

## Exercise Chemosensitivity in Heart Failure: Ventilatory, Chronotropic and Neurohormonal Responses

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### Resumen

**Fundamento:** Heart failure (HF) is associated with resting increased peripheral and central chemosensitivity which may correlate with an increased ventilatory response to exercise. However, its sensitivity in HF during exercise was never really reported.

**Objetivo:** We tested if stimulation of central and peripheral chemoreceptors in HF patients could modulate ventilatory, chronotropic, and neurohormonal response during submaximal exercise.

**Métodos:** We investigated central and peripheral chemosensitivity in 15 HF and 7 control (C) comparing response through three 6 minute walking tests conducted in a treadmill with : room air, hypoxia, and hypercapnia (in a randomic order).

**Resultados:** RR at room air C and HF was  $17 \pm 2$  and  $22 \pm 2$  ( $p < .0001$ ); at hypoxia  $17 \pm 1$  and  $23 \pm 2$  ( $p < .02$ ); at CO<sub>2</sub> 25% was  $20 \pm 2$  and  $22 \pm 5$  ( $p < .02$ ). Tidal volume (TV) at room air was  $1.25 \pm 0.17$  and  $1.08 \pm 0.19$  ( $p < .01$ ); at hypoxia  $1.65 \pm 0.34$  and  $1.2 \pm 0.2$  ( $p < .0001$ ); at CO<sub>2</sub> 25%  $1.55 \pm 0.46$  and  $1.29 \pm 0.39$  ( $p < .0001$ ). At rest the increment in HF was higher for VE (C  $33 \pm 40\%$ , HF  $62 \pm 94\%$ ,  $p < .01$ ), HR (C  $7 \pm 10\%$ , HF  $10 \pm 10\%$ ,  $p < 0.05$ ) at rest. During hypoxia exercise increment in HF was higher for RR (C  $1 \pm 4$ , HF  $11 \pm 6$ ,  $p < .05$ ), HR (C  $12 \pm 2$ , HF  $14 \pm 3$ ,  $p < .05$ ), VE/VO<sub>2</sub> (C  $-4 \pm 18\%$ , HF  $24 \pm 21\%$ ,  $p < .01$ ), HR/VO<sub>2</sub> (C  $-26 \pm 11\%$ , HF  $11 \pm 5\%$ ,  $p < .01$ ), VE/WD (C  $36 \pm 10\%$ ,  $46 \pm 14$ ,  $p < .05\%$ ) and HR/WD (C  $18 \pm 8\%$ , HF  $29 \pm 11$ ,  $p < .01$ ). During HF hypoxia exercise NO reduced, and IL-6, aldosterone levels increased. Neurohormonal levels unchanged in C.

**Conclusión:** Exercise peripheral and central chemosensitivity are increased in HF and may modulate respiratory pattern, cardiac chronotropic, and neurohormonal activity during exercise. (Arq Bras Cardiol 2010; 95(3): 381-391)

**Palabras clave:** Heart failure; exercise; cardiomyopathies; nitric oxide; Norepinephrine.

### Nonstandard abbreviations

O<sub>2</sub>%, percentage of oxygen; hypoxia, hypoxic isocapnic test using inspired O<sub>2</sub> at 14%; hypercapnia, hypercapnic hyperoxic test using inspired CO<sub>2</sub> at 5% and O<sub>2</sub> 95%; PetCO<sub>2</sub>, final expiratory CO<sub>2</sub> pressure; RR, respiratory rate (rpm); TV, tidal volume(l); VO<sub>2</sub>, O<sub>2</sub> uptake(ml/kg); slope VE/VCO<sub>2</sub>, regression coefficient of the linear regression between the ventilation and VCO<sub>2</sub>; HR, heart rate(bpm); BNP, B-type natriuretic peptide; WD, walked distance(miles); 6minWT, 6 min treadmill walked test; AV, absolute values; HFNC: HF group of patients that non conclude de hypoxia; Δ, difference calculated between room air test and hypoxia or hypercapnia;

### Introduction

Peripheral chemoreceptors are accepted as having important modulatory role in the regulation of ventilation during exercise<sup>1</sup>. Also, central chemoreceptor exerts influence in pulmonary ventilation, heart rate, blood pressure, and sympathetic activity<sup>2</sup>.

Many studies have suggested high chemosensitivity in heart failure (HF) patients and consequently ventilation worsening with respiratory patterns alterations<sup>3-5</sup>.

However, studies about dyspnea mechanisms and the chemoreflex in their great majority are accomplished using chemoreceptor gas sensitization at rest<sup>3-6</sup>. In fact, few studies were done with sensitization of peripheral chemoreceptor by hypoxia during exercise, and only in healthy individuals.

In this study we tested the original hypothesis that stimulation of central and peripheral chemoreceptors in HF patients could modulate ventilatory, chronotropic, nitric oxide, systemic blood pressure, and neurohormonal response during submaximal exercise.

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## Methods

### Subjects

We studied 22 subjects divided into two groups: Heart failure group with 15 patients and Control group with 7 patients. The inclusion criteria were functional class I or II (NYHA), age at least 21 years old, symptoms and/or ventricular dysfunction for at least six months, optimized medical treatment and stable clinical status for at least three months. The exclusion criteria were ischemic cardiomyopathy, uncontrolled systemic arterial hypertension, obstructive pulmonary disease, diabetes and/or other endocrinopathies, cardiac pacemaker, liver diseases, creatinine serum values  $\geq 2.5$  mg/dl, stroke in the last six months, osteomuscular limitations, cardiac cachexia, primary valvular disease, chagasic, restrictive or hypertrophic cardiomyopathy, constrictive pericarditis and primary pulmonary arterial hypertension. The Control group included subjects 21 years old or more, clinically asymptomatic, with no history of heart disease, with no alterations in physical examination or complementary laboratorial exams. All subjects gave written informed consent to participate in this research study, which was approved by institutional ethical board.

### Study design

The study was prospective, randomized, case-controlled, and blind for Heart Failure group and Control patients. Before inclusion all subjects underwent an initial clinical and laboratorial evaluation including also transthoracic echocardiogram, myocardial scintigraphy, EKG and cardiopulmonary test.

### The six minutes walking test (6minWT)

We measured systolic blood pressure (SBP) and diastolic blood pressure (DBP) before each exercise test, at the last minute and at 1-minute recovery. ECG was continuously monitored. Pulmonary ventilation and gas exchange data were determined on a breath-by-breath basis with a computerized system (model  $V_{max}$  229 Sensormedics).

The 6minWT was performed using a programmable treadmill without inclination and with patient-controlled velocity (Series 2000, Marquette Electronics) at least 2 hours after a light meal and with controlled room temperature (21°C to 23°C). The patients were oriented to walk according to Borg's scale, with exertion level ranging from light to somewhat hard, from 11 to 13<sup>7</sup>. The 6-minute walking test associated with Borg scale, seems to be an accurate measurement of the limitations of a Heart Failure group and has been correlated to individual diary efforts<sup>8,9</sup>.

### Chemosensitization protocol

In the protocol the three 6minWT were performed at the same day in respective three conditions: compressed air (O<sub>2</sub> 20%) inhalation for room air test, hypoxic test with hypoxia (O<sub>2</sub> 14%) and balanced nitrogen at 86%, and hypercapnic test with CO<sub>2</sub> 5% and O<sub>2</sub> 95% (to blockade peripheral chemoreceptors). The three tests were *randomly arranged* with 20-minute interval between them.

The system used to gas inhalation set to mechanical ventilation Smart model, Takaoka mark, spontaneous mode, which was adapted through rubber elbow in ergoespirometer valve and received the mixture of gases. The hypercapnia test analysis were performed using data from the mechanical respiratory ventilator and without exhaled gas evaluation due to impossibility of adequate ergoespirometric system evaluation in presence of high CO<sub>2</sub> concentrations.

Blood samples were taken at basal and last exercise minute. It was dosed: catecholamine (chromatographic assay)<sup>10</sup>, BNP (Biosite)<sup>11</sup>, aldosterone (radioimmune assay)<sup>12</sup>, interleukin-6 (Kit Immulite IL-6 (DPC))<sup>13</sup>, and nitric oxide (NOx, Nitric Oxide Colorimetric Assay Kit, BioVision, Mountain View, CA).

### Statistical analysis

Variables are expressed as mean  $\pm$ 1SD. Data were analyzed using paired Newman-Keuls. The criterion for significance was  $p \leq 0.05$ .

To compare between room air test and hypoxia or hypercapnia, we calculated the difference or delta in % ( $\Delta$ ) using the equation  $\Delta: ((\text{hypoxia or hypercapnia data} - \text{room air test}) / \text{room air test}) \times 100$ .

## Results

Eleven Heart Failure patients completed all the protocol and 4 patients did not complete the hypoxia protocol (HFNC group). (Table 1) All subjects inspired oxygen fraction approximately 14%, with concomitant reduction in O<sub>2</sub>% peripheral saturation with constant PetCO<sub>2</sub> without the necessity of CO<sub>2</sub> supplementation.

### Pre exercise resting isocapnic hypoxic response in comparison with room air test (Figure 1)

At rest both groups increased ventilation secondary to increment of both respiratory rate and tidal volume. Heart Failure group presented an acute ventilatory response, characterized by superior elevation in ventilation.

However, the respiratory rate increase was higher in the C whereas tidal volume increase was higher in HF. Control group increased ventilation with greater respiratory rate participation than tidal volume, however HF group increased ventilation with equal tidal volume and respiratory rate contribution.

Both groups demonstrated a pronounced ( $p < 0.02$ ) and equivalent decline in estimated death space.

Control group had higher elevation in oxygen uptake (VO<sub>2</sub>) than Heart Failure group. Heart Failure group had larger rise in  $\Delta$ heart rate than Control group. The relation between Ventilation/VO<sub>2</sub> in Heart Failure group was higher during hypoxia ( $5.03 \pm 1$ ) than room air test ( $3.13 \pm 0.36$ ;  $p < 0.05$ ). Control group didn't have significant variation between room air test/hypoxia. The relation between heart rate/VO<sub>2</sub> was equal during room air test and hypoxia in both groups.

### Exercise isocapnic hypoxic test response in comparison with room air test (Table 2) (Figures 1, 2, 3)

Both groups presented an increment in ventilation during the

Table 1 - Clinical and laboratorial characteristics

|   | Control<br>n = 07 | HF<br>n = 11 | HFNC<br>n = 04 |
|---|-------------------|--------------|----------------|
| Age (years)                             | 41±8              | 46±8         | 42±6           |
| Male                                    | 71%               | 63%          | 75%            |
| Race                                    |                   |              |                |
| White                                   | 71%               | 46%          | 25%            |
| Black                                   | 14%               | 27%          | 50%            |
| Etiology                                |                   |              |                |
| Idiopathic                              | -                 | 73%          | 100%           |
| Hypertensive                            | -                 | 27%          | 0%             |
| Weight                                  | 77±13             | 81±19        | 79±11          |
| Height                                  | 1.72±0.11         | 1.66±0.10    | 1.65±0.13      |
| BMI (kg/m <sup>2</sup> )                | 27±4              | 29±5         | 29±2           |
| Last clinical status modification (m) † | -                 | 17±9         | 8±4            |
| Last change in medication (m) †         | -                 | 10±6         | 6±4            |
| Functional class (NYHA)                 |                   |              |                |
| I                                       | -                 | 64%          | 50%            |
| II                                      | -                 | 36%          | 50%            |
| Medications                             |                   |              |                |
| ACE Inhibitors                          | -                 | 91%          | 75%            |
| Digital                                 | -                 | 64%          | 50%            |
| Diuretic                                | -                 | 82%          | 100%           |
| Spironolactone                          | -                 | 82%          | 100%           |
| β-blockers                              | -                 | 100%         | 75%            |
| AT1 blockers                            | -                 | 10%          | 25%            |
| Hemoglobin                              | 14.2±1.3          | 14.2±1.9     | 13.8±2.4       |
| Creatinine                              | 1.09±0.32         | 1.16±0.35    | 1.2±0.22       |
| TSH                                     | 2.03±1.09         | 2.62±1.21    | 2.01±0.61      |
| VO <sub>2</sub> (cardiopulmonary test)* | 39.7±4.7          | 20.9±4.7     | 19.3±3.2       |
| LV ejection fraction (MUGA) (%)*        | 54.3±3.7          | 30.7±9.7     | 29.4±6.1       |
| Echocardiogram (in cm)                  |                   |              |                |
| LV end diastolic diameter*              | 5.05±0.56         | 7.2±0.5      | 6.95±0.88      |
| LV ejection*                            | 59±3              | 34±6         | 34±5           |

Values are means ± SD; m - months; VO<sub>2</sub> - maximal exercise O<sub>2</sub> uptake in ml/kg/min; TSH - thyroid-stimulating hormone; LV - left ventricular, \*p<0.05 between C and HF; †p<0.05 between HF and HFNC.

exercise. However, tidal volume increased more prominently in C than HF, in contrast with respiratory rate which enlarged more in HF. Both groups demonstrated an equivalent decline in estimated dead space with concomitant increase in VO<sub>2</sub>. HF and C presented more important elevation in heart rate (p<0.006), however HF had larger rise in Δheart rate than C.

Both groups presented lowest blood pressure (BP) values at end of exercise and recovery and enhanced slope Ventilation/VCO<sub>2</sub>. Walked distance was reduced especially in HF group.

HF group had higher values in Ventilation/VO<sub>2</sub> and heart rate/VO<sub>2</sub> relations than O<sub>2</sub> 20%. C didn't have significant changes in Ventilation/VO<sub>2</sub> between them, while heart rate/VO<sub>2</sub> was higher during room air test. Both groups enhanced the relations Ventilation/walked distance and heart rate/walked distance. Comparing D(room air test/hypoxia) since both groups; all relations were significantly higher in HF group.

#### Resting hyperoxic hypercapnic test (hypercapnia) response in comparison with room air test

At hypercapnia both groups presented Ventilation lower values, that in C was mainly by tidal volume decrease despite high respiratory rate, but in HF group, it was associated to tidal volume and respiratory rate reduction.

#### Exercise hyperoxic hypercapnic test (hypercapnia) response in comparison with room air test (Figures 4, 5)

HF and Control group had greater elevation in Ventilation, without significant difference between them. During exercise both groups increased Δrespiratory rate and Δtidal volume (p<0.02), with higher and significant (p<0.01) elevation in Control group than HF group (Figures 5, 6). Both groups hadn't significant changes in BP and walked distance. Both groups presented a non statistical significant increase in exercise heart rate. HF and Control group course with an acute rise in heart rate in the 1<sup>o</sup> exercise minute, which was superior to response in hypoxia.

Both groups enhanced the relation Ventilation/walked distance without changes of heart rate/walked distance. Comparing D(room air test/hypercapnia) of Ventilation/walked distance and heart rate/walked distance, they were higher in HF than Control group.

#### Neurohormonal markers during hypoxia and hypercapnia conditions in comparison with room air test

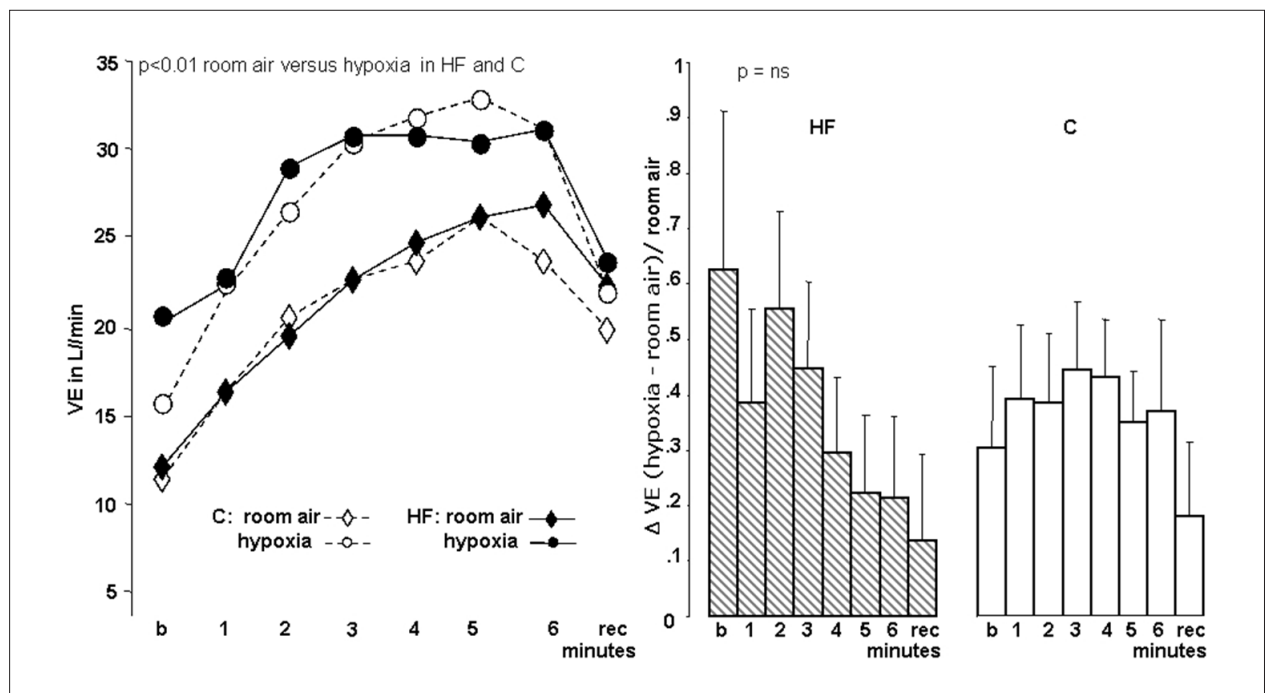
Control group had not changes in BNP, aldosterone, IL-6 and nitric oxide levels at hypoxia and hypercapnia conditions, but had a tendency to increase catecholamine levels during hypoxia (Table 3).

HF group presented a higher increase in catecholamine and aldosterone levels in room air test in comparison with Control group. During hypoxia HF group had a significant decrease in NO with concomitant elevation in IL-6 and aldosterone levels. For the hypercapnia test, HF group augmented catecholamine and NO values. HF group increase BNP levels during room air test, however in hypoxia and hypercapnia the BNP levels had a reduction, but all these changes without statistical meaning.

#### Isocapnic hypoxia -not concluded protocol

The most common symptoms associated to interruption were: dyspnea (3), dizziness (4), pre syncope (4) and "visual darkening" (4), but any of them needs most specific intervention. One patient stops the protocol in the first, one in the second and two of them in the third exercise minute.

Comparing group HF and HFNC in four initial minutes of hypoxia protocol, we observed that the increment of Ventilation was higher in the HFNC group (HFNC 29.8±9.5

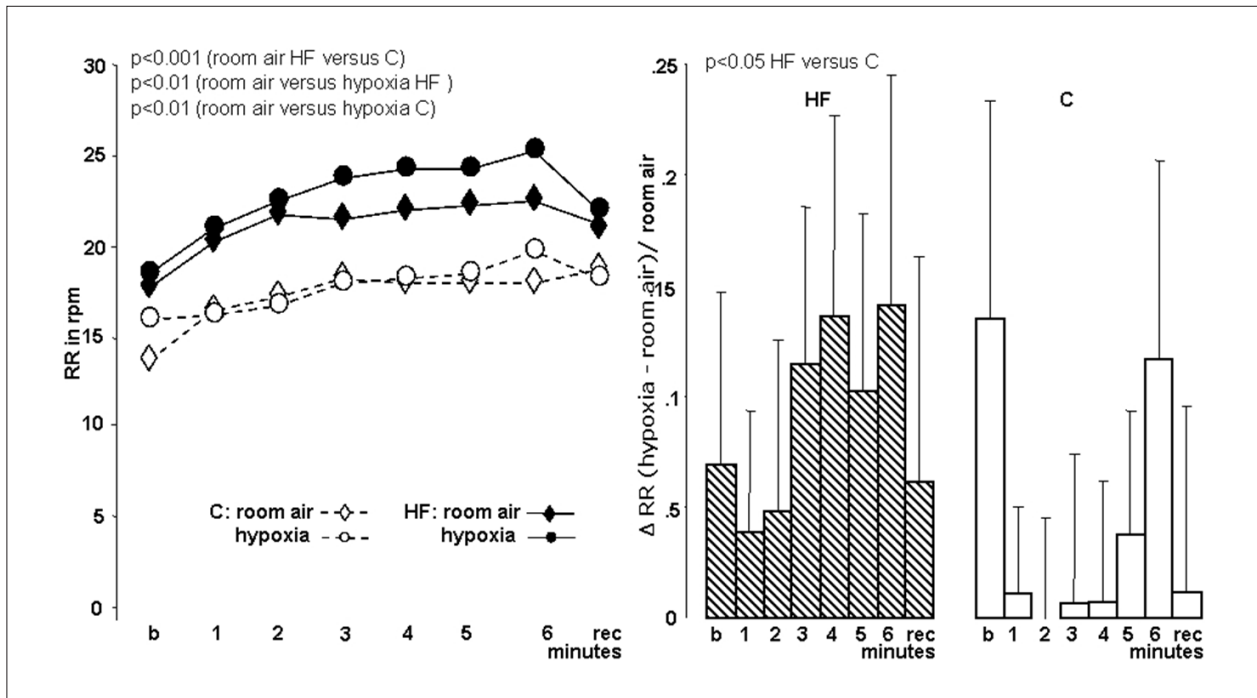


**Figure 1** - Ventilation of control (C) and heart failure (HF) patients with room air and hypoxia. Values in mean  $\pm$  SD (percentage %); b - basal minute; rec - recovery first minute; VE - pulmonary ventilation; room air - O<sub>2</sub> 20% test; hypoxia, hypoxic isocapnic test using inspired O<sub>2</sub> at 14%;  $\Delta$ VE Hypoxia/Room air test: difference( $\Delta$ ) between ventilation in Hypoxia/Room air test data.

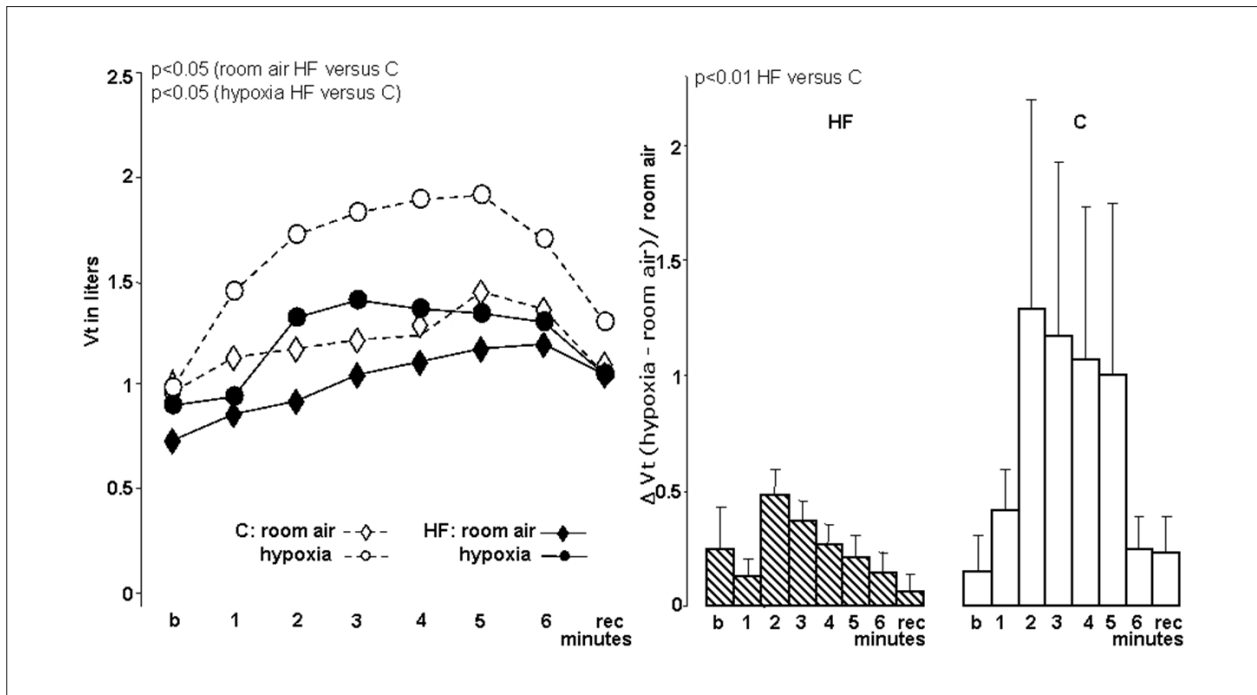
**Table 2** - Summary of results ( $\Delta$ delta in percentage)

| Parameters                | $\Delta$ hypoxia/room air test |              |                         |              | $\Delta$ hypercapnia/Room air test |              |                         |             |
|---------------------------|--------------------------------|--------------|-------------------------|--------------|------------------------------------|--------------|-------------------------|-------------|
|                           | Rest                           |              | Exercise                |              | Rest                               |              | Exercise                |             |
|                           | C                              | HF           | C                       | HF           | C                                  | HF           | C                       | HF          |
| VE                        | 33 $\pm$ 40 $\uparrow$         | 62 $\pm$ 94  | 37 $\pm$ 3              | 35 $\pm$ 13  | -10 $\pm$ 40                       | -15 $\pm$ 50 | 77 $\pm$ 8              | 69 $\pm$ 12 |
| Vt                        | 16 $\pm$ 44                    | 24 $\pm$ 60  | 93 $\pm$ 47 $\uparrow$  | 26 $\pm$ 13  | -28 $\pm$ 40                       | -14 $\pm$ 40 | 117 $\pm$ 47 $\uparrow$ | 53 $\pm$ 6  |
| RR                        | 21 $\pm$ 27                    | 6 $\pm$ 26   | 1 $\pm$ 4 $\uparrow$    | 9 $\pm$ 4    | 4 $\pm$ 40                         | -8 $\pm$ 30  | 32 $\pm$ 10 $\uparrow$  | 14 $\pm$ 7  |
| EDS                       | -0,5 $\pm$ 52                  | -18 $\pm$ 20 | -29 $\pm$ 6             | -28 $\pm$ 7  | -                                  | -            | -                       | -           |
| VO <sub>2</sub> /kg       | 5 $\pm$ 40*                    | 15 $\pm$ 68  | 32 $\pm$ 14*            | 11 $\pm$ 6   | -                                  | -            | -                       | -           |
| HR                        | 7 $\pm$ 10*                    | 10 $\pm$ 10  | 12 $\pm$ 2*             | 14 $\pm$ 3   | 3 $\pm$ 2                          | 5 $\pm$ 1    | 9 $\pm$ 1*              | 4 $\pm$ 3   |
| BP                        | -0,2 $\pm$ 8                   | -6 $\pm$ 11  | -1 $\pm$ 4              | -8 $\pm$ 14  | 4 $\pm$ 20                         | 2 $\pm$ 10   | 6 $\pm$ 20              | 1 $\pm$ 10  |
| WD                        | -                              | -            | -5 $\pm$ 13 $\uparrow$  | -14 $\pm$ 14 | -                                  | -            | 20 $\pm$ 41             | -7 $\pm$ 24 |
| Slope VE/VCO <sub>2</sub> | -                              | -            | 24 $\pm$ 31             | 43 $\pm$ 54  | -                                  | -            | -                       | -           |
| Relations                 |                                |              |                         |              |                                    |              |                         |             |
| VE/VO <sub>2</sub>        | 40 $\pm$ 8                     | 60 $\pm$ 7   | -4 $\pm$ 18 $\uparrow$  | 24 $\pm$ 21  | -                                  | -            | -                       | -           |
| HR/VO <sub>2</sub>        | 6 $\pm$ 1                      | 5 $\pm$ 1    | -26 $\pm$ 11 $\uparrow$ | 11 $\pm$ 15  | -                                  | -            | -                       | -           |
| VE/WD                     | -                              | -            | 36 $\pm$ 10*            | 46 $\pm$ 14  | -                                  | -            | 32 $\pm$ 21*            | 53 $\pm$ 25 |
| HR/WD                     | -                              | -            | 18 $\pm$ 9 $\uparrow$   | 29 $\pm$ 11  | -                                  | -            | -5 $\pm$ 4 $\uparrow$   | 11 $\pm$ 6  |

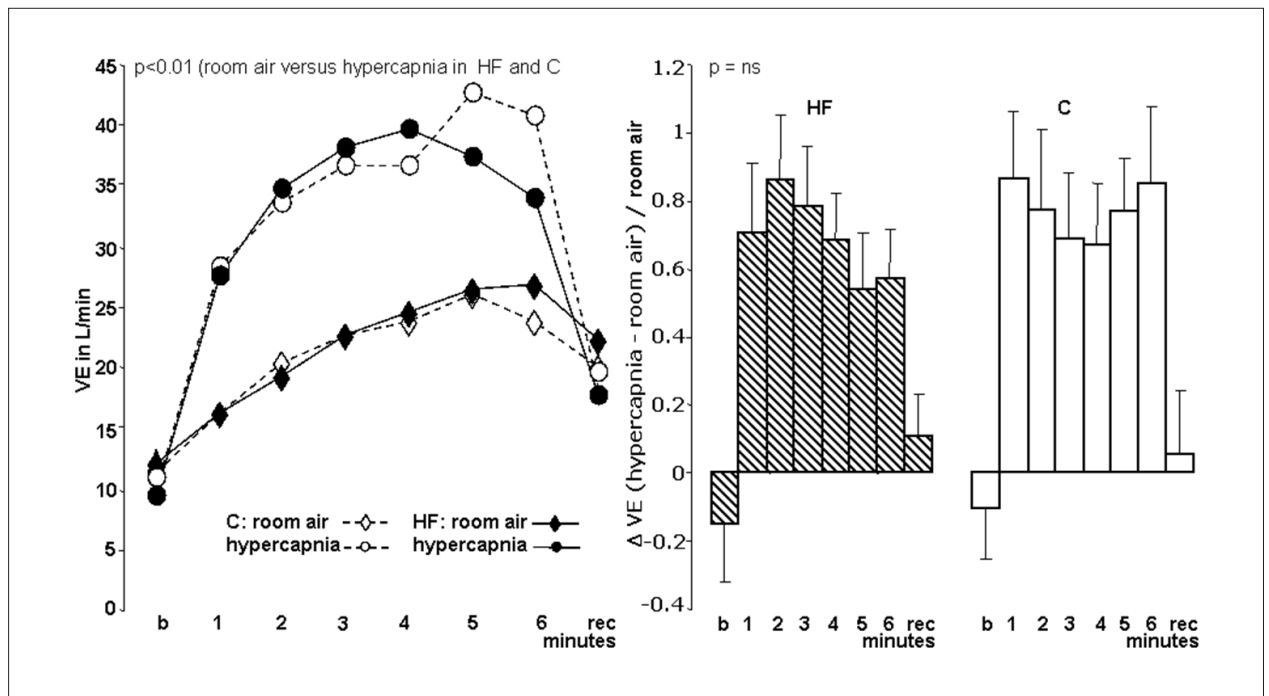
Values in mean  $\pm$  SD(percentage %);  $\Delta$ Hypoxia/Room air test: difference( $\Delta$ ) between Hypoxia/Room air test data;  $\Delta$ hypercapnia/Room air test difference ( $\Delta$ ) between hypercapnia/room air test data; EDS - estimated dead space; VO<sub>2</sub>/kg - O<sub>2</sub> uptake; slope VE/VCO<sub>2</sub> - coefficient of the linear regression between the VE/VCO<sub>2</sub>; WD - walked distance; \*p<0,05 between C/HF;  $\uparrow$ p<0,01 between C/HF.



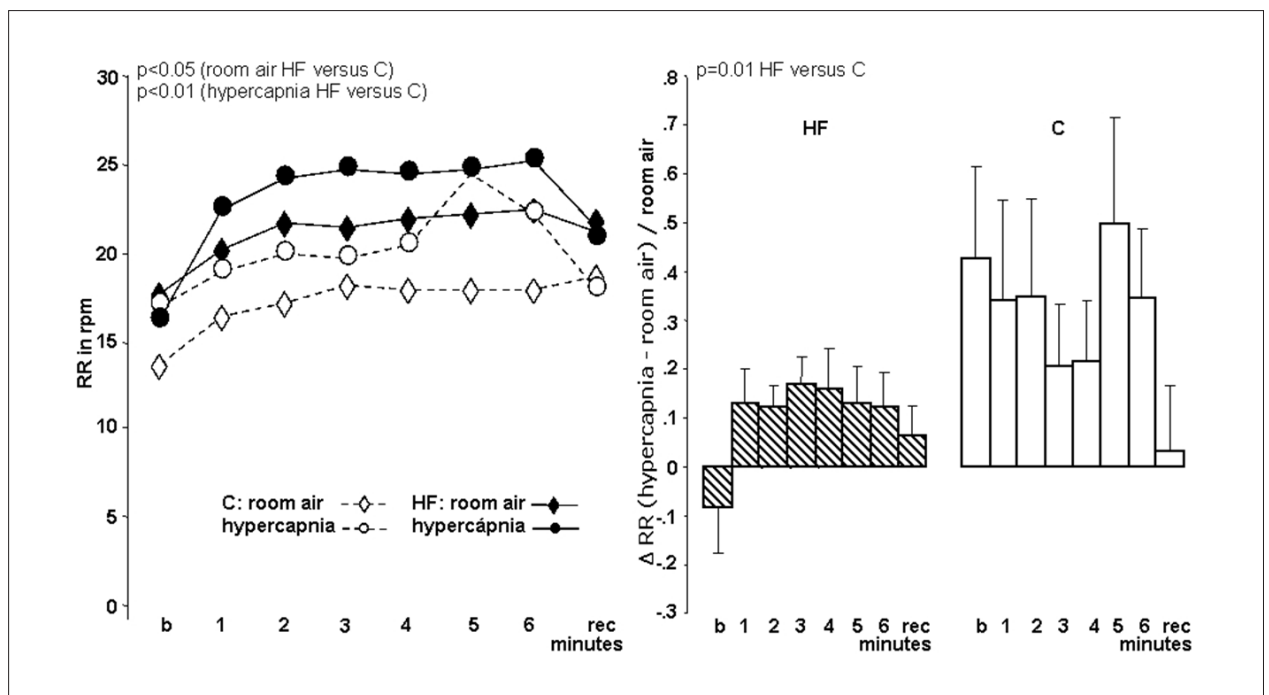
**Figure 2** - Respiratory rate (RR) of control (C) and heart failure (HF) patients with room air and hypoxia. Values in mean  $\pm$  SD (percentage %); b -basal minute; rec - recovery first minute; room air -  $O_2$  20% test; hypoxia - hypoxic isocapnic test using inspired  $O_2$  at 14%;  $\Delta VE$  Hypoxia/Room air test - difference ( $\Delta$ ) between ventilation in Hypoxia/Room air test data.



**Figure 3** - Tidal volume ( $V_t$ ) of control (C) and heart failure (HF) patients with room air and hypoxia. Values in mean  $\pm$  SD (percentage %); b -basal minute; rec - recovery first minute; room air -  $O_2$  20% test; hypoxia - hypoxic isocapnic test using inspired  $O_2$  at 14%;  $\Delta VE$  Hypoxia/Room air test - difference ( $\Delta$ ) between ventilation in Hypoxia/Room air test data.



**Figure 4** - Ventilation of control (C) and heart failure (HF) patients with room air and hypercapnia. Values in mean  $\pm$  SD (percentage %); b - basal minute; rec - recovery first minute; VE - pulmonary ventilation; room air - O<sub>2</sub> 20% test; hypercapnia - hypercapnic hyperoxic test using inspired CO<sub>2</sub> at 5% and O<sub>2</sub> 95%;  $\Delta VE$  Hypercapnia/Room air test - difference ( $\Delta$ ) between ventilation in Hypercapnia/Room air test data.



**Figure 5** - Respiratory rate (RR) of control (C) and heart failure (HF) patients with room air and hypercapnia. Values in mean  $\pm$  SD (percentage %); b - basal minute; rec - recovery first minute; VE - pulmonary ventilation; room air - O<sub>2</sub> 20% test; hypercapnia - hypercapnic hyperoxic test using inspired CO<sub>2</sub> at 5% and O<sub>2</sub> 95%;  $\Delta VE$  Hypercapnia/Room air test - difference ( $\Delta$ ) between ventilation in Hypercapnia/Room air test data.

Table 3 - Neurohormonal measurements

|                  | Basal         |           |             | End           |           |             | Delta basal/end |         |             |
|------------------|---------------|-----------|-------------|---------------|-----------|-------------|-----------------|---------|-------------|
|                  | Room air test | Hypoxia   | Hypercapnia | Room air test | Hypoxia   | Hypercapnia | Room air test   | Hypoxia | Hypercapnia |
| Catecholamines   |               |           |             |               |           |             |                 |         |             |
| HF               | 634±215       | 782±562   | 573±418     | 1,061±757†    | 1,275±813 | 1,034±931†  | 67±57*          | 49±65   | 72±65       |
| C                | 454±235       | 470±312   | 480±333     | 477±229       | 740±205   | 495±252     | 7±9             | 66±91   | 35±38       |
| %Difference HF,C | 28%           | 39%       | 16%         | 55%           | 41%       | 52%         |                 |         |             |
| BNP              |               |           |             |               |           |             |                 |         |             |
| HF               | 180±164       | 226±282   | 255±348     | 202±173       | 138±167   | 196±216     | 25±57           | -6±46   | 0.3±35      |
| C                | 11±3,5        | 11±6,7    | 11±9        | 11±3,2        | 10±5      | 12±9        | -1±21           | 5±41    | 13±28       |
| %Difference HF,C | 93%           | 95%       | 95%         | 94%           | 92%       | 93%         |                 |         |             |
| Aldosterone      |               |           |             |               |           |             |                 |         |             |
| HF               | 6,5±4,9       | 14,1±17,2 | 14,4±19     | 11,1±12,6     | 16,3±18‡  | 14±19       | 46±61*          | 21±28   | -2±12       |
| C                | 10,6±6,1      | 12,5±8,2  | 8,9±5       | 9,6±7,1       | 13,9±7,9  | 8,8±5,5     | -5±31           | 20±54   | -0.4±17     |
| %Difference HF,C | 63%           | 11%       | 38%         | 13%           | 14%       | 37%         |                 |         |             |
| Interleukin 6    |               |           |             |               |           |             |                 |         |             |
| HF               | 2,8±1,6       | 2,6±1,7   | 3,2±1,6     | 3±1,9         | 3±1,8‡    | 4,3±3,1     | 5±43            | 24±41   | 33±80       |
| C                | 0,9±0,78      | 1,4±1,3   | 1,9±2,9     | 1,1±0,9       | 1,6±1,9   | 1,4±1,9     | 44±85           | 10±60   | -6±64       |
| %Difference HF,C | 67%           | 46%       | 40%         | 63%           | 46%       | 67%         |                 |         |             |
| Nitric oxide     |               |           |             |               |           |             |                 |         |             |
| HF               | 70±28         | 77±31     | 74±38       | 69±20         | 60±27†    | 89±38†      | 2±26            | -19±37  | 32±50       |
| C                | 64±31         | 61±32     | 82±44       | 77±36         | 58±31     | 86±46       | 31±45           | -21±38  | 12±24       |
| %Difference HF,C | 8%            | 20%       | 10%         | 11%           | 3%        | 3%          |                 |         |             |

Values in mean ± SD; Δb&e - delta or difference between basal and end; basal - rest; end - recovery; catechol. - catecholamine; \*p<0.05 between HF/C(Δb&e); †p<0.05 between basal/end; ‡p<0.05 between basal/end.

l/min versus HF group 25.6±5.1 l/min). Concerning Δ room air test/hypoxia, HFNC resting Ventilation was reduced, but during exercise it presented an important elevation, similar to an acute ventilatory response, followed by an important drop.

HFNC had higher respiratory rate (HFNC: 23±2.4 i/min versus HF group: 21.4±2.23 i/min, p<0.01) and tidal volume (HFNC: 1.33±0.26 l/min and HF group: 1.14±0.25 l/min p<0.04).

HFNC enhanced VO<sub>2</sub> more than HF group in the three first exercise minutes with mean 10.40±5.7 for HFNC and 8.2±4.3 for HF group (p<0.05), with a peak in the 1<sup>st</sup> minute followed by a decline to a lowest level that room air test. HFNC had globally higher heart rate (106±15.31) than HF group (91.47±12.10; p<0.02), and before the interruption, had an acute heart rate elevation, followed by a decline to a lowest level than room air test.

## Discussion

Exercise peripheral and central chemosensitivity are

increased in HF group and may modulate respiratory pattern, cardiac chronotropism, and neurohormonal activity during exercise. Ventilatory response to peripheral chemoreceptors stimulation during exercise in HF group is associated to exacerbation of change in respiratory pattern with more proportional increment in respiratory rate.

### Isocapnic hypoxia

Our finding of biphasic hypoxic response in HF group, is in concordance that had been reported in health volunteers during rest and exercise<sup>14</sup>. The exacerbation of respiratory rate contribution for ventilation during hypoxic exercise to our knowledge was never reported previously. The peripheral chemoreceptors could be more sensible in HF group, and could determine an abnormal response in ventilatory pattern in HF group<sup>5</sup>. Alternatively, the hypoxia could have worsened the pulmonary mechanisms related to respiratory rate response during exercise in HF group such as pulmonary progressive increasing in death space in HF group<sup>15,16</sup>, respiratory muscles fatigue and attenuated action of L-arginine-nitric oxide

cycle<sup>17</sup>. Discordant of this hypothesis is that hypoxia, due to its selective vasodilator effect, may lead to pulmonary blood flow redistribution, improving alveolar component and ventilation/perfusion disturbance. Also, our estimated dead space reduction during exercise probably by cardiac output improvement in HF group is not favorable<sup>16</sup>. However, both mechanisms could be influencing the ventilatory response.

Opposite to some authors, in our study the hypoxia  $\text{VO}_2$  increased progressively, but this discrepancy may be due to different hypoxia and exercise levels ( $\text{O}_2$  10-12%)<sup>18,19</sup>. A potential possibility for  $\text{VO}_2$  increase observed in both groups is that probably exercise alters dissociation curve of  $\text{O}_2$ -hemoglobin by discharge of phosphate compounds associated to  $\text{CO}_2$  releasing increase and acids production by muscles.

The Ventilation/ $\text{VCO}_2$  slope elevation that we observed is probably related to the primary modification in respiratory pattern in both groups (hyperventilation) and less to  $\text{PCO}_2$  or estimated dead space increasing as previously described during room air test maximum exercise in HF patients<sup>20-26</sup>.

We didn't find studies that used 6minWT concomitant to hypoxia, but despite the lack of comparison, we could suppose that the walked distance reduction observed may be related to great hyperventilation that would lead to thoracic muscle fatigue, and hypoxia of tissues.

The resting heart rate increment similarly observed in both groups confirms that hypoxia is a great chronotropic stimulus, and that peripheral chemoreceptors if stimulated can modulate resting HF group heart rate despite  $\beta$ -blockers<sup>3-5,15,20,21</sup>. However, the greater chronotropic response is indicative of higher exercise sensibility of peripheral chemoreceptors in HF group during exercise.

### Hyperoxic hypercapnia

The reasons for the discordant reduction of resting Ventilation in both Control group and HF groups in comparison with other reports<sup>22-24</sup>, is unknown, however, an possible explanation would be that central chemoreceptors, despite being powerful Ventilation stimulators, are slower than peripheral chemoreceptors<sup>3,23</sup>, without great influence in the first minute sensitization. Our exercise results, like others with healthy individuals with hypercapnia at rest, show an acute ventilatory component, possibly due to stimulus of peripheral chemoreceptor by the  $\text{CO}_2$ <sup>25</sup> plus exercise; and a slow component, which was related specifically to central chemoreceptor with great Ventilation amplitude increment<sup>26</sup>. In our study, like others, the hypercapnic stimulus showed important and global Ventilation increasing, which was superior to the one underwent during hypoxia<sup>3</sup>. However, the Ventilation/walked distance ratio higher in HF than Control group, suggests greater central chemosensitization on it.

The explanation for the unexpected limited increment in respiratory rate in HF group during hypercapnic exercise in comparison with Control group that had a bigger response than HF group, suggests that central chemoreceptors could not play a role in ventilatory response during exercise in HF group, mainly when compared to response obtained with 14%  $\text{O}_2$ .

As our study, others have compared the effect of hypercapnic stimulus upon heart rate in healthy and HF

individuals, however only at rest and divergently, demonstrated in HF group an elevation superior to Control group<sup>24</sup>. Similarly to us, other study, demonstrated in hypercapnia an heart rate elevation, globally inferior to that one occurred in hypoxia, although it was done with healthy individuals and at rest<sup>3</sup>.

Without previous descriptions, the acute chronotropic response observed in our results in both groups, immediately after exercise beginning, being superior to the elevation seen in the hypoxia tests. Some factors possibly may influence it: a) peripheral chemoreflex, stimulated by the  $\text{CO}_2$ ; b) inadequate blockade of the peripheral chemosensitivity by hyperoxia<sup>24,25</sup>; c) important abrupt sympathetic activation and without the attenuation effects of pulmonary stretching vagal reflex, since it's little stimulated at first exercise minute; d) abrupt withdrawal of parasympathetic activity<sup>25</sup>.

The attenuated elevation of the heart rate in HF group during exercise when compared with Control group, may be related to less important chronotropic stimulation of hypercapnia, associated with greater significance of parasympathetic vagal stimulus withdraw added to betablockers use<sup>24,25</sup>. However when analyzed the relation heart rate/walked distance, it was higher in hypercapnia than room air test in HF group, inversely to Control group where the relation was higher in room air test. These results could suggest for the same effort, great chronotropic exercise answer to central chemostimulation in HF group, despite the attenuated factors cited above.

### Catecholamines, BNP, aldosterone, nitric oxide and interleukin-6

The significant elevation in catecholamines levels during room air test and hypercapnia, but just tendency to elevation in hypoxia tests in HF group suggest that the peripheral and central chemoreceptors can modulate neurohormonal activation in HF group, however, with higher sympathetic activation with central stimulus. Studies with animals suggest important catecholamines serum elevation at rest, with chemosensitization using hypoxia and hypercapnia<sup>27</sup>. However, in human beings at rest it has been conflicting, some authors describing serum noradrenalin elevation as others describe inexistent or discrete modifications during hypoxia<sup>28</sup>. Our data are in accordance to the descriptions in normal individuals where there was elevation in catecholamines serum level during exercise with hypoxia superior to the increment observed with the same exercise load in normoxia<sup>29</sup>.

The tendency reduction in BNP during exercise under hypoxia and hypercapnia is unexpected in comparison with increment in normoxic exercise<sup>30</sup> and increase in ANP after hypoxia at rest in healthy subjects<sup>31</sup>. Then, stimulation of peripheral and central chemoreceptors stimulation during exercise could reduce physiologic effects consequent to BNP secretion.

The reasons for increment in aldosterone during hypoxic exercise is unknown, however, it is compatible with other underlying disorders (septicemia, COPD) or hypoxemic respiratory<sup>32</sup>.

The decrease in NO during hypoxia and the increment in NO during hypercapnia test in HF group is divergent of our results in healthy subjects similar to literature that



demonstrated unaffected NO levels during hypoxia and O<sub>2</sub> 20% during moderate exercise<sup>33</sup>. We didn't find studies with NO and hypoxia in HF patients that help us to justify the reduced levels, but some considerations could be done: a) NO synthesis suggest be reduced in basal conditions in HF group<sup>34</sup>, b) molecular O<sub>2</sub> is essential substrate for NO synthesis and possibly limited NO production in hypoxic conditions<sup>33</sup>, c) NO is a potent systemic and pulmonary vasodilator and his reduced production has been associated with the development of pulmonary hypertension and potentially with high-altitude pulmonary edema<sup>35</sup>. We didn't find studies with hypercapnia and NO in both groups, but in animal model the hypercapnia course with pulmonary microvessel dilatation and NO elevation like in our results in HF group. This elevation in NO could be related to: a) hyperoxic effect with greater molecular O<sub>2</sub> offer<sup>34</sup>, b) primary hypercapnic effect with CO higher seric levels<sup>35</sup>.

As previously described, we observed in healthy individuals at rest an elevation of IL-6 levels with acute hypoxic stimulus<sup>36,37</sup>. Like was seen in our results, HF group had higher baseline IL-6 levels<sup>38</sup>, but we didn't observe at rest hypoxic response. We didn't find other studies with HF and hypoxic IL-6 answer, but it was described that beta-blockers use repressed IL-6 response even in pathologic situations<sup>39</sup>. So, we hypothesized that in our study at rest in HF group IL-6 response could be inhibited by beta-blocker action still that hypoxic stimulus. The physiological significance of IL-6 response to hypoxia remains unknown, once that IL-6 acts not as a mediator of inflammation or acute-phase protein response, since IL-1, TNF $\alpha$  and CRP remain unchanged. Two other possible actions have been suggested as an angiogenic effect plus a modulatory effect on erythropoietin production<sup>37</sup>.

During submaximal exercise both groups had elevated IL-6 levels, with statistical meaning just in HF group. The exercise has been described as a stressor with IL-6 increase, which was related to effort intensity and potentially justified by lactate levels, endothelial shear stress and muscle damage and less with catecholamine production<sup>37,38</sup>. In our study we supposed that despite IL-6 be repressed by beta-blocker action in HF group, when was added exercise stress to hypoxia, this blockade was insufficient and IL-6 course with huge increase<sup>37</sup>.

### Study limitations

The relative small number of subjects could be a limitation; however, it is acceptable the sample based on the relevant results that were obtained in this original study with chemosensibilization during exercise. Pulmonary function tests were not performed before inclusion of patients, but no patient had evidence of pulmonary disease or smoking by history, clinical examination, chest radiograph, and Ventilation/VCO<sub>2</sub> obtained during ergospirometric tests. Patients did not have resting 3 minutes of hypoxia or hypercapnia before the exercise; however, there was concern about the potential influence of this previous exposure on evaluation of exercise chemoreceptor sensitivity and exercise capacity.

The hypoxia effects could be in part mediated by

hypertensive pulmonary response, however, there is not an experimental investigation that proved it in heart failure, and the hypoxia was moderated. The hypoxic and CO<sub>2</sub> inhalation could in theory stimulated other receptors, however, it is accepted that the main mechanisms is by action in central and peripheral chemoreceptors. CO<sub>2</sub> and O<sub>2</sub> inspiratory pressure were not continuous determined, but, the frequent monitoring of % of inspired CO<sub>2</sub> and O<sub>2</sub> gazes counterbalances this lack of information.

It is possible an attenuated stimulation of peripheral chemoreflex by CO<sub>2</sub> based on the fast Ventilation response to CO<sub>2</sub> despite the hyperoxia to blockade peripheric chemo sensitivity; however, this is the accepted design in most protocols for central chemoreceptors stimulation.

The control group had different age in comparison with HF patients, however, all groups were relatively non older, and there isn't information about age influence on chemoreflex.

### Clinical implications

The knowledge of the enhanced chemosensitivity during exercise may have a potential role in the development of researches about interventions to reduce this abnormality. Also, new strategies of pharmacologic and no-pharmacologic treatment intending chemoreflex modulation could in theory benefit more patients.

### Conclusion

This is a demonstration of increased peripheral and central chemoreceptor during exercise in HF group. The abnormal response to peripheral chemoreceptors stimulation in HF group during exercise characterized by exacerbation of increment of respiratory rate and heart rate, increase in IL-6 and aldosterone, reduction in NO and BNP, suggests that peripheral chemoreflex can modulated pattern of ventilatory, cardiac, neurohormonal and vasodilatory response in HF group during exercise. The abnormal response to central chemoreceptors stimulation in HF group during exercise that included higher Ventilation/walked distance, heart rate/walked distance, catecholamine, NO, and no increase in BNP suggests that central chemoreflex could also modulates pattern of ventilatory, cardiac, neurohormonal and vasodilatory response of HF group during exercise.

### Potential Conflict of Interest

No potential conflict of interest relevant to this article was reported.

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