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Factors associated with dental caries in adolescents: a cross-sectional study, São Paulo State, Brazil, 2015*

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Abstract

Objective: To analyze association between prevalence and severity of dental caries and demographic, socioeconomic, behavioral and oral health status in adolescents. **Methods:** This was a cross-sectional study with secondary data on 5,558 adolescents examined in the São Paulo State Oral Health Survey in 2015. The zero-inflated negative binomial regression model was used, considering sample weights. Prevalence ratios (PR), ratios of mean (RM) and confidence intervals (CI) were estimated. **Results:** Caries prevalence was 71.7%, and was higher in females (PR=1.09 – 95%CI 1.04;1.15), associated with being behind at school (PR=1.11 – 95%CI 1.03;1.18), dental calculus (PR=1.10 – 95%CI 1.01;1.20) and no access to fluoridated water (PR=1.21 – 95%CI 1.01;1.45). **Conclusion:** Identification of factors associated with dental caries can instruct the programming of health services aimed at controlling the disease.

Keywords: Dental Caries; Adolescents; Oral Health; Socioeconomic Factors, Cross-Sectional Study.

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Introduction

Dental caries is still the main oral health problem in Brazil, apart from being the most studied oral disease worldwide. It has a multifactorial etiology and has been described as a social disease. Determinants of its prevalence include biological, dietary, behavioral and socioeconomic factors, as well as factors involving access to durable goods and health services. ¹ National surveys have shown a scenario of decreasing dental caries, principally among school children. ^{2,3} Studies also point to polarization of dental caries, with absence of the disease in one pole and a large number of cases concentrated in a small group of individuals in the other pole. Presence of caries is increasingly moving away from a uniform distribution, reflecting increasing levels of social inequality.⁴

Reducing oral health inequities is one of the main challenges imposed on public health policy formulators, whereby identification of social determinants of oral health is one of the possible ways of overcoming this difficulty. Based on information obtained from epidemiological studies of oral diseases, it is possible to see whether prevalence continues to fall or has stabilized, and whether socioeconomic inequality in disease distribution has continued in the most recent period.

Presence of caries is increasingly moving away from a uniform distribution, reflecting increasing levels of social inequality.

Adolescence is an important transition in the evolution of human beings, a time of biological, cognitive, emotional and social changes, marked by increased autonomy, independence in relation to the family and experimentation of new behaviors and experiences. In this stage of life in which growth is rapid, there is a great need for calories, along with greater freedom in choosing what to eat, so that the opportunity arises for high consumption of food containing sugar, this being a risk factor for dental caries which, alongside other factors, such as socioeconomic level, behavior and oral hygiene, contributes to the disease setting in and progressing. §

There is evidence that establishing health promotion measures early, such as during intrauterine life, in childhood and adolescence, improves quality of life in adulthood.⁹ Epidemiological studies of adolescents,

for example, enable the impacts of dental caries to be assessed and thus promote better control of this disease through strategies targeting this population.

The objective of this study was to analyze association between caries prevalence and severity and demographic, socioeconomic, behavioral and oral health status factors in adolescents in São Paulo State in 2015.

Method

This study had a cross-sectional design. It was based on secondary data retrieved from an epidemiological oral health survey entitled 'São Paulo State Oral Health Survey' conducted in 2015. Our study analyzed data collected in 2015 on 5,558 adolescents aged between 15 and 19 years old who lived in São Paulo State.

The state epidemiological survey used probabilistic sampling, by clusters, in two stages, taking into consideration sample weight and design effect in the respective stages of participant selection. The size of the dental caries sample was defined using the sample calculation formula proposed by Silva, 11 which considers the mean and standard deviation values of the variable being studied. The detailed calculation can be found in the final report of the state epidemiological survey. 10

The examinations were performed by 250 work teams comprised of dental surgeons and auxiliaries, totaling 550 professionals involved. The teams were trained and calibrated in workshops that lasted 16 hours, with the aim of discussing the operationalization of each stage of the work, the attributions of each participant, and ensuring an acceptable degree of uniformity in the procedures. Percentage intra and inter-examiner agreement was checked in order to ascertain the reproducibility of the study. The consensus technique was used and in the final round the Kappa coefficient was calculated, weighted for each examiner, age group and health condition studied, whereby 0.65 was the minimum acceptable limit. Examiners whose Kappa values were lower than 0.65 were not included in the study. The mean inter-examiner Kappa value was 0.82 for dental caries.10

The DMFT index, considered to be the outcome of this study, represents the mean of the total number of decayed, missing and filled permanent teeth in a population group. In the case of just one individual, the DMFT index is the sum of the conditions found in all their teeth. Through this index we obtained prevalence

(DMFT=0; absence of caries and DMFT>0; presence of caries) and severity (DMFT scores varying between 0 and 32) of dental caries in the group studied.

The independent variables, considered in order to assess associated factors, were classified into five blocks conceptually organized as follows:

- i) Demographic characteristics (age; gender; race/skin color, self-reported);
- ii) Socioeconomic status (household crowding; family income; number of durable goods in the household);
- iii) Behavioral status (behind at school);
- iv) Oral health status (gum bleeding on probing; dental calculus); and
- v) Access to fluoridated water.

Household crowding was measured as the number of residents per bedroom in the household, categorized into less than two (less crowded households) and two or more (more crowded households). Family income was assessed according to amount categories, expressed in Brazilian Reals (BRL) (in the data collection reference period, one United States dollar corresponded to BRL 3.10). With regard to the number of durable goods in the household, this was informed by means of a standard questionnaire, including items such as refrigerators, radios, televisions etc.

The 'behind at school' variable was dichotomous, differentiating adolescents at least one year behind in relation to the number of school years expected for the corresponding age (11 school years for adolescents aged 18 and 19; 10 school years for those aged 17; 9 school years for those aged 16; 8 school years for those aged 15). Adolescents behind at school also included adolescents who, for whatever reason, left formal education before completing high school. This variable has also been incorporated into the overall assessment of the human development index in Brazil. ¹²

Measurement of prevalence of untreated caries, gum bleeding on probing and dental calculus was obtained by means of mouth examination. Access to fluoridated water was also assessed in a dichotomous manner, based on municipalities that did or did not have a fluoridated public water supply. 13 Pronounced non-normal DMF distribution, biased to the left, required the use of zero-inflated regression models. Given that this form of presenting the data implies a large concentration of individuals with zero DMFT (free from caries), this justified the choice of the zero-inflated negative binomial regression model in order to obtain greater

accuracy in the statistical analysis and exactness of the knowledge generated.

Descriptive statistics were performed. Prevalence ratios (PR) and ratios of mean (RM) were estimated, with their crude values and respective 95% confidence intervals (95%CI).

A zero-inflated negative binomial regression model was used to study association between dental caries distribution and exposure variables of interest. This model was used to calculate PRs in order to identify variables associated with caries prevalence, as well as being used to calculate RMs, in order to indicate factors associated with the number of teeth affected by caries (severity). All the variables of interest were included in the multiple regression model, regardless of fit quality.

The statistical analyses were performed using the Stata version 15.0 computer program (College Station, Texas, USA, 2017), in the survey mode, considering the complex structure of the survey (cluster sampling) and respective sampling weights.

The study project was approved by the São Paulo University Public Health Faculty Research Ethics Committee (CEP/FSP/USP) on May 23rd 2017: Opinion No. 2.078.896; Certificate of Submission for Ethical Appraisal (CAEE) No. 66076517.6.0000.5421.

Results

The DMFT index found among the 15-19-year-old adolescents was 3.76; while caries prevalence was 71.7%. Figure 1 shows the DMFT index distribution and the high concentration of individuals with zero DMFT, i.e. free from caries. Table 1 characterizes the sample.

Analysis of non-adjusted associations with dental caries prevalence and severity is shown in Table 2. Females were found to have higher caries prevalence (PR=1.09 – 95%CI 1.04;1.16) and greater caries severity (RM=1.11 – 95%CI 1.01;1.22 [Table 3]), when compared to males. Dental caries prevalence and severity was found to increase as age increased. No statistically significant differences were found in the 'race/skin color' variable categories. With regard to socioeconomic status, adolescents who lived in an environment where there was more household crowding had higher dental caries prevalence (PR=1.09 – 95%CI 1.03;1.15), although no difference in caries severity was found among these individuals. Adolescents from households with family income below BRL 1500 had

more severe caries, and fewer durable goods in their households was associated with greater dental caries prevalence and severity.

The behavioral status of being behind at school was associated with greater dental caries prevalence $(PR=1.17-95\%CI\ 1.09;1.25)$ and severity $(RM=1.23-95\%CI\ 1.03;1.47)$.

With regard to oral health status, bleeding gums were found to be associated with caries severity (RM=1.24-95%CI 1.11;1.39), while dental calculus was associated with prevalence (PR=1.13-95%CI 1.06;1.21) and severity (RM=1.19-95%CI 1.06;1.33). Regarding access to fluoridated water, greater dental caries prevalence and severity were found among those who did not have access: PR=1.20-95%CI 0.96;1.49 (for prevalence) and RM=1.77-95%CI 1.51;2.08 (for severity).

After adjusting the model (Table 3), dental caries prevalence was found to be greater in females, although greater severity in this group was not significant. With regard to age, there was statistically significant difference in the 17 and 18 year age groups. In relation to socioeconomic status, household crowding was not found to be associated with caries prevalence and severity. However, family income below BRL 1500 was associated with greater severity, while having fewer than 8 durable goods in the household was associated with greater prevalence.

Being behind at school only remained associated with greater caries prevalence (PR=1.11-95%CI

1.03;1.18). With regard to health status, bleeding gums remained associated with caries severity, while dental calculus only remained associated with prevalence. Access to fluoridated water was associated with both dental caries prevalence and severity.

Discussion

The results of this study indicate that the female sex, being older, lower family income, fewer durable goods in the household, being behind at school, oral health status (bleeding gums and dental calculus) and lack of access to fluoridated water were associated with greater dental caries prevalence and/or severity.

Dental caries inequalities among entre adolescents continue to be a reality. The results showed that having lower family income and fewer durable goods in the household were associated with greater dental caries prevalence and severity. A systematic review published in 2018, ¹⁴ looked for consistent evidence of association between contextual social inequalities and occurrence of dental caries in adolescents, and found articles revealing significant association between contextual socioeconomic factors and caries, demonstrating the importance of these variables in the development of the disease.

A study conducted in Sweden in 2009, ¹⁵ concluded that socioeconomic factors were associated with dental caries experience in children and adolescents, when used as single factors or combined in an index. Another

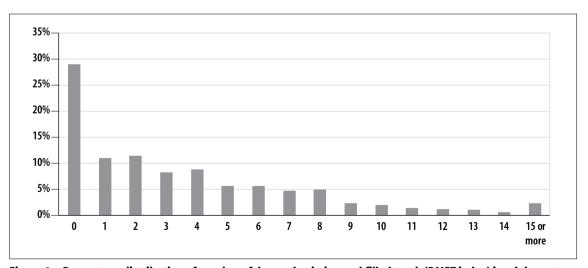


Figure 1 – Percentage distribution of number of decayed, missing and filled teeth (DMFT index) in adolescents (15-19 years old), São Paulo State, 2015

Table 1 – Demographic, socioeconomic, behavioral and tooth brushing quality characteristics in adolescents (15-19 years old), São Paulo State, 2015

Variables	n	Percentage in the weighted sample	Caries prevalence (%)	DMFT ^a (95% CI) ^b
Demographic characteristics				
Gender				
Male	2,405	43.27	67.43	3.22 (2.91;3.52)
Female	3,153	56.73	73.79	3.84 (3.45;4.23)
Age (years)				
15	1,546	27.81	62.03	2.76 (2.47;3.05)
16	1,081	19.45	67.41	2.99 (2.59;3.40)
17	908	16.34	76.07	4.21 (3.64;4.78)
18	918	16.52	73.28	3.73 (3.14;4.31)
19	1,105	19.88	76.99	4.61 (4.03;5.10)
Race/skin color				
White	3,357	60.41	69.09	3.38 (3.09;3.67)
Black	412	7.42	72.63	3.65 (3.08;4.22)
Yellow	57	1.02	80.95	6.46 (2.41;10.52)
Brown	1,722	30.98	74.20	3.83 (3.39;4.27)
Indigenous	10	0.17	55.59	2.55 (0.30;4.80)
Socioeconomic status				
Household crowding				
Up to 2 people	3,891	70.00	69.15	3.48 (3.17;3.79)
2 or more	1,667	30.00	75.45	3.78 (3.32;4.24)
Family income				
Up to BRL 1500	1,964	35.34	72.47	3.90 (3.54;4.26)
BRL 1501 to BRL 2500	1,484	26.71	69.03	3.34 (2.90;3.78)
BRL 2501 or more	2,110	37.95	71.12	3.43 (2.94;3.91)
Number of durable goods in the hou	sehold			
Up to 8	3,157	56.81	73.97	3.92 (3.57;4.28)
9 or more	2,401	43.19	67.19	3.11 (2.75;3.47)
Behavioral status				
Behind at school				
No	4,300	77.38	68.45	3.28 (2.96;3.59)
Yes	1,258	22.62	79.90	4.58 (3.88;5.28)
Oral health status				
Gum bleeding on probing				
No	3,696	66.51	69.25	3.25 (2.97;3.52)
Yes	1,862	33.49	74.59	4.21 (3.70;4.72)
Dental calculus				
No	3,810	68.55	68.16	3.25 (2.94;3.56)
Yes	1,748	31.45	77.31	4.27 (3.87;4.67)
Access to fluoridated water				
Yes	5,478	99.40	70.95	3.55 (3.24;3.86)
No	80	0.60	85.12	7.10 (4.41;9.78)
Total	5,558	100.00	71.04	3.57 (3.26;3.88)

a) DMFT: number of decayed, missing and filled teeth.

cohort study published in 2020 and conducted with 1134 12-year-old adolescents in Southern Brazil, ¹⁶ found that adolescents of lower socioeconomic status and poor schooling contexts had higher rates of dental caries; and that non-White adolescents who had fewer dental appointments, dental plaque and whose parents

had little perception of children's oral health, were also associated with greater dental caries incidence.

Despite this context of inequalities in dental caries distribution, when the results of this study are compared with another study also conducted with adolescents in São Paulo State, in 2002, a falling trend in dental caries

b) 95%CI:95% confidence interval.

Table 2 – Estimated prevalence ratio and confidence interval values for dental caries, in the crude analysis and in the adjusted zero-inflated negative binomial regression, in adolescents (15-19 years old), São Paulo State, 2015

Variables	PRª	95%CI ^b	p-value ^c	PRa^d	95%CI ^b	p-value
Demographic characteristics						
Gender						
Male	1.00			1.00		
Female	1.09	1.04;1.16	< 0.001	1.09	1.04;1.15	0.001
Age (years)						
15	1.00			1.00		
16	1.04	0.92;1.16	0.546	1.04	0.93;1.16	0.457
17	1.17	1.06;1.29	0.001	1.13	1.03;1.24	0.006
18	1.13	1.02;1.24	0.014	1.09	0.99;1.19	0.076
19	1.18	1.09;1.28	< 0.001	1.13	1.04;1.22	0.003
Race/skin color		•			•	
White	1.00			1.00		
Black	1.05	0.95;1.16	0.341	1.03	0.93;1.14	0.611
Yellow	1.17	0.88;1.55	0.271	1.05	0.88;1.25	0.488
Brown	1.07	0.99;1.16	0.082	1.05	0.98;1.14	0.205
Indigenous	0.80	0.39;1.65	0.550	0.83	0.41;1.66	0.596
Socioeconomic status		,			, , , , , , , , , , , , , , , , , , , ,	
Household crowding		,				
Up to 2	1.00			1.00		
2 or more	1.11	1.00;1.23	0.002	1.07	1.00;1.14	0.041
Family income		•				
Up to BRL 1500	1.00			1.00		
BRL 1501 to BRL 2500	0.95	0.88;1.03	0.236	0.97	0.90;1.05	0.551
BRL 2501 or more	0.98	0.87;1.11	0.760	1.00	0.90;1.12	0.963
Number of durable goods in the househol	d					
Up to 8	1.00			1.00		
9 or more	0.91	0.85; 0.97	0.005	0.94	0.88;0.99	0.058
Behavioral status		•			•	
Behind at school						
No	1.00			1.00		
Yes	1.17	1.09;1.25	< 0.001	1.11	1.03;1.18	0.004
Oral health status						
Gum bleeding on probing						
No	1.00			1.00		
Yes	1.08	1.00;1.16	0.04	1.00	0.92;1.09	0.939
Dental calculus		.,			,	
No	1.00			1.00		
Yes	1.13	1.06;1.21	< 0.001	1.10	1.01;1.20	0.025
Access to fluoridated water					, , , , , , , , , , , , , , , , , , ,	
Yes	1.00			1.00		
No	1.20	0.96:1.49	< 0.001	1.21	1.01;1.45	< 0.001

a) PR: prevalence ratio.

 $\label{thm:pote:Values} \mbox{Note: Values adjusted for all the variables in the model.}$

b) 95%CI: 95% confidence interval.

c) Regression coefficient t-test.

d) Adjusted prevalence ratio.

Table 3 – Estimated ratio of mean and confidence interval values for dental caries, in the crude analysis and in the adjusted zero-inflated negative binomial regression, in adolescents (15-19 years old), São Paulo State, 2015

Variables	RMa	95%CI⁵	p-value ^c	RMa^d	95%CI ^b	p-value ^c
Demographic characteristics						
Gender						
Male	1.00			1.00		
Female	1.11	1.01;1.22	0.037	1.07	0.98;1.18	0.138
Age (years)						
15	1.00			1.00		
16	1.06	0.94;1.18	0.34	1.07	0.95;1.20	0.288
17	1.37	1.20;1.55	< 0.001	1.29	1.16;1.43	< 0.001
18	1.24	1.07;1.43	0.004	1.20	1.04;1.39	0.012
19	1.49	1.34;1.66	< 0.001	1.40	1.23;1.59	< 0.001
Race/skin color						
White	1.00			1.00		
Black	1.03	0.89;1.19	0.667	1.00	0.86;1.15	0.939
Yellow	1.74	1.19;2.54	0.005	1.35	1.01;1.83	0.054
Brown	1.06	0.98;1.16	0.139	1.04	0.95;1.13	0.288
Indigenous	0.92	0.64;1.33	0.673	1.02	0.65;1.60	0.981
Socioeconomic status						
Household crowding						
Up to 2	1.00			1.00		
2 or more	1.08	0.90;1.09	0.896	1.07	0.98;1.17	0.765
Family income						
Up to BRL 1500	1.00			1.00		
BRL 1501 to BRL 2500	0.88	0.80;0.98	0.016	0.91	0.82;0.99	0.049
BRL 2501 or more	0.88	0.80;0.97	0.008	0.89	0.78;0.99	0.054
Number of durable goods in the household						
Up to 8	1.00			1.00		
9 or more	0.85	0.77;0.94	0.001	0.91	0.81;1.02	0.136
Behavioral status						
Behind at school						
No	1.00			1.00		
Yes	1.23	1.03;1.47	0.020	1.07	0.92;1.24	0.298
Oral health status					,	
Gum bleeding on probing						
No	1.00			1.00		
Yes	1.24	1.11;1.39	< 0.001	1.15	1.02;1.30	0.018
Dental calculus						
No	1.00			1.00		
Yes	1.19	1.06;1.33	0.004	1.04	0.91;1.19	0.549
Access to fluoridated water		•			•	
Yes	1.00			1.00		
No	1.77	1.51;2.08	< 0.001	1.81	1.56;2.09	< 0.001
) RM: ratio of mean						

a) RM: ratio of mean.

Nota: Values adjusted for all the variables in the model.

b) 95%CI: 95% confidence interval.

c) Regression coefficient t-test.

d) Adjusted ratio of mean.

among adolescents in this age group can be seen.¹⁷

Notwithstanding, the caries burden remains in all age ranges. Dental caries can start at an early age, continue though childhood and adolescence and become more severe in adult life, resulting in tooth loss and consequent deterioration in quality of life. This study found statistical difference between the ages analyzed in relation to caries prevalence and severity, thus showing its cumulative effect. Addressing caries in adolescence is therefore important, as it can indicate factors that predict tooth loss in adult life.

A cross-sectional study that analyzed data on adolescents examined during a national oral health epidemiological survey in China in 2016,¹⁹ found an annual increase of 3% in dental caries prevalence and an annual DMFT increase of 0.15 between 12 years of age and 14 years of age, thus suggesting an increase in the caries burden as individuals become older.

Dental caries prevalence was higher among females. This finding could be explained by early permanent teeth eruption in girls and, consequently, their greater exposure time to the cariogenic environment.²⁰ According to a systematic review of the social determinants of health and dental caries in Brazil, focusing on the period between 1999 and 2010,²¹ the studies consulted indicated greater caries occurrence in females; however, only 25% of those associations were statistically significant.

No statistically significant associations were found between race/skin color and dental caries prevalence/severity. This result may possibly be explained by the fact of the socioeconomic variables being strongly associated with dental caries and thus, when adjusted, the weight of social and economic conditions being greater than that of ethnic and racial conditions. On the other hand, other studies have found differences between race/color, whereby Whites had lower dental caries rates than other racial groups. ^{22,23}

Level of schooling can affect health by favoring accumulation of knowledge and can influence adoption of healthy habits. As such, the choice of the being 'behind at school' variable is justified in this study. Moreover, statistically significant association between level of schooling and greater dental caries prevalence has been demonstrated previously.²⁴

The results of this study showed greater dental caries prevalence and severity among adolescents without access to fluoridated water. Despite the context of declining dental caries and the common use of fluoride toothpaste, the

benefits attributed to adding fluoride do not justify this water treatment method being discontinued or even not being scaled up. A population-based study conducted between 2012 and 2014 with Australian children and adolescents,25 found consistent associations between exposure to fluoridated water and dental caries, which remained even when socioeconomic differences were adjusted between the exposure groups, thus supporting the efficacy of continuing with this procedure. Narvai²⁶ also found lower mean caries rates in 11-12-year-old adolescents, when comparing two municipalities in São Paulo State with and without exposure to fluoridated water, even when there was concomitant exposure to fluoride toothpaste, in a scenario of low caries prevalence. Fluoridation of the public water supply has therefore been identified as an environmental variable with the potential to explain the lower magnitude of the DMFT index among people with access to this benefit.

The results of this study pointed to association between bleeding gums and dental caries severity, while dental calculus was associated with greater caries prevalence. This positive association could be explained by the presence of common risk factors, including poor oral hygiene, social and behavioral determinants.²⁷ A 3-year longitudinal study conducted with adolescents revealed that caries had a negative effect on periodontal health.²⁸ Although in terms of etiology, caries and gingivitis are considered mutually independent, presence of sucrose could associate both diseases. Sucrose increases formation of plaque above the gum line, this being a prerequisite for the development of plaque below the gum line which can induce periodontal disease.²⁹ As there are few publications in the literature about association between sucrose, caries and periodontal disease, we suggest that further studies be conducted to elucidate this question.

The limitations of this study include its crosssectional nature: the information refers to a single moment in time, and does not enable considerations about causality or how much the disease develops over a given period of time.

This study considered access to fluoridation as an individual variable, estimating that all children in municipalities with a fluoridated water supply had access to this benefit. The dichotomous assessment of fluoridation in the municipalities (with fluoridation; without fluoridation), without due control of recommended levels, as this information was not

available for analysis, was another limitation of this study. Even before the microbial etiology of dental caries and its strong association with frequent sugar consumption had been proven, fluoride was the first exogenous factor recognized as being important for modifying risk of the disease³⁰ and as such, in view of the acknowledged impact of this measure on dental caries prevention, it is important to include this variable in the statistical model. Moreover, it should be stressed that the sample was representative of the age group studied, thus allowing extrapolation of the study data and ensuring their external validity.

We conclude that inequities in dental caries distribution among adolescents in São Paulo State persist and are a challenge to adopting protection and prevention measures with the aim of improving their oral health status. The study also identified the most relevant factors associated with dental caries prevalence and severity in these young people. The information reported can guide both the planning of health action programs aimed at oral health disease control and improvement, and also approaches targeting common risk factors in order to avoid oral diseases.

Authors' contributions

Corrêa LLG and Antunes JLF contributed to the concept and design of the study, statistical analysis and interpretation of the data, drafting and reviewing the article. Sousa MLR and Frias AC contributed to data analysis and interpretation and critically reviewing the manuscript. All the authors have approved the final version and are responsible for all aspects thereof, including the guarantee of its accuracy and integrity.

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