

# Origin and nature of humic substances in the waters of the Amazon River Basin

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## Abstract

Humic substances dissolved in "black water, white water, and clear water" rivers of the Amazon River basin were compared with humic substances found in podzolic (spodic) and latosolic (oxic) soils of the Amazon region. Humic-type organic solutes in black water originated from organic matter decomposition and ground water drainage from podzolic soils; clear water drains from latosols because colored humic solutes are adsorbed on clay minerals contained in latosols. Comparisons of organic solute distributions in tropical surface waters versus temperate surface waters by dissolved organic carbon fractionation analysis show that the only significant difference was a lower hydrophilic neutral content of tropical surface waters. This finding is indicative of more rapid decay processes in tropical waters. Black-water rivers of the Amazon contained only one-third to one-half the organic solute content contained in black-water rivers of the southeastern United States. About 50 percent of the organic carbon in black waters of the Amazon consisted of colorless non-humic organic solutes. Humic solutes contained in Amazon black water differed from humic solutes found in the Suwannee River in the southeastern United States by having a higher  $E_4/E_6$  ratio in the visible absorbance spectrum which resulted in water of a more reddish coloration. Both the high  $E_4/E_6$  ratio and low-angle x-ray scattering data suggest relatively small molecular dimensions for humic solutes in Amazon black water. Organic elemental analyses and infrared analysis of humic substances in Amazon waters and soils were typical for humic substances except for the low content of nitrogen for humic solutes in black water.

## INTRODUCTION

Surface waters of the Amazon River Basin have long been classified on the basis of their appearance into black water, white water, and clear water (Wallace, 1899; Sioli, 1950). Water-quality studies of these waters have focused primarily on the nature of inorganic solutes and suspended sediment, rather than organic constituents, which control the water chemistry, especially in black waters. Organic con-

stituents of these waters has been assessed by dissolved organic carbon (Williams, 1968), and concentrations of humic materials were determined by colorimetry (Santos & Santos, 1970); however, the amount of data collected is small, and little work has been performed on the origin and nature of organic constituents. It is known that ground-water drainage from podzol soils is the primary source of black waters (Klinge, 1966), and that organic materials responsible for coloration of these waters have chemical and physical properties similar to humic substances in soil (Ziechmann, 1976).

Most fundamental research about the origin and chemical characteristics of humic substances in soils (Schnitzer & Kahn, 1978) and water (Christman & Ghassemi, 1966) has been performed on soils and waters of temperate climatic zones. The objectives of this report are to present findings on the origin and nature of natural organic solutes in the tropical waters of Amazonia, and to compare these findings with the body of knowledge on natural organic solutes in temperate waters, to better obtain an understanding of organic-geochemical processes in surface waters of both temperate and tropical environments.

## SAMPLING AND LABORATORY PROCEDURES

Water and soil samples were collected near Manaus, Amazonas, from February 15 to April 30, 1978. Samples were collected from two forest reserves (Reserve Ducke and Reserve 60 km.) of the Instituto Nacional de Pesquisas da Amazonia (INPA), and from black-water rivers during a 10-day river trip on the Rio Negro from Manaus to the mouth of the Rio Branco. Laboratory studies were performed

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at the INPA research facility in Manaus, and at the National Water Quality Laboratory of the U.S. Geological Survey in Denver, Colorado.

#### SAMPLING PROCEDURES

Soil samples were collected to a depth of one meter with a soil auger. Samples at greater depth were collected in road cuts along the highway from Manaus to Boa Vista. Soil samples were stored in plastic bags and were processed at the INPA laboratory within one week after collection.

All water samples were dip samples collected at the water surface. Water samples were filtered within one day after collection through a 0.45  $\mu\text{m}$  porosity, silver-membrane filter. The pressure-filtration apparatus is described in a report by Malcolm & Leenheer (1973). The silver filter was used because it does not adsorb organic solutes and preserves the sample by the release of silver into solution. Water was stored in 1-liter glass bottles with Teflon-lined screw caps; these bottles were shipped to the United States in fitted Styrofoam packers.

#### LABORATORY PROCEDURES PERFORMED AT INPA

Humic substances were extracted under nitrogen from the moist soils using 10 parts 0.05 *N* NaOH to one part soil for a one-day period. The weaker 0.05 *N* NaOH solution was used to extract humic substances rather than the standard 0.1 *N* NaOH solution, because 0.05 *N* NaOH was observed to be an efficient extraction agent for these particular soils; only one-half the amount of cation-exchange resin was required to remove it from solution, and the lower pH (12.5 vs 13) provided milder extraction conditions.

The bulk of the soil was separated from solution by centrifugation, and fine sediment was removed from 50 ml of decant solution by pressure filtration through a 0.45  $\mu\text{m}$  silver-membrane filter. Sodium hydroxide was removed from the filtrate by passage through a 20-ml column packed with a hydrogen-saturated, strong-acid cation-exchange resin (Bio-

Rad AG-MP-50). The eluate was collected in a 100-ml glass bottle with a Teflon-lined screwcap.

Humic substances were extracted from filtered water samples by acidifying the water to pH 2 with HCl, and pumping the water through a column of cleaned Amberlite XAD-8 resin. A 100-ml resin column was used; water volume was 5L; and the flow rate was 8 mL/min. Humic substances adsorbed on the XAD-8 resin were eluted by 200 mL of 0.05 *N* NaOH, which was immediately removed by passing the eluate through a 20-ml column of hydrogen-saturated cation-exchange resin. Humic solutes in a 20-liter "black water" sample obtained at a spring draining a podzol soil were extracted and concentrated to 800-ml by this technique.

Visible-light absorbance spectra were determined by incremental readings on a single-beam spectrophotometer. The ratio of humic to fulvic acid in an extract was determined by the difference in solution measurement of absorbance at 400 nm before and after humic acid precipitation at pH 1. Precipitated humic acid was removed from solution by centrifugation. This method of humic and fulvic acid measurement gives only approximate values because molar absorptivity values for humic and fulvic acids differ slightly.

The percentage contribution of humic and fulvic acids to the total acidity of a black-water sample was determined in the following manner. A sample (500 mL, pH 3.85) was titrated with 0.5 ml increments of 0.05 *N* NaOH to pH 10. The approximate inflection point of the titration was pH 8.5. Humic and fulvic acids in 500 mL of this sample were isolated and concentrated using XAD-8 resin into 100 mL of water in the manner described previously; this sample was titrated with 0.05 *N* NaOH to pH 8.5. A blank run which passed distilled water through the resin adsorbents had to be performed to correct for the small amount of HCl added during the procedure. The quotient of the corrected extract titration divided by the whole-water titration was a measure of the percentage of humic and fulvic acid acidity in black water.

LABORATORY PROCEDURES PERFORMED AT U.S. GEOLOGICAL SURVEY

Soil and water extracts were freeze-dried according to the procedure described by Malcolm (1968). Elemental analyses (C, H, O, N, and ash) were performed by Huffman Laboratories, Wheat Ridge, Colorado. Infrared spectra were performed by incorporating humic substances into KBr micropellets, and measuring the spectra on a Model 580 Perkin Elmer Infrared Spectrophotometer.

Dissolved organic carbon (DOC) fractionation analysis was used to quantify organic-solute concentrations, and to characterize organic-solute compound classes in the various waters sampled. A description of the research and development of the method is given in a report by Leenheer & Huffman (1976); the standard procedure, interpretation of data, and applications of the method are presented in a second report by Leenheer & Huffman (1979). The analytical scheme for DOC fractionation is given in Figure 1. DOC

fractionation analyses were also performed by Huffman Laboratories.

Molecular sizes of humic solutes in water were estimated by measuring the radius of gyration of these solutes, as determined by low-angle X-ray scattering. Low-angle X-ray scattering measurements were performed by a Kratky low-angle scattering goniometer on one-percent solutions (weight/volume) of the freeze-dried humic preparations dissolved in distilled water, and a pH-7 phosphate buffer. The experimental procedure and data processing are presented in a report by Wershaw & Pinckney (1973a).

RESULTS AND DISCUSSION

ORIGIN OF HUMIC SUBSTANCES IN BLACK WATER

Field observations substantiated the fact that lateral ground-water drainage from podzol (Spodosol) soils developed on alluvial sand deposits are the source of black water. However, not all podzol soils produce black water. The campina forest, that grows on the deep podzols whose albic horizon is several meters (> 3 m) thick, produces only a small amount of organic matter that can degrade into humic substances. Consequently, much of the water that drains from sparsely-vegetated campina forests is clear water that contains small concentrations of humic material.

Black water is derived primarily from shallow podzols whose albic horizon is only a few meters (< 3 m) thick. Beneath the albic horizon, a spodic horizon, rich in humic material, is often found above a clay lens, or above clayey parent material which underlies alluvial sands. Plant growth is enhanced when roots penetrate through the albic horizon into the spodic and underlying clay horizons due to the greater fertility of the deeper horizons. Greater input of plant litter leads to greater input of humic substances into ground water; these humic substances are relatively stable in ground water occurring in podzol sands, because of lack of nutrients, lack of light, lack of clay to remove humic materials through sorption, and a relatively anaerobic system which inhibits oxidation, compared to aerobic

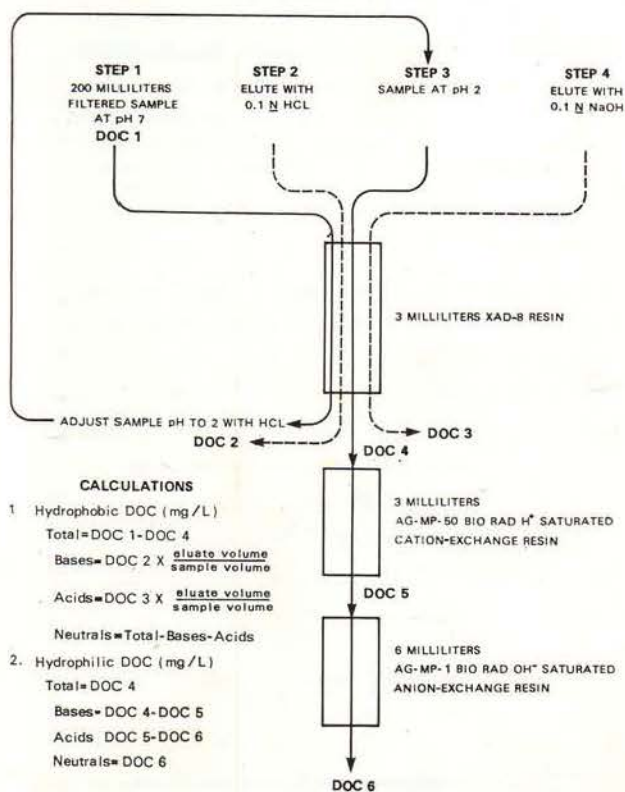


Fig. 1 — Dissolved organic carbon fractionation analytical scheme.

decay processes near soil surface. In the shallow podzols, humic materials are not sorbed by underlying clay because the spodic horizon rich in previously deposited humic substances has saturated active sorption sites on the clay, and also acts as a hydrologic barrier, thus preventing infiltration of black water into the underlying clay, where additional sorption of humic substances can occur. A thick, organic litter layer accumulates at the surface of shallow podzols; slow decay of this litter layer provides a continuous source of soluble humic substances, which are responsible for coloration of black water.

Drainage (both surface and ground water) from latosolic soils is generally clear water, low in organic content. These soils do not have a thick, organic litter layer. The lack of this layer. The lack of this layer is indicative of more rapid decay processes than those which occur on shallow podzols. Presence of a brown A horizon 5-20 cm thick indicates sorption of soluble humic materials upon the yellow and red clays. Therefore, because of more rapid decay and sorption, soluble humic substances

do not occur in high concentrations in waters which drain latosols.

The soil organic-matter source for humic solutes in river waters of the Amazon is different from the swamp-water source of humic solutes in some coastal plain rivers of the southeastern United States. Beck *et al.* (1974) reported that decaying vegetation within these southeastern United States swamps produced waters with two to three times the organic-solute content as waters which drained the soils of this region. The greater amounts of rainfall in the Amazon region may reduce organic solute content of Amazon rivers by dilution.

#### VISIBLE ABSORBANCE SPECTRA OF BLACK WATER

Black waters of the Amazon are colored reddish-brown; the red coloration is more apparent in Amazon waters than in the organic-colored surface waters found in the United States. The visible absorbance spectra of a black-water sample collected at a spring draining a podzol on the 60-Kilometer Reserve of INPA is shown in Figure 2.

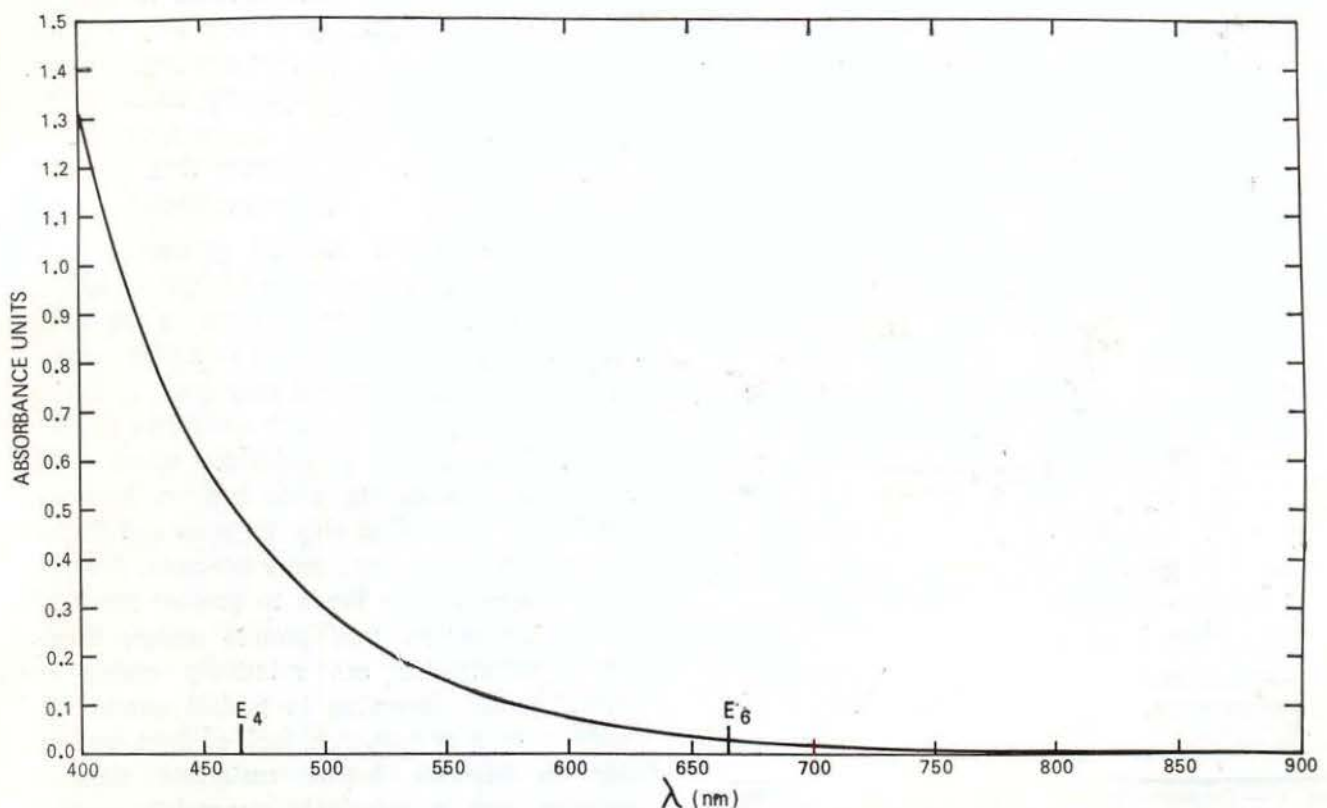


Fig. 2 — Visible absorbance spectra of black-water drainage from a podzol.

Most light absorbance is in the blue region of the spectrum, and the water is almost transparent to red light, which explains the red coloration of the water. The ratio of absorbances of dilute aqueous solutions of humic materials at 465 and 665 nm (called the  $E_4/E_6$  ratio) has commonly been used to characterize humic materials. A listing of  $E_4/E_6$  ratios for soil and water humic extracts from the Amazon and from North America is given in Table 1.

**TABLE 1 —  $E_4/E_6$  ratios of humic substances from soils and waters of the Amazon region and North America**

Sample	$E_4/E_6$ Ratio
1. Water Samples	
a. Black water from spring draining a podzol at INPA 60 Kilometer Reserve	15.8
b. Suwannee River sampled near Fargo, Georgia *	11.0
2. Soil Extracts	
a. Extract (hydrogen-saturated) from spodic horizon of podzol where sample 1a was collected	4.5
b. $E_4/E_6$ range for soil humic acids †	3.8- 5.8
c. $E_4/E_6$ range for soil fulvic acids †	7.6-11.5

(\*) — Personnel communication from E. M. Thurman and R. L. Malcolm.

(†) — From Schnitzer & Kahn, 1978.

Data in Table 1 indicate that black water has an exceptionally high  $E_4/E_6$  ratio compared to other water and soil extracts. A recent report by Chen *et al.* (1977) found that the  $E_4/E_6$  was inversely related to particle size, and directly related to free-radical content. Therefore, the high  $E_4/E_6$  ratio for black water suggest that these humic solutes have relatively small particle sizes and high free radical contents.

#### ACIDITY OF HUMIC SOLUTES IN BLACK WATER

Duplicate titrations of the black-water sample collected from the spring draining of a podzol at the INPA 60-Kilometer Reserve yielded 0.45 meq/L total acidity when titrated

from pH 3.75 to pH 8.5. Titrations of the humic-solute fraction isolated on XAD-8 resin gave a value of 0.24 meq/L; therefore, humic solutes contributed 53 percent of total acidity. The remaining acidity in the black-water sample originated from dissolved carbonic acid and low molecular-weight colorless organic acids. Dissolved organic carbon fractionation analysis of this sample indicated that 52 percent of dissolved organic carbon was related to humic-type acids, and 33 percent was related to colorless organic acids. Assuming acid equivalent weights of these two acid fractions are the same, about 85 percent of the acidity is related to organic acids, and only 15 percent is due to dissolved carbonic acid. This estimate is reasonable, because when this same sample was purged of dissolved  $CO_2$  by five minutes of vigorous stirring, the pH rose from 3.75 to 4.0, which corresponded to .05 meq or 11 percent of total acidity determined by titration.

Although these data are valid for only one black-water sample, dissolved organic carbon fractionation analyses presented later in this report indicate that this particular black-water sample has a similar organic-solute distribution as numerous black-water rivers in the Rio Negro region. Therefore, most acidity in black waters can be attributed to dissolved-organic solutes, and not to dissolved-carbonic acid.

#### Dissolved Organic Carbon Fractionation Analysis of Amazon Waters.

The organic compound classes of the organic-solute mixture which is found in various surface waters of the Amazon region was determined by dissolved organic carbon fractionation (DOC) analysis. DOC fractionation data for "black waters" are presented in Table 2; the means, standard deviations, and coefficients of variation are presented in Table 3. DOC fractionation data for sediment-containing "white waters", and a sediment-free "clear water" sample from the Amazon region are presented in Table 4; Table 5 presents DOC fractionation data for "black water" and "white water" sampled in North America.

Black waters are characterized by high percentages of organic acids. The highest organic-carbon percentage (52 percent of DOC) is found in the hydrophobic-acid fraction which

contains humic and fulvic acids. Hydrophilic acids, which are primarily low molecular-weight hydroxy and fatty acids, are also quite abundant (35 percent of DOC). The remaining four compound classes account for only 13 percent of DOC. This fractionation pattern indicates a high degree of oxidative decomposition for organic solutes found in black waters.

**TABLE 2 — Dissolved organic carbon fractionations of "black waters" from the Rio Negro basin, in percentage of DOC**

	Acid	Neutral	Base	Total
Black water from spring draining podzol at INPA 60-Kilometer Reserve DOC = 34.6 pH = 3.75 Sp. Cond. = 30 $\mu$ S				
Hydrophobic	52	0	0	52
Hydrophilic	33	14	1	48
Rio Negro at Narrows above Manaus DOC = 10.0 pH = 5.2 Sp. Cond. = 11 $\mu$ S				
Hydrophobic	53	8	1	62
Hydrophilic	33	3	2	38
Rio Negro above Rio Branco DOC = 11.7 pH = 4.2 Sp. Cond. = 12 $\mu$ S				
Hydrophobic	56	5	0	61
Hydrophilic	34	0	5	39
Rio Cuieiras at Mouth DOC = 8.2 pH = 4.7 Sp. Cond. = 15 $\mu$ S				
Hydrophobic	46	3	1	50
Hydrophilic	41	6	3	50
Rio Apuau at Mouth DOC = 10.4 pH = 4.7 Sp. Cond. = 11 $\mu$ S				
Hydrophobic	54	6	1	61
Hydrophilic	33	4	2	39
Rio Camanau at Mouth DOC = 10.8 pH = 4.9 Sp. Cond. = 12 $\mu$ S				
Hydrophobic	50	10	0	60
Hydrophilic	38	1	1	40
Rio Jauaperi at Mouth DOC = 8.4 pH = 5.2 Sp. Cond. = 19 $\mu$ S				
Hydrophobic	50	11	1	62
Hydrophilic	34	2	2	38

**TABLE 3 — Mean, standard deviation, and coefficient of variation of fraction percentages for 7 black-water samples**

(DOC mean for river samples = 9.9 mg/L)

	Mean	Standard deviation	Coefficient of variation
Total hydrophobic	59	5	0.08
Total hydrophilic	41	5	.13
Hydrophobic acid	52	3	.05
Hydrophobic neutral	6	4	.67
Hydrophobic base	.5	.5	1.00
Hydrophilic acid	35	3	.09
Hydrophilic neutral	4	5	1.25
Hydrophilic base	2	1	.50

**TABLE 4 — Dissolved organic carbon fractionations of "white waters" and "clear waters" from the Amazon River basin, in percentage of DOC**

	Acid	Neutral	Base	Total
Rio Solimoes (white water) above the Rio Negro DOC = 4.1 pH = 4.9 Sp. Cond. = 72 $\mu$ S				
Hydrophobic	35	16	3	54
Hydrophilic	40	4	2	46
Rio Branco (white water) sampled 20 kilometers above mouth on Rio Negro DOC = 2.0 pH = 6.5 Sp. Cond. = 33 $\mu$ S				
Hydrophobic	21	35	0	56
Hydrophilic	36	0	8	44
Barro Branco (clear water) sample at INPA Reserve Ducke DOC = 4.7 pH = 4.5 Sp. Cond. = 9.0 $\mu$ S				
Hydrophobic	39	20	2	61
Hydrophilic	36	3	0	39

**TABLE 5 — Dissolved organic carbon fractionation of "black water" and "white water" in North America, in percentage of DOC**

	Acid	Neutral	Base	Total
Suwannee River (black water) sampled near Fargo, Georgia (USA) (*) DOC = 30.2 pH = 4.1 Sp. Cond. = 42 $\mu$ S				
	58	0	0	58
	36	1	5	42
Average of 10 "white water" samples collected in the White River Basin, Utah (USA) DOC = 7.3 pH = 8.0 Sp. Cond. = 2,430 $\mu$ S				
	36	7	0.3	43
	40	14	3	57

(\*) — Data furnished by R. L. Malcolm, U. S. Geological Survey, Denver, Colorado.

Data for seven black-water samples were very similar, with the exception of the Rio Cuieiras sample. The lower percentage of organic acids in this sample is probably related to the diluting effect of "clear waters", which drain the latosols of this region. This sample also had the lowest DOC concentration for black-water samples. Black water obtained from the spring draining the podzol contained a moderate concentration of hydrophilic-neutral solutes, which probably are soluble-soil carbohydrates. As this fraction is low in all the river samples, this fraction probably is biodegraded very rapidly in surface waters of this region.

No significant difference was observed between DOC fractionation data of black waters of the Rio Negro basin and black water of the Suwannee River in North America; however, DOC concentrations of black waters of the Rio Negro were only one-third the DOC concentration of the Suwannee River. Comparison of dissolved organic carbon data collected by Williams (1968) on Amazon surface waters with data collected by Beck *et al.* (1974) on black waters in the southeastern United States indicates a four-fold difference in DOC concentrations. Rio Negro black waters probably have lower DOC concentrations, because of the diluting effect of greater amounts of rainfall, and because they are diluted by low DOC clear waters, which drain the latosols occurring extensively in black-water regions. Black-water rivers of southeastern United States receive most of their water input from swamps, where organic solutes are formed; the dilution effect is not nearly as great. When black waters were obtained near their sources, there was no significant difference in DOC content; as the black-water spring in South America yielded a DOC of 34.6 mg/L, and Suwannee River water at the outlet of the Okefenokee Swamp contained a DOC of 30.2 mg/L.

No evidence was found for tannic acid or other polyphenols in Amazon black-water rivers. Colored tannins and other natural polyphenols are weak acids that will adsorb on XAD-8 resin up to pH 10, and are classified as hydrophobic acids. No color was observed to sorb on XAD-8 resin down to pH 4, at which point humic and fulvic acid began to adsorb.

The clear water sample (Barro Branco) contained lower concentrations of organic acids than black water, and greater concentration of hydrophobic-neutral solutes. The Rio Branco, which was a mixture of clear water and white water at this time of year, also showed lower organic acids, a higher hydrophobic-neutral content, and the highest content of hydrophilic bases of all the samples which were fractionated. Suspended sediment of the Rio Branco was light-green in color; indicating growth of phytoplankton. The higher percentage for the hydrophobic neutral fraction in clear-water samples may be due to the presence of chlorophyll-C excretions of lysis of phytoplankton; likewise, the higher hydrophilic base fraction in clear waters may be the result of amino acids, proteins, and aliphatic amines excreted or lysed by phytoplankton.

The white-water sample (Rio Solimões) gave a DOC fractionation pattern which was almost identical to white-water samples obtained in the United States, with the exception of the hydrophilic-neutral fraction, which was much higher for North American samples. This difference is most likely related to biodegradable carbohydrate solutes, which are rapidly degraded in the warm Rio Solimões, but are more stable in the cool surface waters from Utah, sampled in the winter season.

Comparison of organic-solute fractionation patterns resulted in more similarities than differences between comparable surface waters of temperate and tropical climatic zones. Major differences in organic-solute fractionation patterns do exist between black water and white water, with clear water intermediate between these two extremes. Presence of suspended sediment in white water causes the percentage of hydrophobic solutes (especially hydrophobic acids) to decrease, due to adsorption of humic and fulvic acids upon suspended sediment.

#### HUMIC: FULVIC ACID RATIOS IN SOIL AND WATER

Ratios of humic acids to fulvic acids in soil and water extracts were determined for insight into the relative mobility of these natural organic acids in Amazon soils and water (only

colored organic solutes were measured). The results are presented in Table 6.

In the podzol soil where black water originates, about threefourths of the extractable humic solutes in the surface litter layer are humic acids. In the spodic horizon of this podzol, the percentage of humic acids increases to 84 percent. Preferential leaching losses of fulvic acid from the spodic horizon results in a correspondingly high concentration of fulvic acid (65 percent of all humic-type solutes) in the resulting black-water leachate.

The surface litter layer of latosol soil contains about the same ratio of humic to fulvic acid as podzol soil; however, the percentage of fulvic acid increases with depth in the soil profile, instead of decreasing with depth, as in podzol soil. As clear water drains latosolic soils, humic solutes which originate in the surface litter layer must either be adsorbed by soil or degraded. The increasing percentage

of fulvic acid in the A and B horizons of latosol soil indicates that fulvic acid is more mobile than humic acid, and is leached to greater depths. However, thinness of the A horizon (only 5-20 cm), and the small quantity of extractable fulvic acid from the B horizon, indicate that clays in latosols are very effective adsorbents for fulvic acids as well as humic acids.

Organic detritus in black water contains more extractable fulvic acid than organic detritus found in clear water, because black-high water detritus is in adsorptive equilibrium with concentrations of fulvic acid found in black water.

The extract of recently-deposited bed sediment obtained from the Rio Negro contains mostly humic acids, indicating that suspended sediment selectively adsorbs humic acids from black water. In general, low concentration of suspended sediment in black-water rivers is one of the main reasons that high concentrations of both humic and fulvic acids are maintained. Without suspended sediment, there is no adsorbent surface other than the river bottom, upon which humic and fulvic acids can adsorb.

TABLE 6 — Humic: fulvic acid ratios in extracts from Amazon soils and water

Sample	Humic acid (percent)	Fulvic acid (percent)
1. Black water from spring draining podzol at INPA 60-Kilometer Reserve	35	65
2. O horizon (litter layer) of Sample 1	72	28
3. B Horizon (spodic horizon) of Sample 1	84	16
4. O Horizon (litter layer) of latosol sampled at Reserve Ducke	76	24
5. A Horizon of latosol sampled at Reserve Ducke	61	39
6. B Horizon of latosol sampled at Reserve Ducke	0	100
1. Organic detritus in black-water stream draining podzol at INPA 60-Kilometer Reserve	80	20
8. Organic detritus from Barro Branco (clearwater) at Reserve Ducke	90	10
9. Bed sediment from Rio Negro collected in the lower Anavillhanas Archipelago	85	15

#### ELEMENTAL ANALYSIS OF ORGANIC MATTER IN SOILS AND WATER

Elemental compositions (C, H, N, O) of organic constituents found in various soils and waters of the Rio Negro region are given in Table 7. All the analyses are typical for various organic-matter mixtures of humic and fulvic acids, as described by Schnitzer (1978), with the exception of nitrogen in the black-water sample from the podzol. Whole-water residue of the podzol black water, which contains only 0.89 percent N, and XAD-8 resin humic-solute extract which contains even less N (0.76 percent) exhibit exceptionally low values for organic nitrogen.

The Rio Negro's dissolved organic matter contains more nitrogen (1.7 percent) than is contained in organic matter isolates of the black-water rivers (1.1 percent N) of the southeastern United States (Beck *et al.*, 1974). A clue to discrepancy in nitrogen content of



TABLE 7 — Organic elemental analyses of soil and water extracts

(Percentage of elements are adjusted to an ash-free basis)

Sample	Carbon	Hydrogen	Nitrogen	Oxygen	Ratio of carbon to hydrogen	Ratio of carbon nitrogen	Ash
O—Horizon latosol, (NaOH extract)	48.1	5.7	2.9	43.0	8.4	16.6	22.8
A—Horizon latosol (NaOH extract)	45.7	5.0	2.4	44.9	9.1	19.0	24.3
Terra preta developed on latosol (whole soil) *	1.65	—	.14	—	—	11.8	—
Terra preta developed on latosol (NaOH extract) *	10.96	—	.73	—	—	15.0	—
O—Horizon podzol (NaOH extract)	52.1	4.2	2.6	40.5	12.4	20.0	6.0
B—Horizon podzol (NaOH extract)	52.9	4.3	2.4	40.0	12.3	22.0	7.7
B—Horizon podzol (whole soil) *	1.27	—	0.05	—	—	25.4	—
Black water from spring draining podzol (freeze- dried whole water)	50.6	3.9	.89	44.6	13.0	56.9	4.5
Black water from spring draining podzol (Extract from XAD-8 resin)	52.9	3.6	.76	42.3	14.7	69.6	.5
Rio Negro at narrows (freeze-dried whole water)	47.9	4.7	1.7	45.0	10.2	28.2	28.5
Rio Negro bed sediment (NaOH Extract)	47.4	4.9	2.3	44.5	9.7	20.6	29.5

(\*) — Analysis uncorrected for ash.

podzol black water versus Rio Negro black water is the lower percentage of nitrogen in the XAD-8 resin isolate of podzol black water than in the whole black-water sample. Whole-water analyses include non-humic forms of nitrogen, such as amino acids, amino sugars, proteins, and inorganic forms of nitrogen; whereas analysis of the organic isolate from XAD-8 resin contains only humic forms of organic nitrogen. Higher percentages of the hydrophilic-base fraction and hydrophobic-neutral fraction for Rio Negro black water versus podzol black water (Table 2) indicate that non-humic organic nitrogen content is greater in Rio Negro black water. Organic nitrogen in the humic fraction (hydrophobic-acid fraction) of Rio Negro black water may be

as low as the XAD-8 resin extract from podzol black water, because the extract contains only humic-type nitrogen.

Clays in soils and sediments appear to have a high affinity for organic nitrogen. Evidence for this affinity is the carbon-to-nitrogen ratio being lower for Rio Negro bed sediment than for Rio Negro water; lower for clay-containing latosols than for podzols where clay content is low; lower for water samples free of clay sediments than soil samples; and lower for latosol soil extracts than whole soil, which indicates non-extractable nitrogen tightly bonded to the clay.

Terra Preta latosol was examined in greater detail because of its high organic-nitrogen content. Much of the organic matter in the soil

was observed to be non-extractable, which indicates stable clay-organic matter complexes. These clay-organic complexes were hydrolyzed with 6 N HCl, and the hydrolyzate contained an extremely low C:N ratio of 2.3:1. As Terra Preta latosols are among the most productive agricultural soils of the Amazon region, additional investigations into the genesis of these soils and the stability of the organic matter would be warranted.

Latosols contain more extractable fulvic acid than do podzols, as shown by lower-carbon percentage and higher-oxygen percentage. Fulvic acid increases with depth in the latosol and decrease with depth in the podzol. These results agree with the humic: fulvic acid ratios presented in Table 6; however, elemental composition of XAD-8 podzol black-water extract appears more like humic acid than fulvic acid, whereas the humic: fulvic acid ratio of this sample suggests that it is two-thirds fulvic acid. The answer to this apparent paradox lies in the fact that published fulvic-acid elemental analyses usually include non-humic organic matter, such as carbohydrates, which is oxygen-rich and carbon-poor. Humic solutes isolated on XAD-8 resin contain much less non-humic material; therefore, the carbon percentage is greater and oxygen percentage is lower than for a "representative" fulvic acid. Latosol extracts must contain considerable non-humic organic matter because their carbon contents are lower and oxygen contents higher than the humic: fulvic acid ratio indicates (Table 6).

Carbon and oxygen percentages for unfractionated, dissolved-organic matter of the Rio Negro agree well with the elemental composition published for black-water rivers in the southeastern United States (Beck *et al.*, 1974). Similarity of elemental compositions of Rio Negro organic matter to the latosol extracts suggests that much organic matter input to the Rio Negro arises from organic solutes contained in clear water which drains latosol regions. DOC fractionation data (Table 2) of certain rivers, such as the Rio Cuieiras, which is a tributary to the Rio Negro, also suggest that as much as half the organic solutes may originate from clear waters draining latosols.

Therefore, only about 50 percent of organic carbon in black water can be related to colored humic solutes (hydrophobic-acid fraction) which originate from podzols; the remaining 50 percent is not visibly apparent because it is colorless.

#### INFRARED ANALYSIS OF SOIL AND WATER EXTRACTS

Infrared spectra of humic substances give very useful information concerning general similarities or dissimilarities between various types of organic matter; give limited information concerning the nature and distribution of various functional groups; and give very little information about the chemical structure of the carbon skeleton of humic materials. Absorption bands are broad because of overlapping of functional group absorptions and extensive hydrogen bonding of polar functional groups. For humic substances, the following functional group assignments are given to the following frequencies (Schnitzer, 1978): 3400  $\text{cm}^{-1}$  (hydrogen-bonded OH); 2929 and 2860  $\text{cm}^{-1}$  (aliphatic C-H); 1725  $\text{cm}^{-1}$  (carbonyl of ketones and carboxylic acids); 1630  $\text{cm}^{-1}$  (hydrogen-bonded carbonyl and quinone); 1400  $\text{cm}^{-1}$  (carboxylate and aliphatic C-H); and 1200  $\text{cm}^{-1}$  (carboxylic acid). Water hydrogen-bonded to humic substances intensifies absorption bands at 3400  $\text{cm}^{-1}$  and 1630  $\text{cm}^{-1}$ . Kaolinite clay gives several well-defined adsorption bands at 3700  $\text{cm}^{-1}$ , 3655  $\text{cm}^{-1}$ , 3625  $\text{cm}^{-1}$ , 1115  $\text{cm}^{-1}$ , 1095  $\text{cm}^{-1}$ , 1035  $\text{cm}^{-1}$ , 1010  $\text{cm}^{-1}$ , 915  $\text{cm}^{-1}$ , 540  $\text{cm}^{-1}$ , and 470  $\text{cm}^{-1}$ . Non-crystalline silicates give broader absorption bands at 1080  $\text{cm}^{-1}$  and 800  $\text{cm}^{-1}$ .

Infrared spectra of organic matter in black water and Rio Negro bed sediment are presented in Figure 3. The two spectra for black water draining the podzol are very similar to spectra of soil fulvic acid (Schnitzer, 1978). Strong absorption bands for hydroxyl, carbonyl, free carboxyl, and carboxylate functional groups illustrate the acidic character of this fulvic acid. There are no significant absorption bands indicating silicates. Both the whole-water sample and the extract from XAD-8 resin give identical spectra, which illustrates the lack of

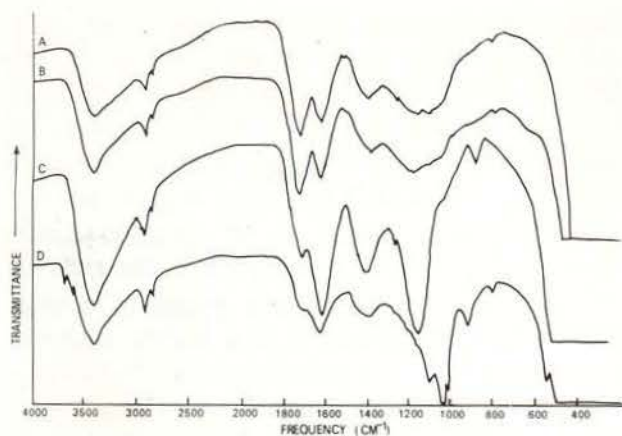


Fig. 3 — Infrared spectra of organic matter in black water and bed sediment. A = Freeze-dried whole black water from podzol. B = Hydrophobic acid extract from XAD-8 resin of podzol black water. C = Freeze-dried whole Rio Negro water. D = NaOH extract of Rio Negro bed sediment.

inorganic solutes in this water; the use of XAD-8 resin, dilute NaOH, and cation-exchange resin did not perceptibly alter the humic material as characterized by infrared analysis, and the non-humic organic material found in this water by DOC fractionation analysis and elemental analysis cannot be seen in the infrared spectra of the whole-water residue.

The infrared spectra of the Rio Negro whole-water residue is very similar to the Rio Negro bed-sediment extract, except for the kaolinite clay shown in the latter. Strong absorption bands for noncrystalline silicates are found in the water sample; lesser amounts are found in the bed-sediment extract. These infrared spectra from the Rio Negro show greater similarities to the spectra of humic extracts from the latosol (Figure 4) than extracts from black water from the podzol. This is additional evidence that the Rio Negro contains significant dissolved organic matter derived from clear water draining latosols.

Infrared spectra of humic extracts from various horizons from latosols and podzols are presented in Figure 4. Latosol extracts contain significant amounts of kaolinite, whereas podzol extracts contain almost no kaolinite and only a small amount of non-crystalline silicates. As all the soil extracts were filtered through

a 0.45- $\mu\text{m}$  porosity filter, kaolin-crystallite dimensions are colloidal in size, and most likely are complexed to humate solutes.

Infrared spectra of the B-horizon of the podzol represents primarily a humic-acid spectra, whereas black-water spectra from this same podzol represents a fulvic-acid spectra. Remaining infrared spectra of Figures 3 and 4 represent various mixtures of humic acid, fulvic acid, non-humic organic matter, kaolin, and non-crystalline silicates.

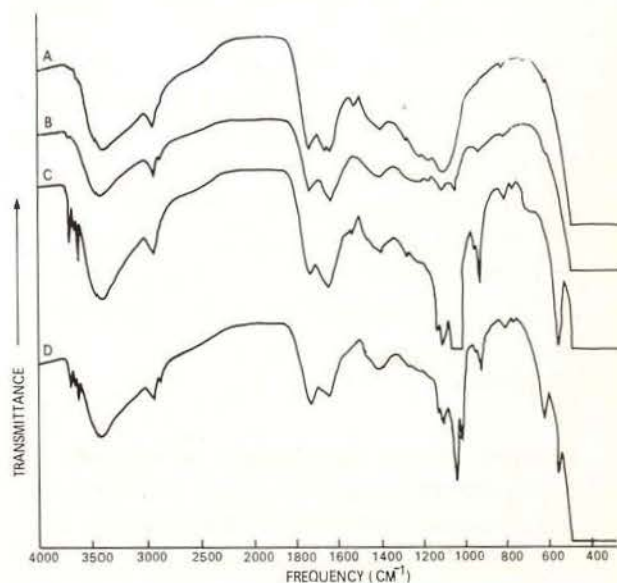


Fig. 4 — Infrared spectra of extractable organic matter in latosolic and podzolic soils. A = O—Horizon Podzol. B = B—Horizon Podzol. C = O—Horizon Latosol. D = A—Horizon Latosol.

#### MOLECULAR-SIZE DETERMINATIONS OF HUMIC SOLUTES IN BLACK WATER

Low-angle X-ray scattering data for humic solutes from podzol black water gave radii of gyration of 5.9  $\text{\AA}$ -18.2  $\text{\AA}$  for these solutes dissolved in distilled water, and radii of gyration of 11.5-16.6  $\text{\AA}$  for these solutes dissolved in pH-7 phosphate buffer. These radii of gyration are generally smaller than are found for soil-humic acids, which have radii of gyration range from about 10  $\text{\AA}$  to  $> 100 \text{\AA}$

(Wershaw & Pinckney, 1973b); however, no radii of gyration data are known to exist for soil-fulvic acids and humic solutes in river water, on which to base a comparison.

Chen & Schnitzer (1976) determined by viscosity measurements that the shape of fulvic-acid solutes in water were more like rods than spheres; at pH 3, where the minimum-molecular dimension occurred, average length of the rod was 53 Å, and average diameter was 6 Å. Assuming that low-angle X-ray scattering data are comparable to viscosity data, dimensions for humic solutes from podzol black water again appear to be small in relation to fulvic acid. Lastly, if the  $E_4/E_6$  ratio data presented in Table 1 are inversely related to molecular size, these data also suggest that humic solutes from podzol black water are small in relation to molecular sizes normally found for humic materials in soil and water.

#### CONCLUSIONS

Soluble humic substances which are responsible for coloration of black waters found in the Amazon River Basin only account for about one-half of the soluble-organic matter in these rivers. Remaining organic solutes consist mostly of colorless organic acids. Elemental composition data, infrared analyses, and DOC fractionation analyses suggest that much of the non-humic organic-solute input into black-water rivers arises from clear-water drainage from latosol soils of the region.

Humic solutes originate primarily from ground-water drainage from shallow podzol soils, which support sufficient vegetative growth so biomass input into the podzol exceeds rates of decay. Excess biomass is converted into humic-type solutes, which are removed from podzol soil by rainfall leaching. In latosol soils, vegetative decay appears to be more rapid, and humic solutes which are produced, are irreversibly adsorbed to kaolinite clay in these soils.

Black-water rivers of the Amazon contain only one-third to one-half the dissolved-organic carbon contained in black-water rivers of

southeastern United States. Dilution of high-DOC black-water from podzol soil with low DOC clear water from latosol soils and dilution by high amounts of rainfall are believed to be responsible for the relatively low DOC values obtained. Although DOC values are low for the degree of coloration of Amazon black water, the high  $E_4/E_6$  ratio explains the reddish coloration of the water, and suggests a relatively small humic solute of a highly aromatic nature and (or) high free-radical content. X-ray scattering data also suggest relatively small molecular dimensions for humic solutes in Amazon black water. DOC fractionation data show that organic solutes which are closely related to plant materials (carbohydrates, amino acids, chlorophyll, and polyphenols) are almost completely absent from black-water rivers of the Amazon region.

The chemical model for Amazon black-water humic solutes, which emerges from this study, can be described as a small molecule relative to most humic substances; its color spectrum suggests the presence of many chromophoric groups, which may be aromatic nuclei, quinone, or free-radical groups; and the lack of other undecomposed plant residues in black water indicate that black-water humic solutes are the end products of the humification process of decay which occurs much more rapidly in tropical soils and water than in comparable soils and water of temperate regions.

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#### RESUMO

Substâncias húmicas dissolvidas em rios de água preta, água branca e água clara da bacia do rio Amazonas foram comparadas com substâncias húmicas em solos podzólicos (espódico) e latossólicos (oxíco) da região amazônica. Solutos orgânicos do tipo húmico em água preta originados da decomposição da matéria orgânica e drenagem da água terrestre dos solos podzólicos; a água clara escorre dos latossolos porque os solutos húmicos coloridos são absorvidos pelos minerais de argila contidos nos latossolos.

Comparações das distribuições de soluto orgânico em águas de superfície de regiões tropicais versus águas de superfície de regiões temperadas pela análise de fraccionação de carbono orgânico dissolvido mostram que a diferença apenas significativa foi um conteúdo neutral hidrofílico inferior de águas de superfície de regiões tropicais. Este achado é indicativo dos processos mais rápidos de decomposição em águas de regiões tropicais. Rios de água preta da Amazônia continham apenas um terço a meio conteúdo de soluto orgânico contido nos rios de água preta da região sudeste dos Estados Unidos. Cerca de 50% do carbono orgânico em águas pretas da Amazônia consistiam em solutos orgânicos não-húmicos e incolores.

Solutos húmicos contidos na água preta da Amazônia diferiam dos solutos húmicos encontrados no rio Suwannee à sudeste dos Estados Unidos, tendo em relação  $E_4/E_6$  mais elevada no espectro de absorvância visível, o que resultou em água de uma coloração mais avermelhada. Tanto a relação  $E_4/E_6$  elevada como os dados de "scattering" de raio-x de baixo-ângulo sugerem dimensões moleculares relativamente pequenas para solutos húmicos ou água preta da Amazônia. Análises elementares orgânicas e análises de infra-vermelho de substâncias húmicas em águas e solos amazônicos foram típicos para substâncias húmicas com exceção para o baixo conteúdo de nitrogênio para solutos húmicos em água preta.

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