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Linking gemology and spectral geology: a case study of elbaites from Seridó Pegmatite Province, Northeastern Brazil

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Abstract

reflectance spectroscopy is a fast tool for mineral identification and classification. We conducted spectral characterization of elbaite samples (n = 30) from Seridó Pegmatite Province, Borborema Province, NE Brazil, using a FieldSpec portable instrument and geochemical data from electron probe microanalysis. Visual interpretation of reflectance spectra reveals the absorption features in the visible to near infrared (350-1,200 nm) range related to the electronic transition processes of iron (e.g., ~720, ~1,180 nm), copper (e.g., ~694, ~922 nm) and manganese (e.g., ~400, ~520 nm) ions. In general, electron probe microanalysis data show correlation with the absorption features related to chromophore elements (e.g., Cu, Fe, Mn), but the complex crystal structure of the tourmalines also accounts for the generation of absorption features. Vibrational processes in the short-wave infrared (1,200-2,500 nm) spectral range appear associated with Mn-OH, OH, Al-OH, H₂O and B-OH bonds. A statistical approach, using principal component analysis, was chosen for distinguishing tourmalines of different composition. We discriminated tourmalines characterized by green, blue, blue-green, red/purple colors, as well as the colorless ones. This included the Paraíba tourmaline, a high value variety of elbaite that is the focus of exploration carried out in Seridó Pegmatite Province.

KEYWORDS: Reflectance; FieldSpec[™]; tourmalines; chromophore elements; principal component analysis technique.

INTRODUCTION

Gemology and Spectral Geology are two important geological subareas that focus on the characterization and identification of minerals. The term Spectral Geology is related to the measurement and analysis of electromagnetic spectrum portions to discriminate spectral features of a surface material (Bedell *et al.* 2009, Geoscience Australia 2018), including punctual analysis of mineral assemblages and imaging of mineral and rock samples using reflectance and imaging spectroscopy (*e.g.*, Ducart *et al.* 2006, Tappert *et al.* 2011, Swayze *et al.* 2014, Coulter *et al.* 2017, Carrino *et al.* 2018).

Based on the use of reflectance spectroscopy, one of the first studies focused on the characterization of gemstones was carried out by Martin *et al.* (1989), with the investigation of alexandrite, beryl, garnet, and other minerals.

Supplementary data

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Reflectance spectroscopy studies the interaction of the electromagnetic radiation with the matter, by recording the reflected energy in different spectrum regions, including visible to short-wave infrared (IR) wavelengths (350 to 2,500 nm). It is a non-destructive method that allows a fast analysis, without sample preparation, unlike other spectroscopic techniques, such as the absorption spectroscopy (Hunt 1977, 1979, Clark 1999). The interaction of the electromagnetic radiation with the matter depends on the chemical composition and crystalline structure of minerals and rocks. Absorption features in the visible to near IR (VNIR, 350-1,200 nm) and shortwave IR (SWIR, 1,200-2,500 nm) spectral range are associated with electronic transition and vibrational processes, respectively. Specifically, broad absorption features in the VNIR range are related to transition metals (e.g., Fe, Cr, Mn, Cu), while molecular vibrational processes (e.g., H-O-H, OH⁻, Al-OH, Mn-OH, Fe-OH, S-O, C-O) are responsible for sharp absorption features in the SWIR range (Hunt 1977, 1979, Hunt & Ashley 1979, Clark et al. 1990, Thompson et al. 1999, Pontual et al. 2008).

Spectroscopic techniques, such as ultraviolet-visible (UV-Vis), IR and Raman spectroscopy, are commonly applied for tourmaline characterization (*e.g.*, Manning 1969, 1973, Mattson & Rossman 1987, Rossman *et al.* 1991, Taran *et al.* 1993, Merkel & Breeding 2009). However, studies focused on tourmaline characterization using reflectance spectroscopy are limited (*e.g.*, Bierwirth 2008, Zhang *et al.* 2008).

Supplementary data associated with this article can be found in the online version: Supplementary Table A1, Supplementary Table A2, Supplementary Table A3, Supplementary Table A4 and Supplementary Table A5.

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Bierwirth (2008) characterized elbaite, schorlite, and dravite tourmalines from Australia and identified the color variation related to chromophore elements (e.g., Fe, Mn, Cu) based on the absorption features in the VNIR range, including the discrimination between the three types of tourmalines through absorption features associated with molecular vibrational processes of water, OH⁻, metal-OH in the SWIR range. Zhang et al. (2008) used the reflectance spectroscopy (and other techniques, such as Mössbauer and IR spectroscopy) for characterizing tourmalines from pegmatites in northwestern China. Like Bierwirth (2008), Zhang et al. (2008) discriminated tourmaline endmembers (elbaite, schorlite, and dravite) related to the compositional zoning of pegmatites, the contact zone, and the altered country rock by the variable contents of Fe²⁺, Fe³⁺, OH⁻ and water molecules of tourmalines linked by the diagnostic absorption features of metals and molecules in the VNIR-SWIR range.

Brazil is one of the largest gem-producing countries in the world accounting for a large number of tourmalines, exploited mainly from the Borborema Province (Almeida *et al.* 1981), located in northeastern Brazil (Fig. 1). The characterization and classification of gemstones from this region are economically important mainly due to the presence of Paraíba tourmaline, marked by high-valued neon blue-green color (Rossman *et al.* 1991, Shigley *et al.* 2001).

This paper illustrates the spectral characterization of elbaite tourmalines from northeastern Brazil, focusing on the link between Gemology and Spectral Geology. For this purpose, reflectance spectroscopy was used to produce a spectral library of elbaites and complement previous studies (*e.g.*, Bierwirth 2008, Zhang *et al.* 2008) on the relevance of this technique for gemstone characterization.

GEOLOGICAL SETTING

The Borborema Province, located in Northeastern Brazil (Fig. 1A), is a complex orogenic system formed by accretion of crustal fragments during the late Neoproterozoic as a result of Western Gondwana's amalgamation process (Almeida *et al.* 1981). This province is made up of a Paleoproterozoic basement, Archean nuclei and Meso- to Neoproterozoic supracrustal rocks affected by deformational, metamorphic and magmatic events during the Brasiliano-Pan-African Cycle (650–500 Ma; Brito Neves *et al.* 2000, Van Schmus *et al.* 2008, Santos *et al.* 2010). The Borborema Province is conventionally subdivided into five sub-provinces, which are mostly bounded by lineaments and shear zones, from North to South: Médio Coreaú, Ceará Central, Rio Grande do Norte, Transversal and Meridional sub-provinces (Fig. 1B).

Within the Rio Grande do Norte Sub-province, the Seridó Fold Belt (SFB) is the main area of gem exploration (Fig. 1B). According to Santos *et al.* (2014), the SFB is an Ediacaran meta-sedimentary belt of the Seridó Group, composed of a meta-volcano-sedimentary unit (Serra dos Quintos Formation); a platform carbonaceous-metapelitic unit (Jucurutu Formation); a quartzite and meta-conglomerate unit (Equador Formation), and a sequence of schists of turbiditic nature (Seridó Formation) (Fig. 2). The Seridó Group was deformed and metamorphosed to lower amphibolite facies grade by the transcurrent Brasiliano Event and was intruded by numerous granites and pegmatites (Silva *et al.* 1995, Santos *et al.* 2014).

This geographic area with high concentration of pegmatites constitutes the Seridó Pegmatite Province (Santos *et al.* 2014), which was previously known as Borborema Pegmatite Province (*e.g.*, Beurlen *et al.* 2009) and was first described by Scorza (1944). Since then, many authors have described its



A: Amazon Craton; BP, NP, CP: Borborema, Nigeria and Cameroon provinces; K: Kalahari; RP: Rio de La Plata; SA: Sahara Metacraton; SFC: São Francisco/ Congo Craton; WA: West Africa Craton (modified from Neves & Alcantara 2010).

Figure 1. (A) Pre-rift reconstruction of Western Gondwana showing the main cratons and orogens. (B) Location of the Seridó Fold Belt (red area) in Rio Grande do Norte Sub-province of the Borborema Province, including the sampled region of the Seridó Pegmatite Province (modified from Van Schmus *et al.* 2008).

potential for mineralization of Ta-Nb, Be, Sn, Li and gem-quality minerals, such as tourmaline, euclase, and beryl (Silva *et al.* 1995, Santos *et al.* 2014). Silva *et al.* (1995) suggest that the majority of the mineralized pegmatites (~80%) intrude into quartz-biotite schists of the upper Seridó Formation, and 11% are intruded into the muscovite quartzites of the Equador Formation. However, most of these pegmatite bodies have an erratic distribution of gemstones and mineralization that explains why exploration in this area is predominantly performed by informal mining, *i.e., garimpos*.

The elbaite-bearing pegmatites described in this paper are Capoeiras, Quintos, Batalha, and Bulandeira (Fig. 2). They were emplaced in country rocks from the Equador Formation (quartzite and meta-conglomerate) and follow a general N-NW trend associated with regional shear zones (Barreto 1999).

The Capoeiras Pegmatite comprises heterogeneous bodies, with 3 to 9-m thickness, along azimuth 110-120°. The mineralogical assemblages are composed of milky, pink and smoky quartz, albite, cleavelandite, muscovite, biotite, garnet, schorlite, and elbaites (Barreto 1999). The zoning of pegmatites includes: the external intermediate zone I, with mineral assemblage consisting of muscovite, quartz and albite; the intermediate zone II, or albite zone, marked by centimeter scale albite crystals; and the inner zone, with massive milky quartz core. Replacement bodies occur in the intermediate zone II, near the contact with the quartz core. These bodies are composed of green muscovite, quartz, albite/cleavelandite and lepidolite, as well as tourmaline with schorlite crystals arranged perpendicular to the surrounding albite crystals (Barreto 1999, Soares et al. 2007). Red, green, blue, and blue-green elbaites (sometimes replaced by lepidolite) are situated in the inner



Figure 2. Geological map of the study area (modified from Angelim *et al.* 2004 apud Silva 2009), including the location of the elbaite samples from Capoeiras, Quintos, Batalha and Bulandeira pegmatites, Rio Grande do Norte (RN) and Paraíba (PB) states, Brazil.

zone and in the contact of that and the intermediate zone II (Figs. 3A and 3B).

The Quintos Pegmatite is another heterogeneous tabular body like the Capoeiras pegmatite bodies (Soares *et al.* 2007). The intermediate zone I of the Quintos Pegmatite is composed of quartz, biotite, muscovite, and feldspar (microcline and albite). Centimeter to meter scale feldspar (microcline and albite) crystals occur in the intermediate zone II (Barreto 1999). The inner zone (core) comprises milky quartz, and replacement bodies marked by albite/cleavelandite, milky quartz, lepidolite, microcline, spodumene, and green, blue, blue-green and pink elbaites (Barreto 1999). The elbaites are radially concentrated in the core and in the contact between the core and the intermediate zone II, and they are commonly replaced by lepidolite (Fig. 3C). Other minerals, such as gahnite, schorlite, columbite, apatite, and cookeite, were recognized by Soares (2004) and Soares *et al.* (2007).

The Batalha Pegmatite comprises parallel and subparallel tabular bodies, with 20 cm to 4 m thickness, along azimuth 120–130° (Barreto 1999). The bodies are homogeneous and kaolinized (Fig. 3D), and they are also composed of milky, hyaline, smoky and pink quartz, muscovite, lepidolite, schorlite, and green, blue-green, blue, red, purple, colorless elbaites, as well as kaolinite and columbite/tantalite (Barreto 1999). The elbaite crystals are commonly fractured and replaced by lepidolite. Gemological crystals are associated with smoky quartz, lepidolite, and kaolinite pockets (Fig. 3E).

Located in the eastern margin of the Queimadas Ridge, the Bulandeira Pegmatite is a homogeneous and kaolinized body, with a general strike along azimuth 120°. It is mainly composed of pink and milky quartz, biotite, muscovite, albite, garnet (almandine), schorlite, and elbaites (*e.g.*, green, red; Barreto 1999).

Elbaite tourmalines

Tourmaline is a complex silicate containing boron and aluminum, marked by trigonal crystal system and, commonly, by prismatic habit. The general chemical formula comprises $XY_3Z_6[T_6O_{18}][BO_3]_3V_3W$, in which X = vacancy, Na⁺, K⁺, Ca²⁺, Pb²; Y = Li⁺, Mg²⁺, Fe²⁺, Mn²⁺, Cu²⁺, Al³⁺, V³⁺, Cr³⁺, Fe³⁺, Mn³⁺, Ti⁴⁺; Z = Mg²⁺, Fe²⁺, Al³⁺, V³⁺, Cr³⁺, Fe³⁺, H³⁺, Al³⁺; B = B³⁺; V = OH⁻, O²⁻; W = OH⁻, F⁻, O²⁻. Specifically, elbaite tourmaline is the endmember characterized by Li and Al ions, occupying the Y site in a 1:1 ratio (Hawthorne & Dirlam 2011).

Elbaites from the Seridó Pegmatite Province were previously studied by Barreto (1999), focusing on chemical, optical and structural characterization related to Capoeiras, Quintos, Batalha and Bulandeira pegmatites (Fig. 2). Barreto (1999) analyzed 70 elbaites samples from the four pegmatite bodies using UV-Vis and IR spectroscopy, thermo-gravimetric analysis and differential thermal analysis (TGA-DTA), electron probe microanalysis (EPMA), crystallographic structural refinement, and Raman spectroscopy. The author determined values of dominant wavelength and discussed the role of chromophore and non-chromophore elements (*e.g.*, Fe, Mn, Cu, Zn) related to the main elbaite color groups: green, blue, blue-green, red, purple, and colorless. These color groups were defined based on the multivariate analysis of chemical data, which were later integrated with UV-Vis and IR absorption spectra interpretations for color discussion. The main considerations of Barreto (1999) are summarized in Table 1.

METHODOLOGY

Thirty elbaite tourmalines sampled by Barreto (1999) were selected for the acquisition of reflectance spectroscopy measurements (Tab. 2). Reflectance spectra were measured using the FieldSpec*4 Standard Resolution spectroradiometer (Analytical Spectral Devices) provided by the Institute of Geosciences of the Universidade Estadual de Campinas (UNICAMP), Brazil. The spectroradiometer records spectra in 2,151 channels with wavelengths ranging from 350 to 2,500 nm (VNIR-SWIR). The spectral resolution is 1.4 nm for the 350–1,000 nm range, and 1.1 nm for 1,001–2,500 nm (Malvern Panalytical 2018). We used a contact probe that has an internal light source and ~20 mm spot size, and the data were calibrated using a Spectralon^{*} white plate.

Between 3 to 10 reflectance spectra measurements from varying crystal faces were taken from each sample. Supplementary photos from sampled tourmalines were taken using a Canon



Source: photos provided by Prof. Sandra Barreto.

Figure 3. Examples of Paraíba tourmalines (blue-green elbaites) partially replaced by lepidolite in the contact between the intermediate zone II and the milky quartz core of the Quintos Pegmatite (A), and in the inner zones of the Capoeiras (B) and Quintos pegmatites (C). The Batalha Pegmatite is marked by kaolinized body (D), and kaolinite and smoky quartz pockets (E), in which the best elbaite samples are found.

EOS 1100D digital camera, coupled to a Schneider gemological microscope (Stemi 2000-C) from the Gemological Laboratory of the Universidade Federal de Pernambuco (Fig. 4).

Mineral compositions were determined by Barreto (1999) using a CAMECA SX50 electron microprobe from the Scientific-Technical Services of Barcelona University. Accelerating voltage of 6 and 25 kV, sample current of 15 and 30 nA, and crystals TAP, PC3, LIF and PET were employed. Internal standards were produced by Barreto (1999) using ten optical homogeneous gemological elbaite samples with different colors from the same studied pegmatites, in order to guarantee a more accurate analysis. Each sample was divided into two parts: one used to obtain minor- and trace-element concentration by inductively coupled plasma mass spectrometry (ICP-MS) and other to construct the EPMA internal standard. A Perkin Elmer Elan 6000 ICP-MS from the Scientific-Technical Services of Barcelona University was used for the analysis of the ten elbaites samples (previously submitted to the acidic attack using HF, $HClO_4$ and HNO_3).

EPMA data were obtained for all tourmalines, except for the samples B4VA and C1VC. The detection limits using the elbaite internal standards were 0.005 wt.% TiO_2 , 0.0195 wt.% Bi_2O_3 , 0.0066 wt.% MgO, 0.172 wt.% CaO, 0.0141 wt.% MnO, 0.0258 wt.% FeO total, 0.0115 wt.% CuO, 0.012 wt.% ZnO, and 0.0126 wt.% Na $_2$ O. The H $_2$ O values were quantified by the loss of water obtained from the TGA-DTA method, considering standard samples of structural chemical formulas. The TGA-DTA data were obtained in seven elbaites samples using the Netzsch Thermische Analyse STA 409 from the Department of Crystallography, Mineralogy and Mineral Deposits from Barcelona University. Although the scope of the present work is on the combined approach using chromophore element data and reflectance spectroscopy, complete mineral chemistry data related to the 28 elbaites are shown due to unpublished data from Barreto (1999) (Suppl. Tabs. A1-A5).

Tourmaline samples were sub-divided into six color groups, using Barreto (1999) as a reference guide: blue, green, bluegreen (Paraíba tourmaline), red, purple, colorless (Tab. 2). Average spectral curves were calculated for each sample, and the reflectance spectra investigation was done visually, with the interpretation of the main absorption features. As to the VNIR range, the main absorptions related to chromophore elements were associated with EPMA data. The continuum removal technique (hull quotient) was also applied to measure the absorption depth of the chromophore element (e.g., Cu) and to estimate its relative content. This technique normalizes the reflectance spectrum using a mathematical function that defines a convex hull fitting the spectral curve. The continuum removal is carried out by dividing the reflectance values of the measured spectrum by that of the continuum hull, enhancing the absorption features (Clark & Roush 1984, Clark et al. 2003).

In addition to visual inspection of the reflectance spectra, a multivariate statistical analysis was carried out as a fast way to characterize and classify elbaites. The principal component analysis (PCA) is a useful tool to reduce many variables (Pearson 1901) and was used to simplify reflectance spectra data from the elbaites, grouping samples characterized by diagnostic absorptions features related to determined ions (Fe, Cu, Mn). PCA was performed on a correlation matrix of the original data, generating new uncorrelated variables, which are also called principal components (PCs). In this process, each uncorrelated variable (PCs) is characterized by eigenvalues (% of variance) and eigenvector loadings, *i.e.*, linear combinations of weighted original data in the PCs. Thus, the PCA technique allows the orthogonal linear transformation of

Table 1. Summary of considerations done by Barreto (1999) on the color characterization of elbaites from the Seridó Pegmatite Province.

Absorption ba	ands/features and color mechanism							
Elbaite group	Subgroup							
Blue and	Blue and greenish blue $E//c$ spectra: two broad bands at 720 and 1,118–1,140 nm assigned to spin-allowed ${}^{5}T_{2} \rightarrow {}^{5}E$ transitions of Fe ²⁺ ions, and/or to Zn ²⁺ d sub-levels transitions, both located in Y site. $E \perp c$ spectra: two broad bands at 720–730 and 1,120 nm attributed to interactions in Fe ²⁺ _Y Fe ³⁺ _Y and Zn ²⁺ _Y ·Fe ³⁺ _Y centers, which intensify the transitions in the surrounding Fe ²⁺ _Y ions or induce possible internal transitions in Zn ²⁺ ions. Weak bands, at 418 and 450 nm, attributed to Mn ³⁺ , and a band at 560 nm related to Fe ²⁺ _Y -Fe ³⁺ _Y interactions.							
green	Green (Fe-Mn-bearing elbaites) Weak bands located at 418 and 465 nm assigned, respectively, to Mn^{2+} and Fe ³⁺ ions. Broad bands at 718-720 nm and 1,118-1,140 nm, in E//c polarization, were attributed, essentially, to ${}^{5}T_{2} \rightarrow {}^{5}E$ transitions of Fe ²⁺ ions in the Y octahedral. In the E⊥c polarization, Fe ²⁺ _Y - Fe ³⁺ _Y center was identified.							
	Turquoise-green and light-green (Cu-Mn-bearing elbaites) Bands at 700–718 nm and 930–940 nm related to spin-allowed ${}^{s}T_{2} \rightarrow {}^{s}E$ transitions of Cu ²⁺ ions situated in Y octahedral sites.							
Red Main bands at 480 nm (E//c) and 520–530 nm (E \perp c) attributed to d-d transitions of Mn ³⁺ ions, located in the Y octahedral. Bands 700–725 nm (E//c) and 725 nm (E \perp c) are related to d-d transitions of Fe ²⁺ ions also in the Y octahedral. Weak bands at 450–460 a 725 nm attributed to transitions of Fe ³⁺ in iron-bearing elbaites, or to color centers (electron trap) related to precursor Mn ⁴⁺ ions tha undergo transitions to Mn ³⁺ , in red elbaites marked by low contents of manganese and iron.								
Purple								

Bands at 520 nm attributed to Mn³⁺ and at 720 and 920 nm assigned to d-d transitions of Cu²⁺ ions.

Colorless

Absence of expressive absorption bands. Very weak bands at 420-450 nm and 720 nm, probably assigned to Mn^{2+}/Fe^{2+} and Cu^{2+}/Fe^{2+} .

the original data into a new coordinate system, maximizing the variance in the PC1, characterized by the highest eigenvalue that decreases with the higher order of the PCs (Mather 2004). Elbaite main color groups can be identified through the new variables (PCs) based on the interpretation of the high eigenvectors related to specific spectral bands that characterize the absorption features related to chromophore elements. Specifically, average reflectance spectra (n = 30) in the VNIR range (350–1,200 nm) were selected by characterizing the color due to the presence of absorption features associated with the chromophore elements.

RESULTS AND DISCUSSION

Elbaite samples are shown in Figure 4. The reflectance spectra allow the characterization of different types of tourmalines, mainly by the interpretation of absorption features in the VNIR range (Figs. 5, 6, 7, 8, 9 and 10). The spectral characterization of the green, blue, blue-green, red, purple and colorless groups is described below.

VNIR range

Blue, green and blue-green elbaites

Blue elbaites have an average of 3.475 wt.% FeO, 0.901 wt.% ZnO, 0.001 wt.% CuO, and 1.232 wt.% MnO (Suppl. Tab. A1). Two broad absorption bands exhibit maximum absorption at 720–730 nm and ~1,180 nm (Fig. 5) related to electronic transitions of Fe²⁺ ions in the Y octahedral site (Faye *et al.* 1974, Smith 1978, Mattson & Rossman 1987, Taran *et al.* 1993, Barreto 1999). Additionally, weak absorption features

Table 2. Relation of elbaite samples and their color classification carried out by Barreto (1999) based on the Colored Stone Grading System (PANTONE GIA GemStet method). The main color groups considered in this article are also shown.

Sample	Pegmatite	Hue	Intensity	Saturation	Color group used in this article (simplified from Barreto 1999)
D 117D*		Bluish green	Dark	Slightly grayish	Green
DIVK		Slightly purplish red	Light	Slightly brownish	Red
B2VO		Yellowish green	Medium dark	Moderately strong	Green
B4VA		Bluish green	Dark	Slightly grayish	Green
B5VA	Bulandeira	Strongly bluish green	Medium	Slightly grayish	Green
B6VA		Bluish green	Dark	Slightly grayish	Green
B8Vr		Strongly bluish green	Very dark	Slightly grayish	Green
B11R		Red	Dark	Slightly brownish	Red
B14R		Red	Medium	Brownish	Red
C1VC		Slightly bluish green	Medium	Slightly grayish	Green
C1VC(r)		Slightly bluish green	Medium light	Slightly grayish	Green
C2VC		Green	Medium light	Slightly grayish	Green
C3VC		Bluish green	Light	Slightly grayish	Green
C4T		Blue-green	Medium light	Moderately strong	Blue-green**
C6A	Capoeiras	Greenish blue	Very dark	Grayish	Blue
C7A		Blue	Very dark	Slightly grayish	Blue
C8A		Blue	Very dark	Slightly grayish	Blue
C9A		Greenish blue	Very dark	Grayish	Blue
C12R		Reddish purple	Light	Moderately strong	Red
C14T		Blue-green	Medium light	Slightly grayish	Blue-green**
Q2V	0:4	Green	Medium	Grayish	Green
Q11A	Quintos	Blue	Very dark	Slightly grayish	Blue
S6VA		Slightly greenish blue	Very dark	Slightly grayish	Blue
S13R		Red	Medium	Grayish	Red
S14R		Red	Very light	Brownish	Red
S15R		Red	Light	Slightly grayish	Red
S18C	São José da Batalha	Colorless	-	-	Colorless
S19C	Dutumu	Colorless	-	-	Colorless
S20C		Colorless	-	-	Colorless
S25A		Greenish blue	Very dark	Grayish	Blue
S27L		Purple	Medium dark	Moderately strong	Purple

*Bicolor tourmaline: green slice was analyzed with reflectance spectroscopy; **blue-green color group is related to the turquoise green elbaites (Paraíba-type) identified by Barreto (1999).

centered at ~415 and ~455 nm can be assigned to electronic transitions of Mn^{3+} ions (Taran *et al.* 1993, Barreto 1999), while Barreto (1999) attributed the feature centered at ~560 nm to Fe²⁺. Green group elbaites are subdivided into two types: green Fe-Mn-bearing elbaites, with average of 1.663 wt.% MnO, of 2.662 wt.% FeO, 0.010 wt.% CuO, and 0.068 wt.% ZnO; and green Cu-Mn-bearing elbaites, with average of 0.252 wt.% FeO, 0.220 wt.% ZnO, 0.970 wt.% CuO, and 2.377 wt.% MnO (Suppl. Tab. A2).

The reflectance spectra of the green Fe-Mn-bearing elbaites (Fig. 6) show similarities with the blue group (Fig. 5). The main deeper absorption features are at 722–730 and ~1,170–1,180 nm (Fe²⁺) and weak absorptions at ~465 and ~500 nm, which are possibly related to an electronic transition process of Fe³⁺ and Fe²⁺, respectively (Barreto 1999, Merkel & Breeding 2009). However, compared to the green Fe-Mn-bearing elbaites, the blue color elbaites (Fig. 5) have higher zinc content (up to 2.708 wt.% ZnO; Suppl. Tab. A1), which is an element that modifies the crystalline structural arrangement and occupancy of the Y site. This was previously observed by Barreto (1999), who identified the possible role of zinc as another relevant element (non-chromophore) influencing the mechanism for the blue color generation in ferric elbaites (Tab. 1).

For the green Cu-Mn-bearing elbaites, the green color is attributed to the high content of manganese and copper associated with: broad absorption feature centered at ~400 nm to



Figure 5. Stacked reflectance spectra of the blue elbaites and indication of the main absorption features.



B: Bulandeira pegmatite; C: Capoeiras pegmatite; Q: Quintos pegmatite; S: Batalha pegmatite.

Figure 4. Natural raw blue, green, blue-green, red, purple and colorless elbaite samples analyzed through reflectance spectroscopy. Dark-field illumination.

ultraviolet range, possibly related to $Mn^{2+} \rightarrow Ti^{4+}$ charge transfer (Rossman *et al.* 1991), and the absorptions at ~450 nm (Mn³⁺ d-d transition; Taran *et al.* 1993) and at 694 nm (Rossman *et al.* 1991, Taran *et al.* 1993, Barreto 1999). The absorption centered at 694 nm is derived from the electronic transition process of Cu²⁺ ion in the Y octahedral site, as well as the absorption centered at 922–970 nm (Rossman *et al.* 1991, Barreto 1999) (Fig. 7).

The blue-green elbaites comprise the high-valued Paraíba tourmalines marked, in general, by a high copper (>1 wt.% CuO) and moderate to high manganese content (Rossman *et al.* 1991, Barreto 1999). These tourmalines are discriminated by their typical vivid or neon blue, green or blue-green color (Rossman *et al.* 1991, Shigley *et al.* 2001) and they were treated apart from the green Cu-Mn-bearing elbaites shown in Figure 7.

Blue-green elbaite samples (C4T and C14T; Fig. 8) are characterized by copper and manganese content, varying from 1.36 to 1.48 wt.% CuO, and 0.354 to 1.909 wt.% MnO, respectively, and have low iron content (0.007-0.291 wt.% FeO (Suppl. Tab. A3). As the green Cu-Mn-bearing elbaites (Fig. 7), the electronic transition processes related to Cu²⁺ ion produces two broad absorption features centered at approximately 694 and 922–1,022 nm in the blue-green elbaite spectra (Fig. 8A). The absorption features related to Cu^{2+} (Fig. 8A) are relatively deeper than the green Cu-Mn-bearing elbaites (Fig. 7). The absorption feature centered at 922 nm is less impacted by Mn and Fe absorption (Merkel & Breeding 2009) and was used to demonstrate the relation of the relative depth of the Cu²⁺ absorption feature and the CuO (wt. %) content to differentiate the blue-green and green Cu-Mn-bearing elbaites groups, as shown in Figure 8B.





Blue-green elbaite absorption feature centered at ~400 nm is attributed to $Mn^{2+} \rightarrow Ti^{4+}$ charge transfer (Rossman *et al.* 1991), whereas the absorption feature centered at 519 nm (from d-d electronic transitions in octahedrally-bonded Mn^{3+} ions; Manning 1969, 1973, Rossman *et al.* 1991, Taran *et al.* 1993, Barreto 1999) produces a bluish green color (*e.g.*, sample C14T; Figs. 4 and 8A).



Figure 7. Stacked reflectance spectra of the green Cu-Mn-bearing elbaites and indication of the main absorption features.

Red and purple elbaites

Red elbaites have low zinc (average of 0.013 wt.% ZnO) and average content of 0.002 wt.% CuO, 0.145 wt.% FeO, and 0.108–3.677 wt.% MnO (Suppl. Tab. A4). Red is associated with high Mn content in elbaites (Manning 1969, 1973, Reinitz & Rossman 1988, Taran *et al.* 1993, Barreto 1999).

The red elbaites have a deep absorption feature centered at 520-530 nm (Fig. 9), which is related to d-d electronic transitions of Mn^{3+} ions (Manning 1969, 1973, Taran *et al.* 1993, Barreto 1999) and absorption feature at ~400 nm possibly related to the electronic transition of Mn^{2+} or Mn^{3+} ions (Reinitz & Rossman 1988, Taran *et al.* 1993, Barreto 1999).

The red elbaite samples that have low or no iron content (*e.g.*, B11R, B14R, C12R; Suppl. Tab. A4, Fig. 9) have weaker absorption features at ~700 and ~1,100 nm related to Mn^{3+} (Reinitz & Rossman 1988, Taran *et al.* 1993). However, broad absorptions centered at 700–720 and ~1,100 nm are well defined in some samples marked by iron content of 0.143–0.399 wt.% FeO (*e.g.*, S13R, S14R, S15R; Suppl. Tab. A4, Fig. 9). These absorptions are related to the d-d transition of Fe²⁺ ion (Mattson & Rossman 1987, Taran *et al.* 1993, Barreto 1999).

Purple elbaite (sample S27L; Fig. 9) has some differences in its chemical composition, such as moderate copper (0.665 wt.% CuO) and manganese (0.943 wt.% MnO) content, very low zinc content (0.020 wt.% ZnO), and absence of iron (Suppl. Tab. A4). The reflectance spectrum of the sample



Figure 8. (A) Stacked reflectance spectra of the blue-green elbaites, with high copper and manganese content, and indication of the main absorption features. (B) Graph of CuO (wt.%) versus absorption feature depth at 922 nm (electronic transition of Cu^{2+} ion) after the continuum removal, for discriminating blue-green elbaites from the green Cu-Mn-bearing elbaites.

S27L has absorption features at 520 nm attributed to Mn^{3+} , and at ~700 and ~920 nm that are related to d-d transitions of Cu²⁺ ions in the Y octahedral site (Manning 1969, 1973, Rossman *et al.* 1991, Barreto 1999).

Colorless elbaites

Colorless elbaites have an average of 0.078 wt.% CuO, 0.428 wt.% FeO, 0.218 wt.% ZnO, and 2.522 wt.% MnO (Suppl. Tab. A5).

Colorless elbaites are marked by weak absorption features in the visible range, possibly related to iron and manganese (*e.g.*, ~410 and 450 nm; *cf.* Barreto 1999). Broad absorption features centered at ~720 and ~1,120 nm are associated with d-d electronic transition of ferrous iron (Mattson & Rossman 1987, Taran *et al.* 1993, Barreto 1999).

Statistical approach

The analysis of color groups of elbaites was also carried out using the PCA technique in the VNIR range data. This approach complements the visual analysis of spectra and provides a fast alternative for the investigation of tourmaline compositions. Eigenvector matrix data (Tab. 3) were used for producing graphs, such as the PC3 and PC4 plot shown in Figure 11.

In general, the blue, green, blue-green and red/purple elbaites were well defined based on the PCA technique, reflecting the distinct spectral responses related to the chromophore elements (Mn, Cu, Fe) of each color group, as previously expected by the visual analysis of the spectra (Figs. 5, 6, 7, 8, 9 and 10), which is summarized in Figure 12.

Blue and green elbaites with similar reflectance spectra marked by absorptions features related to the ferrous



Figure 10. Stacked reflectance spectra of the colorless elbaites and indication of the main absorption features.



Figure 9. Reflectance spectra of the red group and of the purple elbaite (sample S27L), besides indication of the main absorption features.

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Table 3. Eig	envector matrix	produced by prin	cipal compon	ent analysis (P	CA) techniqu	ue applied in the	VNIR reflectance da	ata of 30 elbaite samples
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Sample	PC1	PC2	PC3	PC4	PC5	PC6	PC7	PC8	PC9	PC10	PC11	PC12	PC13	PC14	PC15	PC16	PC17	PC18	PC19	PC20	PC21	PC22	PC23	PC24	PC25	PC26	PC27	PC28	PC29	PC30
BIVR	0.337	0.918	0.038	-0.145	0.016	0.019	0.005	0.002	0.121	-0.002	0.042	0.029	0.049	-0.026	-0.017	-0.007	0.008	-0.001	-0.002	-0.002	0.002	-0.002	-0.003	-0.001	-0.002	0.001	-0.001	0.001	0.000	0.001
B2VO	0.844	0.474	0.138	-0.148	-0.076	0.004	-0.104	-0.020	0.014	-0.011	-0.023	-0.054	-0.008	-0.016	0.003	0.020	0.022	0.013	-0.00	0.000	0.002	0.004	-0.003	0.000	-0.001	-0.005	0.001	0.000	0.000	0.001
B4VA	0.418	0.874	-0.128	-0.089	-0.163	-0.081	0.034	0.055	-0.005	-0.009	-0.001	0.001	-0.015	0.014	-0.008	0.000	-0.003	-0.002	0.010	0.000	-0.005	-0.005	0.003	0.002	-0.001	-0.001	0.001	0.003	-0.003	0.006
BSVA	0.812	0.541	-0.023	-0.130	-0.098	-0.123	0.041	0.016	0.008	0.025	0.032	0.000	-0.00	0.029	-0.010	0.005	0.000	0.009	0.006	-0.005	0.007	-0.003	-0.001	0.003	-0.008	0.000	-0.004	-0.005	0.001	-0.003
B6VA	0.729	0.661	-0.032	-0.115	-0.097	-0.070	-0.017	0.033	-0.004	0.002	0.021	0.002	-0.014	0.008	-0.011	0.005	-0.003	-0.004	-0.017	0.003	0.003	-0.007	0.010	-0.007	0.008	0.003	0.000	0.003	0.000	-0.002
B8VA	0.269	0.936	-0.153	-0.049	-0.058	-0.017	0.106	0.032	0.073	0.005	-0.041	0.026	-0.020	0.005	0.025	-0.009	0.013	-0.018	-0.001	0.002	0.004	0.006	-0.006	-0.004	0.002	0.001	0.002	-0.003	0.001	0.000
B11R	-0.818	0.438	0.060	0.304	0.024	-0.166	-0.050	-0.046	0.074	0.049	0.026	-0.002	0.015	0.023	0.023	-0.002	0.008	0.014	0.003	-0.001	-0.007	0.008	0.006	0.001	0.003	0.003	-0.001	0.003	0.001	0.000
B14R	-0.134	0.256	0.529	0.754	-0.217	-0.112	0.045	0.032	0.016	0.023	-0.069	0.002	0.012	-0.002	-0.011	0.001	-0.012	0.000	-0.008	-0.001	0.004	-0.001	-0.004	0.002	0.000	-0.003	0.001	0.000	0.000	0.000
CIVC	0.745	0.630	-0.148	-0.077	-0.071	0.035	-0.049	0.095	0.008	-0.006	-0.015	-0.041	0.006	-0.014	0.003	0.004	-0.004	0.003	0.008	0.000	0.006	0.002	0.000	-0.008	-0.005	-0.003	0.010	0.004	0.002	-0.001
C1VC(r)	0.912	-0.222	-0.317	0.105	0.011	0.046	0.042	0.032	0.029	0.032	0.012	-0.015	0.010	0.015	-0.002	-0.012	-0.010	0.000	0.007	-0.014	-0.010	-0.001	0.002	0.006	0.006	0.000	0.003	-0.003	0.002	-0.002
C2VC	0.893	-0.301	-0.225	-0.002	-0.027	0.198	-0.010	0.047	0.121	-0.006	-0.053	0.014	0.001	0.019	0.004	0.014	-0.004	-0.005	-0.005	0.001	-0.005	0.000	0.000	0.009	-0.003	0.002	-0.004	0.004	0.002	0.000
C3VC	0.825	-0.349	-0.359	0.179	-0.043	0.155	-0.067	0.003	0.056	0.014	0.040	0.014	0.004	0.019	-0.004	-0.009	-0.003	0.010	-0.004	0.005	0.004	0.006	-0.001	0.001	0.008	-0.005	0.000	-0.004	-0.003	0.001
C4T	0.935	-0.246	-0.117	0.123	0.006	0.045	0.165	-0.043	-0.048	0.030	0.016	-0.019	0.007	-0.003	-0.006	-0.005	-0.001	0.005	-0.002	0.003	0.001	0.001	0.003	-0.002	0.002	0.003	-0.003	-0.002	0.006	0.004
C6A	0.952	0.062	0.214	0.023	-0.133	0.067	0.117	-0.024	-0.082	-0.005	0.014	-0.004	-0.008	-0.006	0.011	-0.018	0.003	0.004	0.003	-0.007	0.005	0.004	-0.005	0.001	0.001	-0.003	-0.006	0.007	-0.001	-0.002
C7A	0.961	-0.104	0.200	0.025	0.081	-0.051	0.070	-0.078	0.004	-0.052	0.035	0.016	0.005	0.005	0.019	-0.005	-0.002	-0.005	-0.013	-0.003	-0.011	-0.005	0.006	0.000	-0.005	-0.009	0.002	-0.001	0.000	0.000
C8A	0.879	-0.295	0.293	-0.175	0.099	-0.031	0.337	-0.045	0.050	0.039	-0.058	0.041	0.000	-0.018	0.014	0.011	-0.005	0.008	0.014	-0.003	0.006	-0.007	0.006	-0.003	0.005	-0.004	-0.002	-0.001	0.000	0.000

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Sample	PCI	PC2	PC3	PC4	PCS	PC6	PC7	PC8	PC9	PC10	PC11	PC12	PC13	PC14	PC15	PC16	PC17	PC18	PC19	PC20	PC21	PC22	PC23	PC24	PC25	PC26	PC27	PC28	PC29	PC30
C9A	0.958	0.044	0.209	-0.074	-0.011	-0.121	-0.081	0.021	-0.073	0.017	0.041	0.046	-0.003	-0.004	-0.014	0.014	-0.003	-0.008	0.005	0.005	-0.008	0.012	-0.007	0.003	0.003	-0.004	0.000	0.001	0.002	-0.001
C12R	0.402	-0.448	0.782	-0.005	0.018	0.011	-0:030	0.110	-0.004	-0.104	0.001	-0.019	0.023	0.025	0.000	-0.002	0.011	-0.008	0.008	0.004	0.005	0.002	0.005	-0.001	0.003	0.001	-0.001	-0.001	0.000	0.000
C14T	0.914	-0.245	-0.085	0.259	-0.020	-0.010	0.146	-0.051	0.009	0.063	0.006	-0.038	0.011	-0.010	-0.003	0.012	0.009	-0.015	0.007	0.008	-0.007	-0.001	0.003	-0.001	0.001	0.001	-0.002	-0.001	-0.004	-0.002
Q2V	0.535	0.824	-0.116	0.056	-0.040	-0.005	-0.116	-0.011	-0.018	-0.037	0.006	-0.019	0.007	-0.018	0.020	-0.003	-0.022	0.001	0.003	0.019	0.004	0.004	0.003	-0.001	-0.005	0.001	-0.003	0.000	0.000	0.000
QIIA	0.926	-0.018	0.337	-0.037	-0.088	0.061	0.094	0.034	-0.034	-0.021	-0.035	0.033	-0.005	-0.006	-0.003	-0.007	0.006	0.022	-0.001	0.008	-0.010	0.001	0.000	-0.002	-0.002	0.008	0.004	-0.001	-0.001	-0.001
S6VA	0.945	-0.248	0.189	-0.025	0.063	-0.030	0.004	-0.043	-0.022	0.013	0.020	0.006	0.001	-0.006	0.008	0.008	-0.009	-0.007	-0.007	-0.013	0.010	0.010	0.005	0.006	-0.002	0.008	0.003	-0.001	-0.002	0.001
S13R	-0.621	0.736	0.101	0.162	-0.054	0.136	0.073	-0.072	-0.046	-0.014	0.045	0.015	-0.002	-0.001	0.007	0.009	0.007	0.001	0.005	0.007	0.006	-0.008	0.001	0.014	0.003	0.000	0.005	0.000	0.001	-0.001
S14R	0.161	0.756	0.553	-0.086	0.114	0.129	-0.066	-0.230	0.040	0.002	-0.028	-0.017	-0.011	0.020	-0.019	-0.007	-0.007	-0.005	0.004	-0.001	-0.003	0.002	-0.002	-0.003	0.000	0.001	0.001	0.000	0.000	0.000
S15R	-0.410	0.419	0.700	-0.045	0.329	0.127	0.044	0.161	0.028	0.098	0.040	-0.012	-0.018	-0.005	0.003	-0.001	-0.005	0.001	-0.004	0.003	-0.001	0.001	0.001	0.000	-0.002	-0.002	-0.001	0.000	0.000	0.001
S18C	0.816	0.296	-0.276	0.237	0.235	0.011	-0.188	0.015	-0.127	0.055	-0.052	0.016	0.007	0.006	-0.005	-0.015	0.016	-0.006	-0.001	0.001	0.002	-0.003	0.006	0.003	-0.003	0.000	0.000	0.000	0.000	0.000
S19C	-0.318	0.860	-0.097	0.312	0.013	0.193	-0.00	0.030	-0.109	-0.022	0.009	0.018	0.015	0.008	0.010	0.021	-0.002	0.001	0.001	-0.011	-0.004	0.000	-0.002	-0.010	0.000	0.001	-0.003	-0.001	-0.001	0.000
S20C	0.567	0.344	-0.319	0.389	0.526	-0.106	0.089	0.012	0.043	-0.092	-0.003	-0.005	-0.016	-0.006	-0.010	0.004	-0.001	0.006	0.002	-0.001	0.001	0.000	-0.003	0.001	0.002	0.001	-0.001	0.001	0.000	0.000
S25A	0.939	-0.231	0.155	-0.116	0.111	-0.083	-0.063	-0.008	-0.023	0.030	0.015	-0.016	0.016	0.016	0.019	-0.001	-0.004	0.000	-0.004	0.002	-0.001	-0.012	-0.017	0.001	0.003	0.005	0.001	0.000	-0.001	0.001
S27L	0.611	-0.494	0.170	0.532	-0.166	0.045	-0.170	0.000	0.078	-0.013	0.063	0.007	-0.033	-0.017	0.002	-0.004	0.007	-0.004	0.005	-0.004	-0.001	-0.006	-0.001	-0.004	-0.003	0.004	0.000	0.000	0.001	0.000

iron and manganese ions (Figs. 5 and 6) were grouped in a unique cluster representative of the Fe-Mn-rich elbaites (Fig. 11). to similar spectral responses related to the manganese and $\rm Cu^{2+}$ ions, forming the cluster of the Cu-Mn-rich elbaites (Fig. 11).

Other green elbaites (samples C1VC(r), C2VC, and C3VC) were grouped with the blue-green (Paraíba type) elbaites due

Colorless elbaites, marked by weaker VNIR absorption features in comparison with the other elbaite samples, were spectrally separated in another cluster, while red and purple elbaites present a widespread pattern, but apart from the other clusters previously mentioned (Fig. 11).

SWIR range

In addition to the color analysis, reflectance spectroscopy data also allow the characterization of elbaites through molecular vibrational processes. In general, the SWIR range (\sim 1,200–2,500 nm) is marked by sharp and well-defined absorption features in all samples (Figs. 5, 6, 7, 8, 9 and 10).

Water molecules are present in the structure of all elbaite samples (*cf.* Tabs. A1–A5), a common characteristic in tourmalines from pegmatite provinces (*e.g.*, Zhang *et al.* 2008). The absorption features centered at ~1,400 nm are associated with overtones from water molecules and OH bonds, while the absorption centered at ~1,930 nm is exclusively from water (Pontual *et al.* 2008), as seen in Figures 5, 6, 7, 8, 9 and 10. The absorption features centered at ~1,400 nm are masked in samples with high iron content, such as in the blue



Figure 11. PC3 and PC4 eigenvector plot and discrimination of the elbaite color groups.

and green Fe-Mn-bearing elbaites (Figs. 5 and 6). This is due to broad absorption features related to Fe^{2+} ions in the VNIR range. This effect is also observed in other ferric minerals, such as the chlorite group (*e.g.*, Pontual *et al.* 2008).

Five sharp absorption features are present between ~2,170 and ~2,390 nm and are related to the OH and metal-OH vibrational processes (Clark *et al.* 1990, Bierwirth 2008). The absorption features centered at 2,200 nm are produced through the Al-OH vibrational process, while the absorption at ~2,300 nm may be attributed to Li-OH bonds (Clark *et al.* 1990, Zhang *et al.* 2008). The absorption at ~2,250 nm is probably related to the B-OH or Al-OH vibrational process, while the features centered at ~2,390 and ~2,170 nm are likely related to the Mn-OH bond (Bierwirth 2008). Specifically, this last feature is observed only in elbaite tournalines characterized by high Mn content in comparison to dravite and schorlite (Bierwirth 2008).

Manganese content is also correlated with other absorption features centered at ~1,250, ~1,320 and ~1,470 nm (Bierwirth 2008), as observed in most of the elbaite samples (Figs. 5, 6, 7, 8, 9 and 10).

CONCLUSIONS

Color is one of the main qualities used for estimating the value of gemstones, and reflectance spectroscopy appears as a fast and non-destructive method of identification and classification that complements the results obtained by other spectroscopy techniques and analytical analysis.

The VNIR range shows great promise in the classification and discrimination of elbaite color groups, especially when used in conjunction with geochemistry data (*e.g.*, EPMA, LA-ICP-MS). The blue, green, blue-green, red/purple colors and colorless tourmalines discriminated herein show spectral characteristics in the VNIR range easily related to chromophore elements, such as iron and manganese (blue, green and colorless groups), manganese (red group), and manganese and copper (purple, blue-green and green groups). In this



Figure 12. Summary of the main absorption features in the VNIR range of the chromophore elements related to the blue, green, blue-green, colorless, red and purple elbaites.

context, a fast discrimination of high-valued variety elbaite comprises a critical step for targeting Paraíba tourmaline, and reflectance spectroscopy proves to be a strategic tool for this subject. The processing of reflectance spectral data using multivariate statistics (*e.g.*, PCA) is also a fast alternative for the statistical discrimination of the main color groups, based on the main chromophore elements (*e.g.*, Mn, Fe, Cu) and their distinct spectral responses.

Spectral features related to the SWIR range are useful for identifying high iron content elbaites by the attenuation of the vibrational features at ~1,400 nm (OH/H-O-H), due to the influence of broad absorption of ferrous iron in the VNIR range.

The combined approach using Gemology and Spectral Geology, demonstrated with this case study of elbaites from the Seridó Pegmatite Province, represents a novel approach for the systematic characterization of gemstones, which can also be applied to other types, such as euclases, beryls, and their varieties (*e.g.*, emerald and aquamarine), also present in northeastern Brazil.

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T. C. wrote the introduction, methodology, discussion and conclusion of the manuscript, applied the PCA technique to the reflectance data, and prepared Figures 1 to 12 and Table 3; S. B. provided geochemical data of elbaites, produced Tables 1 and 2, and wrote the methodology related to these data, discussion and conclusion in conjunction with the other authors; P. O. produced the first results of the reflectance spectra interpretation of the elbaites and prepared Tables A1 to A5; J. N. wrote the text of the manuscript (geological setting, methodology, discussion and conclusion) and aided the spectral interpretations; A. C. interpreted some geochemical data of the elbaites from the study area. Competing interests: The authors declare no competing interests.

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