

Demography, vulnerabilities and right to health to Brazilian prison population

Marden Marques Soares Filho ¹

Paula Michele Martins Gomes Bueno ²

Abstract *This study investigates the latest research on the profile of the Brazilian prison population, its demography and current laws and regulations. It aims in the direction of ensuring the human right to health. Brazilian prison system is a complex universe in which state and federal criminal contexts keep more than 607,000 people in custody. This population is composed of 75% of young and black people, 67% poorly educated and 41% are pre-trial detainees, living in overcrowded prisons and architecturally vandalized, with population growth of around 575% in 24 years, making this environment a major focus of production of diseases. The prison becomes the object of differentiated intervention by public bodies linked to the executive and the judiciary – it is worth remarking that the data show the high level of inequalities and health vulnerabilities among the prison population, whose needs involve a set of cross-sector of transverse public policies actions towards penal execution.*

Key words *Prison system, Health, Demography, Vulnerability*

¹ Departamento de Monitoramento e Fiscalização (DMF) do Sistema Carcerário e do Sistema de Execução de Medidas Socioeducativas, DMF do Conselho Nacional de Justiça. Pça. Três Poderes/ Supremo Tribunal Federal/ Anexo I/Sala 361, Zona Cívico-Administrativa. 70175-900 Brasília DF Brasil. mardenfilho@gmail.com

² Secretaria da Criança e do Adolescente, Governo do Distrito Federal. Brasília DF Brasil.

Introduction

The demographic profile of the Brazilian prison population is a reflection of the historical marginalization of the relationship between citizens and the State, the lack of inclusive public policies, low education, poor perspective of future and culture of violence¹. The iniquity in access to public policy is a problem that leverages vulnerabilities that, added to the punitive view, enhances the power of domination of the State over the bodies of the convicts who are under their responsibility².

In this Foucauldian vision, it is understood that these institutions totals were created to be true “human tanks” of stigmatized and segregated people from public goods and services, as well as the social conviviality, extending the punishment beyond the penalty applied by the judiciary².

The 7.210/1984 law, which deals with Penal Execution Law (or *Lei de Execução Penal - LEP*) guarantees that individuals in State custody have their fundamental rights assured³, in this sense, the 1988 Federal Constitution⁴ offers a range of rights through actions and public services for all Brazilians or foreigners in Brazilian territory, in such a way that they are not excluding people in situation of deprivation of liberty. However, for numerous factors, such as socio-cultural, financial ones and those for the organization of public structures in territories, among others, these people are “timidly seen” by the Brazilian public policies, particularly those related to health, in such a way that state bodies which are responsible for criminal enforcement (departments of Justice, Citizenship, Prison Administration, Public Security) had to get organized in order to offer health services untied to SUS (Brazilian Public Health System), to the territories and to the current guidelines proposed systematics. It is possible to envision more clearly the potential pathways to promote the effective implementation of the health rights and the provision of medical assistance to the prison system, as opposed to deficiencies in the resocialization process itself through the discussion of the demographics of the prison system, the profile of prisoners and the vulnerabilities and inequities identified.

Demographics and Brazilian prison population vulnerabilities

It can be affirmed that the Brazilian prison population lives below the minimum dignity level. Their fundamental rights are not assured, despite the fact that they are proclaimed; in particular, one that could provide them with a healthy co-existence in an “existential minimum” plan. That happens because of the legislative vacuum, the difficulty of articulation of the Executive with the Judiciary and with what regards to the existence and implementation of public policies based on the needs of persons deprived of their liberty. This statement is based on the results of inspections of the National Justice Council (CNJ), which exposes that the prison environment is, in the great majority of the cases, highly precarious and unhealthy. They showed architectural structures in ruins; overcrowded, humid and dark cells; bad nutrition; sedentary lifestyle; widespread use of drugs. Besides, the lack of hygiene favors proliferation of epidemics, and the development of diseases and mental illness. There is a considerably high prevalence of cases of transmissible diseases, in addition to non-transmissible diseases among the Brazilian population deprived of freedom⁵.

According to the Ministry of Justice, in Latin American countries with serious economic and socio-political challenges, prison becomes urgent and indispensable. That is because the selectivity of the penal system is exercised, mostly, over the economic and socially underprivileged populations, in accordance with the National Penitentiary Census data 95% of the customers of the system are poor prisoners⁶.

According to Goffman⁷, added to the problems of overcrowding (mainly caused by the ineffectiveness tolerated by the State), there is the phenomena of institutionalization and stigmatization of the offenders and ex-prisoners (when they return to free community). We have one of the most vicious violence practices in our current prison system, which is centered on sentenced time in closed regime with institutional endorsement as we can see in Table 1. Regarding to the phenomenon of imprisonment, the prison system and the criminal justice system feed one another: on the one hand the judges have con-

tributed to the mass imprisonment, on the other, the Executive Power, through the prison units, lack physical and financial means to provide the minimum required by the current laws, in this way leading to violation of human rights. The result of this dyad is a big deficit of vacancies in the prison system, whose overcrowding blights much of the actions of the existing public policies, and generates iniquities, making the prison population more vulnerable. Foucault points out that in the same way that the prison had become an obsolete form of brutal physical punishments, the phenomenon of the imprisonment would eventually become no more than a mere con-

trol peripheral instance within the framework of a disciplinary method, diffuse and dispersed in society².

The dyad can be observed in the historical series of incarceration that shows us that in 24 years the population deprived of freedom has grown 575%, jumping from about 90 1000 prisoners at the beginning of the 90's, for more than 607,731 prisoners in 2014, as the Graph 1 demonstrates, making Brazil the world's fourth biggest country in terms of incarcerated population, behind The United States with 2,228,424, China, with 1,657,812, and Russia, with 673,818 convicts, respectively⁸. As regards female incarceration, it is important to emphasize that Brazil drops to fifth place, but the absolute population of women incarcerated in the prison system grew 567% between 2000 and 2014, with approximately 37,380 women (6.4% of the prison population), whereas the male convicts' growth was 220% over the same period⁹.

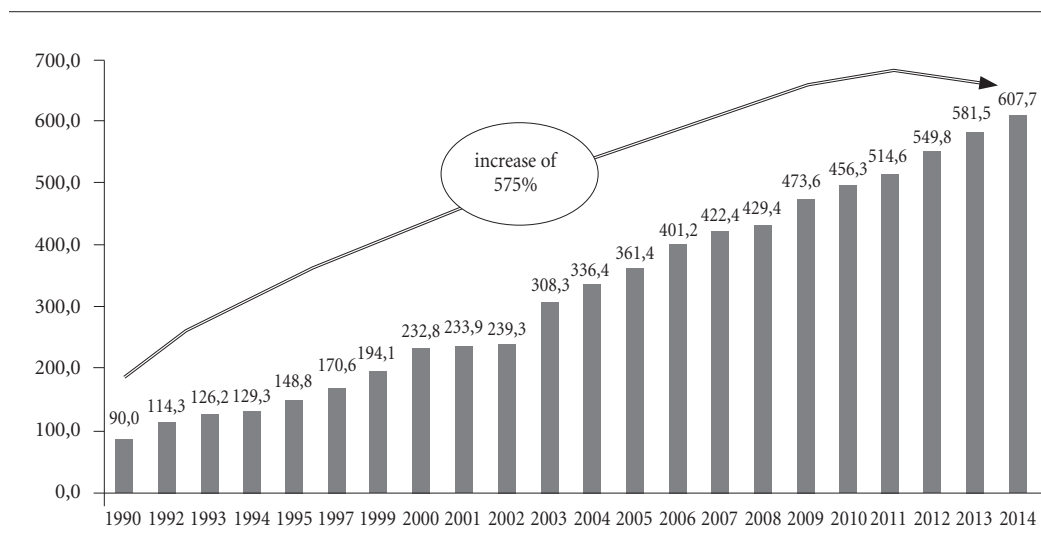
The incarceration rate in these countries is 698 (United States), 119 (China), 468 (Russia) and the incarceration rate in Brazil is 300. When we look at the rate of people deprived of their liberty by Federation Unit, as seen in Graph 2, below, the rates in Mato Grosso do Sul, São Paulo and Federal District are higher and lower in Maranhão, Piauí and Bahia⁸.

When we look at the rate of people deprived of their liberty on the Graph 2 and at the oc-

Table 1. Prison population, number of slots, occupancy rate and rate of imprisonment.

| Brazil - 2014 | |
|-----------------------------|---------|
| Prison population | 607.731 |
| Prison System | 579.423 |
| Departments of Security | 27.950 |
| Police lockups | |
| Federal Penitentiary System | 358 |
| Slots | 376.669 |
| Deficit of slots | 231.062 |
| Occupancy rate | 161% |
| Imprisonment rate | 299,7 |

Source: Infopen⁸.



Graph 1. History of prison population.

Source: Infopen⁸.

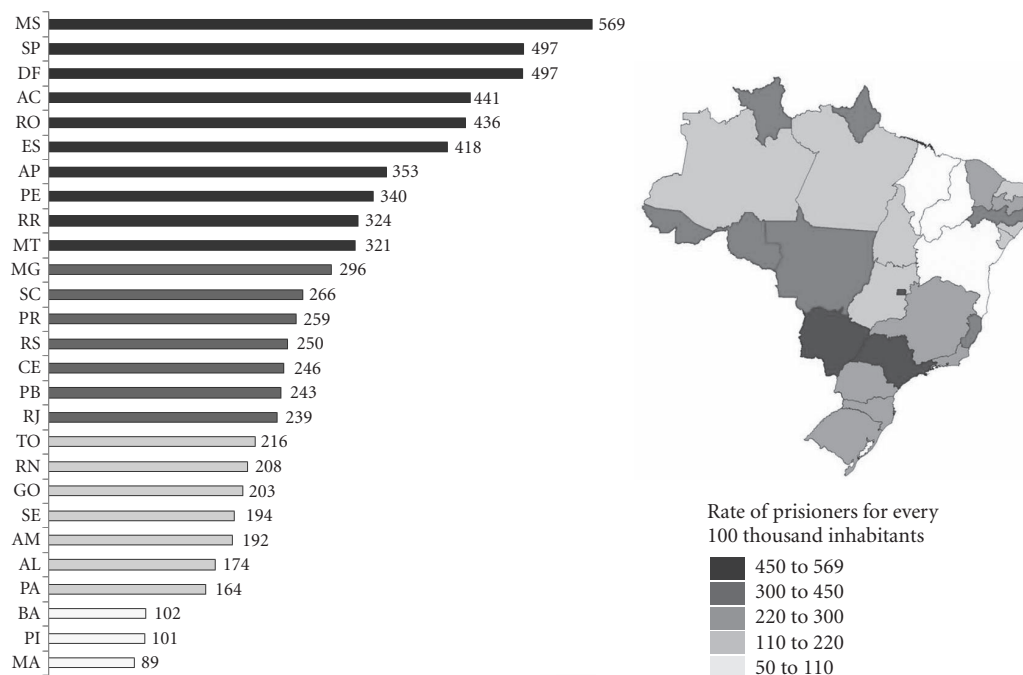
cupancy rate per unit of the Federation, which shows the proportion of people imprisoned by slot on the Graph 3, overcrowding is a “rule” in all Brazilian States.

The national occupancy rate is 161%. Pernambuco leads with 265%, but, the rate of imprisoned people is lower if compared to the States of MS, SP, DF, AC, RO, ES and AP. Maranhão has the lowest occupancy rate, 121%, showing also the lowest rate of people deprived of their liberty per 1000 inhabitants, as mentioned above⁸.

Overcrowding, observed in the analysis of the rate of people deprived of their liberty and the occupancy rate becomes even more dramatic when it is contrasted with the number of persons deprived of their liberty without conviction, that is, the percentage of temporary prisoners, which reaches 41% throughout Brazil. In some States of the Northeast region, such as Maranhão, Piauí, Bahia and Sergipe the percentage of temporary prisoners reaches high levels, 60% to 73%. The States of Rondônia, Acre, Santa Catarina and Mato Grosso do Sul have lower indicators, 16% to 29%⁸ (Graph 4).

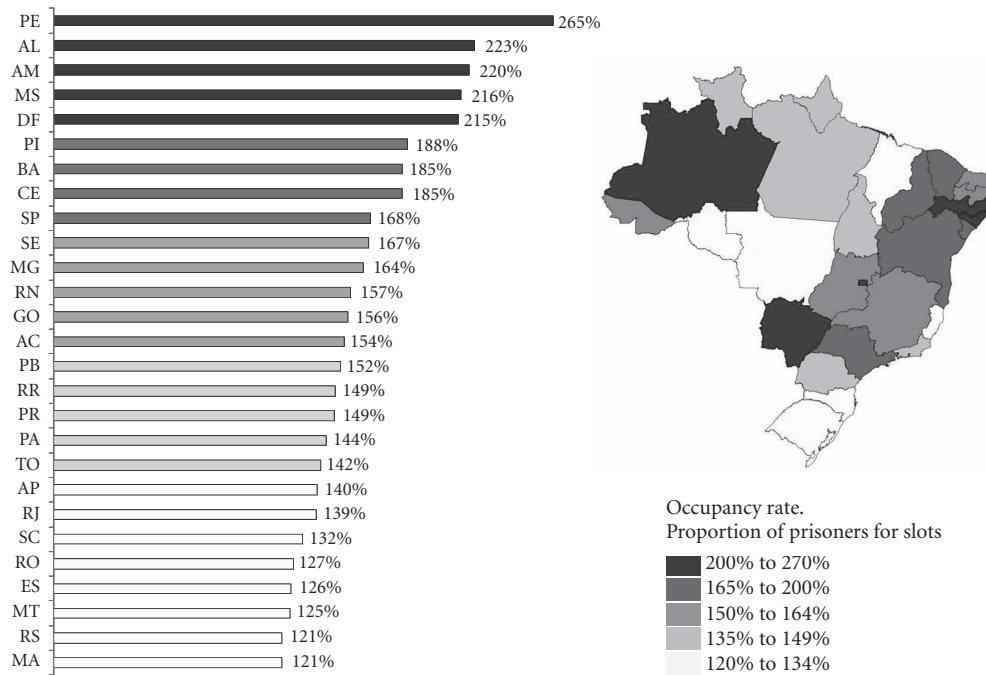
The phenomenon of imprisonment can also be observed through the types of crime attempted or consummated by which persons deprived of liberty have been convicted or are awaiting trial in Brazil. It is possible to see on Graph 5 below, in first place, drug trafficking with 27%, then theft, burglary and handling of stolen goods with 37% respectively and, finally, domestic violence with 1%. Only about 17% of people deprived of their liberty have committed crimes against persons, namely, homicide and robbery (robbery followed by death)⁸.

It is important to highlight that this incarceration in mass, which has been produced by the judiciary for decades, has also contributed to the current scenario of overcrowding in the prison system, and is evidenced by the huge mass temporary prison and the large percentage of arrests for theft, handling of stolen goods, burglary and even drug trafficking. The latter type of crime deserves more attention and will be better discussed in the following pages. In this sense, the judiciary realized that the arrests made in flagrante and the first hours of detention are identified as



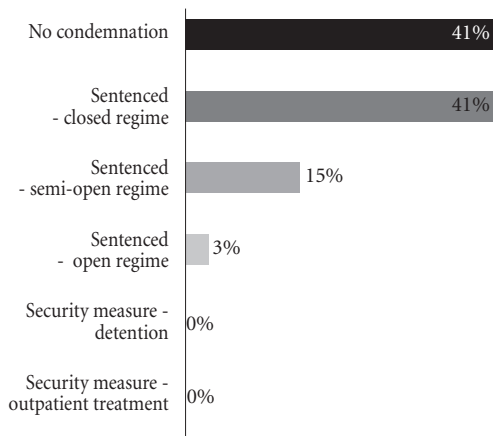
Graph 2. Prison population per 1000 inhabitants - Federation Units.

Source: Infopen⁸.



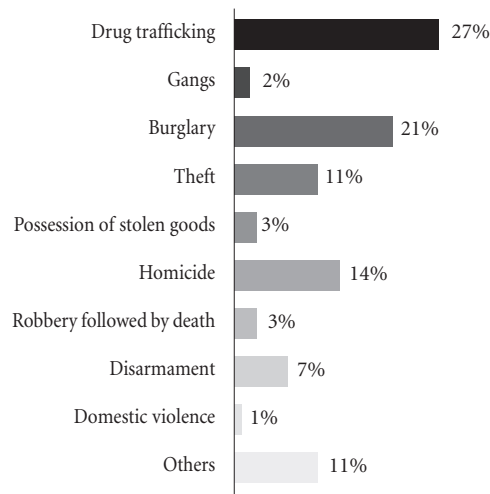
Graph 3. Occupancy rate per unit of Federation.

Source: Infopen⁸.



Graph 4. Persons deprived of their liberty by regime type or procedural situation in Brazil.

Source: Infopen⁸.



Graph 5. Crimes tempted/consummated by which people were convicted or are awaiting trial.

Source: Infopen⁸.

an opportunity to evaluate whether the person should be kept in prison, whether they could be released on bail, whether a punitive measure of

educational character is needed – as, for example, electronic ankle monitors – or even whether they should be released¹⁰.

This moment of evaluation is called “custody hearing”, which provides the citizen caught in flagrant the right to have their case reviewed by a judge, who will see the legality of their arrest within 24 hours and guaranteeing personal contact, as established in the pacts and international treaties in which Brazil is a signatory¹⁰.

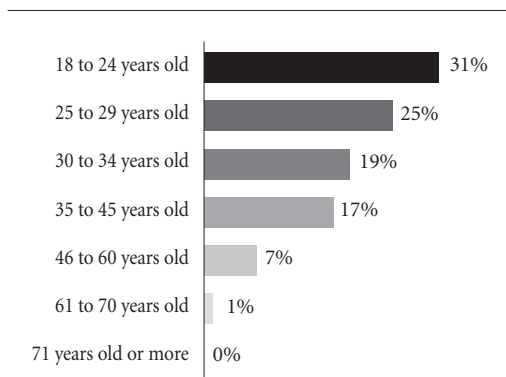
Criminal recidivism, phenomenon related to the lack of public policies for former convicts and to the social stigma associated with the “ex-cons”, is another factor that contributes to the overcrowding of the prison system, reaching the average figure of 70% in the country, according to the IPEA (*Instituto de Pesquisa Econômica Aplicada*, or Institute of Applied Economic Research), in a study requested by the Department of Strategic Affairs of the Presidency of Republic of Brazil¹¹.

One last example that contributes to the growth of the prison population is Brazilian law number 11.343/2006, which established that the SISNAD (*Sistema Nacional de Políticas Públicas sobre Drogas*, or National System of Public Policies on Drugs). Since 2005, the prison population jumped from 11% to 27% of the total detainees for crimes related to drug trafficking. As for the female detainees, that number reaches 60%. This law does not provide objective criteria about drug possession, leading the police authority to imprison users as drug dealers based on subjective criteria¹².

The demography presented reflects the profile of the Brazilian detainees, which has been the same for years among black and less educated young population: 75% are between 18 to 34 years of age; 67% have incomplete primary ed-

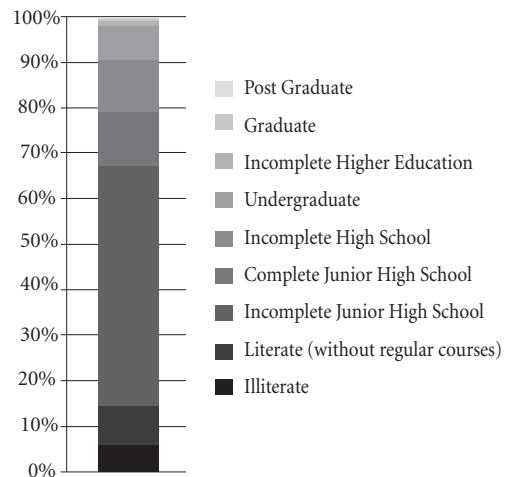
ucation; 67% are black⁸, a greater number than it was indicated by the IBGE (*Instituto Brasileiro de Geografia e Estatística*, or Brazilian Institute of Geography and Statistics) Census 2010. In this study the self-declared black population represented 51% of the Brazilian population¹³. Profile data can be seen in the Graph 6, 7 and 8. The demographics and profile of prisoners presented in this study clearly demonstrate the failure of the Brazilian prison system and appoint to the need for a paradigm shift, arranging cross-sector efforts and investments in penal alternatives, and political strategies for the former inmate. That alternative would reduce expenses on new buildings, hiring of new staff and would increase the possibility of reintegrating these individuals back in society. The LEP (*Lei de Execução Penal* or Penal Execution Law) establishes, in your first article, that penal execution aims to carry out the sentence provisions or criminal decisions and provides conditions for the harmonious social integration of convicts and detainees. This is a statement that comes as an imperative to humanize the criminal sanction, with the prescription of concrete mechanisms and penal services to guarantee rights and create favorable conditions to “repair” the parolees, with consequent positive social reintegration in their lives post-prison³.

LEP also states, in articles 10 and 11, that assistance to convicts and detainees is the State



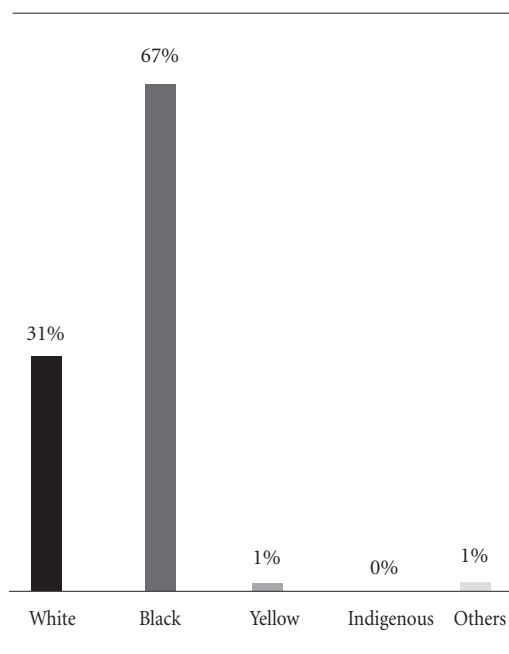
Graph 6. Persons deprived of their liberty by age group.

Source: Infopen⁸.



Graph 7. Persons Deprived of Liberty by level of education.

Source: Infopen⁸.



Graph 8. Persons deprived of liberty by color/race/ethnicity.

Source: Infopen⁸.

duty, aimed at preventing crime and guiding the reintegration in society³.

Much more than the ideal of social reintegration, which means the ideology of treatment, the concept of social integration implies a process of communication between prison and society, aiming at an identification between the free community values with the prison and vice versa, otherwise LEP would be nothing. The idea here is to instigate the debate on the viability of the effective range of social integration of those who have been convicted¹⁴.

This is the spirit of cross-sector actions and the inclusion of interdisciplinary public policies into criminal policy. For that, there should be a closer approximation and consequent involvement of all public managers and the community, in order to search for solutions of social conflicts.

In this sense, the participation of society in penal policy can resolve the situation of violation of human rights of the deprived of liberty persons, since the State disrespects, with impunity, national and international rules of which Brazil is a signatory.

Federal Constitution of 1988; Penal Execution Law of 1984; UN Minimum Standards for

the Treatment of Prisoners, adopted on August 31, 1955 by the First United Nations Congress on the prevention of Crime and the treatment of Offenders; Minimum Standards for the treatment of prisoners in Brazil; Resolution Number 14, CNPCP (*Conselho Nacional de Política Criminal e Penitenciária*, or National Council of Criminal and Penitentiary Policy)¹⁵, of 11 November 1994 (DOU, or Union Official Journal 02.12.94); the Body of Principles for the Protection of all Persons under Any Form of Detention or Imprisonment – resolution 43/173, General Assembly of the United Nations – 76th Plenary Session of 9 December 1988; Basic Principles for the Treatment of Prisoners, adopted by the UN General Assembly, seeking humanization of criminal justice and protection of human rights; Principles of Medical Ethics applicable to the role of health personnel, particularly physicians, in the protection of prisoners or detainees against torture and other cruel, inhuman or degrading treatment or punishment; Resolution 37/194, General Assembly of the United Nations, of 1982.

Given this scenario of violation of fundamental rights of persons deprived of liberty – even with the existence of successful experiences in the field of assistance in a few prisons, but without any direction or Hobbesian planning of social chaos – cross-sector actions were thought for criminal policy, such as, health, education and labor policies, instituted in the posts of federal, state and municipal executive responsibility, on an interfederal and collaborative basis¹⁶.

The right to health of persons deprived of freedom in prison system

The history of health actions in the prison system began with religious entities, as it happened with other total institutions such as the asylums, for example. With the emergence of AIDS in Brazil, in the 80's, some health professionals, especially those physicians who were sensitive to the despair settled in prison, began health prevention actions and treatment of this disease.

Some years after, on September 9, 2003, PNS-SP (*Plano Nacional de Saúde no Sistema Penitenciário*, or National Health Plan for the Prison System) was set up, through the Interministerial Ordinance number 1777, the Ministries of Health and Justice. The plan was responsible for making more consistent criminal legislation and SUS, giving some visibility to population in custody within the national health policy¹⁷.

In Brazil, 100% of the states and the Federal District are qualified to the National Health Plan for the Prison System (PNSSP). That represents 271 health teams, qualified and active, in the prison system (CNES, 2013), in 239 basic units of prison health in prisons, in 154 municipalities¹⁸.

This total teams are considered eligible to PNSSP and can guarantee access to approximately 30% (200,000) of the total number of people in custody in Brazil.

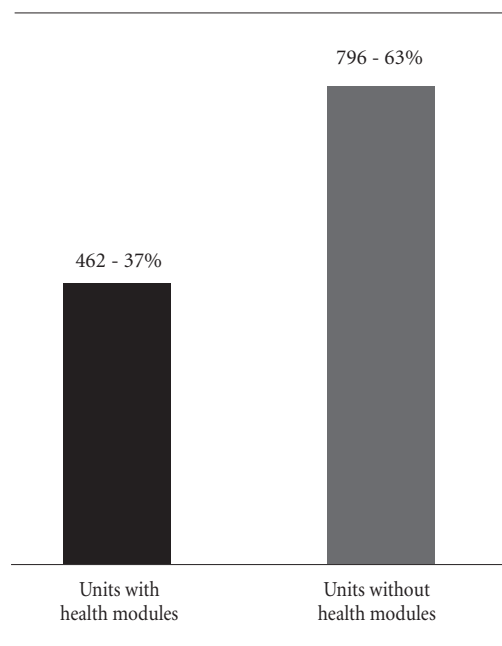
It is important to note that the datum “100% of eligible states” is political, i.e., the states got politically interested by PNSSP strategy and signed an agreement with the Ministry of Health. However, “health coverage” is a technical datum, since it depends on the speed of activation and deployment of services and health teams in the prison system.

With the process of redesigning the PNSSP, which occurred from 2011 to 2014, a new Interministerial Ordinance number 1 was published on January 2, 2014. It established that PNAISP (*Política Nacional para Atenção Integral à Saúde da Pessoa Privada de Liberdade no Sistema Prisional*, or National Policy for Comprehensive Health Care for the Persons in Prison) under SUS responsibility, would ensure the effective and systematic access of the population that is in the custody of the State to the actions and services of Health, with the mobilization of more significant financial resources, as well as the allocation of management strategies and strengthening local capacity¹⁹.

With this new policy every prison unit enabled by SUS will be a point of attention of the *Rede de Atenção à Saúde* (Health Care Network), offering primary care actions to all prisoners throughout the prison itinerary, ranging from pre-trial detainees in police stations and provisional detention centers to convicted prisoners in state and federal prisons.

Health teams in prisons now hold just over 37% of units with health modules, as Graph 9 shows, below. However, data obtained through inspections of the National Council on Criminal and Penitentiary Policy (NSCLC) showed the existence of health spaces, although precarious, in units without health modules, comprising medical, dental, psychosocial care rooms, where there is available space in the prison unit²⁰.

It is also relevant to point out that professionals from different areas and different labor relationship work in the health spaces: either they are servants of the Penitentiary Administration (or related), the State Department or Municipal Health, or they are outsourced.



Graph 9. Infrastructure of the UBSp (Unidade Básica de Saúde Prisional, or Basic Units of Prison Health).

Source: Infopen⁸.

This way, we understand it is necessary to increase the budget agenda of the executive power, in order to ensure appropriate financial incentives for structuring basic units of prison health and to the cost of services and teams, especially to the creation of more favorable (environmental, wage, instrumental, strategic and operational) conditions, so that, in fact, the Health Care Network, both local and regional strengthen their capacities and the population in custody can be cared for, in a universal, comprehensive, resolute and continuous way.

Some projects of the Federal Government, in partnership with international organizations and non-governmental organizations, had a positive impact on the structure of some Basic Units of Prison Health (UBSp) as complementary spaces that go beyond the traditional model of primary care – labs for diagnosis, X-Ray rooms, “bed-cells” for observation or isolation.

One issue that deserves attention and health concern is the low number of medical consultations. Just as it happens in small towns and specific areas such as Native Brazilian and river-dwelling populations, there is also a huge deficit of doctors in the prison system, mostly because of the stigma related to the prison population, low

wages, and working conditions. This deficit can be solved by means of the Government Program “More Doctors” (*Mais Médicos*), which allow physicians to move from their Basic Health Units (UBS) in the territory and carry out visits in prisons with up to 100 persons deprived of liberty. The Ministry of Health is currently studying the possibility of future public notice to call these doctors to give assistance to more densely populated prisons²¹.

According to the study entitled “Epidemiological analysis of the health situation of prisoners in Brazil: databases for information” held by the Federal University of Espírito Santo (UFES), supported by the Ministry of Health, the order of cases reported in prison units by illness and year of notification in Brazil between 2007 and 2014, bring in first place tuberculosis, followed by dengue, HIV / AIDS, viral hepatitis, acquired syphilis, human anti-rabies care, leprosy, male urethral discharge syndrome, acute Chagas disease, violence, leptospirosis, among others²².

The emergence of “human anti-rabies care” sixth in UFES study might have two meanings: first, the large number of domestic animals, especially dogs and cats, cohabiting the prisons, second, dogs that are used to guard prisons and may have attacked the prisoners for several reason, including for torture (emphasis added).

Either way, these data point to the lack of health promotion, disease prevention and comprehensive care, as determined by primary care, and reveals the strong presence of a model that still works along the lines of LEP, an outpatient model that meets only spontaneous demands without proper planning and health mappings.

In this sense, SUS should be responsible for the prison primary care actions through PNAISP, involving the responsibility of SUS Health Care Attention Networks in an inter-ministerial, inter-federal and tripartite way as well as sanitary surveillance and epidemiological monitoring.

Clearly, SUS, through the Health Care Networks, must ensure the sustained and standardized assumptions by the rules in its scope. The management of the entire Health Care Network of the states, Federal District and municipalities, as well as the offer of more complex services to all prisoners, is responsibility of this network (whether in the states, regions or municipalities, depending on the qualification of the services SUS offers and contractualization forms defined)²³.

Suggested evidence that SUS has not yet reached the prisoners is based on the underre-

ported information of the databases of the Ministry of Justice through the Prison Information System⁸, but mainly on the database of UFES, which conducted a research at SINAN (*Sistema de Informação Nacional de Agravos de Notificação*, or National Information System database for Notifiable Diseases, in the Ministry of health)²².

The professionals who work in basic units of prison health (UBSp) do not use the SUS information systems, and submit compulsory notifications to municipalities, which can cause loss of information, reinforcing the underreporting. Another important information system not used is the electronic SUS records: e-SUS or Information System Health for Primary Care (SISAB), causing the Health Care Network to ignore assistance procedures carried out by the teams of primary care prison²⁴.

According to INFOPEN/2014, the information on the quantitative of people with transmissible diseases in prisons have the following sequence: first, HIV / AIDS, then Syphilis, Hepatitis, Tuberculosis, and others⁸.

Such information, dissonant with the SUS systems, show the health gap and at the same time point the way to be followed by PNAISP to guarantee the right to health for all persons deprived of liberty in the prison system.

This policy meets the basic principles of SUS, universal access, attention comprehensiveness and equity in health, bringing the prison unit, through its UBSp, as attention point of the Health Care Network, offering health promotion, disease prevention and treatment through Primary Health Attention, also demonstrating that the mainstreaming of public policies for the prison system is the only way to correct the historical health abandonment.

This political environment that provides guarantees is the right moment to the emergence of public policies that seek the rights, once violated, for the segments that have not had the same level of opportunities guaranteed to the vast majority.

In face of this discussion, much has been written about the prison system crisis and the failure of imprisonment. It seems that there is already a consensus. The current scenario of this system is dramatic, very punitive and archaic. In Foucault’s view (1977) and in the practice of daily work in prisons, this system only segregates convicts temporarily by the perspective of repression. The conflicting goals are to punish, prevent and regenerate do not achieve their purpose².

Final comments

Based on the above considerations, it was perceived that there is a need for increasing of investment in the executive and judiciary powers for judges and managers of the prison system with the primary aim to change the culture of confinement, seeking to adopt actions and cross-sector strategies especially in the field of criminal alternatives, restorative justice, conciliation and custody hearings, in order to gradually reduce the deficit prison that alarms Brazil and the world.

The actions of criminal alternatives to incarceration should primarily reduce the number of pre-trial detainees through the adoption of alternative judicial measures in custody hearings, or, for example, through the use of technology such as electronic anklets. These actions combined with a State policy oriented to the prison system egress may stop the mass incarceration.

In this sense, the vulnerabilities and inequities of the prison population can be understood from the view of their demographics pointing to the structural problems of the prison system.

Currently, the judiciary send individuals to prisons that are not only extremely overcrowded, but are mostly old structures that demand reforms. These indicators show how far the access of the prison population to proper, just and dignified assistance is.

The amount of human resources working in prisons is not only poorly trained to work in transversal public policies of the prison system but also, it will not be able to meet the growth of the prison population.

Few human resources, especially among penitentiary officers, has negative impact on security of prisons, with constant rebellions, violence or threats, making the workplace an unhealthy environment. This scenario also reflected on the prison population due to lack of escort for health, education, labor, religious assistance.

In the field of public health, prisons are understood as places of major health challenge, but not yet viewed by much of the health managers as intervention spaces, even after the publication of prison health standards of 2003 and 2014, which establish the close relationship between SUS and the prison system.

The health control of the prison population injuries must involve especially primary care actions, given the young profile of the detainees, who are productive and assumed to be healthy, aiming at solving many of their health problems. If the illnesses of the prison population are under control, the citizens who routinely visit their loved ones will be protected, too.

During these visits, the families might have contact with transmissible diseases such as tuberculosis and sexually transmitted infections (STI) / HIV / AIDS, either acquired externally or because of the vulnerable confinement conditions and could transmit them to the community of visitors.

In addition, late treatment of these diseases overburden municipal management through emergency door and emergency health equipment of ambulatory and hospital network, as well as pharmaceutical care.

We can consider that the vulnerability of the prison population also makes the city vulnerable and the managers must take this demand for epidemiological and health surveillance as a priority. Control diseases in the prison system becomes a necessity of health emergency, since it is not difficult to control the population of prison territory.

These health reasons are enough for the state and municipal administrations to demand the implementation of the National Policy for Integral Health Care of Persons Deprived of Liberty in Prisons (PNAISP), bringing health promotion and prevention of diseases to these young people, reducing, this way, the flow for medium and high complexity of Health Care Network.

Finally, we must increase investments, strategies and policies for social inclusion for young people, such as “*Plano Juventude Viva*” (or Plan Youth Alive), expanding the possibilities of access of young people to goods and public services, seeking to strengthen the sense of citizenship, belonging and decreasing the sensation of extreme social inequality. Moreover, participation and social control in the prison system should be encouraged, so that, these and other issues, such as torture, for example, could become visible and possible to be worked on.

Collaborations

MM Soares Filho was responsible for the research and writing of the article and PMMG Bueno was responsible for the methodology and final review.

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Article submitted 14/10/2015

Approved 11/04/2016

Final version submitted 13/04/2016