

## Reduction of Central Sleep Apnea in Heart Failure Patients with Beta-Blockers Therapy

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### Abstract

**Background:** Sleep apneas are frequent in patients with heart failure (HF). Estimate of the pre-beta blocker age (BB) point out to 45% of central apneas in these patients.

**Objective:** Assess the influence of BB in central apneas and their interference in the quality of sleep and life of patients with heart failure.

**Methods:** 65 patients with heart failure underwent diagnostic polysomnography. Polysomnography have been assessed according to the use or not of BB. On the day of examination, the patients answered the Minnesota questionnaire for quality of life with HF. After 6 and 12 months from the polysomnography date, all patients were contacted by phone, in order to repeat the Minnesota questionnaire.

**Results:** The prevalence of sleep apnea (IAH > 15/h) hit 46.1% in the total population, however, central sleep apnea was identified in 18.4% of patients. The use of BB, in a multivariate analysis, was the only predictor of a minor index of central apnea and hypopnea (IAH) ( $p=0.002$ ), greater saturation ( $p=0.02$ ) and smaller average desaturation of oxygen ( $p=0.03$ ). Additionally, the use of BB could predict a better quality of life after 6 and 12 months ( $p=0.002$  and  $0.001$  respectively) and a smaller number admissions in these periods ( $p=0.001$  and  $p=0.05$  respectively).

**Conclusion:** The use BB reduced the rate of central sleep apnea in total population, if we compare to literature data. Additionally, the BB improved parameters of quality of sleep and life of patients with heart failure. (Arq Bras Cardiol 2010;94(2): 223-229)

**Key Words:** Sleep apnea syndromes; heart failure; adrenergic beta-antagonists.

### Introduction

Heart failure (HF) is one of the cardiovascular diseases that most lead to morbidity and mortality, causing considerable economic and social impacts<sup>1</sup>. Nearly 5 million Americans currently have heart failure. In Europe, studies have reported prevalence from 0.5% (in younger people) to 16.1% in those older than 75<sup>2</sup>. Decompensated heart failure (DHF) causes at least 20% of total hospital admissions among people of the same age<sup>3</sup>. Hence, it is important to investigate each variable that may predict the evolution and prognosis of these patients. Most of these variables are well known, while others are getting quickly popular.

One of these variables is the respiratory sleep disorder (RSD), especially central sleep apnea (CSA). The Cheyne-Stokes (CS) pattern is the most known pattern of such disorder<sup>4,5</sup>. The CSA occurs especially with patients with HF, where prevalence is

around 30% and 50%<sup>6</sup>. The physiopathological mechanism that explains this high rate of prevalence is the hypocapnia resulting from the tachypnea and hyperpnea deriving from lung congestion. Apnea increases the sympathetic activation and the risk of ventricular arrhythmias, which are likely to cause the increase of mortality, observed in the patients<sup>7</sup>.

Our study monitored 65 patients with severe HF, optimized drug therapy and patients with symptoms related to CSA. These patients were submitted to night polysomnography and later monitored for one year. The purpose of the study was to assess the impact of beta-blockers on central apneas. We also sought to assess how much the presence of CSA and beta-blocker therapies influenced the treatment of these patients.

### Patients and methods

#### Patients

Between December 2004 and December 2006, 65 consecutive patients (44 men and 21 women; average age of  $50.8 \pm 12.8$ ), with HF, being treated in the outpatient care of Heart Failure and Transplantation of the *Instituto do Coração*

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de São Paulo, were selected to take part in the study. The selection criteria were: Patients with functional HF (NYHA) II or III, dyspnea at rest or rough coughing at night, apnea witnessed by the spouse and left ventricle ejection fraction (LVEF)  $\leq$  35%. The exclusion criteria included previous cerebrovascular disease, use of central nervous system depressants, body mass index  $>$  30 and chronic respiratory disease.

All patients were submitted to a diagnostic polysomnography test to assess apnea. The drugs prescribed to patients were not changed to do the tests.

The Minnesota Living with Heart Failure Questionnaire of was given to all patients, immediately before the diagnostic test. The entire population was monitored for one year. Six and 12 months after the diagnostic test, the authors called the population under study in order to apply another Minnesota questionnaire and to make questions about re-admissions and death.

The investigation was made according to the principles outlined by the Declaration of Helsinki. The Ethics Commission of *Hospital das Clínicas da FMUSP* approved the study protocol, and an informed consent was filled by each patient/person responsible before the inception of the follow-up study.

### Polysomnography

Night polysomnography incorporated a digital system (EMBLA 17 channels, *FLAGA hf. Medical Devices*). The investigation consisted in monitoring EEG, electrooculogram, submental electromyogram, ECG, thoracic-abdominal excursions, oronasal flow and arterial saturation of oxygen by pulse oximetry. Central sleep apnea was defined by absence of oronasal flow during  $\geq$  10 seconds, associated to absence of respiratory stress. Obstructive sleep apnea was defined by absence of oronasal flow for  $\geq$  10 seconds, however, in the presence of thoracic-abdominal movements (respiratory stress). Hypopnea was characterized by a  $\geq$  50% reduction in oronasal flow, inasmuch it was  $\geq$  10 s and associated to  $\geq$  3% in the drop of arterial oxygenation. The index of apnea-hypopnea (IAH) was calculated considering the average number of apneas and hypopneas per hour of sleep. The indexes of central apneas (ICA) and obstructive apneas (OA) were calculated by the average of these events per hour.

### Statistic analysis

The classification variables were displayed in contingency tables with absolute (n) and relative (%) frequencies. The association among them was assessed by Fisher's exact test. The distribution of quantitative variables was assessed with t-Student test or Wilcoxon test. The statistically significant variables found in the single-variable analysis were used in the logistic regression model. They were considered statistically significant when the p value was  $<$  0.05.

### Results

Among the patients submitted to diagnostic polysomnography, 55 patients (87.6%) used beta-blocker (Carvedilol) in an average daily dose of 28.8 mg. Hypotension (7.6%), bradycardia (3%) and chronic obstructive pulmonary

disease (1.5%) did not allow the other patients to use the drug. The clinical characteristics of the entire population are described in Table 1.

The patients without beta-blocker presented a lower systolic blood pressure ( $p=0.02$ ), the only significant difference among patients with and without these drugs.

Thirty patients (46.1%) presented IAH  $>$  15/h. Central sleep apnea was found in 12 patients (18.4% of the entire population, corresponding to 40% of patients with IAH  $>$  15/h). Obstructive sleep apnea was found in 3 patients (10%), mixed apnea in 4 (13.3%) and hypopnea in 11 patients (36.6%).

The smaller prevalence of central sleep apnea in this population was surprising, although not statistically compared to literature. The multivariate regression analysis showed that the continuous use of beta-blocker was an independent predictor of absence of central sleep apnea ( $p<0.002$ ).

By comparing the results of polysomnographies of patients with and without beta-blocker, we confirmed a smaller index of central sleep apnea in patients taking the drug ( $p=0.002$ ). Additionally, as shown in Table 2, patients belonging to the beta-blocker group presented greater average night saturation of oxygen, smaller worse saturation during the night and a smaller average of arterial desaturation, significantly different from those of group without beta-blocker ( $p=0.02$ , 0.01 and 0.03, respectively).

The quality of life, according to the Minnesota questionnaire, was significantly better, after 6 and 12 months, among patients without central sleep apnea ( $p=0.002$  and  $p=0.001$  respectively – Figures 1 and 2). Admissions were more common in the population with central sleep apnea ( $p=0.001$  and  $p=0.005$  to 6 and 12 months, respectively – Figures 3 and 4). There was no difference in the mortality rate in populations with and without central sleep apnea.

### Discussion

This study was targeted at assessing the influence of beta-blockers in central sleep apnea, in patients with heart failure patients, as well as of these drugs can interfere in the quality of sleep of these patients. Besides this, it also sought to investigate whether the central sleep apnea would impact the prognosis and/or the quality of life of these patients.

The smaller prevalence of central sleep apnea in patients using beta-blocker, although not statistically compared to literature, if compared to what literature describes, was the main finding of the study. We also confirmed what had already been reported: respiratory disorders, such as central sleep apnea, influence the prognosis and directly affect the quality of life of patients with HF.

Central sleep apnea has been associated to an increase in the sympathetic nervous activity in patients with HF, and it is known to predict progression of such syndrome, besides predicting ventricular arrhythmias and death. The presence of CSA is also associated to a greater probability of early heart transplantation. Actually, two studies reported that patients with HF and CSA, irrespective of other factors, have

Table 1 - Characteristics of the population

Variables	Total group (n=65)	Patients under beta blocker therapy (n=57)	Patients not under beta blocker therapy (n=8)	p
Age (year)	50.8 ± 12.8	50.0 ± 13.2	56.5 ± 8.0	0.07
Male	44	37	7	0.8
BMI	23.6 ± 2.9	23.8 ± 2.8	22.6 ± 3.3	0.3
SBP	106.1 ± 10.0	107.1 ± 10.0	99.3 ± 7.7	0.02
DBP	65.6 ± 8.2	66.3 ± 8.2	60.6 ± 7.2	0.07
<b>HF Etiology</b>				
Chagas disease etiology	15	11	4	
Hypertensive	6	6	-	
Idiopathic	15	15	-	
Ischemic	23	20	3	
Valvar	3	2	1	
Others	3	3	-	
<b>NYHA</b>				
II	29	26	3	0.4
III	36	31	5	0.7
LVEF (%)	25.1 ± 8.6	25.4 ± 8.8	22.6 ± 7.6	0.3
Sinus rhythm	38	33	5	0.7
<b>Drugs (n)</b>				
Carvedilol	57	57	-	-
Enalapril	32	26	6	0.6
Captopril	20	20	-	-
BRA (losartan)	9	7	2	0.3
Diuretic drugs	53	45	8	0.2
Digoxina	38	30	8	0.5
Spironolactone	49	44	5	0.4
<b>Average dose (mg/d)</b>				
Carvedilol	28.8 ± 16.6	28.8 ± 16.6	-	-
Enalapril	31.4 ± 11.7	31.7 ± 12.0	30.0 ± 10.9	0.7
Captopril	92.5 ± 43.3	92.5 ± 43.3	-	-
Losartan	66.6 ± 25.0	64.2 ± 24.4	75.0 ± 35.3	0.7
Thiazide	27.5 ± 7.9	27.7 ± 8.3	25	0.9
Furosemide	48.8 ± 23.5	49.5 ± 24.5	45.0 ± 17.7	0.5
Digoxin	0.2 ± 0.06	0.2 ± 0.06	0.2 ± 0.06	0.8
Spironolactone	25.7 ± 5.3	25.8 ± 5.6	25	0.3

BMI - body mass index; SAP - systolic blood pressure; DBP - diastolic blood pressure; LVEF - left ventricle ejection fraction; ACE - inhibitor of angiotensin-converting enzyme; ARB - angiotensin receptor blocker.

mortality risk increased by two to three times<sup>8-10</sup>. Our study did not find mortality differences; however, the quality of life and hospital admissions were significantly different among patients with and without CSA, in detriment to patients with apnea.

Few epidemiological studies report prevalence of CSA in patients with HF. The two larger studies involved 450

and 81 patients, reporting prevalence of 33% and 40%, respectively<sup>11,12</sup>. The main risks to CSA were male sex, hypocapnia, atrial fibrillation and advanced age.

These studies were relevant, especially because they characterize CSA as an important comorbidity associated to HF. Nevertheless, the question this study sought to answer was whether the prevalence above is the same in the era

**Table 2 - Polysomnography of patients under and not under beta-blocker therapy**

Polysomnography	Total patients (n=65)	W/ beta-blocker (n=57)	W/O beta-blocker (n=8)	p*
AHI%	19.3 ± 18.7	17.5 ± 18.2	32.3 ± 18.4	0.06
CAI%	6.6 ± 12.7	4.7 ± 9.7	20.0 ± 21.8	0.002
OAI%	4.2 ± 10.2	4.4 ± 10.9	2.5 ± 1.7	0.2
Arterial saturation awaken %	93.4 ± 2.4	93.6 ± 2.5	92.3 ± 1.1	0.02
Average arterial saturation %	92.8 ± 2.8	92.9 ± 2.9	91.9 ± 1.4	0.1
Worse arterial saturation %	82.9 ± 5.9	83.6 ± 5.9	78.0 ± 4.1	0.01
Average arterial desaturation %	5.9 ± 2.3	5.4 ± 1.8	8.6 ± 3.2	0.03
Epworth	8.8 ± 4.0	8.4 ± 3.6	11.1 ± 6.2	0.2
Total sleep time (min)	422.8 ± 70.2	420.6 ± 72.9	438.5 ± 46.7	0.3
Effectiveness of sleep %	77.7 ± 17.8	78.0 ± 18.7	75.3 ± 9.5	0.5
Sleep stage 1 %	9.6 ± 12.1	10.1 ± 12.7	6.6 ± 5.2	0.1
Sleep stage 2 %	63.4 ± 12.9	62.6 ± 12.8	69.1 ± 13.1	0.2
Sleep stage 3 %	8.6 ± 6.5	8.4 ± 6.3	10.3 ± 8.5	0.6
Sleep stage 4 %	5.6 ± 6.1	5.8 ± 6.3	3.7 ± 3.6	0.2
REM %	14.0 ± 7.1	14.0 ± 7.0	13.6 ± 8.5	0.9

AHI - apnea and hypopnea index; CAI - central apnea index; OAI - obstructive apnea index; REM - rapid eye movement; \*p: patients receiving or not beta-blockers.

of beta-blocker therapy – when the use of beta-blockers in patients with ventricular dysfunction affects, at *Instituto do Coração*, over 90% of patients<sup>13</sup>. Tamura et al<sup>14</sup> have recently studied 45 patients with HF and reported low prevalence of CSA among chronic beta-blocker users. Additionally, they reported that five patients not using beta-blockers, with IAC > 5 reduced considerably this index after 6 months of Carvedilol therapy ( $9.5 \pm 4.9$  to  $1.3 \pm 2.4$ ,  $p=0.03$ ). Kohnlein et al<sup>15</sup> found similar results by studying 50 patients with HF with and without the use of beta-blockers<sup>15</sup>.

Maybe a way to explain the reason for the CSA reduction with beta-blocker is the undisputable improvement that the left ventricular function has with these drugs. Through prevention of ventricular remodeling, prophylaxis of arrhythmias, ischemia, fibrosis and apoptosis, drugs like Carvedilol, Metoprolol and Bisoprolol may increase the ejection fraction of the left ventricle, which is provably associated to a better quality of life and to a reduced mortality of these patients.

Therapy with beta-blocker may also reduce the severity of CSA by restoring the central chemosensitivity to CO<sub>2</sub>. Such sensitivity may destabilize breathing during sleep, when PaCO<sub>2</sub> is below the respiratory threshold, which results in CSA. There is a significant positive correlation between the increase of central chemosensitivity to CO<sub>2</sub> and plasmatic norepinephrine, in patients with HF. Inhibiting the sympathetic activity reduces the level of plasmatic norepinephrine and prevents the increase of sensitivity to CO<sub>2</sub>. That was reported by Takahashi et al<sup>16</sup>, when they administered endovenous propranolol in healthy volunteers and promoted a significant depression in this sensitivity<sup>16</sup>.

## Conclusion

In conclusion, this study found that beta-blocker therapy probably reduces the prevalence of central sleep apnea in patients with HF, and improves sleep quality of these patients. Besides this, once again it was proven that patients with CSA have a worse quality of life and are subject to a greater number of admissions. Nevertheless, there was no difference of mortality related to respiratory disease.

## Limitations

The number of patients belonging to the group not taking beta-blockers was smaller than we intended. That was due to the huge difficulty in finding patients with HF not taking beta-blockers as a follow-up to heart failure outpatient care and Transplantation at InCor. Even when we investigated patients from other groups in the hospital, it was also hard to select patients without these drugs.

## Potential Conflict of Interest

No potential conflict of interest relevant to this article was reported.

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## Study Association

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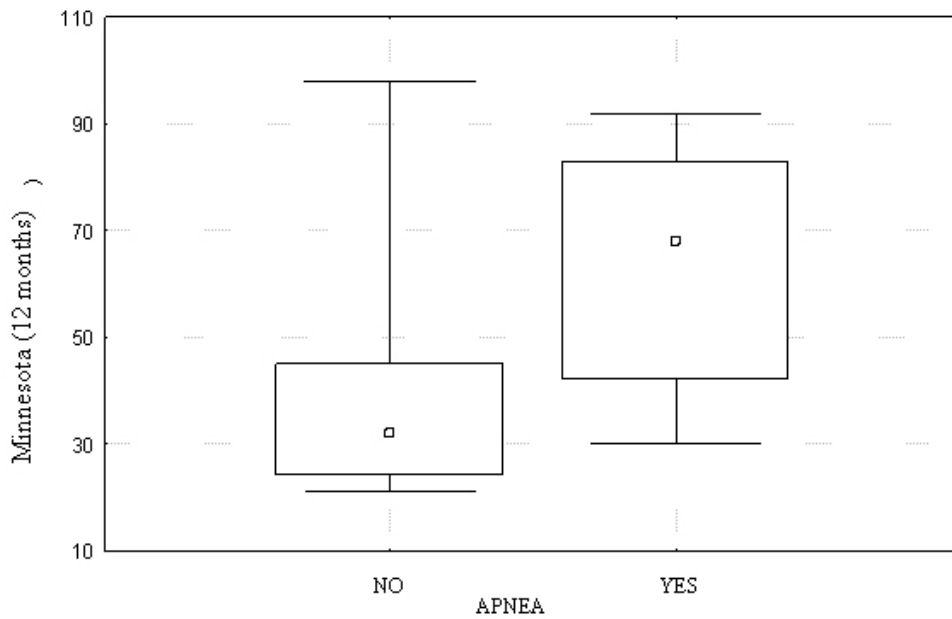
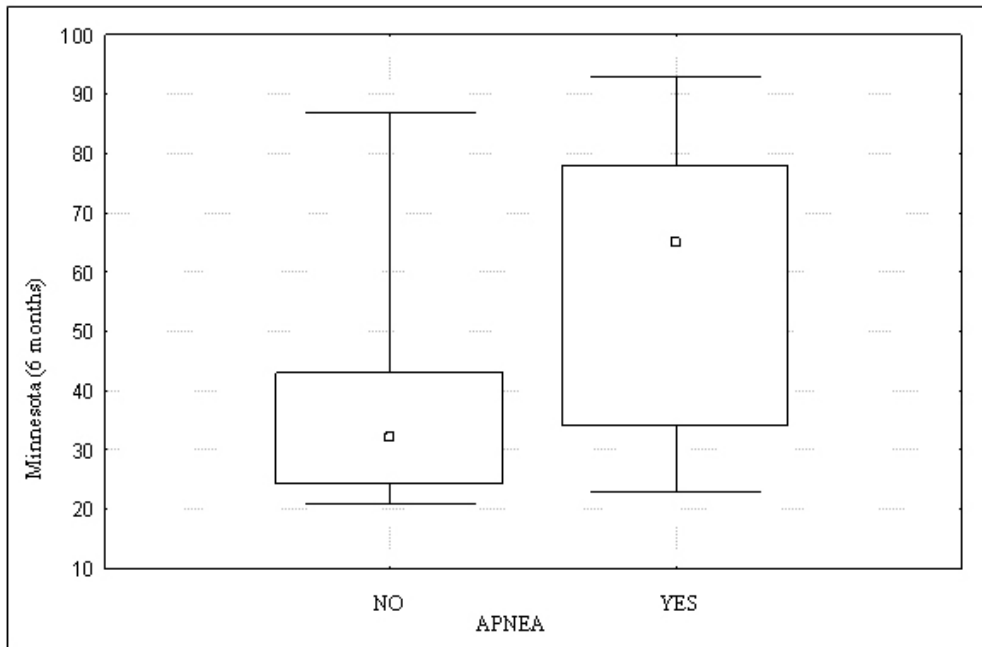


Figure 1 and 2 - Better quality of life in patients without central sleep apnea (Minnesota questionnaire, after 6 and 12 months from the diagnostic test).

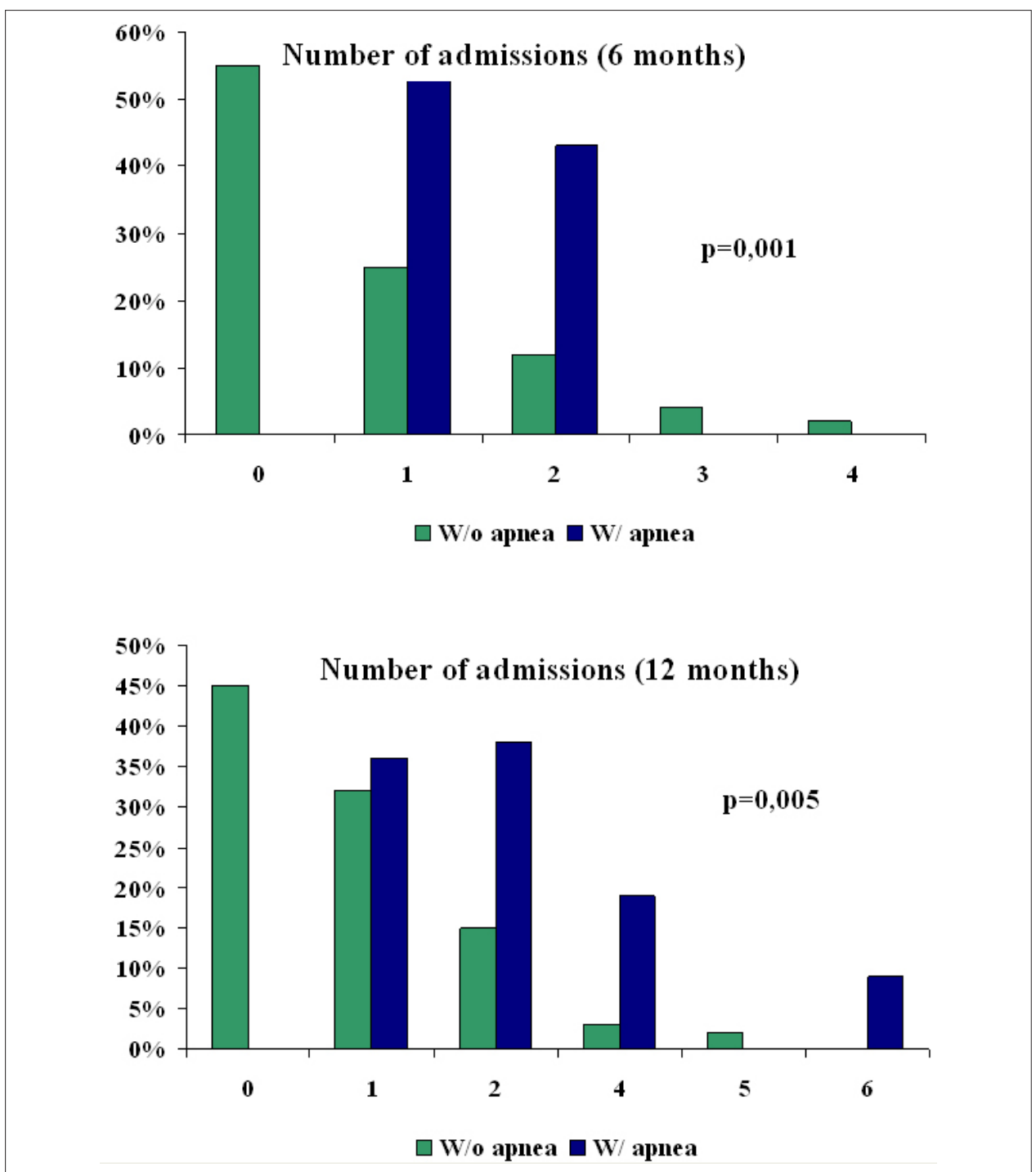


Figure 3 and 4 - Lower rate of admissions in patients without central sleep apnea (after 6 and 12 months of follow-up).

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