0.2E2.5Y-25h, and SEY-0.2E2.5Y-30h transparent glass-ceramics samples.

Furthermore, the effect of Yb3+ concentration on the DC emission intensity of Er<sup>3+</sup>/Yb<sup>3+</sup> co-doped transparent silicate glass-ceramics were also presented follows. The DC emission spectra of SEY-0.2E0Y, SEY-0.2E1.0Y, SEY-0.2E1.5Y, SEY-0.2E2.0Y, SEY-0.2E2.5Y and SEY-0.2E3.0Y transparent glass-ceramics samples, under 410 nm excitation are shown in Fig. 7. As shown in the Fig. 7, in the DC process, the Yb<sup>3+</sup> ions act as an efficient sensitizer. While Er<sup>3+</sup> fixed concentration, with the increase of Yb3+ concentration, the DC emission intensity of Er3+/Yb3+ co-doped bands centered at 849, 883 and 1533 nm were strongly increased and reaches its maximum value when the content of Yb<sub>2</sub>O<sub>2</sub> is 2.5 mol. %. When the concentration exceed 2.5 mol. %, the DC emission intensity of Er3+/Yb3+ co-doped bands centered at 849, 883 and 1533 nm was decreased. This result may be owing to the reasons mainly of the self-quenching effect can be attributed to the cluster or the ions pair between the Yb<sup>3+</sup> ions is possibly formed in high the Yb<sup>3+</sup> concentration <sup>21</sup>. Further, the increase of Yb<sup>3+</sup> concentration has enhanced the probability of interaction between the Yb3+ ions and some impurity, such as OH- impurities was born from atmospheric moisture during melting <sup>22</sup>. Therefore, the Yb<sup>3+</sup> could not effectively absorb the pumping energy leading to the quenching of the DC emission intensities.



Figure 7. DC emission spectra of SEY-0.2E0Y, SEY-0.2E1.0Y, SEY-0.2E1.5Y, SEY-0.2E2.0Y, SEY-0.2E2.5Y and SEY-0.2E3.0Y transparent glass-ceramics samples.

It is interesting that the DC emission intensity band centered 978 nm, corresponding to the transitions:  ${}^{4}I_{11/2} \rightarrow$  ${}^{4}I_{15/2}$  of  $Er^{3+}$  and  ${}^{2}F_{5/2} \rightarrow {}^{2}F_{7/2}$  of Yb<sup>3+</sup> didn't quench when the Yb<sup>3+</sup> concentration excess 2.5 mol. % (see inset of Fig. 7). The strong DC emission intensity band around 978 nm consists in two contributions: (i) the  ${}^{4}I_{11/2} \rightarrow {}^{4}I_{15/2}$  transition of  $Er^{3+}$  ions and (ii) the  ${}^{2}F_{5/2} \rightarrow {}^{2}F_{7/2}$  transition of Yb<sup>3+</sup> ions. On the other hand, the increase of the DC emission intensity bands at 849, 883 and 1533 nm can be explained by the following reasons: Firstly, we deem that the ET from  ${}^{2}F_{so}$  $\rightarrow$   $^2F_{_{7/2}}$  transition of Yb^{\_3+} to  $^4I_{_{11/2}}$   $\rightarrow$   $^4I_{_{15/2}}$  and  $^4F_{_{9/2}}$   $\rightarrow$   $^4I_{_{13/2}}$ transitions of the Er3+ might be occurred. Secondly, the crossrelaxation (CR) may be occur between two neighboring Er<sup>3+</sup> ions  $[{}^{4}F_{9/2} - {}^{4}I_{13/2}]; [{}^{2}H_{9/2} - {}^{4}F_{9/2}],$  the efficiency of the ET strongly depends on the distance of two Er3+ ions. The mechanism of the ET from  $Yb^{3+}$  to  $Er^{3+}$  ions and CR from  $Er^{3+}$  to  $Er^{3+}$ ions was suggested as follows:

 ${}^{2}F_{5/2}(Yb^{3+}) + {}^{4}I_{11/2}(Er^{3+}) \rightarrow {}^{2}F_{7/2}(Yb^{3+}) + {}^{4}I_{15/2}(Er^{3+})(ET1)$   ${}^{2}F_{5/2}(Yb^{3+}) + {}^{4}I_{13/2}(Er^{3+}) \rightarrow {}^{2}F_{7/2}(Yb^{3+}) + {}^{4}F_{9/2}(Er^{3+}).(ET2)$   ${}^{4}F_{9/2}(Er^{3+}) + {}^{2}H_{9/2}(Er^{3+}) \rightarrow {}^{4}I_{13/2}(Er^{3+}) + {}^{4}F_{9/2}(Er^{3+}).(CR).$ 

In addition, a variation of the molar concentration of  $Er^{3+}$  ions while keeping the concentration of  $Yb^{3+}$  ions in transparent silicate glass-ceramics composition was also given for comparison in the second component of SEY-2 transparent glass-ceramics sample. The DC emission of SEY-0.1E2.5Y, SEY-0.3E2.5Y, SEY-0.3E2.5Y, SEY-0.3E2.5Y, SEY-0.3E2.5Y glass-ceramics samples under excitation 410 nm are shown in Fig. 8. From results in Figure 8, the DC emission intensity bands centered at 824 nm ( ${}^{2}H_{9/2} \rightarrow {}^{4}I_{11/2}$ ), 849 nm ( ${}^{2}H_{11/2} \rightarrow {}^{4}I_{13/2}$ ), 883 nm ( ${}^{4}S_{3/2} \rightarrow {}^{4}I_{13/2}$ ), 918 nm ( ${}^{4}F_{7/2} \rightarrow {}^{4}I_{11/2}$ ), 1265 nm ( ${}^{4}S_{3/2} \rightarrow {}^{4}I_{11/2}$ ) and 1533 nm ( ${}^{4}I_{13/2} \rightarrow {}^{4}I_{15/2}$ ) originated from  $Er^{3+}$  ions. In contrast, the DC emission intensity band centered around 978 nm, corresponding to the transitions:  ${}^{4}I_{11/2} \rightarrow {}^{4}I_{15/2}$  transition of  $Er^{3+}$  and  ${}^{2}F_{5/2} \rightarrow {}^{2}F_{7/2}$  transition of  $Yb^{3+}$  decreased with increasing molar concentration of  $Er^{3+}$  ions.



Figure 8. DC emission spectra of SEY-0.1E2.5Y, SEY-0.3E2.5Y, SEY-0.5E2.5Y, SEY-0.8E2.5Y and SEY-1.0E2.5Y transparent glass-ceramics samples.

This phenomenon can be explained by these reasons: Firstly, as the molarity of  $Er^{3+}$  ions increased, the increased luminescent centers lead the emission intensity bands centered at 824, 849, 883, 918, 1265 and 1533 nm significantly increased. Secondly, the possible ET from Yb<sup>3+</sup> to  $Er^{3+}$  ions, contribute to the emission intensity bands centered at 824, 849, 883, 918, 1265 and 1533 nm improved while emission intensity bands centered at 978 nm decreased. The mechanism of the ET from Yb<sup>3+</sup> to  $Er^{3+}$  ions was proposed as above section.

The DC emission mechanism of Er<sup>3+</sup>/Yb<sup>3+</sup> co-doped glass-ceramics are depicted schematically in Fig. 9.

Fig. 9 Mechanism for DC processes of the Er<sup>3+</sup>/Yb<sup>3+</sup> co-doped in SEY-1 glass-ceramics, under excitation 410 nm.



Figure 9. Mechanism for DC processes of the  $Er^{3+}/Yb^{3+}$  codoped in SEY-1 glass-ceramics, under excitation 410 nm.

First of all, the  $Er^{3+}$  ions were the excited to the  ${}^{2}H_{_{9/2}}$ level under excitation at 410 nm. From the <sup>2</sup>H<sub>9/2</sub> level, the  $\mathrm{Er}^{3+}$  ions decay radiative to the  ${}^{4}\mathrm{I}_{_{11/2}}$  state generating the DC emission around at 824 nm, and then quickly relaxes to the  ${}^{4}F_{7/2}$  level with multi-phonon relaxing process <sup>23</sup>. The next step, from the  ${}^{4}F_{_{7/2}}$  level, the  $Er^{3+}$  ions decay radiative to the  ${}^{4}I_{11/2}$  state generating the DC emission around 918 nm, and the  ${}^{4}F_{7/2}$  level relaxes to the  ${}^{2}H_{11/2}$  and further relaxation to the  ${}^{4}S_{3/2}$  levels. At the same time, the cooperative energy transfer (CET) process from one Er3+ ion to two neighboring Yb<sup>3+</sup> ions occurs via cooperative dipole-dipole interaction. Subsequently, the ET from  ${}^{2}F_{5/2} \rightarrow {}^{2}F_{7/2}$  transition of Yb<sup>3+</sup> to  ${}^{4}I_{11/2} \rightarrow {}^{4}I_{15/2}$  and  ${}^{4}F_{9/2} \rightarrow {}^{4}I_{13/2}$  transitions of Er<sup>3+</sup> were occurred. And after the ET process, the Yb<sup>3+</sup> emission is observed around 978 nm corresponding to the  ${}^{2}F_{5/2} \rightarrow {}^{2}F_{7/2}$  transition. From the  ${}^{2}H_{11/2}$  level, the Er<sup>3+</sup> ions decay radiative to the  ${}^{4}I_{11/2}$ state generating the DC emission band at 849 nm. Similarly, from the  ${}^{4}S_{3/2}$  level, the Er<sup>3+</sup> ions decay radiative to  ${}^{4}I_{13/2}$  and <sup>4</sup>I<sub>11/2</sub> states generating the DC emissions bands around 883 and 1265 nm, respectively 24. The major contribution to the DC emission at 1533 nm is attributed to the  ${}^{4}I_{13/2} \rightarrow {}^{4}I_{15/2}$ transition as shown in Fig. 9.

Furthermore, the fluorescence lifetimes of Yb<sup>3+</sup> have been measured in SEY-0.1E2.5Y, SEY-0.3E2.5Y, SEY-0.5E2.5Y, SEY-0.8E2.5Y, and SEY-1.0E2.5Y transparent glass-ceramics samples to have a further evidence of energy transfer from Yb<sup>3+</sup> to Er<sup>3+</sup>. The fluorescence lifetimes  $\tau$  of Yb<sup>3+</sup> at <sup>2</sup>F<sub>5/2</sub>  $\rightarrow$  <sup>2</sup>F<sub>7/2</sub> under 410 nm excitation were measured and were presented in Fig. 10. The fluorescence lifetime was monitored at 1000 nm to avoid the luminescence owing to the <sup>4</sup>I<sub>11/2</sub>  $\rightarrow$ <sup>4</sup>I<sub>15/2</sub> (Er<sup>3+</sup>) emission. Decay curves were well calculated as a double-exponential luminescence decay by using equation <sup>25</sup>:

$$I(t) = A_1 \cdot \exp\left(\frac{-t}{t_1}\right) + A_2 \cdot \exp\left(\frac{-t}{t_2}\right)$$
(2)

Where I(t) is the luminescence intensity;  $A_1$  and  $A_2$  are fitting constants; t is the time;  $\tau_1$  and  $\tau_2$  are short and long decay lifetimes for exponential components, respectively. By using these parameters, average photoluminescence lifetimes ( $\tau^*$ ) for SEY-0.1E2.5Y, SEY-0.3E2.5Y, SEY-0.5E2.5Y, SEY-0.8E2.5Y and SEY-1.0E2.5Y transparent glass-ceramics samples can be resolved and calculated by using equation <sup>26</sup>:

$$t^* = \frac{A_1 t_1^2 + A_2 t_2^2}{A_1 t_1 + A_2 t_2} \tag{3}$$

Average decay lifetimes of SEY-0.1E2.5Y, SEY-0.3E2.5Y, SEY-0.5E2.5Y, SEY-0.8E2.5Y, and SEY-1.0E2.5Y transparent glass-ceramics samples has been calculated to be about 32.5, 30.9, 29.8, 27.6 and 25.4  $\mu$ s, respectively. Decay lifetimes for Yb<sup>3+</sup> at (<sup>2</sup>F<sub>5/2</sub>  $\rightarrow$  <sup>2</sup>F<sub>7/2</sub>) transition were found to decrease with increase of Er<sup>3+</sup> concentration, this result confirms that the strong evidence for the ET from Yb<sup>3+</sup> to Er<sup>3+</sup> ions <sup>14,27</sup>.



Figure 10. Decay lifetimes  $\tau$  of Yb<sup>3+</sup> at  ${}^{2}F_{5/2} \rightarrow {}^{2}F_{7/2}$  under excitation 410 nm

#### 4. Conclusions

In study of this article, the effects of heat treatment and Yb<sup>3+</sup> concentration on the DC emission of  $Er^{3+}/Yb^{3+}$ co-doped in transparent silicate glass-ceramics containing BaF<sub>2</sub> nanocrystals were successfully investigated. Comparison with the precursor glass, the DC luminescence of  $Er^{3+}/Yb^{3+}$  co-doped transparent glass-ceramics has significantly enhanced after heat treatment process changing temperatures and times. With the increase of Yb<sup>3+</sup> concentration, the DC emission intensity of  $Er^{3+}/Yb^{3+}$  co-doped bands centered at 849, 883 and 1533 nm were strongly increased and reaches its maximum at 2.5 mol. % Yb<sup>3+</sup> concentration.

When the concentration exceed 2.5 mol. %, the DC emission intensity of  $Er^{3+}/Yb^{3+}$  co-doped bands centered at 849, 883 and 1533 nm was decreased, owing to the selfquenching effect. Whereas the DC emission intensity band centered 978 nm, corresponding to the transitions:  ${}^{4}I_{11/2} \rightarrow$  ${}^{4}I_{15/2}$  of  $Er^{3+}$  and  ${}^{2}F_{5/2} \rightarrow {}^{2}F_{7/2}$  of  $Yb^{3+}$  didn't quench when the  $Yb^{3+}$  concentration exceed 2.5 mol. %. At the same time, we deem that there was possibly an energy transition process from the  ${}^{2}F_{5/2} \rightarrow {}^{2}F_{7/2}$  transition of  $Yb^{3+}$  to the  ${}^{4}I_{11/2} \rightarrow {}^{4}I_{15/2}$  and  ${}^{4}F_{9/2} \rightarrow {}^{4}I_{13/2}$  transitions of  $Er^{3+}$  ions. In addition, the data presented for this study might provide useful information for further development of the DC in transparent silicate glass-ceramics associated with the ET between  $Yb^{3+}$  and  $Er^{3+}$  ions. These materials are promising for applications in enhancing conversion efficiency of SC.

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