

## CLINICAL SCIENCE

# M2-Polarized tumor-associated macrophages are associated with poor prognoses resulting from accelerated lymphangiogenesis in lung adenocarcinoma

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**OBJECTIVES:** Tumor-associated macrophages have been implicated in promoting tumor growth, progression and metastasis. However, the activated phenotype (M1 or M2) of tumor-associated macrophages remains unknown in solid tumors. Therefore, this study examined the density and prognostic significance of M2-polarized tumor-associated macrophages in lung adenocarcinoma.

**METHODS:** Tumor specimens from 65 lung adenocarcinoma patients were assessed by ELISA for Th1/Th2 cytokine concentrations. The activated phenotype (M1 or M2) of tumor-associated macrophages was determined utilizing immunofluorescence staining. Additionally, to evaluate lymphangiogenesis, peritumoral lymphatic microvessel density was measured using D2-40. The correlation between tumor-associated macrophage subtype and overall patient survival was analyzed using the Kaplan-Meier method and compared using the log-rank test.

**RESULTS:** A shift toward Th2 cytokine expression was detected within lung adenocarcinoma microenvironments. Approximately  $79.71 \pm 16.27\%$  of tumor-associated macrophages were M2 polarized; the remaining  $20.35 \pm 5.31\%$  were M1 polarized. The infiltration of M2-polarized macrophages was significantly associated with P-TNM staging and lymph node metastasis. The peritumoral lymphatic microvessel density was significantly higher in the high M2-polarized tumor-associated macrophage group than in the low M2-polarized tumor-associated macrophage group. A significant difference in overall patient survival was detected not only between patients with tumors with high and low macrophage counts but also between patients with tumors with high and low counts of M2-polarized macrophages.

**CONCLUSION:** Tumor-associated macrophages in lung adenocarcinoma have an M2-polarized subtype and are associated with poor prognoses, perhaps resulting from accelerated lymphangiogenesis and lymph node metastasis.

**KEYWORDS:** M2-polarized macrophages; Tumor-associated macrophages; Lymphangiogenesis; Lung adenocarcinoma; Prognosis.

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## INTRODUCTION

Recently, compelling evidence has emerged that macrophages infiltrating the tumor microenvironment, also known as tumor-associated macrophages (TAMs), promote processes such as angiogenesis, lymphangiogenesis, tumor growth and progression in solid tumors.<sup>1,2</sup> In addition to

their protumoral effects on lung adenocarcinoma, the role of TAMs in promoting tumors is supported by clinical studies that identified a correlation between high macrophage numbers in tumor tissues and poor patient prognoses.<sup>3-5</sup> However, the mechanisms underlying TAM functional changes within tumor microenvironments remain unknown; one potential cause is the altered polarization of TAMs with associated changes in their activated phenotypes.<sup>6</sup>

There are at least two different subpopulations of activated macrophages within tumor microenvironments. The first type, known as classically activated macrophages (M1 macrophages), are activated by lipopolysaccharides (LPS) or by double signals from interferon (IFN)- $\gamma$  and tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ). M1-polarized macrophages exhibit an

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No potential conflict of interest was reported.

IL-12<sup>high</sup>, IL-23<sup>high</sup>, IL-10<sup>low</sup> phenotype and produce TNF- $\alpha$  and nitric oxide (NO); they are potent effector cells that kill microorganisms and tumor cells.<sup>7,8</sup> The second type of macrophages is known as alternatively activated macrophages (M2 macrophages). Exposure to IL-4, IL-13, vitamin D3, glucocorticoids or transforming growth factor- $\beta$  (TGF- $\beta$ ) decreases macrophage antigen-presenting capability and up-regulates the expression of macrophage mannose receptors (MMR, also known as CD206), scavenger receptors (SR-A, also known as CD204), CD163, dectin-1 and DC-SIGN.<sup>9</sup> M2-polarized macrophages exhibit an IL-12<sup>low</sup>, IL-23<sup>low</sup>, IL-10<sup>high</sup> phenotype and play an important role in stroma formation, tissue repair, tumor growth, angiogenesis and immunosuppression.<sup>10,11</sup> Although several studies throughout the past decade have suggested that TAMs exhibit a polarized M2 phenotype,<sup>12-14</sup> limited reports describing the polarization of activated TAMs (such as M1 and M2) within tumor microenvironments have only recently been published.<sup>15-18</sup>

Lymphangiogenesis is considered to be an initial and necessary event for lymphatic and regional lymph node metastasis.<sup>19</sup> A number of studies have demonstrated lymphatic microvessel density (LMVD) to be an independent prognostic factor in solid tumors.<sup>20-23</sup> Additionally, several studies have shown that TAMs contribute to lymphangiogenesis and lymphatic vessel invasion in malignant tumors.<sup>24,25</sup> However, as TAMs are heterogeneous in different tumor microenvironments and have multiple activated phenotypes, further investigations are necessary to clarify whether all TAMs or only M2-polarized TAMs correlate with lymphangiogenesis and poor prognosis in solid tumors.

Here, we investigated the density of infiltrating M2-polarized TAMs and the association between M2-polarized TAMs, lymphangiogenesis, and prognosis. We found that TAMs in lung adenocarcinoma exhibit a M2-polarized subtype and are associated with poor prognosis, perhaps as a result of lymphatic metastasis.

## MATERIALS AND METHODS

### Ethics statement

This study was approved by the Ethics Committee of Wuhan General Hospital, Guangzhou Command of the People's Liberation Army. Written informed consent was obtained from all patients.

### Patients and tissue samples

A total of 65 patients with lung adenocarcinoma who underwent either lobectomy or pneumonectomy at Wuhan General Hospital were included in the study. The study group was composed of 38 men and 27 women with a mean age of 51.5 years (age range: 32-76 years). The patients underwent tumor resection from 2003 to 2006. None of the patients had received any preoperative chemotherapy or radiotherapy. The lesions of each patient were classified into stages according to the UICC 2010 pTNM classification scheme (7<sup>th</sup> edition); stage I, II, III, and IV lesions were present in 12, 27, 24, and 2 patients, respectively. Histologically, 16 tumors were graded as well-differentiated adenocarcinoma, 20 as moderately differentiated, and 29 as poorly differentiated. Lymph node metastasis occurred in 35 patients; no metastatic lymph nodes were observed in the remaining 30 patients. Follow-up visits were conducted and outcomes were recorded for all patients. A total of 20 patients with benign lung lesions, including six cases of

tuberculomas, six cases of inflammatory pseudotumors, five cases of hamartomas and three cases of lung cysts, were also included in this study. The clinicopathologic parameters of the lung adenocarcinoma patients are shown in Table 1.

### ELISA

Fresh human lung adenocarcinoma tissues and benign lung lesions were obtained from surgical specimens for the detection of Th1/Th2 cytokines by ELISA. Briefly, the specimens were rinsed three times in phosphate-buffered saline (PBS). Next, 1 g of each tissue sample was homogenized in 1 ml of PBS. Tissue homogenates were then centrifuged at 12,000 g for 1 min at 25°C. After centrifugation, the supernatants were collected for further analysis. Cytokine production was quantified by cytokine-specific ELISA. Human IFN- $\gamma$ , IL-12, IL-4, and IL-10 (Biosource, Camarillo, CA, USA) ELISA kits were utilized; all procedures were performed according to the manufacturer's instructions. Sample absorbances were determined using an ELISA plate reader (Dynatech, Chantilly, VA, USA).

### Immunofluorescence staining

For the simultaneous visualization of CD68 and MMR or CD68 and inducible nitric oxide synthase (iNOS) on the same tissue section, double-label immunofluorescence staining was performed. The tumor tissues were acetone-fixed at 4°C and then cut into 10- $\mu$ m frozen sections. After being rinsed three times in PBS, the slides were treated with 3% H<sub>2</sub>O<sub>2</sub> to inactivate endogenous peroxidases and then with normal goat serum to block nonspecific binding sites. Next, slides were immunostained using a monoclonal mouse anti-human CD68 antibody (1:100; Zhongshan Company, Beijing, China). Slides were also stained with rabbit anti-human MMR antibodies (1:200; BioLegend, San Diego, CA, USA) or rabbit anti-human iNOS antibodies (1:200; Santa Cruz Biotechnology, Santa Cruz, CA, USA). All slides were incubated overnight at 4°C. Next, the slides were rinsed three times in PBS and incubated with TRITC-conjugated goat anti-mouse IgG for 30 min and then with FITC-conjugated goat anti-rabbit IgG for 30 min. Nuclei were counterstained with 4'-6-diamidino-2-phenylindole (DAPI) for 5 min. All slides were examined under a laser confocal microscope (Leica TCS SP5, Leica, German) fitted with TRITC (red fluorescence) and FITC (green fluorescence) filters. The excitation wavelength for FITC was 488 nm; the excitation wavelength for TRITC was 568 nm. Red CD68-positive fluorescence was located in the cytoplasm, and green MMR-positive fluorescence was located at the cell membrane and in the cytoplasm. However, green iNOS-positive fluorescence was only observed in the cytoplasm. If CD68 and MMR or CD68 and iNOS were coexpressed, the fluorescence was yellow. All nuclei were blue.

### Immunohistochemistry

To evaluate LMVD, D2-40 was used as the immunohistochemical marker for lymphatic endothelial cells (LECs).<sup>26</sup> Resected tissue specimens were fixed in formalin, embedded in paraffin, and cut into 5- $\mu$ m serial sections. Next, these sections were deparaffinized in xylene and rehydrated with solutions of graded alcohol and deionized water. The slides were immunostained with the mouse monoclonal antibody D2-40 (1:200; Signet Laboratories, Dedham, MA, USA) overnight at 4°C. Next, the slides were

**Table 1** - The relationships between TAM, M1- or M2-polarized TAM counts and clinicopathologic features ( $\bar{x} \pm s$ ).

Clinicopathologic features	Cases	TAMs	p-value	M1-polarized TAMs	p-value	M2-polarized TAMs	p-value
Gender							
Male	38	104.19 ± 15.93	0.712	21.15 ± 6.11	0.948	83.04 ± 12.70	0.903
Female	27	103.07 ± 15.74		20.61 ± 5.73		82.15 ± 11.26	
Age (yrs.)							
≥55	34	105.45 ± 18.96	0.895	21.29 ± 5.10	0.705	84.84 ± 12.04	0.681
<55	31	101.88 ± 14.11		20.58 ± 6.26		82.00 ± 10.61	
Differentiation							
Well-to-moderate	36	103.17 ± 15.15	0.984	20.63 ± 6.96	0.157	82.23 ± 10.43	0.645
Poor	29	103.98 ± 11.67		20.80 ± 6.16		82.87 ± 10.17	
Lymph node metastasis							
Positive	35	120.44 ± 35.83	0.042*	24.09 ± 4.56	0.882	95.99 ± 15.60	0.003*
Negative	30	94.26 ± 14.52		18.85 ± 7.27		70.26 ± 12.16	
pTNM staging							
I-II	39	96.38 ± 21.55	0.037*	20.31 ± 4.71	0.431	76.81 ± 10.32	0.029*
III-IV	26	115.49 ± 30.94		23.45 ± 5.35		92.05 ± 18.14	

\*Statistically significant.

exposed to a biotinylated secondary antibody for 20 min and then treated with streptavidin peroxidase. For color development, the slides were stained with 3, 3'-diaminobenzidine (DAB). Hematoxylin and eosin (H&E) were used as a counterstain. A reddish-brown precipitate in the cytoplasm of LECs indicated a positive reaction.

After the immunostained sections were scanned at low magnification (×100), the regions with the greatest numbers of distinctly stained lymphatic foci (hot spots) were determined simultaneously by two different observers. Then, two observers blinded to the tumor status or the stains used independently counted the slides for LMVD staining under 400x magnification (0.03 mm<sup>2</sup> field) in three regions. An intratumoral compartment was identified as the area encompassing the cancerous tissue in the H&E sections. A peritumoral compartment was defined as a 1-mm-wide band surrounding the intratumoral compartment that included the edge of the tumor and just outside the tumor. Single immunoreactive endothelial cells and endothelial cell clusters separate from other microvessels were counted as vessels according to previously used procedures.<sup>24</sup>

**Statistical analysis**

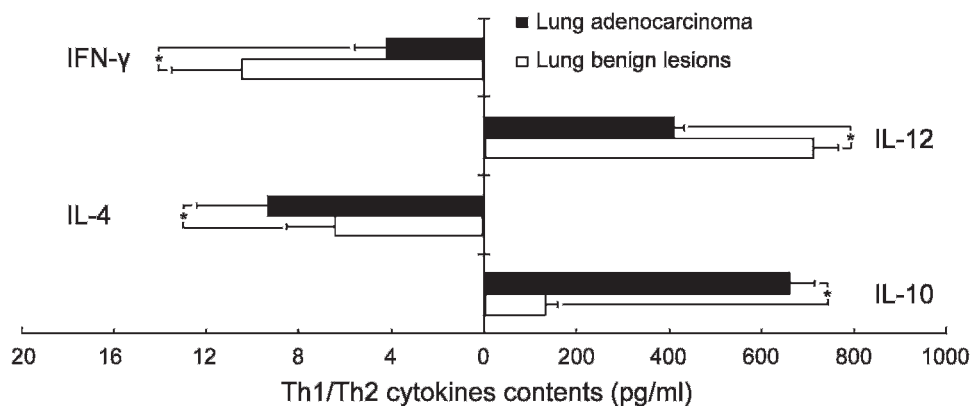
The numbers of M1- or M2-polarized TAMs and intratumoral LMVD and peritumoral LMVD are expressed as the mean ± SD. Statistical differences between the means were analyzed using the independent samples *t*-test. Rates

were compared using a  $\chi^2$  test. The relationship between TAM counts and LMVD was assessed using the Spearman correlation test. On the basis of TAM or M2-polarized TAM count, patients were classified into two groups, and the overall survival rate was then compared between the high and low groups, respectively. Overall survival time was calculated from the date of surgery until death or, if the patient was still alive, until the last follow-up visit. Death from any cause was considered in the calculations of overall survival. Two overall survival rates were calculated by the Kaplan-Meier method and compared using the log-rank test. Each prognostic factor was evaluated with regard to survival in a multivariate analysis using a Cox proportional hazards regression model. A *p*-value of <0.05 was considered statistically significant. All statistical analyses were performed with SPSS 13.0 (SPSS Inc., Chicago, IL, USA).

**RESULTS**

**Th2 shift in lung adenocarcinoma**

To detect Th1/Th2 cytokines in the microenvironment of lung adenocarcinoma, we obtained fresh lung tumor tissues and benign lung lesions from surgical resections and assessed the expression of the Th1 cytokines IFN- $\gamma$  and IL-12 and the Th2 cytokines IL-4 and IL-10 in tissue homogenates using a cytokine-specific ELISA. As shown in Figure 1, IFN- $\gamma$  and IL-12 expression was lower in fresh



**Figure 1** - Th1/Th2 cytokine expression in fresh lung adenocarcinoma tissue and benign lung lesion homogenates (pg/ml). Fresh lung adenocarcinoma homogenates contained significantly more IL-4 and IL-10 than benign lung lesion homogenates. \* *p*<0.01.

lung adenocarcinoma homogenates than in benign lung lesion homogenates. However, fresh lung adenocarcinoma samples contained significantly more Th2 cytokines than benign lung lesion samples. IL-10 was the predominant Th2 cytokine present in the lung adenocarcinoma samples.

### M2-polarized macrophages in lung adenocarcinoma

To identify and quantify the infiltrated TAMs associated with the M1 or M2 phenotype, we utilized anti-iNOS, anti-MMR, and anti-CD68 antibodies for immunofluorescence staining. CD68-positive macrophages were detected in varying concentrations in all 65 lung adenocarcinoma cases and in all 20 benign lung lesion samples. In the lung adenocarcinoma samples, CD68-positive TAMs were predominately located in the peritumoral stroma and tumor tissues, e.g., in the lumen of the lung adenocarcinoma; in particular, TAMs were observed along the invasive tumor front. MMR-positive signals predominately colocalized in macrophages, but iNOS expression was detected in both lung tumor cells and TAMs. M1-polarized TAMs were defined as CD68+iNOS+, whereas M2-polarized TAMs were distinguished by the expression of CD68 and MMR.<sup>15</sup> M1- and M2-polarized TAMs were detected in each of the 65 lung adenocarcinoma tissue samples. Overall, the percentage of CD68+MMR+ TAMs was  $79.71 \pm 16.27\%$  (Figure 2A), and the percentage of CD68+iNOS+ TAMs was  $20.35 \pm 5.31\%$  (Figure 2B). CD68 and iNOS coexpression was observed in 100% of the benign lung lesion samples; the percentage of CD68+iNOS+ TAMs was 89.5%.

### The correlation of M2-polarized TAMs with clinicopathologic features

Table 1 also depicts the correlations between TAMs, M1- or M2-polarized TAMs and clinicopathologic features. TAM number and M2-polarized TAM number were significantly associated with p-TNM staging ( $p = 0.037, 0.029$ ) and lymph node metastasis ( $p = 0.042, 0.003$ ); however, these factors were not associated with gender, age or tumor differentiation. Interestingly, the number of M2-polarized TAMs was more strongly correlated with lymph node metastasis than the number of infiltrating CD68-positive TAMs. The number of M1-polarized TAMs failed to correlate with any of the clinicopathologic features included in this study.

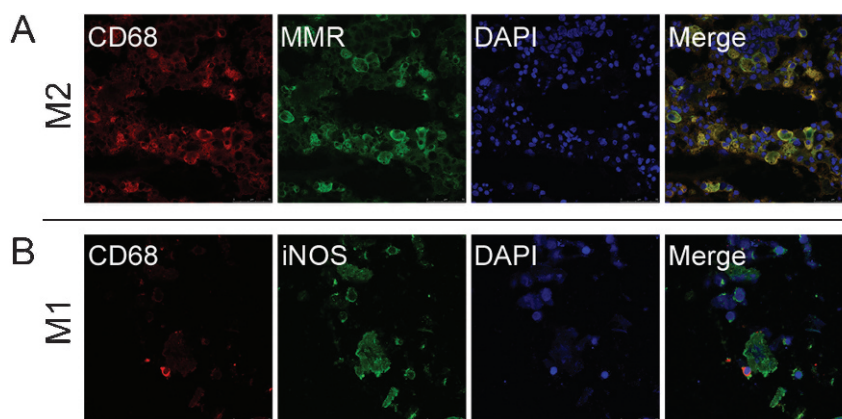
### The correlation of M2-polarized TAMs with peritumoral LMVD

D2-40 positive lymph vessels were observed in each of the 65 lung adenocarcinoma examined. Lymphatic vessels with the characteristic irregular morphology, empty lumina without red blood cells, and thin endothelia were distinct and stained strongly with D2-40 when present. Vessels containing red blood cells did not stain positive with D2-40. In lung adenocarcinoma samples, D2-40-positive vessels were detected more frequently in the peritumoral stroma (Figure 3A) than in the intratumoral compartment (Figure 3B). The D2-40-positive peritumoral LMVD count was  $11.56 \pm 10.73$ , which was higher than the D2-40-positive intratumoral LMVD count ( $2.96 \pm 1.15$ ) (Figure 3C,  $p < 0.01$ ).

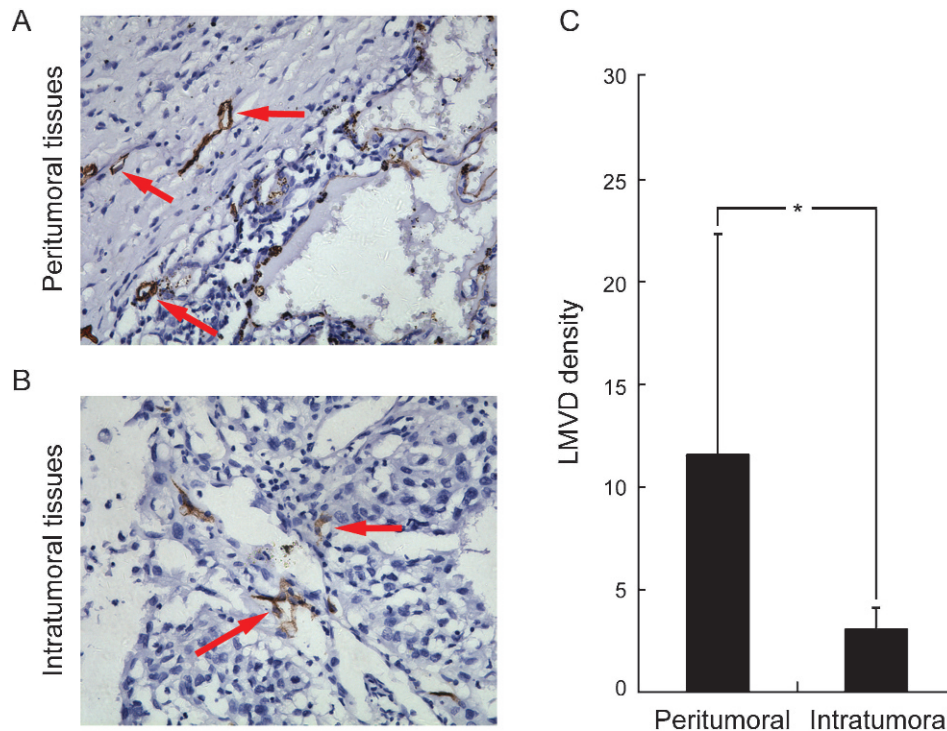
Because peritumoral LMVD is a significant prognostic factor for non-small-cell lung cancer (NSCLC),<sup>27</sup> we explored the correlation between M2-polarized TAMs and peritumoral LMVD. We divided all of the cases into two groups according to their CD68 grade (cutoff value = 102); the low-TAM group included 25 cases, and the high-TAM group was comprised of 40 cases. There was a significant difference in peritumoral LMVD between the two groups ( $p = 0.047$ ). Next, we divided all of the cases into two groups according to their levels of CD68/MMR expression (cutoff values = 82): the low M2-polarized TAM group (16 cases) and the high M2-polarized TAM group (49 cases). The peritumoral LMVD was significantly higher in the high M2-polarized TAM group than in the low M2-polarized TAM group ( $p = 0.009$ ). Lastly, we divided all of the cases into two groups according to their levels of CD68/iNOS expression: the low M1-polarized TAM group (31 cases) and the high M1-polarized TAM group (34 cases). There was no significant difference in peritumoral LMVD between the two groups.

### The prognostic significance of M2-polarized TAMs

To assess the prognostic significance of M2-polarized TAM infiltration, patients were first classified into two groups based on their TAM count; patients in each group were then further divided into two subgroups based on their M2-polarized TAM count. We used median values of 102 and 82 as the cutoff values for the TAM and M2-polarized TAM counts, respectively. The median survival times were 36 and 11 months for patients with TAM counts of  $\leq 102$  and  $> 102$ , respectively. The five-year survival rates in the low TAM group and the high TAM group were 28.0%



**Figure 2** - M2- and M1-polarized TAMs in lung adenocarcinoma. (A) The coexpression of CD68 and MMR in TAMs in human lung adenocarcinoma sections. (B) The coexpression of CD68 and iNOS in TAMs in human lung adenocarcinoma sections.



**Figure 3** - Peritumoral (A) and intratumoral LMVD (B) in lung adenocarcinoma samples. D2-40-positive staining was detected in lymph vessels by immunohistochemical staining ( $\times 400$ , SP method). The red arrow indicates the LMVD detected in lung tissues. (C) The LMVD of lung adenocarcinoma tissues and adjacent peritumoral tissues. \*  $p < 0.01$ .

and 7.5%, respectively. Figure 4A depicts the difference in the overall survival rates between the high-TAM-count group and the low-TAM-count group ( $p < 0.001$ ). The median survival times were 52 and 12 months for patients with M2-polarized TAM counts of  $\leq 82$  and  $> 82$ , respectively. The five-year survival rates in the low M2-polarized TAM group and the high M2-polarized TAM group were 37.5% and 8.2%, respectively; the overall survival rate was significantly lower in the high M2-polarized TAM group than in the low M2-polarized TAM group ( $p < 0.001$ ) (Figure 4B).

### Multivariate analysis

TAM count, M1-polarized TAM count, M2-polarized TAM count, intratumoral LMVD, peritumoral LMVD, and other factors, including gender, age, tumor differentiation, lymph node metastasis, and P-TNM staging, were analyzed using Cox proportional hazards regression models in all of the lung adenocarcinoma patients. TAM count, M2-polarized TAM count, peritumoral LMVD, lymph node metastasis, and P-TNM staging were independent prognostic factors for overall survival (Table 2).

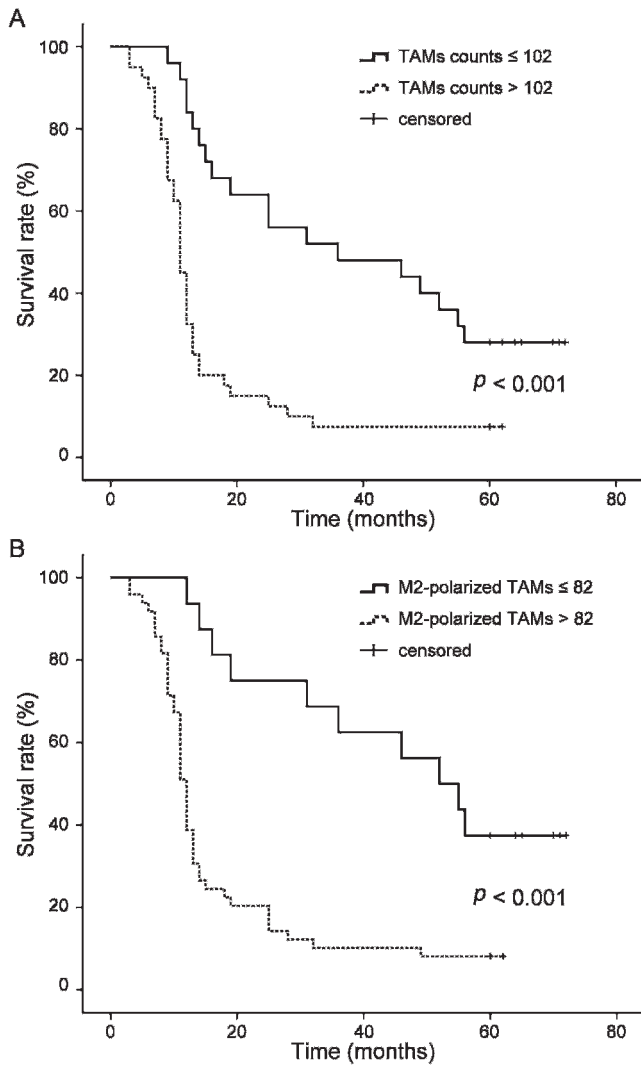
### DISCUSSION

Our data demonstrate that a shift toward the production of Th2 cytokines, a factor that induces alternative macrophage activation, occurs within the lung adenocarcinoma tumor microenvironment. Under normal conditions, Th1/Th2 cytokines in the body are in a dynamic balance. When a Th2 shift occurs, the immune system can become suppressed, making the host more susceptible to microbial infections, tumorigenesis and progression, allergic reactions, and graft

rejection reactions.<sup>28</sup> Human NSCLC cells express a type-2 cytokine pattern both *in situ* and *in vitro*, which may play an active immunoregulatory role in the lung cancer microenvironment.<sup>29</sup> In patients with several malignant tumors, including lung tumors, a Th2 cytokine shift has been positively correlated with the degree of malignancy.<sup>28,30</sup> In our study, IL-4 and IL-10 were detected in high amounts in lung adenocarcinoma tissue homogenates.

Macrophages are plastic cells; for example, they can switch between an activated M1 state and an activated M2 state depending upon specific signals within their microenvironment. Because IL-4 is an activator of M2-polarized macrophages and IL-10 is known to function to promote the development of M2 macrophages from monocytes,<sup>31</sup> the cytokine signals required for M2 activation were abundantly present within the microenvironment of the examined lung adenocarcinoma. Recently, Ohtaki et al. found that IL-10 was significantly correlated with the number of CD204-positive macrophages (M2 macrophages) within the stroma of lung adenocarcinoma.<sup>32</sup>

M2-polarized TAMs were detected predominantly in lung adenocarcinoma and were significantly associated with p-TNM staging and lymph node metastasis. In a series of reviews, Martinez et al. proposed that TAMs might polarize toward an M2 phenotype.<sup>14</sup> Hagemann et al. demonstrated that when co-cultured, ovarian cancer cells switched co-cultured macrophages to an M2 phenotype similar to that of ovarian TAMs.<sup>15</sup> Initially, macrophages in solid ovarian tumors were identified by CD68 expression; in serial sections, these macrophages also expressed SR-A. Using flow cytometric analysis, these authors observed that a large proportion of CD68(+) macrophages in samples of ovarian cancer ascites were also positive for MMR



**Figure 4** - Kaplan-Meier curves for overall survival by TAM count (A) and M2-polarized TAM count (B) in patients with lung adenocarcinoma. A shows the difference in the overall survival rate between the high and the low TAM count groups ( $p < 0.001$ ). B shows the difference in the overall survival rate between the high and the low M2-polarized TAM groups ( $p < 0.001$ ).

( $62.73 \pm 16.27\%$ ) and SR-A ( $66.28 \pm 4.31\%$ ). Further, coculturing of human primary ovarian cancer cells with human macrophages increased macrophage MMR and SR-A surface expression. In murine colon adenocarcinoma-38 and GL261 murine glioma, over 90% of tumor-infiltrating myelomonocytoid cells were of the CD11b<sup>+</sup> F4/80<sup>+</sup> monocyte/macrophage lineage. These cells also had a myeloid-derived suppressor cell phenotype, as they suppressed the proliferation of activated splenic CD8<sup>+</sup> T cells and exhibited a CD11b<sup>+</sup> CD11c<sup>+</sup> Gr-1<sup>low</sup> IL-4R $\alpha$ <sup>+</sup> phenotype. In addition, tumor-infiltrating myelomonocytoid cells expressed higher levels of MMR and lower levels of CXCL10, which are alternative and classical macrophage activation markers, respectively.<sup>33</sup>

In the present study, M1 and M2 macrophages coexisted in the tumor microenvironment, which showed the heterogeneity of TAMs in lung adenocarcinoma. However, a greater number of M2-polarized TAMs were detected than M1-polarized TAMs. Further, M2-polarized TAMs were

**Table 2** - Hazard ratios (HR), 95% confidence interval (CI) and  $p$ -value for the 65 patients with lung adenocarcinoma.

Factor	$p$ -value	HR	95% CI
Gender	0.303	0.574	0.200-1.649
Age	0.393	1.317	0.700-2.480
Differentiation	0.670	1.175	0.558-2.476
Lymph node metastasis	0.011*	2.778	1.260-6.123
P-TNM staging	0.039*	3.021	1.055-8.649
TAM count	0.015*	3.602	1.279-10.142
M1-polarized TAMs count	0.860	0.881	0.214-3.628
M2-polarized TAMs count	0.031*	4.280	1.146-15.984
Intratumoral LMVD	0.327	1.189	0.841-1.679
Peritumoral LMVD	0.038*	1.073	1.004-1.147

\*Statistically significant.

more strongly correlated with lymph node metastasis than were CD68-positive TAMs. These data suggest the important role of M2-polarized TAMs in tumor progression and metastasis.

Our study also showed that M2-polarized TAMs were associated with poor prognosis in lung adenocarcinoma, perhaps resulting from accelerated lymphangiogenesis and lymph node metastasis. Because previous studies concerning the relationship between TAM infiltration and clinical outcome in various cancers did not describe TAM heterogeneity and presented contradicting conclusions, the significance of macrophage infiltration in patient survival has been controversial. Recently, several studies have demonstrated that M2-polarized TAMs in gliomas,<sup>17</sup> lung adenocarcinoma,<sup>32</sup> intrahepatic cholangiocarcinomas,<sup>34</sup> and angioimmunoblastic T-cell lymphoma adenocarcinoma<sup>35</sup> are associated with tumor progression and prognosis. In the present study, we have also found M2-polarized TAMs to be associated with poor prognosis in lung adenocarcinoma patients. The patients with high M2-polarized TAM counts exhibited poor survival. Our multivariate Cox proportional hazards analysis indicated that M2-polarized TAM density was a useful prognostic marker for overall survival in lung adenocarcinoma cases. Two research papers previously reported that in patients with extended survival, macrophages infiltrating the tumor islets in NSCLC were predominantly of the M1 phenotype.<sup>36,37</sup> These results appear to differ from our findings. However, in our research, the types of lung cancer involved were restricted to lung adenocarcinoma, not NSCLC, which may be primarily responsible for the conflicting findings.

Metastatic tumors that are spread through the blood or lymphatic vessels occur in most forms of human cancer, with peritumoral lymphangiogenesis and regional lymph node metastasis often being the most important prognostic factors for carcinoma patients.<sup>38</sup> In addition to tumor cells, TAMs and other inflammatory cells in the tumor stroma can express VEGF-C and VEGF-D and promote peritumoral lymphangiogenesis and lymph node metastasis.<sup>24,25</sup> Previously, we reported that alternatively activated TAMs can induce peritumoral lymphangiogenesis in a murine Lewis lung adenocarcinoma model.<sup>39</sup> In the present study, for the first time, a positive correlation between M2-polarized TAM count and peritumoral LMVD, but not intratumoral LMVD, was found in patients with lung adenocarcinoma. Interestingly, the

LMVD in cases with high numbers of infiltrating M2-polarized TAMs was significantly higher than in cases with low numbers of M2-polarized TAMs. These data suggest that in lung adenocarcinoma patients, M2-polarized TAM infiltration is correlated with poor survival, likely as a result of accelerated lymphangiogenesis and lymph node metastasis.

Our data indicate that M2-polarized TAMs in lung adenocarcinoma are a predictor of poor prognosis as a result of their promotion of lymphangiogenesis and lymphatic metastasis. Because M2-polarized TAMs appear to play an important role in peritumoral lymphangiogenesis and decreased survival in lung adenocarcinoma patients, they represent a potential target for therapeutic intervention. It is possible that the use of exogenous agents to switch the phenotype of the TAMs from M2 to M1 during tumor progression could decrease lymphatic metastasis and prolong lung adenocarcinoma patient survival.

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## AUTHOR CONTRIBUTIONS

Zhang B drafted the first manuscript and performed the experiments. Zhang Y performed the experiments, analyzed the data, prepared the figures, and performed the statistical analysis. Yao G, Gao J, Yang B, Rao Z and Gao J were responsible for the experiments. Zhang B and Yao G contributed equally to this work.

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