



ARTICLE

Improving the precision of genotype selection in wheat performance trials

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Abstract – *The aim of this study was to verify whether using the Papadakis method improves model assumptions and experimental accuracy in field trials used to determine grain yield for wheat lineages indifferent Value for Cultivation and Use (VCU) regions. Grain yield data from 572 field trials at 31 locations in the VCU Regions 1, 2, 3 and 4 in 2007-2011 were used. Each trial was run with and without the use of the Papadakis method. The Papadakis method improved the indices of experimental precision measures and reduced the number of experimental repetitions required to predict grain yield performance among the wheat genotypes. There were differences among the wheat adaptation regions in terms of the efficiency of the Papadakis method, the adjustment coefficient of the genotype averages and the increases in the selective accuracy of grain yield.*

Key words: *Triticum aestivum* L., cultivar selection and recommendation, assumptions, accuracy measurements, spatial analysis.

INTRODUCTION

Value for Cultivation and Use (VCU) trials for wheat (*Triticum aestivum* L.) genotypes are important because the development of new cultivars that have high production potential and are well-adapted to the different cultivation regions of Brazil depends on these trials. For a new wheat cultivar to be added to the National Cultivar Registry (Registro Nacional de Cultivares) of the Ministry of Agriculture, Livestock and Supply (Ministério da Agricultura, Pecuária e Abastecimento (RNC/MAPA), trials must be performed for three years in two locations (or for two years in three locations) and the cultivar must have a coefficient of variation (CV) of 20% or less to be considered valid (Brasil/MAPA 2008).

An assessment of the experimental accuracy of field trials (and therefore the quality of the results) is essential for discarding low-precision results and validating the conclusions of the experiment. The $CV < 20\%$ criterion is not a good indicator of trial quality. Many studies suggest that selective accuracy statistics, heritability, the coefficient of determination and the F test statistic are better suited to genotype studies (Cargnelutti Filho and Storck 2007, 2009, Cargnelutti Filho et al. 2009, Cargnelutti Filho et al. 2012).

The Papadakis method (Papadakis 1937), for improving experimental accuracy and selective accuracy (SA), works by adjusting the values obtained in the plots as a function of the means of the experimental errors between neighboring plots. The application of this method in field trials of soybean (Storck et al. 2008), maize (Storck et al. 2010) and the common bean (Storck et al. 2011) cultivars has resulted significant gains in experimental accuracy without changing the assumptions of the experimental design. The advantages of using the Papadakis method in field trials of wheat genotypes performed at various sites within the different adaptation regions (VCU Regions 1, 2, 3 and 4) during different crop years are still unknown. As shown in previous trials of soybean, maize and the common bean, this method could be a useful procedure for improving experimental accuracy.

The aim of this study was to determine whether there were changes in experimental accuracy indicators or in the assumptions of the mathematical model for analysis of variance with the application of the Papadakis method in field trials of wheat genotypes performed in different VCU regions and sites over multiple crop years.

MATERIAL AND METHODS

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Grain yield data from 572 field trials at 31 locations in the VCU Regions 1, 2, 3 and 4 from 2007 to 2011 were used (Table 1). The trials were performed by the wheat improvement program of the Central Cooperative of Agricultural Research (Cooperativa Central de Pesquisa Agrícola - COODETEC). The COODETEC assesses approximately 750 genotypes annually in preliminary and advanced trials.

The trials used a randomized block design with three replications. Each plot consisted of five rows that were 5.0 m long with 0.20 cm of space between the rows, for a total area of 5.0 m². The crops were treated according to the technical recommendations for each crop type. At maturation, the crops were harvested and grain mass was assessed for the plot. Grain yield (kg ha⁻¹) was subsequently estimated and corrected for 13% humidity.

Data on grain yield (kg ha⁻¹) from each trial were subjected to an analysis of variance (ANOVA) with hypothesis testing ($p < 0.05$) for blocks and genotypes. The relative efficiency (RE) of the blocks, the average yield per genotype, the differences between genotype averages and the overall average (\hat{m}) were estimated. In addition, the CV of the experimental error and the Turkey's minimum significant difference ($p = 0.05$) between genotypes (D) were estimated, where $D = q_{(\alpha, I; GL_e)} \sqrt{MSe/J}$, $q_{(\alpha, I; DFe)}$ is the table value for the Tukey test, I is the number of genotypes, DFe is the degree of freedom of the error, MSe is the estimation of experimental error, and J is the number of blocks. Tukey's minimum significant difference (MSD) between genotypes expressed as a percentage of the average was obtained using $MSD = 100 D / \hat{m}$. The Fasoulas differentiation index (FDI) was used to assess the experimental accuracy and was estimated by the equation $FDI = 200 \sum_{i=1}^n m_i / [n(n-1)]$, where n is the number of genotypes of the trial and m_i is the number of averages that are statistically significant for the i-th genotype according to the Tukey test.

The value of the R² statistic, termed as coefficient of determination (Cargnelutti Filho and Storck 2007), was determined and expressed as $R^2 = MSg / (MSg + MSe)$, where MSg is the mean square of the genotype. In addition, selective accuracy (SA) was estimated by $SA = (1 - 1/F)^{1/2}$, where F is the value of the F statistic for the genotype (Re-

sende and Duarte 2007, Cargnelutti Filho and Storck 2009).

The assessments for each block were considered to be measurements performed on the same individual (genotype). Based on this assumption, one can estimate the intraclass correlation coefficient (ICC) for the genotype or the coefficient of repeatability (CoR) (analysis of variance method) as follows: $\hat{\rho}_g = \hat{\sigma}_g^2 / (\hat{\sigma}^2 + \hat{\sigma}_g^2)$, where $\hat{\sigma}_g^2 = (MSg - MSe) / J$ and $\hat{\sigma}^2 = MSe$. The minimum number of measurements (repetitions = blocks = J) required to predict the actual value for each individual (genotype) based on the determination or pre-established accuracy (R²) was obtained according to Cruz and Regazzi (1997), in which the value of J for a given R² is: $J(R^2) = (1 - \hat{\rho}) R^2 / \hat{\rho} (1 - R^2)$.

The error for each experimental unit was estimated, taking into account the randomized block design (observed value minus the overall average, minus the block and genotype effects). Using the values of the estimated error, the Lilliefors test (Sprent and Smeeton 2007) was applied to verify assumptions of normality. In a test for randomness of error or a sequence test (Sprent and Smeeton 2007), the number of error sequences (positive and negative) follows a pathway between the columns of the different rows (in the preset, the numbers follows one row and returns by other). The test statistic of the sequence test, which is equal to the number of times in which the signal for the preset pathway for the experimental plots is switched, was determined by its proximity to the standard normal distribution. Bartlett's test (Steel et al. 1997) was applied to verify homogeneity of variance of error among the genotypes. The additivity of the mathematical model was verified by Tukey's test of non-additivity (Snedecor and Cochran 1989). The assumptions for the hypothesis tests (normality, randomness, homogeneity and additivity) were verified ($p = 0.05$).

The errors were estimated by the application of the Papadakis method according to the completely randomized design (the observed value minus the overall average, minus the block and genotype effects). With these values, the mean error of each experimental unit was estimated as an average between the error of the given experimental unit and the errors of neighboring experimental units (to the right, left, front and back) (Cargnelutti Filho et al. 2003). The value of the mean error was used as a covariate in the analysis of covariance (ANCOVA) according to the completely random-

Table 1. Number of trials (NT) and their respective municipalities by wheat adaptation region for the years 2007-2011

Region	NT	Municipality
1	124	Campos Novos, Castro, Cruz Alta, Guarapuava, Lagoa Vermelha, Não-Me-Toque, Vacaria
2	215	Abelardo Luz, Cachoeira do Sul, Campo Mourão, Cascavel, Itabera, Santa Rosa, Santo Augusto, São Luiz Gonzaga, Taquarivai
3	188	Arapongas, Assis, Dourados, Goioere, Maracaju, Palmital, Palotina, Ponta Porã, Rolândia, Umuarama
4	45	Catalão, Cristalina, Luziania, Paracatu, São Gotardo
Total	572	31 municipalities (above)

ized design (Steel et al. 1997, Storck et al. 2008), which corresponds to the application of the Papadakis method (Papadakis 1937). Previously, the values of the covariate were subjected to an analysis of variance according to the randomized block design, and the assumptions of normality, randomness and homogeneity of variances were verified.

The ANCOVA (Papadakis method) was performed and the hypotheses for the effects of the covariate and the adjusted genotypes were tested according to Steel et al. (1997). The differences between the averages of the adjusted genotypes and the CV, D, MSD, FDI, R^2 , h^2 , SA, $\hat{\rho}_g$ and $J(R^2)$ statistics were estimated. We also estimated the relative efficiency (RE-P%) of the use of the covariate with the Papadakis method compared to the randomized block design and verified the assumptions of the hypothesis tests (normality, randomness and homogeneity of the variances for the genotypes) for the error in ANCOVA model. The averages of the statistics, \hat{m} , RE-P%, Beta, the reduction in the number of repetitions and the increase in the SA due to the use of Papadakis method were compared for each VCU region two-by-two by a Bootstrap t-test with 5,000 simulations using BioEstat 5.0 software.

For calculating the statistics, we developed a specific program in the Pascal language, and the values of the statistics were transferred into an Excel spreadsheet.

RESULTS AND DISCUSSION

The blocks were heterogeneous ($p < 0.05$) in 36% of the trials (Table 2). In most trials (64%), blocking was unnecessary either due to the spatial homogeneity of the area or because the direction of the blocks was oriented such that the blocks were not all within the same range of productivity. Of the trials with heterogeneous blocks, the average relative efficiency was 133.3%, meaning that the estimated variance of the averages for one genotype was 33.3% lower than the variance for the averages in the completely randomized design. The lowest and the highest occurrences of trials with heterogeneous blocks were in VCU Regions 1 (28%) and 3 (44%). The ANCOVA revealed that the frequency of heterogeneous blocks was approximately 50% and showed little variance among the four regions. Similar proportions of heterogeneous blocks were found in 39% of trials for soybean (Storck et al. 2008), 60% of maize trials (Storck et al. 2010) and 50% of trials for the common bean (Storck et al. 2011). These results show that the method of estimating the covariate (the average error between neighboring plots) in the wheat trials did not correct for the lateral differences between blocks. Possible explanations for the lateral variance between blocks include the method of mechanical sowing used in the trials, which created a greater lateral distance between the blocks than above and below the blocks, or the fact that the blocks did not always follow the linear patterns in soil fertility.

Differences between genotypes were significant ($p < 0.05$) in 93% of the trials, with similar proportions of genotypic

Table 2. Number of trials (N) by wheat adaptation region and the number of trials for which the null hypothesis was rejected ($p < 0.05$) for the ANOVA, the covariate of Papadakis method and the Papadakis analysis

Hypothesis	Region ⁺	N	ANOVA	Covariate	Papadakis
Blocks	1	124	35 (28%)	52 (42%)	-
	2	215	70 (32%)	100 (46%)	-
	3	188	83 (44%)	108 (57%)	-
	4	45	18 (40%)	26 (58%)	-
	Total	572	206 (36%)	286 (50%)	-
Genotypes	1	124	114 (92%)	1	123 (99%)
	2	215	200 (93%)	0	211 (98%)
	3	188	183 (97%)	1	188 (100%)
	4	45	38 (84%)	0	44 (98%)
	Total	572	535 (93%)	2	566 (99%)
Covariate (beta)	1	124	-	-	123 (99%)
	2	215	-	-	211 (98%)
	3	188	-	-	187 (99%)
	4	45	-	-	44 (98%)
	Total	572	-	-	565 (99%)
Normality	Total	572	71 (12%)	88 (15%)	80 (14%)
Randomness	Total	572	12 (2%)	0	2
Homogeneity	Total	572	1	0	1
Additivity	Total	572	41 (7%)	-	-

⁺ Defined in Table 1.

variation for the VCU Regions 1, 2 and 3. In the VCU region 4, there were no differences between genotypes in 16% of the trials. Using the Papadakis method (neighboring plots), the proportion of trials with differences between genotypes ($p < 0.05$) increased from 93 to 99%, with no variation among the four regions. Additionally, in 99% of the trials, the effect of the covariate was significant ($p < 0.05$).

In only two of the 572 trials (0.3%), the covariate (average error between neighboring plots) was significantly affected by genotype. This result, which has also been observed in other crops (Storck et al. 2008, Storck et al. 2010, Storck et al. 2011), indicates that the differences between genotypes are independent of the covariate values, which is a requirement for performing the ANCOVA and adjusting the means of the genotype in relation to the covariate means (Steel et al. 1997). In addition, Pearce (1998) showed that the use of the Papadakis method implies an unbiased estimate of contrasts and a substantial reduction in the estimation of the experimental error, especially in trials with a rectangular shape. In the trials in this study, the blocks were 5.0 m wide and 25 m long (not very rectangular).

The proportion of trials with flawed assumptions (normality, randomness, homogeneity of variance and additivity of the model) was low, with or without the use of the Papadakis method. This result is also similar to the results of other

studies (Marques et al. 2000, Storck et al. 2008, Storck et al. 2010, Storck et al. 2011). Given that there are no data for other crop cultures about the percentage of trials with flawed assumptions, these results are an important reference.

In the five years (2007-2011) during which the 572 trials were performed in the 31 localities (VCU Regions 1 to 4), the average grain yield was of 3.589 t ha⁻¹ with a range of 3.497 t ha⁻¹ (Table 3). The highest average grain yield was in VCU Region 1 (compared to Regions 2 and 3), likely due to the fact that this region has a higher average altitude, which is related to a higher photothermal quotient (PQ) that enables a longer phenophases and greater differentiation in the tillers and flower primordial (Fischer 1985, Magrin et al. 1993, Cunha et al. 2005). The high average grain yield in VCU Region 1 was due to the adoption of more intensive management practice related to the use of irrigation. Differences in wheat yield potential between the VCU regions have also been observed by other authors (Caierão et al. 2006, Cargnin et al. 2006, Franceschi et al. 2010, Silva et al. 2011).

The use of the Papadakis method improved all measurements of experimental accuracy, reducing the average coefficient of variation from 10.3 to 9.0%, the average Tukey's MSD between genotypes from 1.233 t ha⁻¹ to 0.984 t ha⁻¹ and the Tukey's MSD between genotypes (expressed by

Table 3. Averages for the statistics estimated for the field trials of wheat genotypes (grain yield, t ha⁻¹) by wheat adaptation region with use or non-use of the Papadakis method

Statistic ⁽¹⁾	Papadakis	Adaptation region (see Table 1)				Overall
		1	2	3	4	
RE (%)	No	128.8	132.7	136.6	127.0	133.3
Mean (t ha ⁻¹)	No	3.738	3.405	3.169	4.991	3.589
CVe (%)	No	11.5	11.8	10.6	12.1	10.3
	Yes	9.0	9.4	8.3	9.9	13.3
SA	No	0.76	0.72	0.76	0.68	0.85
	Yes	0.92	0.90	0.92	0.88	0.91
h ²	No	0.76	0.72	0.76	0.68	0.74
	Yes	0.85	0.82	0.86	0.79	0.84
D Tukey	No	1.344	1.220	1.049	1.831	1.233
	Yes	1.054	0.977	0.820	1.514	0.984
MSD (%)	No	36.6	37.4	33.6	38.2	36.1
	Yes	28.7	30.0	26.5	31.7	28.7
FDI	No	12.6	9.2	9.5	7.9	10.0
	Yes	21.5	16.1	18.2	13.6	17.9
R ² (%)	No	82.0	79.7	81.8	77.4	80.7
	Yes	87.8	85.6	87.8	83.5	86.4
$\hat{\rho}_g$	No	0.570	0.510	0.548	0.466	0.530
	Yes	0.690	0.634	0.682	0.590	0.652
J (R ² =90%)	No	13.6	15.2	9.0	14.3	11.1
	Yes	5.9	7	4.8	9.7	5.9

¹ RE = relative efficiency of the trials with a significant effect for block; Mean = mean grain yield; CVe = coefficient of variation of the experimental error; SA = selective accuracy; h² = heritability; D Tukey = minimum significant difference by Tukey's test ($p < 0.05$); MSD = D Tukey as a percentage of the mean; FDI = Fasoulas index of differentiation; R² = coefficient of determination of the model; $\hat{\rho}_g$ = coefficient of repeatability; J (R²=90%) = number of repetitions corresponding to a determination of 90% accuracy.

the average) from 36.1 to 28.7%. The Papadakis method increased the average SA from 0.85 to 0.91, the average heritability (h^2) from 0.74 to 0.84, the average of FDI from 10.0 to 17.9 and the coefficient of determination of the model (R^2) from 80.7% to 86.4%. In addition, the Papadakis method increased the average coefficient of repeatability and consequently reduced the number of repetitions needed for a determination of 90% accuracy. The improvements in accuracy measurements were similar in size and direction in the four adaptation regions. Gains in accuracy from the use of Papadakis method have been reported in other studies (Storck et al. 2008, Storck et al. 2010, Storck et al. 2011) to a similar degree (Table 4). Favorable results were also reported for the application of the Papadakis method in the genetic improvement of the common bean and maize (Souza et al. 2000, Costa et al. 2005). Conversely, however, Candido et al. (2009) concluded that the Papadakis method did not

improve either the experimental accuracy or the results in a study of yield potential (culms) of sugarcane genotypes.

Selective accuracy, which is algebraically related to F_c , h^2 and R^2 , is a measurement of experimental accuracy that has many favorable attributes (Resende and Duarte 2007, Cargnelutti Filho and Storck 2007, 2009, Cargnelutti Filho et al. 2009, Cargnelutti Filho et al. 2012). The SA statistic increased with the use of the Papadakis method across the four regions. However, in Region 4, the gain in SA (16%) was greater ($p < 0.05$) than in Region 3 (6%), but with no difference between these regions than in the others (Table 5).

The relative efficiency of the Papadakis method (RE-P%) as an average of the 572 trials was 91.7%. The highest value of RE-P% was from VCU Region 3 (95.9%), and this value differed significantly ($p < 0.05$) from the lowest value, which occurred in Region 4 (85.4%). The highest values

Table 4. Percentage of trials by crop showing significant effects ($p < 0.05$) for block, genotype, covariate, normality, randomness, homogeneity of variance and additivity of the model

Statistic	Papadakis	Soybean	Maize	Bean	Wheat
Block	No	29	48	46	36
Genotype	No	76	80	88	93
	Yes	97	96	96	99
Covariate	Yes	100	96	92	99
Normality	No	8	8	0	12
	Yes	8	16	4	14
Randomness	No	1	0	0	2
	Yes	0	0	0	0
Homogeneity	No	0	4	0	0
	Yes	0	8	0	0
Additivity	No	10	8	8	7
SA	No	0.77	0.82	0.82	0.85
	Yes	0.88	0.92	0.89	0.91
Beta	Yes	1.44	1.34	1.29	1.43
RE(%)	No	135	127	174	133
RE-P(%)	Yes	103	138	102	92
J($R^2=0.80$)	No	11.3	8.2	7.3	9.0
	Yes	3.2	2.1	4.0	2.7

The following statistics are also shown by crop type: estimate of selective accuracy (SA), coefficient of linear regression of the covariate (Beta), relative efficiency of the block (RE) and relative efficiency of the use of Papadakis method (RE-P%). The number of repetitions corresponding to a determination of 80% accuracy ($J(R^2=0.80)$) are shown for soybean (Storck et al. 2008, Storck et al. 2009, Storck and Ribeiro 2011), maize (Storck et al. 2010), the common bean (Storck et al. 2011) and wheat (this study)

Table 5. Number of field trials of wheat genotypes (NT), average grain yield (Yield, t ha⁻¹), relative efficiency of the Papadakis method (RE-P%), coefficient of linear regression of the covariate (Beta), reduction of the number of repetitions (RJ) and increase in the selective accuracy (ISA) due to the use of Papadakis method, by wheat adaptation region

Region ⁺	NT	Yield	RE-P (%)	Beta	RJ	ISA
1	124	3.738 b*	92.8 ab	1.414 b	2.27 a	1.09 ab
2	215	3.405 c	88.6 b	1.407 b	2.01 a	1.11 ab
3	188	3.169 d	95.9 a	1.490 a	1.97 a	1.06b
4	45	4.891 a	85.8 b	1.345 b	1.82 a	1.16 a
Total/Overall	572	3.517	91.7	1.431	1.94	1.10

⁺ Region defined in Table 1

Means not followed by the same letter differ by a Bootstrap t test with 5,000 simulations ($p < 0.05$).

of RE-P% have been found for soybean, maize and the common bean (Table 4). Because the RE-P% refers to the efficiency of using the covariate (Papadakis method) as are placement for blocks, it can be assumed that the spatial range in the covariate estimation was affected by the relatively large distance between blocks (due to mechanical sowing).

The average coefficient of linear regression (effect of the covariate Beta), which was significant in 99% of the trials, was 1.431. This result shows that the grain yield averages for each genotype can be adjusted (up or down) in relation to the difference between the covariate mean for each genotype and the overall covariate mean by up to 43%. Such adjustments can alter the order of magnitude for each genotype without changing the overall averages for the trials. Compared to the other VCU regions, Region 3 had a greater Beta ($p < 0.05$), the lowest grain yield average, the greatest RE-P% and a lower gain in SA when the Papadakis method was used. These findings indicate that in environments (regions) with lower grain yield potential, the RE-P% is higher because there is a greater adjustment in the averages for the genotypes (greater Beta), such that the gain in SA is lower but still positive.

In the analysis of repeatability, the mean value of the intraclass correlation coefficient ($\hat{\rho}_g$) estimated with the Papadakis method was $\hat{\rho}_g = 0.652$ as compared to $\hat{\rho}_g = 0.530$ without the use of the method. On average, the use of the Papadakis method reduced the number of repetitions needed for a determination of 90% accuracy by half for the four regions of adaptation. Therefore, using the Papadakis method, it is possible to reduce the number of repetitions by half while maintaining the same accuracy (SA), regardless of the adaptation region. This result demonstrates an opportunity for economic savings by using fewer financial and human resources to achieve the same goal. Being able to use the same number of repetitions in the different regions could help facilitate the design and establishment of rules for the

listing of cultivars on the Registry.

All wheat field trials in this study used three repetitions, which was fewer than the 11 repetitions needed to detect differences between cultivars at a 90% confidence level. Nevertheless, using the Papadakis method and the same confidence level ($R^2 = 90\%$), the three repetitions would not be enough and six repetitions would be required. Therefore, even with the use of the Papadakis method, either the number of repetitions must be increased or the standards for accuracy must be decreased.

Based on the results of this study, the Papadakis method is recommended for the major agricultural crops, including maize, soybean, the common bean and wheat. In the case of wheat, the degree of improvement in experimental accuracy with the use of the Papadakis method depends on the environment (adaptation region) in which the genotype field trials are executed. In planning for future VCU trials, the degree of required accuracy should be considered individually for each experiment.

CONCLUSIONS

In an analysis of grain yield for wheat genotypes in field trials using the Papadakis method, the assumptions of normality, randomness and homogeneity were adequately maintained.

Using the Papadakis method improved the indices of experimental accuracy and reduced the number of repetitions needed to predict the grain yield performance of wheat genotypes, while maintaining the same degree of accuracy.

There were differences among climate regions regarding wheat productivity, the efficiency of the Papadakis method, the magnitude of the adjustment coefficient of the averages of the genotypes and the gain in selective accuracy, which was always positive.

Melhoria da precisão de seleção de genótipos de trigo em ensaios de competição

Resumo – O objetivo deste trabalho foi verificar se o uso do método de Papadakis melhora os pressupostos do modelo e a precisão experimental da produtividade de grãos de em ensaios de competição de linhagens de trigo, em diferentes regiões de Valor de Cultivo e Uso (VCU). Foram usados os resultados da produtividade de grãos de 572 ensaios de competição, avaliados em 31 locais, nas regiões de VCU 1, 2, 3, e 4, nas safras agrícolas de 2007 a 2011. O método de Papadakis melhorou os índices das medidas de precisão experimental e reduziu o número de repetições necessário para a predição do rendimento de grãos de genótipos de trigo. Existe diferença entre as regiões de adaptação de trigo quanto à eficiência do método de Papadakis, a magnitude do coeficiente de ajustamento das médias de genótipos, o ganho na acurácia seletiva e a produtividade de grãos.

Palavras-chave: *Triticum aestivum* L., seleção e recomendação de cultivares, pressupostos, medidas de precisão, análise espacial.

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