

POLYETHERIMIDE/POLYVINYLPIRROLIDONE HOLLOW-FIBER MEMBRANES FOR USE IN HEMODIALYSIS

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(Submitted: November 6, 2018 ; Revised: April 4, 2019 ; Accepted: May 15, 2019)

Abstract - In this study, polyetherimide/polyvinylpyrrolidone (PEI/PVP) hollow fiber membranes (HFMs) were synthesized by the phase inversion method. The synthesized PEI/PVP membranes were characterized by morphological analysis and transport properties (hydraulic permeability and solute rejection coefficient). Their performance in uremic solutes separation was also investigated. Urea removal and clearance were measured. Surface properties were analyzed by infrared spectroscopy (FT-IR), water uptake, zeta potential and protein adsorption. The results showed that PEI/PVP membranes had transport properties comparable to those of current high flux clinical membranes, with better removal of medium molecular weight solutes (using lysozyme as a model) and high retention of high molecular weight solutes (using albumin as a model). Surface characterization suggests that PEI/PVP membranes present improved blood compatibility. These results confirm the potential of polyetherimide as an alternative material for producing hollow fiber hemodialyzers, in substitution to polysulfone and polyethersulfone.

Keywords: Hollow fiber; Polyetherimide; Polyvinylpyrrolidone; Hemodialysis.

INTRODUCTION

Hemodialysis is a consolidated renal replacement therapy that uses a dialyzer to remove excess substances from the blood in the treatments for chronic kidney disease. The dialyzer cartridge is a packing of hollow fiber membranes, fabricated mostly from polymer blends. According to Stamatialis et al. (2008), hemodialysis membranes must present some specific characteristics, such as having a thin effective layer of separation, to obtain high flows of solutes; high porosity, to provide high hydraulic permeability; and a narrow distribution of pore size, to obtain a better defined retention limit. Synthetic materials used for the fabrication of hemodialysis membranes are polysulfone, polycarbonate, polyethylene, polypropylene, polyethersulfone, polymethylmethacrylate and polyacrylonitrile.

Hemodialysis treatment allows chronic kidney patients to have a life close to normal. However, the treatment still has some limitations such as the low removal rate of medium sized molecules, such as β_2 -microglobulin. Its accumulation in the blood is associated with the occurrence of amyloidosis and carpal tunnel syndrome (Wechs et al., 2014).

This investigation aims at the synthesis and characterization of polyetherimide/polyvinylpyrrolidone (PEI/PVP) membranes for application in hemodialysis, with improved removal of medium molecules as compared to the current clinical membranes. Polyetherimide (PEI) membranes are not common in hemodialysis, however they do show interesting characteristics for this application, such as mechanical strength and thermal stability, processability in different forms and suitability for a wide range of surface modifications (Santos et al., 2017).

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MATERIALS AND METHODS

Materials

Polyetherimide (PEI Ultem 1000, General Electric), N-methyl-2-pyrrolidone (NMP, Vetec) and polyvinylpyrrolidone (PVP K90, Fluka Chemical Co) were used for membrane synthesis. Membrane rejection to the following compounds was evaluated: urea (Reag Quimibrás), creatinine (Merk), monobasic sodium phosphate (Vetec), dibasic sodium phosphate (Vetec), vitamin B12 (Merk), lysozyme (Sigma-Aldrich) and bovine serum albumin (BSA, Sigma-Aldrich). Potassium chloride (Sigma-Aldrich) and hydrochloric acid (Sigma-Aldrich) were used in zeta potential analysis.

Synthesis of polyetherimide membranes

Hollow fiber membranes were synthesized through the single extrusion of a spinning solution composed of 15 wt.% PEI, 05 wt.% PVP and 80 wt.% NMP through an annular orifice towards the external precipitation bath, composed by microfiltered water at 25°C. The bore liquid, a solution composed of microfiltered water and NMP (1:1 v/v), is pumped toward the spinneret to form the fiber lumina and it is co-extruded through an inner orifice. The water content of the polymers was dried by heating in an oven for 24 h at a temperature of 60°C. The contact of the two liquid phases occurs at the exit of the extruder and allows the initial formation of the hollow fiber. At the exit from the precipitation bath, the fibers were collected in another microfiltered water bath to remove residual solvent present in the polymer matrix. Figure 1 shows a scheme of the hollow fiber synthesis system by extrusion.

The fibers were kept immersed in microfiltered water and oven-heated at 60 °C for 24 hours for complete removal of residual solvent. Thereafter, the fibers were dried at room temperature after being immersed in a water bath and glycerol (10:1 v/v) for 4 hours.

After drying the fibers, permeation modules of approximately 60 cm² were prepared to be used

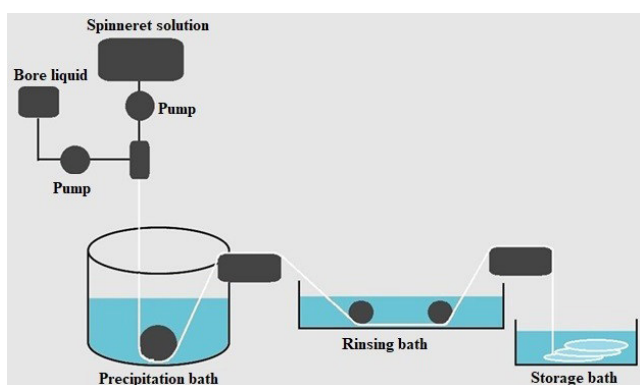


Figure 1. Scheme of the fiber synthesis equipment by extrusion of the polymer solution.

in performance tests. In addition, permeation modules with the same area were prepared using polyethersulfone (Clinical PES, a Baxter dialyzer) and polysulfone (Clinical PSf, a Fresenius Medical Care dialyzer) clinical membranes.

Morphological analysis

Morphological analysis was performed using a scanning electron microscope (FEI Quanta 200). Membrane samples were previously coated with gold under vacuum in a sputtering system (Quorum Q150RES). To allow observation of the cross-sections of the membrane, samples were immersed in liquid nitrogen and carefully fractured.

Transport properties

The hydraulic permeability was obtained through ultrafiltration tests (Figure 2) at room temperature and using the correlation of the permeate flux with pressure, according to Equation (1). In the ultrafiltration tests, the feed was pumped into the fiber lumen, keeping the lower end closed and collecting permeate from the exit of the permeation module. The system was operated continuously, recycling part of the feed pumped into the fibers, and adjusting the pressure through a regulating valve. The pressure ranged from 0.4 to 1 bar.

$$J = L_p \Delta P \quad (1)$$

where J is the water flux (L/h), L_p is the hydraulic permeability (L/h.m².bar) and ΔP is the pressure difference between feed solution and the permeate (bar).

Solute rejection coefficients of uremic solutes (urea, creatinine, phosphate, vitamin B12, lysozyme and albumin) was also determined through ultrafiltration tests at room temperature. Lysozyme is a protein with a molar mass of 14.3 kDa, used in experiments as a substitute model for β_2 -microglobulin, a 11.8 kDa macromolecule. The rejection coefficient was obtained using Equation (2).

$$R(\%) = \frac{(C_A - C_P)}{C_A} \times 100 \quad (2)$$

where C_A is the feed concentration and C_P is the permeate concentration.

The choice of the initial concentration of the solutes was based on their concentration in blood, according to international standard requirements for hemodialysers (ISO 8637:2010). Accordingly, concentrations of urea, creatinine and phosphate were set at 30.0 mmol/L, 0.94 mmol/L and 1.7 mmol/L (pH 7.4), respectively. Phosphate solution

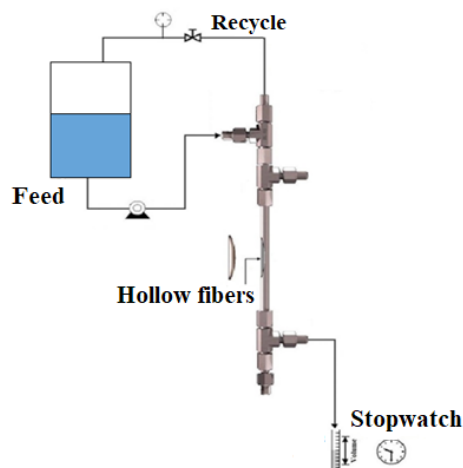


Figure 2. Ultrafiltration system.

consisted of monobasic sodium phosphate and dibasic sodium phosphate. Concentrations of solutes were determined by assay kits provided by Katal (Brazil) to determine urea and creatinine concentration; and by Doles Reagents (Brazil), to determine phosphate concentration. Lysozyme and albumin concentrations were 90 mg/L and 1000 mg/L, respectively, and their concentration was determined by spectrophotometry. The same tests were also performed for clinical PSf and clinical PES membranes.

Urea removal and clearance

Urea removal and clearance were determined through dialysis tests carried out for 4 hours. An aqueous solution of urea at 1800 mg/L, was fed into the fibers. Demineralized water, acting as dialysate, was fed between the module shell and the fibers, according to the scheme shown in Figure 3.

Feed and dialysate samples were collected at the beginning and at the end of the test. The feed rates were maintained at around 225 mL/min and dialysate at 450 mL/min. In tests performed with the membranes of clinical dialyzers (PSf and PES membranes), the maximum feed rates reached were 25 mL/min, while the dialysate flow rate was twice that value.

Urea removal was determined from the final dialysate concentration (after 4 hours) and from the initial concentration of the feed solution through Equation (3).

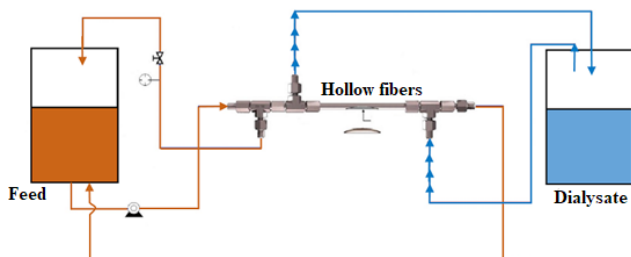


Figure 3. Dialysis system.

$$UR(\%) = \left(1 - \frac{C_{UF}}{C_{UI}}\right) \times 100 \quad (3)$$

where UR is the urea removal, C_{UF} is the concentration of post-dialysis urea and C_{UI} is the concentration of pre-dialysis urea.

The urea clearance was calculated using the definition of diffusive clearance, according to Equation (4):

$$K = \frac{Q_{Di} C_{Do}}{C_{Bi}} \quad (4)$$

where K is the clearance of urea (mL/min), Q_{Di} is the flow rate of dialysate (mL/min), C_{Do} is the final concentration of urea in the dialysate (mg/L) and C_{Bi} is the initial concentration of urea in the feed (mg/L).

Surface characterization

Hollow fiber membranes were characterized by Fourier transform infrared spectra with attenuated total reflectance using the ATR-FTIR, PerkinElmer Spectrum 100 spectrometer. Spectra were recorded between 500 and 4000 cm^{-1} , using 16 scans for each sample, which was placed under the crystal on only one side.

Hydrophilicity

The hydrophilicity of the membranes was evaluated by water absorption. An amount of dry membrane, defined as m_1 , was immersed in demineralized water for 8 hours at 25° C. After this time, the membrane was dried to remove excess water on the surface, weighed and its new weight was defined as m_2 . The water absorption was determined by Equation (5) and given in mg water/mg membrane.

$$\text{Water absorption} = \frac{m_2 - m_1}{m_1} \quad (5)$$

Zeta potential

Measurements of zeta potential were performed on the SurPass equipment (Anton Paar, Austria), based on the electrokinetic phenomenon of streaming potential. In this equipment, recirculated diluted electrolytic solution (potassium chloride, 1 mM) through a channel formed by a spacer between two identical membrane samples, arranged one opposite to another, according to Equation (6) (Hurwitz et al., 2010):

$$\left(\frac{\Delta\phi}{\Delta P}\right) = \zeta \frac{\epsilon_0 \epsilon_r}{\eta \lambda_0} \left(\frac{\lambda_h R_h}{R}\right) \quad (6)$$

where $\Delta\phi$ is the flow potential (mV), ΔP is the pressure applied in the cell to force the electrolyte to flow over the charged surface (mbar), ϵ_0 is the vacuum permittivity (As/Vm), ϵ_r is the relative dielectric constant of the solvent of the electrolyte, ζ is the zeta potential (mV), η is the dynamic viscosity of the electrolyte (mPa.s), λ_0 is the electrical conductivity of the circulating electrolyte (mS/m), λ_h is the electrical conductivity of the electrolytic solution (mS/m), R_h is the electrical resistance measured through the flow channel and R is the electrical resistance measured through the channel under normal experimental conditions.

Prior to the analysis, membranes were immersed in 1 mmol/L potassium chloride solution. Each measurement was performed in the approximate pH range of 2 to 6, starting from the most basic pH to the most acidic pH.

Protein adsorption

Albumin adsorption on the hollow fiber surface was determined by the static mode (finite bath method). A known membrane amount (approximately 50 mg) was packed in glass tubes containing 10 mL of 40 mg/L bovine albumin solution and agitated in a shaker at room temperature for 4 hours, the average time of a hemodialysis session. The concentrations of the albumin solutions were determined at the beginning and after contact with the membranes by spectrophotometry, reading the absorbance at 278 nm and correlating with the pre-determined calibration curve.

The amount of albumin adsorbed, in mg of albumin per mg of membrane, was determined by concentration difference, according to Equation (7):

$$\text{Protein adsorption} = \left(\frac{m_i - m_f}{m_{\text{membrane}}} \right) \quad (7)$$

where m_i is the initial quantity of albumin in solution, m_f is the quantity of albumin in solution after the time of contact with the membrane and m_{membrane} is the quantity of membrane packed in glass tubes.

This test was also performed for clinical PSf and clinical PES membranes.

RESULTS

Morphological analysis

Scanning electron microscopy (SEM) observations of the cross sections (CS) and the external (ES) and internal (IS) surfaces of the HFMs are shown in Figure 4.

It can be seen that the hollow fibers synthesized from a spinning solution composed by 15wt.% PEI, 05 wt.% PVP e 80 wt.% NMP have a typical asymmetric

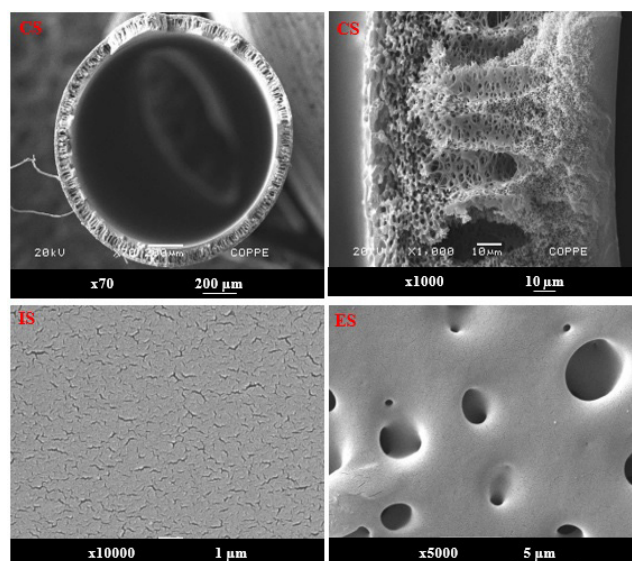


Figure 4. SEM images of PEI/PVP hollow fiber membranes. CS is cross section, IS is internal surface and ES is external surface.

structure, characterized by a less porous top surface and a highly porous sublayer with interconnected pores. It is possible, however, to observe pores on the inner surface of the hollow fibers, which is related to the presence of solvent in the bore liquid. In this case, the nuclei have more time to grow because a delay in precipitation occurs on the internal surface of membranes. Therefore, pores can be formed in this surface (Pereira et al., 2000). From the image of the hollow fiber cross section, it was also possible to obtain its dimensions in relation to the external diameter (1.35 ± 0.03 mm) and wall thickness (100 ± 18.84 μm). Clinical PES membranes have 0.26 ± 0.01 mm of external diameter and 28.43 ± 1.16 μm of wall thickness and clinical PSf membranes have 0.28 ± 0.01 mm of external diameter and 49.77 ± 3.70 μm of wall thickness.

In general, the final morphology of the synthesized hollow fibers composed of a densified inner surface and a porous outer surface is similar to the morphology of clinical hemodialysis membranes, as can be seen in Figure 5.

Transport properties

The results of transport properties of HFMs are shown in Table 1. Retention was not observed of the solutes urea, creatinine, phosphate and vitamin B₁₂, so membranes presented 0% rejection coefficient of these solutes. These results indicate that the synthesized hollow fibers membranes allow the passage of the low molecular weight solutes, as desired.

Synthesized hollow fiber membranes can be classified as a high flux membrane, like the clinical PES membrane, according to the classification proposed by Ward (2005). High-flux membranes are

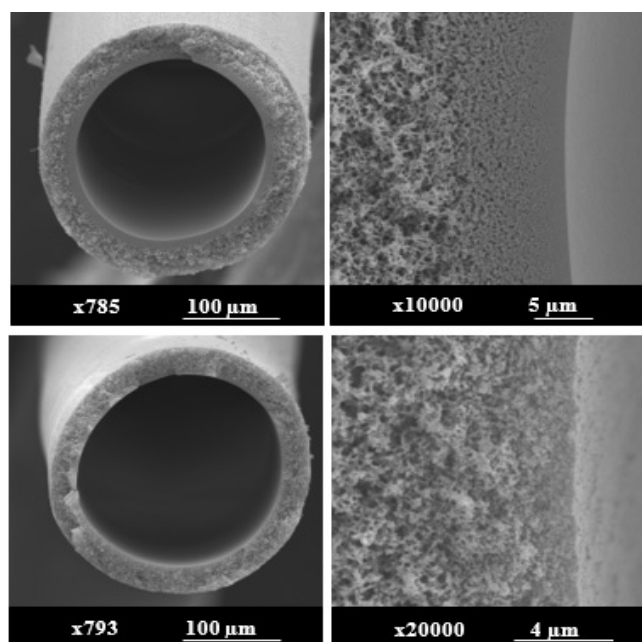


Figure 5. SEM images of hemodialysis clinical hollow fiber membranes (a) PSf and (b) PES.

the most used in hemodialysis treatments, since they generally show higher clearances of low molar mass solutes, better removal of medium-sized molecules, and are associated with the potential reduction of morbidity and increase in survival rates (Boué and Vanholder, 2004; Oshvandi et al., 2014; Tan et al., 2018). In addition, high permeability membranes are indicated for the hemofiltration and hemodiafiltration treatments, which have gained space in dialysis clinics (Nubé et al., 2016; Maduell et al., 2017; Tan et al., 2018).

In a previous work of this lab (Santos et al., 2017), flat sheet membranes for hemodialysis based on polyetherimide (PEI) and polyvinylpyrrolidone (PVP) were produced, with chemical immobilization of heparin on its surface in order to increase blood compatibility. The authors observed that hydraulic permeability was 44.3 L/h.m².bar, which is comparable with results of this study.

The high hydraulic permeability can be explained by the presence of PVP in the polymer solution, which is associated with a better hydrophilicity, porosity and larger pore size in the membrane (Kanagaraj et al., 2015).

As for the rejection coefficient of lysozyme and albumin, it is observed that the synthesized membranes present a good combination between the removal of lysozyme (and hence, very probably, of β_2 -microglobulin) and albumin retention. Compared to clinical membranes, it is noted that the low flux PSf clinical membrane show a desirable high albumin retention, but exhibited the undesirable low removal of lysozyme. On the other hand, the high flux clinical PES membrane showed the opposite, with high removal of lysozyme, but at the same time, a great loss of albumin. The hollow fiber synthesized in this study showed a better performance for the rejection of uremic solutes (55.6% for lysozyme and 95.7% for albumin), which may indicate a good potential for its use in hemodialysis treatment. An explanation for these results can be attributed to the pore size distribution in the membrane structure: a uniform pore size distribution allows a better discrimination among solutes of relatively close molecular weight. This fact justifies the good removal of lysozyme concomitant with albumin retention.

Santos et al. (2017) obtained a rejection coefficient of 89% for lysozyme and 99% for albumin in tests performed with flat sheet PEI/PVP membranes. The coefficient of rejection of lysozyme in the present study with hollow fibers is improved.

The transport rate of urea through the PEI/PVP hollow fibers in dialysis tests was comparable to those observed with low flux clinical membranes. Urea removal values were relatively low in all tests because they were performed using permeation modules with small membrane area (60 cm²) and also due to decrease of the driving force for transport, as the urea concentration in the dialysate increases in the batch experimental set up for this particular evaluation.

Urea clearance results for PEI/PVP membranes were also comparable to clinical membranes. Comparing the hydraulic permeability with clearance, it is observed that a high hydraulic permeability is not always associated with a high clearance of urea. This may be associated with the distribution of pores in the membranes. A uniform pore distribution allows diffusion of the urea molecules, provided the pore size is larger than the size of the molecule. However, membranes that have very large and very small pores can hinder the diffusion of the molecules, even if their

Table 1. PEI/PVP hollow fiber, clinical polysulfone and clinical polyethersulfone membrane transport properties and contact angles.

Membrane	Hydraulic Permeability (L/h.m ² .bar)	Rejection Coefficient (%) Lysozyme	Rejection Coefficient (%) Albumin (BSA)	UR (%)	Clearance (mL/min)	Contact angle* (°)
PEI/PVP	67.4 ± 2.1	55.6 ± 4.4	95.7 ± 0.6	5.9	26.6	76.54 ± 4.96
Clinical PSf	8.2 ± 1.2	92.0 ± 2.6	94.8 ± 0.7	4.0	55.8	64.3
Clinical PES	137.5 ± 0.8	0.8 ± 1.1	2.7 ± 0.4	12.4	18.0	70.0

*Contact angle of PEI/PVP data refer to flat sheet membranes. For PES and PSf membranes, the values were reported in the literature (Kaleekkal et al., 2015; Werten et al., 2016).

hydraulic permeability is high. In the literature, some studies show no difference in results between the use of low or high flow membranes (Eknoyan et al., 2002; Cheung et al., 2003), while others report that high flow membranes are actually more efficient (Munshi and Ahmad, 2014; Woods and Nandakuman, 2000). In general, high flux membranes elevate the clearance of medium and large molecules, but do not have the same correlation for small molecules.

Surface chemistry

Figure 6 shows the spectrum obtained for PEI/PVP membranes. The PEI membrane spectrum exhibits absorptions of the imide group at 1780 cm^{-1} and 1720 cm^{-1} , typical of the symmetrical and asymmetric stretch of the carbonyl groups present in the imide group; at 1355 cm^{-1} and 743 cm^{-1} , referring to C-N stretch and bend; and 1234 cm^{-1} , typical of C-O-C aromatic ether. Similar results are reported in the literature (Santos et al., 2017; García et al., 2017; Chen et al., 2006).

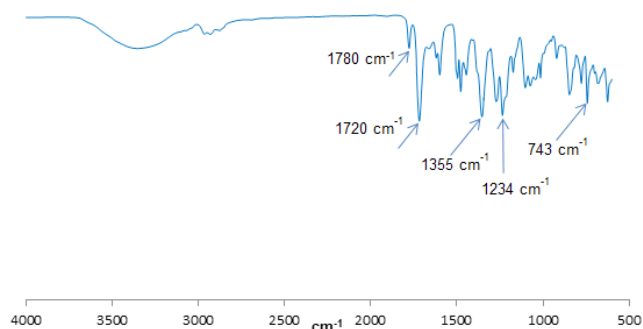


Figure 6. FTIR spectra PEI/PVP membranes surface.

Hydrophilicity and zeta potential

To evaluate the hydrophilicity of the PEI/PVP hollow fiber, water uptake experiments were performed. Absorption of 3.9 ± 0.4 mg of water per mg of membrane was obtained. The occurrence of water absorption is an indication that synthesized hollow fibers are hydrophilic. Hydrophilicity is an important characteristic of hemodialysis membranes, because hydrophilic membranes are less likely to exhibit protein adsorption and platelet adhesion phenomena during hemodialysis treatment, and consequently, may have better blood compatibility.

In this study, we used the water uptake as an indirect measurement of membrane hydrophilicity, because contact angle measurements wetting the surface of microporous materials resulted in total intrusion of water, leading therefore to non-reliable data. In Table 1, the contact angle of flat sheet PEI/PVP membranes (synthesized from a casting solution with the same composition as the hollow fiber PEI/PVP membranes) showed a contact angle of 76.5° . This result can indicate that PEI/PVP membranes are hydrophilic (as

contact angle is less than 90°), confirming what was observed in the water uptake tests.

The determination of the zeta potential values in 1 mM KCl solution of the PEI/PVP membranes were performed from measurements based on the flow potential and the results are shown in Figure 7. From the behavior of the zeta potential, as a function of pH, it is observed that the PEI/PVP membrane presents a negative charge throughout the analyzed pH range, and this negative charge decreases as the pH decreases.

Similar behavior of the zeta potential as a function of pH was also observed in the literature by Seifert et al. (2002), who evaluated the zeta potential of PEI membranes in the pH range of approximately 4 to 9 and found that, throughout this range, the zeta potential presents a negative charge, which decreases as the pH decreases, as in the results obtained this study. The negative charge could be attributed to the hydroxide ion adsorption/desorption processes, as one can interpret the decrease of zeta potential with the increase of pH (Neffe et al., 2014).

The negative charge showed by the hollow fibers along a large pH range may confer antithrombogenic properties to the material, because the phenomena of protein adsorption and platelet adhesion can be reduced.

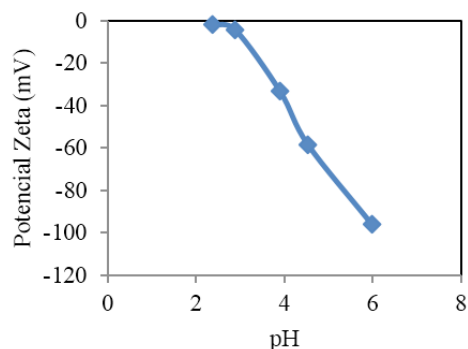


Figure 7. Zeta potential of PEI/PVP membranes.

Protein adsorption

Results of the protein adsorption tests are shown in Figure 8. Albumin adsorption was approximately 0.32 mg/mg membrane for PEI/PVP membrane, 0.41 mg/mg for the clinical PES and 0.20 mg/mg for the clinical PSf membrane. These results are in agreement with data reported in the literature, as presented by Roesink et al. (1991), who observed an adsorption of approximately 0.35 mg of albumin per mg of a PEI/PVP membrane, starting with an albumin solution of initial concentration of 40 g/L . The low protein adsorption exhibited by PEI/PVP membranes could be attributed to the hydrophilicity of the material (Ronco et al., 2017).

The deposition of proteins on the surface and in the pores of the membranes can affect the biocompatibility, and may be followed by the activation of several

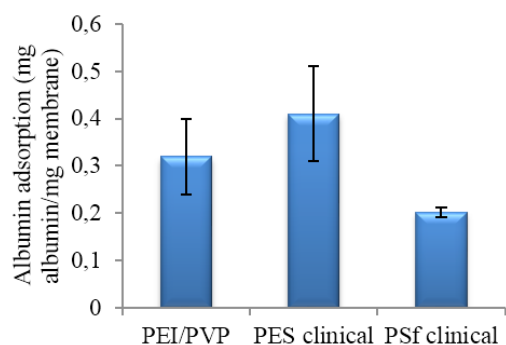


Figure 8. Albumin adsorption on membrane surfaces.

defense systems in the blood, such as coagulation, complement and fibrinolysis, as well as adhesion and activation of blood cells (Sun et al., 2003). Protein adsorption is considered the first stage of the blood clotting phenomenon.

The results observed for the synthesized membranes were comparable to those of the clinical polyethersulfone membranes, indicating that the polyetherimide/polyvinylpyrrolidone membranes are suitable for the intended application, with a hemocompatibility behavior similar to membranes used clinically in the renal treatment.

CONCLUSIONS

High flux PEI/PVP hollow fibers were successfully synthesized and characterized aiming at alternative materials for hemodialysis membranes. The membranes presented an efficient removal of lysozyme and a concomitant albumin retention, showing better results than membranes currently used in clinical treatments. The results of urea removal and clearance were also comparable to those of the membranes of clinical dialyzers. In addition, biocompatibility as revealed by hydrophilicity, zeta potential and protein adsorption evaluations, strongly indicate that the PEI/PVP hollow fibers are good candidates for application in hemodialysis.

ACKNOWLEDGEMENTS

To CNPq and FAPERJ for financial support.

NOMENCLATURE

J	Water flux (L/h)
L_p	Hydraulic permeability (L/h.m ² .bar)
ΔP	Pressure difference between feed solution and the permeate (bar)
R_c	Rejection coefficient (%)
C_A	Feed concentration in the ultrafiltration test (mg/L or mmol/L)
C_p	Permeate concentration in the ultrafiltration test (mg/L or mmol/L)
UR	Urea removal (%)

C_{UF}	Concentration of post-dialysis urea (mg/L)
C_{UI}	Concentration of pre-dialysis urea (mg/L)
$c(t)$	Concentration of urea at time t in the dialysis test (mg/L)
c_0	Initial concentration of urea (mg/L)
t	Time (min)
Cl	Clearance of urea (mL/min)
V(t)	Volume in the system at time t (mL)
$\Delta\phi$	Flow potential (mV)
ΔP_z	Pressure applied to the cell to force the electrolyte to flow on the charged surface (mbar)
ϵ_0	Vacuum permittivity (As / Vm)
ϵ_r	Relative dielectric constant of the solvent of the electrolyte
ζ	Zeta potential (mV)
η	Dynamic viscosity of the electrolyte (mPa.s)
λ_0	Electrical conductivity of the circulating electrolyte (mS / m)
λ_h	Electrical conductivity of the electrolytic solution (mS / m)
R_h	Electrical resistance measured through the flow channel
R	Electrical resistance measured through the channel under normal experimental conditions
m_i	Initial quantity of albumin in solution in the adsorption test
m_f	Quantity of albumin in solution after the time of contact with the membrane in the adsorption test
m_{membrane}	Quantity of membrane packed in glass tubes in the adsorption test

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