



## Biomarkers and bioindicators of the environmental condition using a fish species (*Pimelodus maculatus* Lacepède, 1803) in a tropical reservoir in Southeastern Brazil

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(With 2 figures)

### Abstract

The Funil Reservoir receives a large amount of xenobiotics from the Paraíba do Sul River (PSR) from large number of industries and municipalities in the watershed. This study aimed to assess environmental quality along the longitudinal profile of the Paraíba do Sul River–Funil Reservoir system, by using biomarkers and bioindicators in a selected fish species. The raised hypothesis is that Funil Reservoir acts as a filter for the xenobiotics of the PSR waters, improving river water quality downstream the dam. Two biomarkers, the ethoxyresorufin–O–deethylase activity (EROD), measured as fluorimetrically in S9 hepatic fraction, and the micronuclei frequency (MN), observed in erythrocytes of the cytoplasm, and three bioindicators, the hepatosomatic index (HSI), gonadosomatic index (GSI) and condition factor (CF) were used in *Pimelodus maculatus*, a fish species widely distributed in the system. Four zones were searched through a longitudinal gradient: 1, river upstream from the reservoir; 2, upper reservoir; 3, lower reservoir; 4, river downstream of the reservoir. EROD activity and HSI and GSI had significant differences among the zones ( $P < 0.05$ ). The upper reservoir had the lowest EROD activity and HSI, whereas the river downstream of the reservoir had the highest EROD and lowest GSI. The river upstream from the reservoir showed the highest HSI and GSI. It is suggested that the lowest environmental condition occur at the river downstream of the reservoir, where it seems to occur more influence of xenobiotics, which could be associated with hydroelectric plant operation. The hypothesis that Funil reservoir acts as a filter decanting pollution from the Paraíba do Sul River waters was rejected. These results are novel information on this subject for a native fish species and could be useful for future comparisons with other environments.

**Keywords:** biomonitoring, EROD, fishes, pollutants, reservoirs.

### Biomarcadores e bioindicadores da condição ambiental usando uma espécie de peixe (*Pimelodus maculatus* Lacepède, 1803) em um reservatório tropical no Sudeste do Brasil

#### Resumo

O reservatório do Funil recebe uma grande quantidade de contaminantes xenobióticos do Rio Paraíba do Sul (RPS) provenientes de grandes indústrias e municípios situados na bacia hidrográfica. O objetivo deste estudo é avaliar a qualidade ambiental ao longo de um perfil longitudinal do sistema rio Paraíba do Sul-reservatório do Funil, através de biomarcadores e bioindicadores em uma espécie de peixe selecionada. A hipótese a ser testada é de que o reservatório do Funil funciona como filtro para poluentes xenobióticos de águas do rio Paraíba do Sul, melhorando a qualidade da água à jusante da represa. Foram usados dois biomarcadores: a atividade de etoxiresorufina–O–desetilase (EROD), medida fluorimetricamente na fração S9 hepática, e a Frequência de Micronúcleos (MN), observada no citoplasma dos eritrócitos; e também três bioindicadores: Índice hepato-somático (IHS), Índice gonado-somático (IGS) e Fator de Condição (FC) em *Pimelodus maculatus*, uma espécie de peixe amplamente distribuída no sistema. Quatro zonas foram amostradas ao longo do gradiente longitudinal: 1, rio a montante do reservatório; 2, parte superior do reservatório; 3, parte inferior do reservatório; 4, rio à jusante do reservatório. A atividade de EROD, o IHS e o IGS apresentaram diferenças significativas ( $P < 0.05$ ) entre as zonas. A atividade EROD e o IHS foram mais baixos na parte superior do reservatório, enquanto que no rio à jusante do reservatório, a atividade de EROD foi mais alta e o IGS foi mais baixo. O rio acima do reservatório apresentou maiores IHS e IGS. É sugerido que a pior condição ambiental ocorreu no rio

abaixo do reservatório, o que poderia ser associado às influências das operações da usina hidroelétrica. A hipótese de que o reservatório do Funil atue como filtro decantando a poluição do rio Paraíba do Sul foi rejeitada. Estes dados são novas informações sobre este tema para uma espécie nativa e podem ser úteis para futuras comparações outros ambientes.

*Palavras-chave:* biomonitoramento, EROD, peixes, poluentes, reservatórios.

## 1. Introduction

The development of agricultural and industrial activities contribute to production of xenobiotics that can cause undesirable effects in aquatic systems depending on their toxicity and concentration (Hutzinger and Veerkamp, 1981; Clements, 2000; Van der Oost et al., 2003). Measurements of xenobiotic concentrations are expensive and do not supply the amount of the impact on the biological compartment (Solé et al., 2009; Schirmer et al., 2011). The recent advances in the ecotoxicology field had supplied a number of the biomarkers in organism, which can be used to estimate either exposure to chemicals or resultant effects (Handy et al., 2003; Lu et al., 2009; Ramsdorf et al., 2012). Biomarkers and bioindicators has been extensively used as proxies to determine responses at individual level to stressors, with biomarkers being more species-specific and with higher variability of responses compared to bioindicators (Schulz and Martins-Junior, 2001; Solé et al., 2010; Linde-Arias et al., 2008a, b; Barrilli et al., 2015). The integrated use of biomarkers and bioindicators is suggested as an evaluation tool, since they are effective means to determine the impact of pollution in the aquatic environment (McCarthy and Schugart, 1990; Reynolds et al., 2003). Moore and Simpson (1992) and Pacheco and Santos (2002) reported that the information supplied by a given biomarker individually is limited, due to great probability of incorrect interpretation. Therefore, the use of a set biomarkers and bioindicators should be used to better assess environmental condition.

Induction of the hepatic cytochrome P450-monoxygenase measured as EROD activity is a sensitive indicator of environmental alteration and usually one of the first detectable, quantifiable responses to chemical exposure in fishes (Stegeman and Lech, 1991; Stegeman, 1992; Stegeman et al., 1997; Parente et al., 2011, 2015). The most potent inducing to these isoform are the pollutants of the polychlorinated biphenyls (PCBs) group, polycyclic aromatic hydrocarbons (PAHs) and dioxins (e. g. tetrachlorodibenzo-*p*-dioxin (TCDD) and Dichloro-Diphenyl-Trichloroethane (DDT)) (Jung et al., 2001). Owing to this fact, activity of CYP1A subfamily in fish liver has been extensively used as a biomarker of aquatic contamination by industrial pollutants (Pacheco and Santos, 1998; Bairy et al., 1999; Whyte et al., 2000; Parente et al., 2004).

Micronuclei are biomarkers at cellular level to exposition of fish to genotoxic compounds that can cause chromosome fragmentation, chromosome lag at cell division due to the lack of centromere, damage, or a defect in cytokinesis (Al-Sabti and Metcalfe, 1995; Çavaş and Ergene Gozu-Kara, 2005a, b; Srivastava and Singh, 2015). Its determination is

more simple than EROD activity, although being a sensible to pollutant occurrence (Al-Sabti and Metcalfe, 1995).

The hepatosomatic index (HSI) is a widely known as bioindicator of contaminant exposure (Goede and Barton, 1990; Sadekarpawar and Parikh, 2013). Because the liver is so important in detoxification, exposure to contaminants can lead to an increase in liver size from hypertrophy (an increase in size), hyperplasia (an increase in number) of hepatocytes (Goede and Barton, 1990; Solé et al., 2010), or both. Studies evaluating the relative liver size of fishes from contaminated sites and last disturbed sites often utilize the HSI, which expresses liver size as a percentage of total body weight (Facey et al., 1999; Sadekarpawar and Parikh, 2013).

The gonadosomatic index (GSI) is a bioindicator that supply structural information, more than functional to respect of health and gonadal maturation status. There is evidence that the majority of the species undergo reproductive cycle and, frequently, variation in the gonadal size is observed across of the cycle (De Vlaming et al., 1981; Sakamoto et al., 2003; Sadekarpawar and Parikh, 2013). Consequently, calculating the gonadal weight as a percentage of the body weight has been used for determining the reproductive maturity, as well as responses to environmental dynamics (e.g., seasonal changes) or exogenous stress (e.g., exposition to contaminants). There is significative evidence that exposition to several environmental pollutants can result in gonad alterations like reduction of GSI, morphological changes, or both (Choudhury et al., 1993; Sakamoto et al., 2003).

The condition factor - CF (Le Cren, 1951) is a quantitative indicator of individual well being, reflecting recent food availability conditions. There is also some argument that CF can increase in polluted and rich organic matter areas due to increased feeding sources used by tolerant species that take advantage of this resources (Lohani and Santos, 1994). It is the proportion of the weight related to length, being an indirect measure of the fish energy reserves. Since this bioindicator is sensible to stress in natural environment, the condition factor can also be employed as an integrative bioindicator (Sutton et al., 2000; Barrilli et al., 2015).

The Funil reservoir was built in 1969 and has received large pollutants loads from the industrial municipalities located in the watershed, mainly from petrochemical, fertilizers and pesticides brought into the reservoir by the Paraíba do Sul River (PSR). According Klapper (2003) large reservoirs act as a decanting filter to the pollution, improving the water quality of the river downstream of the dam. Changes of the flow by forcing the energy gradient to approach near zero results in a loss of transport capacity

and leads to sediment deposition. Coarse sediments are initially trapped and deposited at the head of the reservoir forming a delta, while smaller particles will move into a reservoir before depositing. Because there are a variety of contaminants associated with sediment particles, and because they also accumulate in reservoirs, these lentic system can trap pollutants excluding from biological cycle and improving water quality downstream the reservoir (Shotbolt et al., 2005). Reservoir trap efficiency is frequently reported as 70-90 per cent of the sediment volume delivered from the watershed (Sundborg, 1992; Toniolo and Schultz, 2005). Therefore, evaluation the environmental quality along the longitudinal profile of the Paraíba do Sul River – Funil reservoir system, by using biomarkers and bioindicators in one Siluriform fish species that is widely distributed across the system. Siluriformes fishes, according to Whyte et al. (2000) are good candidate species for biomonitoring because they have a wide range between basal and induced EROD activity. The hypothesis of the reservoir to act as a filter for polluted Paraíba do Sul River waters was tested. We ask the following questions: 1. Do exist differences in biomarkers and bioindicators values between the sampled zones (river upstream from the reservoir; upper reservoir; lower reservoir; and river downstream of the reservoir) that could indicate a environmental quality gradient? 2. Do the biomarkers/bioindicators and the fish species used are efficient for indication of eventual environmental alterations? 3. Does the Funil reservoir improve the water quality of the Paraíba do Sul River downstream the dam?

## 2. Material and Methods

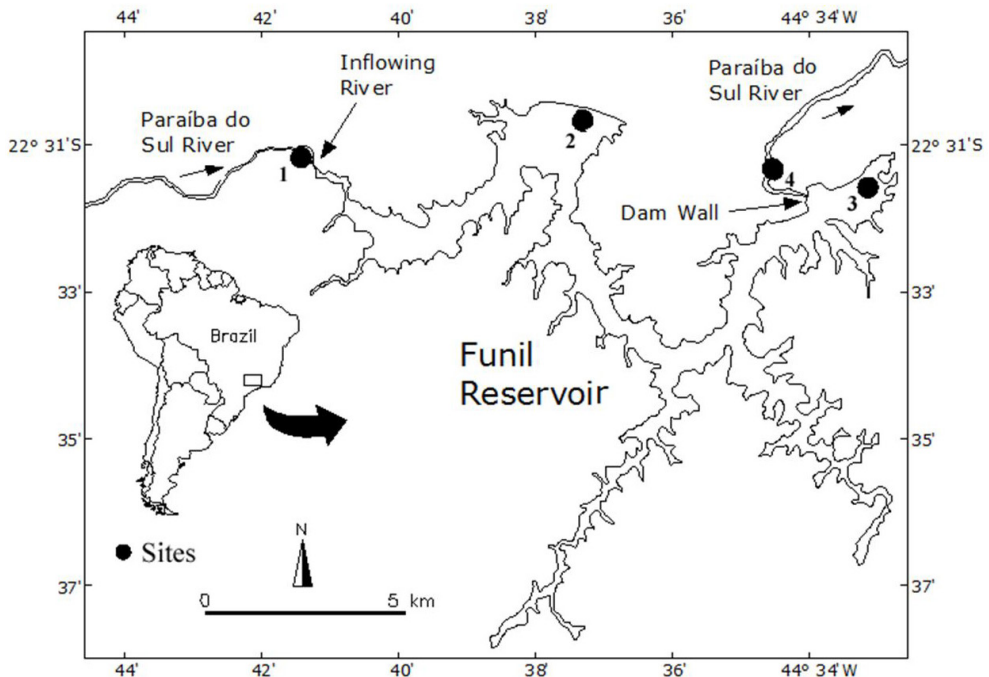
### 2.1. Study area

The Funil reservoir (Figure 1) is located in Rio de Janeiro State (22°30'-22°37'S; 44°33'-44°44'W, 440 m above sea level), and blocks the Paraíba do Sul River in its middle reaches. The reservoir has a 40 km<sup>2</sup> area, mean depth of 22 m, and retention time of 10 to 50 days. The average monthly temperature ranges from 22 °C to 27 °C, river inflow is 200-500 m<sup>3</sup> × sec<sup>-1</sup>, and accumulated rainfall in summer is 1500 and in winter is 900 mm. The main longitudinal axis of the reservoir follows the river channel, corresponding to the enlargement of the Paraíba do Sul river bed. The vegetation around the reservoir is very poor, a result of previous agricultural use for coffee plantation and pasture. The water level oscillation contributes to shoreline erosion increasing sedimentation in the reservoir. Reforestation programmes are being implemented by the power generation company responsible for the reservoir.

The PSR receives in the reaches upstream from the reservoir large loads of domestic and industrial pollutants from a number of municipalities, which has caused dramatic eutrophication in Funil reservoir in recent decades, resulting in frequent and intense cyanobacterial blooms (Klapper, 1998; Branco et al., 2002; Rocha et al., 2002; Costa et al., 2006).

### 2.2. Data collection

The species used for this study is a Siluriformes fish (*Pimelodus maculatus* Lacépède, 1803) that is abundant and widely distributed in the whole river-reservoir system.



**Figure 1.** Map of the Funil reservoir with indication of the four studied zones. 1, river upstream from the reservoir; 2, upper reservoir; 3, lower reservoir; 4, river downstream of the reservoir.

References for feeding in *Pimelodus maculatus* from different localities, reported a wide and diverse diet. Arciffa et al. (1988) regarded it as species as omnivore. Lolis and Andrian (1996) considered this species (adult specimens) omnivore with tendency to piscivory.

Fishes were collected in November 2007 in the four zones: zone 1, river upstream from the reservoir; zone 2, upper reservoir; zone 3, lower reservoir; and zone 4, river downstream of the reservoir. Only adult fishes were used to eliminate the interference of growth and physiological ontogenetic changes. A total of 10 individuals were examined from each zone totaling 40 individuals that were used in each analysis (Erod, Micronuclei frequency, CF, HSI and GSI). Macroscopic maturation stages were determined. Gonads were fixed in buffered formalin and weighted. Fish total length averaged 25.93 cm, ranging from 17 to 34.5 cm.

### 2.3. Chemicals

Substrates (ethoxyresorufin), the reaction product (resorufin),  $\beta$ -NADP, glucose-6-phosphate, glucose-6-phosphatedehydrogenase, bovine serum albumin and the Bradford reagent were all purchased from Sigma Chemical Company, St Louis MO, USA. TRIS,  $MgCl_2$  and other salts were of analytical grade and supplied by Merck SA Industrias Químicas, Rio de Janeiro, Brazil.

### 2.4. EROD activity assay

All fish were measured, weighed and killed with a cephalic blow. Livers were then rapidly excised, weighed and frozen in liquid nitrogen until further use. Three frozen livers from fish collected at the same sampling site were thawed on ice and homogenized in a cold buffer solution (50 mM Tris, 1 mM EDTA, 250 mM sucrose, 20% glycerol, pH 7.4) by using a motor-driven glass Potter-Elvehjem homogenizer equipped with a Teflon pestle. Hepatic homogenates were subsequently centrifuged at 9,000g for 30 min at 4 °C. Aliquots (1 ml) of the supernatant (liver S9 fraction) were transferred to cryotubes and stored in liquid nitrogen until they were assayed for monooxygenase activity. Protein concentrations in the S9 fractions were measured by a colorimetric method (absorbance at 595 nm) using Coomassie brilliant Blue G dye and bovine serum albumin as the standard. Details of the method used for protein quantification were reported by Bradford (1976).

Ethoxyresorufin-O-deethylase (EROD) activity in the hepatic S9 fractions were assayed essentially as

described by Burke et al. (1985) except for the use of a NADPH regenerating system. Reactions were carried out in quartz cuvettes at 37 °C and were started by addition of the regenerating system which consisted of 0.25 mM b-NADP, 2.5 mM  $MgCl_2$ , 5 mM glucose-6-phosphate, and 0.5 units of glucose-6-phosphate-dehydrogenase per ml of incubation mixture. The rate of resorufin formation was measured fluorimetrically. The spectrofluorimeter (Shimadzu RF-5000) settings were as follows: excitation at 550 nm, emission at 582 nm and a 5 nm band slit width.

### 2.5. Micronuclei frequency

A drop from peripheral blood was directly smeared on slides and air-dried. Smears were subsequently fixed in methanol for 10 min, and were stained with 10% Giemsa solution for 6 min. The frequency of MN evaluated (per 1,000 cells) by scoring at a 1,000 $\times$  magnifications using Olympus BX 45 microscope. Only erythrocytes with intact cellular and nuclear membrane were examined. Round or ovoid-shaped non-refractory particles with color and structure similar to chromatin, with a diameter 1/3 - 1/20 of the main nucleus and clearly detached from it were interpreted as MN.

### 2.6. Bioindicators

Three bioindicators were used: hepato-somatic index (HSI), gonadosomatic index (GSI) and condition factor (CF), according to the following equations. The HSI = (liver weight  $\times$  total weight<sup>-1</sup>)  $\times$  100, GSI = (gonad weight  $\times$  total weight<sup>-1</sup>)  $\times$  100, CF = (total weight  $\times$  total length<sup>-3</sup>)  $\times$  100.

### 2.7. Statistical analysis

One way analysis of variances (ANOVA) and Tukey HSD multiple comparison test were carried out to compare means values of each biomarker and bioindicator among the studied zones at the level of significance  $\alpha = 5\%$  ( $P < 0.05$ ).

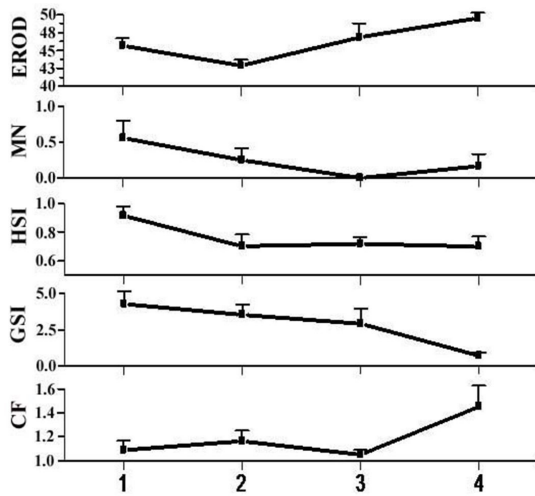
## 3. Results

The means of EROD activity detected in *P. maculatus* was significantly lower ( $P < 0.05$ ) in fishes caught in zone 2 (upper reservoir) compared with those in zone 4 (river downstream of the reservoir) that had the highest values (Table 1; Figure 2). Frequency of micronuclei did not change significantly among the four zones, although a slight trend for the highest values was observed for zone 1 (river upstream the reservoir) and lowest values in zone 3 (lower reservoir).

**Table 1.** F-values and P-significance from ANOVA for differences in biomarkers and bioindicators among the zones.

Biomarker/ Bioindicator	F	P	Tukey differences
EROD	4.97	0.0030**	4 (river downstream reservoir) > 2 (upper reservoir)
MN	2.06	0.1287	ns
HSI	3.20	0.0368*	1 (river upstream reservoir) > 2 (upper reservoir)
GSI	4.65	0.0079**	1 (river upstream reservoir) > 4 (river downstream reservoir)
CF	2.85	0.0511	ns

\* $P < 0.05$  – Significant; ns, non-significant; \*\* $P < 0.001$ , Highly significant.



**Figure 2.** Means and standard errors for biomarkers (EROD, Ethoxyresorufin-*O*-deethylase activity (pmol/min/mp of protein), and MN, micronuclei frequency per 1000 cells, and bioindicators (HSI, hepatosomatic index, GSI, gonadosomatic index and CF, condition factor) in the four studied zones.

The bioindicator HSI had the highest significant values at zone 1 compared with zone 2 (Table 1, Figure 2). The highest GSI values were observed in zone 1 and the lowest in zone 4. The Condition Factor (CF) had an opposite tendency in relation to GSI, with a non-significant trend to show the highest values in zone 4.

#### 4. Discussion

Increased EROD activity has been reported for fishes exposed to a wide range of pollutants, such as HPAs, BPCs, and TCDDs (Van Der Weiden et al., 1992; Gadagbui et al., 1996; Pacheco and Santos, 1998). Inductions of liver EROD activities have been detected in both, the microsomes (Bainy et al., 1999) and in the S9 fraction (Parente et al., 2004) that worked with tilapia *Oreochromis niloticus* from different polluted areas. However, information's on eventual differences in EROD activities in fishes exposed to pollutants along the longitudinal gradient river-reservoir are scarce. The findings of the present study detected the lowest EROD activity in a zone where most of the pollutants probably are incorporated in the sediment by decantation due to decreased water flow. In this studied zone, fish species are like to have low influence from xenobiotic exposure. Furthermore, decreased flow could enhance interaction between organic pollutants and heavy metals, resulting in complex forms that sink to sediment and are not incorporated in the biological cycles. In such conditions, the presence of xenobiotics may not induce elevated CYP1A levels (Široká et al., 2005; Bakhtyar and Gagnon, 2011). Previous work in the middle reaches of the Paraíba do Sul River showed critical concentrations of some elements (Pb, Cu, Cr and Zn) in suspended particulate matter (Massena et al., 2007).

The highest EROD activity in zone 4 (river downstream the reservoir) suggests that the Paraíba do Sul River waters can have high levels of xenobiotics compared with the reservoir. It could be linked to structural and operational of the reservoir power plant procedures. For instance, leak of askarel, and oil from PCB derived, formerly employed as an insulator fluid in most voltage converters could be introduced in this part of the river. Additionally, large amount of visceral fat were observed in fishes from zone 4 (C. N. Morado, personal observation) and pollutants could be more easily absorbed by fat tissues as have been observed by Aluru et al. (2004) for the anadromous Arctic charr.

Micronuclei test in fishes erythrocytes response positively to large mutagenics and carcinogenics substances, like as aflatoxinas, benzidinas, heavy metals and polycyclic aromatic hydrocarbons (PAHs) (Al-Sabti and Metcalfe, 1995; Pacheco and Santos, 2002; Srivastava and Singh, 2015). Furnus et al. (2014) found that *Steindachnerina brevipinna* in the Paraná River had a high frequency of MN that was associated to environmental alterations. Moreover, Linde-Arias et al. (2008a) found that frequencies of MN in *Oreochromis niloticus* were lower at the reference site than at the others. Zone 1 is likely to be strongly affected by pollutants from Paraíba do Sul River, and this trend was detected by micronuclei.

In most studies high HSI values have been associated to disturbed zones (Karels et al., 1998; Billiard and Khan, 2003), although in a few cases (e.g., Ma et al., 2005; Sadekarpawar and Parikh, 2013) have observed low HSI values in zones next to effluent discharges from sewage treatment plant, and linked the decreased of HSI to sub-lethal toxicity of the effluent. The lowest HSI in zone 2 can be linked to a more pollution retention in this zone associated to decreased flow and synergic effect causing liver intoxication, reflecting in lower HSI in this site.

Alterations in the steroids hormones concentrations in fishes exposed to industrial pollutants were directly connected with the interruption of the reproductive function (McMaster et al., 1991; Munkittrick et al., 1992). Choudhury et al. (1993) observes a decreased in the GSI values in *Mystus vittatus* after exposure to organophosphate. Sakamoto et al. (2003) studing the cytochrome P450 induction and status of the alteration gonadal in *Cyprinus carpio* associating with a discharge of the effluents contaminated by dioxins at Hikiji River, Japan, observed lowest GSI values for fishes of the contaminated zone compared to the reference zone. Additionally, Sepúlveda et al. (2004) assessing effects of paper mill effluents (BKME) in reproductive parameters of *Micropterus salmoides floridanus* observed an inverse relation between GSI and EROD activity. These patterns were also observed in this work since zone 4 had both highest EROD activity and lowest GSI, thus corroborating the hypothesis that the presence of xenobiotics in this zone is likely to occur.

According Tyler and Dunn (1976), CF varies directly with nutrients availability. Sabinson et al. (2014) found the high values of CF and GSI in *P. maculatus* during the summer and associate this pattern with the reproductive

period that occur in the rainy season, when a greater gain in weight is expected during the months before the spawning, and that after it occurs the fish loses fat and weight as a consequence of metabolic effort. The CF may also vary in either direction outside the normal range in response to chemical exposure. High CF for *Astyanax paranae* was associated with well oxygenated and oligotrophic environments, typical of conserved areas or near the natural state in tributary streams of the Monjolinho River, in São Carlos, SE (Barrilli et al., 2015). Moreover, Linde-Arias et al. (2008b) using multibiomarker approach in the fish *Geophagus brasiliensis* to assess the impact of pollution in the Paraíba do Sul River, found that the reference area had significant higher CF related to the other sites. On the other hand, high CF has been found in white sucker (*Catostomus commersoni*) and redbreast sunfish (*Lepomis auritus*) at sites polluted with pulp mill effluents (McMaster et al., 1991; Adams et al., 1992). Our results are in accordance with these last findings, and in consonance with most of examined tools, indicating the zone 4 as the most disturbed (higher EROD and CF, low GSI), and suggesting that fish in this area are likely to be more exposed to pollutants. Thus, the use of biomarkers e bioindicators in the case of Funil reservoir rejected the hypothesis that reservoirs acts as filters to pollution caused by the inflowing river.

The use of different tools to assess effects of pollutants in aquatic systems by using fishes have been done and the explanations is hardly straightforward (Stegeman, 1992; Bairy, 1993; Franco-Bernardes et al., 2015). Similarly to Linde-Arias et al. (2008b) that used different biomarkers in fish species to assess pollution in the Paraíba do Sul River, our findings revealed different effects in fish from different areas with varying degrees of pollution. Increased concerned in this subject have be raised to untangle relationship between pollution, liver activities and reproductive functions. We should take in account that the CYP1A biologic function encompasses not only the metabolism of xenobiotics agents, but also the synthesis and degradation of endogenous compounds, such as steroids and derived compounds (Sakamoto et al., 2003; Karimzadeh and Zahmatkesh, 2013). Each biomarker and bioindicator has both advantages and disadvantages, but the use of several tools can help to overcome misleading interpretation. These results are novel information on this subject for a native fish species and could be useful for future comparisons with data of fishes belonging to other environments.

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