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### Keywords

Systemic Sclerosis  
Retrocochlear Disease  
Auditory Neuropathy  
Audiology  
BAEP

### Descritores

Esclerose Sistêmica  
Doença Retrococlear  
Neuropatia Auditiva  
Audiologia  
PEATE

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Received: December 09, 2016

Accepted: August 07, 2017

# Retrocochlear impairments in systemic sclerosis: a case report study

## *Alterações retrococleares na esclerose sistêmica: relato de casos*

### ABSTRACT

**Purpose:** To report three cases of patients with Systemic Sclerosis (SSc) and retrocochlear impairments. **Methods:** This is a case report of three individuals with SSc and retrocochlear impairments assisted at a rheumatology outpatient clinic. All individuals underwent Brainstem Auditory Evoked Potential (BAEP) and, when necessary, audiometry. **Results:** All three individuals presented sensorineural hearing loss. Although no retrocochlear impairment was identified in the basic audiologic evaluation, the BAEP results were altered. **Conclusion:** Retrocochlear impairments were present in the individuals under study, both in the absolute latencies and interpeak interval, thereby demanding the attention of rheumatologists and speech-language pathologists to such changes during the monitoring of SSc patients. The results also show a need for epidemiological studies on the theme.

### RESUMO

**Objetivo:** Relatar três casos de pacientes portadores de Esclerose Sistêmica e que apresentaram alterações retrococleares. **Método:** Trata-se de um estudo de relato de casos de três indivíduos com esclerose sistêmica e alteração retrococlear, acompanhados em um serviço de reumatologia. Todos os pacientes realizaram o Potencial Evocado Auditivo de Tronco Encefálico e, quando necessário, nova audiometria. **Resultado:** Todos os indivíduos apresentaram perda auditiva do tipo sensorineural. Não foi identificado na avaliação audiológica básica qualquer resultado que sugerisse alteração retrococlear, porém o PEATE apresentou-se alterado. **Conclusão:** Pode-se concluir que o estudo revela alterações retrococleares nesta população, ocorrendo tanto nas latências absolutas quanto no intervalo interpico. E, neste contexto, reumatologistas e fonoaudiólogos, ao acompanharem pacientes com esclerose sistêmica, devem estar atentos para a possibilidade da ocorrência dessa alteração nessa população. Revela também, a necessidade de estudos epidemiológicos sobre o tema.

Study carried out at Instituto de Ciências da Saúde, Universidade Federal da Bahia – UFBA - Salvador (BA), Brazil.

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**Conflict of interests:** nothing to declare.

**Financial support:** nothing to declare.

## INTRODUCTION

Systemic sclerosis (SSc) is a rare, multisystem disease characterized by fibrosis in the organs, mainly on the skin, and vasculopathy with Raynaud's phenomenon. This disease of unknown etiology occurs in several ethnical groups worldwide, with estimated annual incidence of 19:1,000,000 inhabitants. There are no epidemiological data available on this disease in Brazil to date. It affects three times more women than men, and the mean age of disease onset is between 30 and 50 years<sup>(1-3)</sup>.

SSc patients have been classified into two categories according to extent of skin involvement: limited cutaneous systemic sclerosis (lcSSc), which presents skin changes in the face and distal regions of the knees and elbows; diffuse cutaneous systemic sclerosis (dcSSc), which is characterized by truncal and acral skin fibrosis in the torso and limbs, involving the abdomen, thighs, face, and thorax<sup>(1-3)</sup>.

SSc is an incurable autoimmune disease whose chronic symptoms become progressively worse. In addition to the limitations resulting from the disease, individuals with SSc may present communication difficulties owing to the presence of auditory impairments. Auditory complaints, such as tinnitus and hypoacusis, are frequent in patients with both types of SSc, and these symptoms may be associated with possible peripheral and retrocochlear auditory impairment<sup>(4-6)</sup>.

Previous studies have reported the existence of inner ear involvement in SSc patients, probably as a result of vascular damage, considering that the cochlea is highly sensitive to these changes. Blood changes and hypoxia in the cochlea resulting from SSc culminate with death of the ciliated cells (cochlear sensory structure), which is clinically expressed as hypoacusis and tinnitus<sup>(3-8)</sup>. This mechanism of hypoxia in SSc is associated with activation of the endothelial cells by unknown factors, which promotes chronic endothelial injury with platelet adhesion and activation of the fibrinolytic system, thus generating increased vascular permeability and leukocyte adhesion to blood vessel wall. This process generates fibrosis and loss of elasticity, reducing the vascular lumen and causing progressive hypoxia and necrosis of the cochlear tissue<sup>(9)</sup>.

Studies conducted to identify changes in the auditory system in SSc patients show that prevalence of auditory loss in these individuals varies considerably, but sensorineural hearing loss is the most frequently observed in these investigations. It is known that sensorineural changes can be cochlear and/or retrocochlear; however, only one case report study investigating the presence of retrocochlear impairment in SSc patients was found in the specific scientific literature. Knowledge about the possible retrocochlear impairments in this population may help speech-language therapists in the correct auditory rehabilitation of these patients, considering that each type of hearing impairment requires a specific auditory rehabilitation, thus providing adequate treatment and, consequently, improvement in the quality of life of these individuals.

Under this perspective, this study aims to report three cases of patients with SSc and retrocochlear impairments.

## METHODS

The cases herein reported refer to patients assisted at the rheumatology outpatient clinic of Ambulatório Magalhães Neto at the Universidade Federal da Bahia (UFBA). Study participants underwent audiological assessment through pure tone, speech and immittance audiometry and brainstem auditory evoked potential (BAEP) between September 2015 and July 2016.

This study was approved by the Research Ethics Committee of the Instituto de Ciências da Saúde under process no. 1.282.417. All participants signed an Informed Consent Form (ICF) prior to study commencement.

Initially, the patients were invited to participate in a broader research, entitled "Retrocochlear Changes in Systemic Sclerosis", and those who agreed signed the ICF. Next, data of the basic audiological assessment were collected from those who presented valid audiometry in the medical record (performed less than 6 months before, with no new auditory complaints). After that, the selected individuals were instructed to attend the Speech-Language Pathology Teaching Assistance Center - CEDAF, according to individual schedule, for completion of anamnesis and examinations.

Individuals who presented valid basic audiological evaluation were submitted only to inspection of the auditory meatus and the BAEP assessment, whereas those whose medical records did not present audiometry conducted before 6 months or less in relation to the date of the BAEP underwent external inspection of the auditory meatus, pure tone threshold audiometry, speech audiometry, and the BAEP.

A MASBE Contronic manufactured device, properly calibrated in accordance with the ANSI S3.6-1996<sup>(10)</sup>, ISO 8798<sup>(11)</sup>, ANSI S3.43-1992<sup>(12)</sup> norms, was used to conduct the BAEP.

The equipment was also biologically calibrated. To this end, the BAEP was conducted with 20 patients with normal hearing (40 ears), 10 men and 10 women, both groups with five individuals aged less than 40 years and five individuals over this age. In the calculation of normality patterns, a standard deviation of  $\pm 2.5$  errors was considered for each variable in order to obtain a 95% confidence interval<sup>(13)</sup>.

The electrodes were placed according to the following pattern: the negative electrode at the vertex, the earth electrode on the side of the forehead, and the negative electrodes in the regions of the left and right mastoid muscles<sup>(9,13)</sup>. Initial acoustic stimulus intensity of 80 dB nNA was used, but it was increased in 10 dB nNA when needed, as to adapt to patients with hearing loss<sup>(9)</sup>. Regarding the other parameters of the examination, the manufacturer's suggestions were followed: rarefied polarity; acoustic stimulus generated through clicks; presentation rate of 17.1 stimuli per sec; low-pass filters of 5000 Hz and high-pass filters of 1000 Hz, bilaterally.

Absolute latency values of waves were identified by two evaluators. The values found from this identification were recorded in a protocol and classified as normal and altered according to the presence and latencies of waves I, III, and V, as well as the I-III, III-V and I-V interpeak intervals and interaural

difference of the I-V interpeak interval, according to the biological calibration and audiometry of the patients<sup>(13-16)</sup>. The BAEP was considered altered when the values were greater than those obtained in the biological calibration, in relation to the analyzed patterns, on at least one side, or when they presented interaural intensity difference  $>0.3$ <sup>(13,15)</sup>.

## RESULTS

### Case 1

This was a 78-year-old, female patient with a diagnosis of SSc for 12 years. She presented frequent, bilateral, high-pitched tonal tinnitus and mild, sporadic dizziness; both symptoms started six years after the onset of the disease.

In addition to SSc, the patient reported having hypertension and gastroesophageal reflux, and using medication to control them.

Pure tone audiometry showed sensorineural hearing loss as of 3 and 4 kHz at the right and left ears, respectively. Speech audiometry showed speech recognition threshold (SRT) compatible with the tritonal mean and normal speech recognition index (SRI) at both ears. Results of the tuning fork tests were also compatible with those of pure tone audiometry, with Weber indifferent and Rinne positive bilaterally.

Immittance audiometry showed type A tympanograms for both ears and presence of all contralateral stapedius muscle acoustic reflexes with differences within normality patterns. Acoustic reflex threshold decay was negative at 500 and 1000 Hz bilaterally.

The BAEP assessment showed normal absolute values of waves I, III, and V and normal I-III, III-V and I-V interpeak intervals for the right ear. As for the left ear, all these parameters were showed normal results, except for the absolute value of wave I, which presented a reception delay of 2.18 ms. Interaural attenuation values of wave V and I-V interpeak interval were adequate.

### Case 2

This was a 62-year-old, male patient with a diagnosis of SSc for seven years. He presented frequent, bilateral, high-pitched tonal tinnitus and mild, sporadic vertigo. The otoneurological complaints started at 58 years of age, that is, three years after the onset of the disease.

In addition to SSc, the patient reported having hypertension and gastroesophageal reflux, and using medication to control them.

Pure tone audiometry showed sensorineural hearing loss as of 6 and 8 kHz at the right and left ears, respectively. Speech audiometry showed SRT compatible with the tritonal mean and normal SRI at both ears. Results of the tuning fork tests were also compatible with those of pure tone audiometry, with Weber indifferent and Rinne positive bilaterally.

Immittance audiometry showed type A tympanograms for both ears and presence of all contralateral stapedius muscle acoustic reflexes with differences within normality patterns.

Acoustic reflex threshold decay was negative at 500 and 1000 Hz bilaterally.

The BAEP assessment showed normal absolute values of waves I, III, and V and normal I-III, III-V and I-V interpeak intervals for the right ear. As for the left ear, all these parameters showed normal results, except for the absolute value of wave V and the III-V interpeak interval, which presented reception delays of 6.24 and 2.32 ms, respectively. Interaural attenuation values of wave V and I-V interpeak interval were adequate.

### Case 3

This was a 51-year-old, female patient with a diagnosis of SSc for 11 years. She presented complaints about hearing loss in several situations of her daily life; bilateral, high-pitched tonal tinnitus; and mild, sporadic dizziness. The auditory complaints began one year after the medical diagnosis of SSc.

In addition to SSc, the patient reported having hypertension and using medication to control it. She also reported regular use of medication to treat gastroesophageal reflux and, sporadically, of corticoids as a prophylactic treatment for SSc.

Pure tone audiometry showed unilateral sensorineural hearing loss as of 3 kHz at the right ear. Speech audiometry showed SRT compatible with the tritonal mean and normal SRI bilaterally. Results of the tuning fork tests were compatible with those of pure tone audiometry, with Weber indifferent and Rinne positive bilaterally.

A in the previous cases, immittance audiometry showed type A tympanograms for both ears and presence of all contralateral stapedius muscle acoustic reflexes with differences within normality patterns. Acoustic reflex threshold decay was negative at 500 and 1000 Hz bilaterally.

The BAEP assessment showed normal absolute values of waves I, III, and V and normal I-III, III-V and I-V interpeak intervals with value of 2.27 ms for the right ear. As for the left ear, all these parameters presented normal results. Interaural attenuation values of wave V and I-V interpeak interval were adequate.

Because the patient presented unilateral hearing loss and altered BAEP findings, she was referred to otorhinolaryngology with the results of the examinations for investigation aiming to discard a diagnosis of tumor in the cranial nerve VII.

Chart 1 shows a summary of the findings of the audiological assessments conducted, where it is possible to observe that all study participants presented sensorineural hearing loss. In the basic audiological evaluation, no results suggestive of retrocochlear impairment were identified, but the BAEP showed altered results.

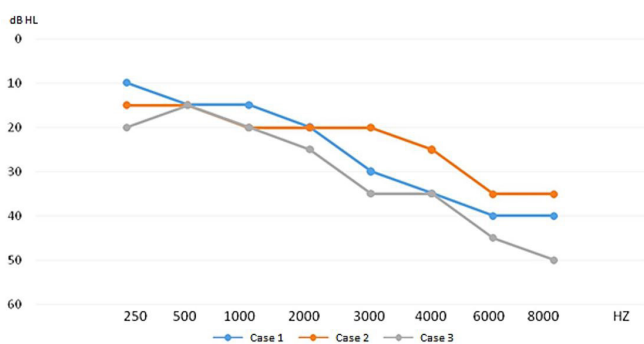
Figures 1 and 2 depict the hearing thresholds with air conduction obtained at frequencies ranging from 250 to 8000 Hz for each Case on the right and left sides. When there was a need to measure the thresholds with bone conduction, the three Cases presented them coupled with air conduction.

Figures 3 and 4 present, in detail, the values found for each pattern analyzed in the BAEP, both at the right and left ears.

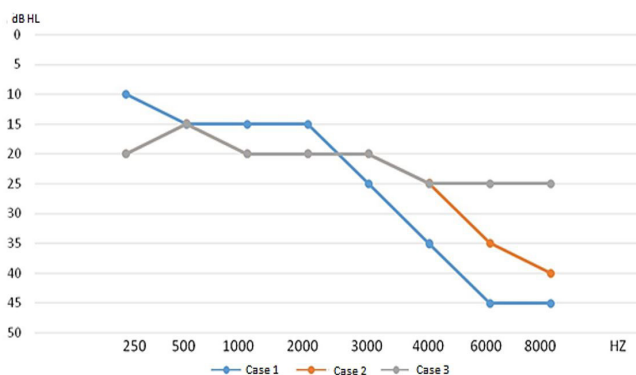
**Chart 1.** Characterization of hearing complaints and audiometry of patients with altered BAEP results

Hearing complaints and audiometry	Case 1	Case 2	Case 3
<b>Difficulty in understanding speech</b>	Not reported	Not reported	Reported
<b>Dizziness</b>	Mild and sporadic	Mild and sporadic	Mild and sporadic
<b>Tinnitus</b>	High-pitched tonal	High-pitched tonal	High-pitched tonal
<b>Pure tone audiometry</b>	Sensorineural hearing loss as of 3kHz at the right ear and 4kHz at the left ear	Restricted sensorineural hearing loss at 6 and 8 kHz bilaterally	Sensorineural hearing loss as of 3kHz at the right ear and normal hearing thresholds at the left ear
<b>Speech audiometry</b>	Compatible with pure tone audiometry	Compatible with pure tone audiometry	Compatible with pure tone audiometry
<b>Tympanometry</b>	Type A tympanogram	Type A tympanogram	Type A tympanogram
<b>Contralateral stapedius muscle acoustic reflexes</b>	Present with a difference between 65 and 90 dB HL	Present with a difference between 65 and 90 dB HL	Present with a difference between 65 and 90 dB HL
<b>Immittance - acoustic reflex threshold decay</b>	Negative	Negative	Negative
<b>Altered BAEP parameter</b>	Absolute latency of wave I at the left ear	Absolute latency of wave V and III-V interpeak interval at the left ear	III-V interpeak interval at the right ear

**Caption:** BAEP = Brainstem Auditory Evoked Potential



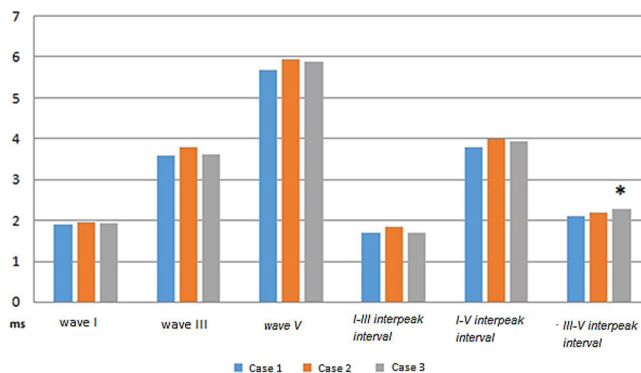
**Figure 1.** Right ear hearing thresholds with air conduction



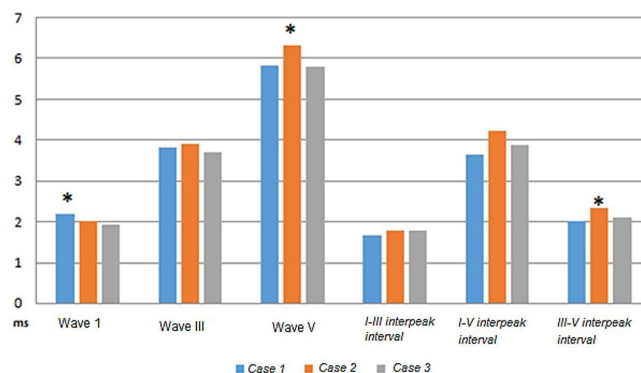
**Figure 2.** Left ear hearing thresholds with air conduction  
**Caption:** BAEP = Brainstem Auditory Evoked Potential. \*Altered results

## DISCUSSION

Observation of the auditory complaints of the three patients investigated evidenced that dizziness and high-pitched tinnitus are present in all cases. The literature describes both symptoms as frequent in neuropathic patients and warning signs for possible retrocochlear impairments, considering that they are present in the main pathologies affecting the vestibulocochlear nerve<sup>(3,16-18)</sup>.



**Figure 3.** BAEP parameters at the right ear  
**Caption:** BAEP = Brainstem Auditory Evoked Potential. \*Altered results



**Figure 4.** BAEP parameters at the left ear

Another point observed in the individuals analyzed is the fact that two of them are over 60 years old. There is still controversy about the influence of aging on the parameters of the BAEP, and from what age it would occur<sup>(18,19)</sup>. The studies that consider the possibility of this influence report that it occurs mainly in the absolute latency of wave V<sup>(14,20)</sup> - a delay that was observed in one of the patients studied at the age of 61 years. Conversely, other studies indicate that this impairment can

occur in any absolute latency, with preservation of the interpeak intervals - characteristics also observed in one of the participants of this study<sup>(20,21)</sup>. This increase in latency suggested by some authors, either only in wave V or in all absolute latencies, would occur as a consequence of degeneration of the auditory pathway until the brainstem caused by the aging process. It is possible to observe delay in the synaptic transition, loss of neurons, change in neuronal membrane permeability, and loss of myelin sheath<sup>(14)</sup>.

Another controversial point in the BAEP is the influence of gender in the parameters of this assessment. Some studies did not find significant differences between genders<sup>(16,18)</sup>; however, other authors have stated that the male gender presents higher latency of wave V and I-V interpeak interval compared with those of the female gender<sup>(21,22)</sup>. In the present study, the patient who presented impairment in the latency of wave V was male. However, it is worth noting that biological calibration was performed with half of the male patients, thus considering the possible differences between the genders.

In this scenario, it should be emphasized that it is not possible to estimate the influence of gender and age on the BAEP results, not being possible to attribute the impairments found only to SSc. Nevertheless, it is important to stress that, in all of the cases, the auditory complaints started when the individuals had already been diagnosed with SSc.

Of the altered results found, two presented changes in absolute latency (waves I and V) and one in interpeak interval (III-V). Some authors suggest that interpeak intervals would be more efficient in identifying retrocochlear pathologies<sup>(22)</sup>. Changes in absolute latency would be associated with impairments of the structures, with wave I associated with the distal portion of the auditory nerve in relation to the brainstem and wave V associated with the lateral lemniscus<sup>(23)</sup>.

With respect to retrocochlear impairments in SSc, although there are no studies investigating the prevalence of this impairment using the BAEP, there is a case report study conducted in 2014<sup>(17)</sup> which presents a patient with impairment in the cranial nerve VIII and emphasizes that the diagnosis was made through image examination. Most of the studies that addressed cranial nerve neuropathy described the trigeminal nerve as the most affected nerve. The pathophysiology of nerve injury is still not fully known, as opposed to peripheral injury, but the main explanation for trigeminal nerve injury is lack of nutrition due to poor blood supply as a consequence of vasculopathy<sup>(5,14)</sup>. Therefore, it is possible that the same pathophysiological process occurs with retrocochlear impairments in this population.

As previously mentioned, there are no studies on retrocochlear impairments in SSc in the literature. However, studies conducted with patients with systemic lupus erythematosus - another rheumatologic disease in which vasculopathy may occur, reported 6.7% of patients with altered BAEP results. Systemic lupus erythematosus presents secondary vasculopathy, less important than that observed in SSc, but sufficient to lead to retrocochlear impairments, suggesting that the significant vascular impairment characteristic of SSc can lead to auditory neuropathy in patients<sup>(23,24)</sup>.

## CONCLUSION

The findings of the present study reveal occurrence of retrocochlear impairments in the population investigated. In Brazil, there are no epidemiological data available on this disease to date, nor any studies assessing retrocochlear impairments. Therefore, epidemiological studies on this theme are needed to fill this gap in knowledge.

Moreover, these results serve as a warning for rheumatologists and speech-language pathologists in their monitoring of SSc patients on the need to conduct Brainstem Auditory Evoked Potentials (BAEP) especially in individuals with hearing complaints, considering that retrocochlear impairments cause significant impairment in communication and, for the most part, present treatment different from that of other auditory changes.

## ACKNOWLEDGEMENTS

The authors are grateful to the Speech-language Pathology Department of Universidade Federal da Bahia and the rheumatology outpatient clinic of Ambulatório Magalhães Neto of Universidade Federal da Bahia for all the support received during the research period, and to the study participants for their availability in this project.

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### Author contributions

*JSPV is the main researcher responsible for the study design, data collection, and writing of the manuscript; APC contributed to the study design, data collection, and writing of the manuscript.*