

Factors associated with the diffusion rate of innovations: a pilot study from the perspective of the Brazilian Unified National Health System

Fatores associados à taxa de difusão das inovações: um estudo piloto desde a perspectiva do Sistema Único de Saúde

Factores asociados con la tasa de difusión de innovaciones: un estudio piloto desde la perspectiva del Sistema Único de Salud brasileño

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Abstract

Budget impact analyses require a set of essential information on health technology innovation, including expected rates of adoption. There is an absence of studies investigating trends, magnitude of budgetary effects and determinants of diffusion rates for health technology innovations worldwide during the last decades. The present study proposes a pilot assessment on main determinants influencing diffusion rates of pharmaceutical innovations within the Brazilian Unified National Health System (SUS). Data from the Brazilian Health Informatics Department (DATASUS) was gathered to establish the main determinants of diffusion rates of health technology innovations in Brazil, specifically referring to pharmaceutical innovations incorporated in the Brazilian Program for Specialized Pharmaceutical Services (CEAF) at SUS. Information was retrieved on DATASUS relating to patients who had used one of the medicines incorporated into CEAF at least three years prior to the beginning of the study (2015) for treatment of each health condition available. Thus, data from patients adopting 10 different medicines were analyzed in the study. Results from the zero-one inflated beta model showed a higher influence on diffusion rates of pharmaceutical innovations due to: number of pharmaceutical competitors for treatment of the same disease available at CEAF (negative); medicine used in combination with other medication (positive); and innovative medicine within the SUS (positive). Further research on diffusion rates of health technology innovations is required, including wider scope of diseases and medications, potential confusion factors and other variables that may influence rates of adoption in different health systems.

Diffusion of Innovation; Biomedical Technology Assessment; Health Evaluation

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Introduction

The objectives of the Brazilian Unified National Health System (SUS) include assurance of universal health care coverage and integral health assistance within a publicly financed health structure. Nevertheless, recent demographic and epidemiologic trends in Brazil, along with rapid developments of technological innovations, have been posing challenges to health system management. Numerous technical alternatives available for adoption in health care have been producing continuous increases of health expenditures¹.

Policies towards health technology assessment may support rational incorporation of innovations in national health systems to guarantee economic sustainability. In 2011, the National Committee for Health Technology Incorporation (CONITEC) was established to support the Brazilian Ministry of Health on decision-making processes related to health technology assessments and the incorporation of therapeutic innovations².

CONITEC is responsible for issuing recommendations on health innovations to be incorporated, excluded or modified within the SUS, supported by scientific evidence on efficacy, accuracy, effectiveness, safety and costs; including economic evaluation and budget impact analysis (BIA), from the SUS perspective³. There has been growing interest in BIA recently⁴ and Health Technology Assessment (HTA) agencies in several countries request BIA to support decision making processes on the adoption of health technology innovations^{5,6,7,8}.

Economic evaluation studies provide useful information on the adoption of innovations; however, their results lack information on potential economic impacts on national health accounts. BIA results include the projection of expenditures due to the incorporation of health technology innovations for diagnosis and treatment of populations during specific periods, based on a comparison of alternative scenarios, using payer perspectives^{1,9,10}.

Yet, in order to perform BIA, essential information on health technology implementation is required: prices, prescription, and adoption rates. Estimates of adoption rates in health systems are usually based on studies of diffusion rates of technological innovations; which are influenced by population characteristics, including communication among individuals (e.g., prescription, marketing, or patients' requests) and predisposition for technology adoption (e.g., physicians' or patients' preferences for innovation); however, there are controversies regarding

the magnitude of effect from diverse variables^{5,11,12,13,14,15,16}. Consequently, information to perform BIA is usually based on market-specific evidence or experts' consultations.

Delay in technology adoption after incorporation to the health system may occur due to differences in personal characteristics among diverse health professionals and patients (e.g. resistance in acceptance of innovations, or lack of information), changing budget impacts over time; thus, diffusion rates of health innovations are crucial for BIA⁴.

This study proposes an innovative approach to identifying factors influencing diffusion rates of medicines incorporated within the SUS, in order to provide evidence to support further advances in health technology assessment.

Methods

Detailed data from the Ambulatory Information System (SIA) of the Brazilian Health Informatics Department (DATASUS) was gathered to establish determinants of diffusion rates of health technology innovations in Brazil, specifically referring to pharmaceutical innovations incorporated in the Brazilian Program for Specialized Pharmaceutical Services (CEAF). This option was selected on account of the availability of information on patients using numerous types of medication for treatment of diverse health conditions, available online within the DATASUS platform using TabWin software (DATASUS. http://portal.saude.gov.br/portal/se/datasus/area.cfm?id_area=732).

CEAF databases encompassed nationwide information on pharmaceutical services, reported by Brazilian states to the Ministry of Health, referring to every event of medication distribution for each patient (identified using the cryptographic number from the National Health Card), diagnosis, and medication characteristics. Data available from any patients using any medicines incorporated into CEAF at least three years before the beginning of this study (2015) for treatment of any health condition was included in the analysis, ensuing data from patients adopting 10 medicines (Table 1).

Considering evidence from the literature^{15,16}, a dataset containing 17 categories of variables potentially associated with adoption rates of medication within the SUS was generated, including variables related to characteristics of diseases, respective medications and its prices, treatment protocols and costs (Table 2).

Diffusion rates were based on the percentage of patients using medication among patients

Table 1

Selected pharmaceutical innovations incorporated in the Brazilian Program for Specialized Pharmaceutical Services (CEAF). Brazil, 2015.

Medicine	Incorporation date	Indication	Other treatments available at CEAF	Proportion of patients using innovative medicine *			
				1 st t = 0	12 th t = 4	24 th t = 8	36 th t = 12
Cyclophosphamide	Oct/2008	Acquired chronic pure red cell aplasia	Azathioprine, Cyclosporine, Immunoglobulin	0.0			
Deferasirox	Oct/2008	Chronic iron overload	Deferiprone, Deferoxamine	0.0	67.5	76.9	79.6
Everolimus	Oct/2008	Kidney transplant	Azathioprine, Cyclosporine, Methylprednisolone, Mycophenolate mofetil, Mycophenolate sodium, Sirolimus, Tacrolimus	0.0	0.1	1.2	1.9
Galantamine	Oct/2008	Alzheimer's disease	Donepezil, Rivastigmine	7.4	11.7	15.5	16.8
Aluminium hydroxide	Mar/2010	Hyperphosphatemia in chronic kidney insufficiency	Calcitriol, Sevelamer	0.0	0.0	0.0	0.0
Clobazam	Mar/2010	Epilepsy	Ethosuximide, Gabapentin, Lamotrigine, Primidone, Topiramate, Vigabatrin	0.0	5.1	3.7	4.5
Entecavir	Dec/2009	Hepatitis B	Adefovir, Interferon-alpha, Lamivudine, Tenofovir	0.0	21.8	30.0	
Sildenafil	Mar/2010	Pulmonary arterial hypertension	Iloprost	99.0	99.9	99.9	100.0
Natalizumab	Mar/2010	Multiple sclerosis	Azathioprine, Glatiramer, Interferon-beta	0.0	2.0	5.2	6.6
Pyridostigmine	Mar/2010	Myasthenia gravis	Azathioprine, Cyclosporine, Immunoglobulin	0.0	27.2	32.8	36.6

* Percentage in relation to the total number of patients treated for the disease at the CEAF for the same use.

Source: prepared by the authors, based on synthesis from Brazilian Health Informatics Department (DATASUS).

diagnosed with disease, considering the 10th revision of the International Classification of Diseases (ICD-10), according to region, state and lag period after incorporation (trimester from incorporation until last period available). Zero-one inflated beta model was estimated to identify factors influencing the diffusion rate of pharmaceutical innovations in the SUS ¹⁷ using R soft-

ware (The R Foundation for Statistical Computing, Vienna, Austria; <http://www.r-project.org>).

Table 2

Description and characterization of categories of independent variables for analysis of diffusion rate of pharmaceutical innovations in the Brazilian Unified National Health System (SUS). Brazil, 2015.

Category of variable		Value
1. Other treatments already available at the CEAF		
Description	Analyzes the influence of preexistence of other medication available for treatment of the same disease at CEAF, which may facilitate access to pharmaceutical innovations due to previous knowledge of physicians and patients.	Binary variable (Yes, No)
Method	Search in CEAF ordinances to identify other medication associated with ICD-10 correspondent to the specific disease targeted by the pharmaceutical innovation.	
2. Number of competitors for treatment of the same disease		
Description	Identifies the amount of competitor medications for the same line of treatment of the disease, influencing the probability of pharmaceutical innovation adoption.	Discrete variable (Count)
Method	Analysis of the first clinical PCDT available for the targeted disease. If no competitor medications are mentioned, the variable value was zero. Otherwise, the number of active principles competing for treatment of the same disease was computed.	
3. Line of treatment		
Description	Analyzes the influence of the line of treatment on diffusion rates, since medication in the last line of treatment, theoretically, should be prescribed to a smaller number of patients.	Binary variable for each line of treatment (1st line, 2nd line, 3rd line, or NA)
Method	Analysis of the first PCDT available for the targeted disease, in order to identify changes in line of treatment using another health technology (pharmaceutical or other) in case of refractoriness, fail or intolerance to standard treatment. If it was not possible to establish a line of treatment, the term "non-defined" was attributed.	
4. Medicine used in combination with other medication		
Description	Verifies the influence of need to adopt a combined use of medication, due to potential difficulties to access other medicines prescribed.	Binary variable (Yes, No)
Method	Analysis of the first PCDT available for the targeted disease, in order to identify indication of use in association with other medicines.	
5. Innovation within the SUS		
Description	Analyzes the influence of incremental benefits of the pharmaceutical innovation in comparison to other types of treatment of the disease.	Binary variable (Yes, No)
Method	Due to absence of specific definition regarding the concept of innovation in health care, the following premises were adopted: Medication for treatment of diseases not yet available at SUS; Medication for treatment of diseases already available that: Represents new line of treatment of the disease; or Presents improved efficacy in relation to other medication already available, based on search of evidences published in meta-analysis or direct comparison ^{20,21} . Other medications competing in the same line of treatment and in the same pharmacological category were not considered innovative.	
6. Time gap between from incorporation and clinical protocol publication (months)		
Description	Analyzes the influence of PCDT in diffusion rates, due to definition of prescription and utilization criteria.	Discrete variable (Count)
Method	Identification of the publication date of PCDT. If the PCDT was published prior to the medication incorporation, the variable value was zero.	
7. Treatment for infectious diseases		
Description	Analyzes the influence of type of disease in diffusion rates of pharmaceutical innovations, considering that infectious diseases have limited time for treatment in comparison to other types of diseases.	Binary variable (Yes, No)
Method	Assessment of characteristics of the targeted diseases, according to description in PCDT.	

(continues)

Table 2 (continued)

Category of variable		Value
8. Lag period after incorporation of the medicine (in trimesters)		Discrete variable
Description	Information used to estimate the diffusion rates over time (up to three years after incorporation).	(Count)
Method	Assignment of ordinal category corresponding to the number of trimesters after incorporation.	
9. Area of specialty in medicine		Binary variable for each area of medical specialty
Description	Analyzes the influence of the area of medical specialty of the disease on diffusion rates of pharmaceutical innovations.	(Cardiology, Hematology, Infectious Disease, Rheumatology, Gastroenterology, Nephrology, Neurology)
Method	Analysis of the PCDT for the targeted disease, in order to determine the area of medical specialty for treatment of the disease. Each disease was categorized in only one specialist area, if more than one area was indicated; the most representative specialist area was adopted.	Binary variable (Yes, No)
10. Medicine with patent (monopoly)		
Description	Analyzes the influence of the presence or absence of generic or similar drugs at the moment of incorporation, which presupposes the absence or presence of patent, respectively.	
Method	Search in the price list of the Chamber for Regulation of the Pharmaceutical Market, in order to identify generic or similar drugs in Brazil.	
11. Annual cost of drug therapy per patient		Continuous variable
Description	Analyzes the interference of drug therapy costs per patient in the diffusion rate and potential impacts of reduction in prices due to scale in production, considering that overall budget impact may influence the access to medication within the SUS.	(Log R\$)
Method	Estimation of annual costs for standard treatment (in log), considering recommended dosage of the medication in the PCDT for the targeted disease, at the period of pharmaceutical innovation incorporation within the SUS. A standard patient profile weighting 70kg was adopted, in case of dosage per body weight. The annual costs were based on the amount of medication for annual treatment and the PMC (18%) from the Chamber for Regulation of the Pharmaceutical Market (2014).	
12. Higher price in comparison to pharmaceutical competitors		Binary variable
Description	Analyzes the influence of variations in price on the diffusion rate, in comparison with other technologies for drug therapy of the same disease already available at the SUS.	(Yes, No, NA)
Method	Comparison of the variable "annual cost of drug therapy per patient" in relation to the annual costs estimated for drug therapy of the targeted disease using other medication available within SUS. The annual costs were based on the amount of medication for annual treatment and the PMC (18%) from the Chamber for Regulation of the Pharmaceutical Market (2014). If there are no other therapeutic options for treatment of the disease, the variable value was "non applicable".	
13. Public management level responsible for acquisition of medication		Dummy variable for each government level
Description	Assesses the impact of diverse patterns of acquisition of medication for CEAF (federal, and/or state level acquisition) on diffusion rates.	(Ministry of Health, State Secretary of Health, or both)
Method	Identification of the public management level responsible for acquisition of the medication, through search in Ministry of Health ordinances that established the Component of Medications with Exceptional Dispensation (Ordinance GM/MS 2,577/2006), the CEAF (Ordinance GM/MS 2,981/2009), and other ordinances published for alteration or revocation of the previous ordinances and its annexes. Changes in responsibility during the period analyzed were categorized as "both".	
14. State of residence of patient		Binary variable for each Brazilian state
Description	Verifies differences among states of residence of patients in the access of medication provided by SUS or in execution of CEAF, and its influence on diffusion rates.	
Method	Extraction of data regarding patients' state of residence from SUS databases, described in Methods.	

(continues)

Table 2 (continued)

Category of variable		Value
15. Region of residence of patient		Binary variable for each Brazilian region
Description	Verifies differences among regions of residence of patients in the access of medication provided by SUS or in execution of CEAF, and its influence on diffusion rates.	
Method	Extraction of data regarding patients' region of residence from SUS databases, described in Methods.	
16. Long-term use medication		Binary variable (Yes, No)
Description	Analyzes the influence of period recommended for treatment on diffusion rate, considering that continuous-use medication usually presents lower adherence from patients.	
Method	Analysis of general recommendations regarding the period recommended for treatment using the medication in the PCDT for the targeted disease, at the period of pharmaceutical innovation incorporation within SUS. Long-term use medication was considered to be indicated for utilization during periods longer than one year of treatment. In the case of pharmaceutical innovations without published PCDT at the moment of incorporation, information contained in recent PCDT were adopted.	
17. Improvement in route of administration		Binary variable (Yes, No)
Description	Analyzes the impact of advantages in dosage scheme or route of administration of the pharmaceutical innovation in comparison to other medications already available for treatment of the same disease at CEAF.	
Method	Analysis of recommended dosage of the pharmaceutical innovation in comparison to other medication available, considering information of dosage per week or ease in route of administration. Advantages in route of administration were based on the following hierarchy: oral > subcutaneous or intradermic > intramuscular > intravenous (with the first options considered to be preferable to the latter ones).	

Binary variable: variable assuming values 0 or 1, according to the characteristics attributable to the case in analysis, indicating the effect of the characteristic described on the rate of adoption; CEAF: Brazilian Program for Specialized Pharmaceutical Services; ICD-10: 10th revision of the International Classification of Diseases; NA: non-applicable; PCDT: clinical protocol and therapeutic guideline; PMC: maximum price for consumers.

Source: prepared by the authors, based on synthesis of documental research at the Brazilian Ministry of Health.

Results

Considering 17 categories of independent variables described, seven categories presented association with diffusion rates (Table 3).

Results from the zero-one inflated beta model showed a higher influence on diffusion rates of pharmaceutical innovations due to: the number of pharmaceutical competitors for treatment of disease available at CEAF (negative); medicine used in association (positive); and innovative medicine within the SUS (positive).

Variables related to the characteristics of pharmaceutical innovations were prominent to diffusion rates within the SUS, whereas organizational characteristics of the health system adopting innovations were mostly represented by region of residence of patients, which may account for major differences in infrastructure and management of the SUS.

There was a set of variables without a statistically significant association with diffusion of pharmaceutical innovations within the SUS:

other treatments available at CEAF; line of treatment; time gap to clinical protocol publication; type of disease and medical specialty; patent; price in comparison to competitors; management level responsible for acquisition; patients' state of residence; and route of administration. Nevertheless, considering the correlation between variables excluded and some variables included in the model, it was expected that part of the variables tested would be omitted.

Discussion

There is a lack of evidence in the scientific literature regarding theoretical frameworks and methods to support BIA referring to adoption rates of health technology innovations within national health systems, either in the public or private sectors. A limited number of studies investigated trends of budgetary effects and determinants of diffusion rates of recent health technology innovations worldwide. This study proposes a pilot assessment on determinants influencing

Table 3

Coefficients of zero-one inflated beta model for diffusion rate of pharmaceutical innovations in the Brazilian Unified National Health System (SUS). Brazil, 2015.

Variable	β	Sig	SE
α	-8.58	*	1.90
Number of competitors for treatment of the same disease within CEAF	-1.48	*	0.16
Medicine used in combination with other medication	1.36	*	0.39
Innovation within the SUS	1.25	*	0.30
Annual cost of drug therapy per patient	0.74	*	0.21
Long-term use medication	0.48	**	0.22
Lag period after incorporation of the medicine (in trimesters)	0.07	*	0.01
Number of patients diagnosed with the disease	0.00	*	0.00
North Region	1.10	*	0.11
Northeast Region	0.53	*	0.09
South Region	0.29	***	0.10
Central Region	0.26	**	0.10
R ²			
	Cox Snell	0.26	
	Nagelkerke/Cragg Uhler	0.40	

* $p < 0.001$;** $p < 0.05$;*** $p < 0.01$.

α : intercept; β : coefficient of the corresponding variable in regression results; CEAF: Brazilian Program for Specialized Pharmaceutical Services; SE: standard error of the corresponding variable; Sig: statistical significance of the coefficient of the corresponding variable in the model.

Categories of variables presenting association with the diffusion rates = number of competitors for treatment of the same disease within CEAF; Medicine used in combination with other medication; Innovation within the SUS; Annual cost of drug therapy per patient; Long-term use medication; Lag period after incorporation of the medicine (in trimesters); and Region of residence of the patient.

diffusion rates of pharmaceutical innovations within the SUS.

Results obtained were consistent with prior knowledge on diffusion rates of novel medication^{15,16}, showing trends for faster diffusion rates of medication with incremental benefits, and treatments with higher costs inducing higher demand within the SUS. Slower adoption rates of medication with substitutes (competitors) within CEAF indicated impact on prices due to competition in the pharmaceutical market.

This study investigated influences on the rate of diffusion of pharmaceutical innovations within the SUS on two of three possible dimensions¹⁵: medication features and organizational characteristics. The third dimension, regarding characteristics of individuals, was not within the scope of databases used to perform the analyses; thus, a main limitation of the study is the lack of information on the preferences of physicians, patients, society and the pharmaceutical industry.

Another limitation is the potential duplication of patients' records within DATASUS data-

bases; considering evidence showing that quality of data extracted from DATASUS may be compromised due to duplication or lack of consistency in the patients' registry, depending on the type of information used^{18,19}. Nevertheless, with regard to the CEAF data, limitations are considerably lower, due to the need of medical prescriptions for treatment of each patient diagnosed within the SUS during the same period (monthly).

Contributions made by this pilot study in measuring diffusion rates of pharmaceutical innovations and identifying their immediate determinants should be acknowledged due to internal validity of analysis and viability for reproduction to support further evidence for BIA research.

In order to ensure the external validity of results obtained in this study, further research on diffusion rates of health technology innovations is required, including a wider scope of diseases and medication, potential confusion factors and other variables that may influence rates of adoption in different health systems.

Contributors

R. E. Schneiders, R. M. Ronsoni, F. M. Sarti, M. E. Nita, E. A. Bastos and I. R. Zimmermann contributed in the conception and design, article write-up and critical revision, approval of final version for publication, and responsibility for all elements of the study in its entirety ensuring accuracy and integrity. F. F. Ferreira collaborated in the data analysis and interpretation, article write-up and critical revision, approval of final version for publication, and responsibility for all elements of the study in its entirety ensuring accuracy and integrity.

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Resumo

As análises de impacto orçamentário exigem um conjunto de informações essenciais sobre inovação em tecnologias da saúde, inclusive taxas esperadas de adoção. Nas últimas décadas, verifica-se ausência de estudos internacionais sobre tendência, tamanho do impacto orçamentário e determinantes das taxas de difusão das inovações tecnológicas em saúde. O estudo propõe uma avaliação preliminar dos principais determinantes das taxas de difusão de inovações tecnológicas no Sistema Único de Saúde (SUS). Foram coletados dados do Departamento de Informática do SUS (DATASUS) para identificar os principais determinantes das taxas de difusão das inovações tecnológicas em saúde no Brasil, especificamente em relação às inovações farmacêuticas incorporadas pelo Componente Especializado da Assistência Farmacêutica (CEAF) no SUS. No DATASUS, foram recuperados dados relativos a pacientes que haviam utilizado um dos medicamentos incorporados pelo CEAF pelo menos três anos antes do início do estudo (2015) para o tratamento de cada doença especificada. Assim, foram analisados dados de pacientes que utilizaram 10 diferentes medicamentos no presente estudo. Os resultados do modelo de regressão beta inflacionado demonstraram maior influência sobre taxas de difusão das inovações farmacêuticas em decorrência de: número de concorrentes farmacêuticos disponíveis no CEAF para tratamento da mesma doença (negativo); medicamentos utilizados em combinação com outros medicamentos (positivo) e medicamentos inovadores dentro do SUS (positivo). São necessários mais estudos sobre as taxas de difusão das inovações tecnológicas em saúde, incluindo uma gama maior de doenças e de medicamentos, potenciais fatores de confusão e outras variáveis que possam influenciar as taxas de adoção de inovações tecnológicas pelos diferentes sistemas de saúde.

Difusão de Inovação; Avaliação da Tecnologia Biomédica; Avaliação em Saúde

Resumen

Un análisis de impacto presupuestario requiere un conjunto de información esencial sobre innovación en tecnología de la salud, incluyendo tasas esperadas de incorporación. Existe una falta de estudios que investiguen tendencias, magnitud de los efectos presupuestarios, y determinantes de las tasas de difusión para innovaciones en tecnología de salud en todo el mundo durante las últimas décadas. El presente estudio propone una evaluación piloto sobre los principales determinantes que influyen las tasas de difusión de las innovaciones farmacéuticas dentro del Sistema Único de Salud brasileño (SUS). Los datos provienen del Departamento de Información del SUS (DATASUS) y fueron recopilados para establecer los principales determinantes de las tasas de difusión de innovaciones en tecnología de la salud en Brasil, refiriéndose a las innovaciones farmacéuticas incorporadas en el Programa brasileño para Servicios Farmacéuticos Especializados (CEAF) en el SUS. La información fue rescatada del DATASUS relativa a pacientes que habían usado una de las medicinas incorporadas al CEAF al menos 3 años antes del comienzo del estudio (2015) para tratamiento de cada condición de salud disponible. Así, fueron analizados datos de pacientes que usaron 10 medicamentos diferentes. Los resultados del modelo de regresión beta aumentada mostraron una influencia más alta en las tasas de difusión de las innovaciones farmacéuticas debido a: número de competidores para el tratamiento de la misma enfermedad disponible en el CEAF (negativo); medicamentos usados en combinación con otra medicación (positivo); y medicina innovadora en el SUS (positivo). Se requiere más investigación adicional sobre las tasas de difusión en tecnología de la salud, incluyendo un enfoque más amplio de las enfermedades y su medicación, potenciales factores de confusión y otras variables que quizás influyeran las tasas de incorporación a los diferentes sistemas de salud.

Difusión de Innovaciones; Evaluación de la Tecnología Biomédica; Evaluación en Salud

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Schneiders RE, Ronsoni RM, Sarti FM, Nita ME, Bastos EA, Zimmermann IR, Ferreira FF. Factors associated with the diffusion rate of innovations: a pilot study from the perspective of the Brazilian Unified National Health System. *Cad Saúde Pública* 2016; 32(9):e00067516.

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The journal has been informed about some errors in the paper. The corrections are follows:

A revista foi informada sobre alguns erros no artigo. As correções seguem abaixo:

La revista fue informada sobre algunos errores en el artículo. Siguen las correcciones:

- Where the text read:

Table 1

Selected pharmaceutical innovations incorporated in the Brazilian Program for Specialized Pharmaceutical Services (CEAF). Brazil, 2015.

Medicine	Incorporation date	Indication	Other treatments available at CEAF	Proportion of patients using innovative medicine *			
				1 st t = 0	12 th t = 4	24 th t = 8	36 th t = 12
Cyclophosphamide	Oct/2008	Acquired chronic pure red cell aplasia	Azathioprine, Cyclosporine, Immunglobulin	0.0			
Deferasirox	Oct/2008	Chronic iron overload	Deferiprone, Deferoxamine	0.0	67.5	76.9	79.6
Everolimus	Oct/2008	Kidney transplant	Azathioprine, Cyclosporine, Methylprednisolone, Mycophenolate mofetil, Mycophenolate sodium, Sirolimus, Tacrolimus	0.0	0.1	1.2	1.9
Galantamine	Oct/2008	Alzheimer's disease	Donepezil, Rivastigmine	7.4	11.7	15.5	16.8
Aluminium hydroxide	Mar/2010	Hyperphosphatemia in chronic kidney insufficiency	Calcitriol, Sevelamer	0.0	0.0	0.0	0.0
Clobazam	Mar/2010	Epilepsy	Ethosuximide, Gabapentin, Lamotrigine, Primidone, Topiramate, Vigabatrin	0.0	5.1	3.7	4.5
Entecavir	Dec/2009	Hepatitis B	Adefovir, Interferon-alpha, Lamivudine, Tenofovir	0.0	21.8	30.0	
Sildenafil	Mar/2010	Pulmonary arterial hypertension	Iloprost	99.0	99.9	99.9	100.0
Natalizumab	Mar/2010	Multiple sclerosis	Azathioprine, Glatiramer, Interferon-beta	0.0	2.0	5.2	6.6
Pyridostigmine	Mar/2010	Myasthenia gravis	Azathioprine, Cyclosporine, Immunglobulin	0.0	27.2	32.8	36.6

* Percentage in relation to the total number of patients treated for the disease at the CEAF for the same use.

Source: prepared by the authors, based on synthesis from Brazilian Health Informatics Department (DATASUS).

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Cyclophosphamide	Oct/2008	Acquired chronic pure red cell aplasia	Azathioprine, Cyclosporine, Immunoglobulin	0.0	0.1	1.3	9.1
Deferasirox	Oct/2008	Chronic iron overload	Deferiprone, Deferoxamine	0.0	67.5	76.9	79.6
Everolimus	Oct/2008	Kidney transplant	Azathioprine, Cyclosporine, Methylprednisolone, Mycophenolate mofetil, Mycophenolate sodium, Sirolimus, Tacrolimus	0.0	0.1	1.2	1.9
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Source: prepared by the authors, based on synthesis from Brazilian Health Informatics Department (DATASUS).

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Table 2

Description and characterization of categories of independent variables for analysis of diffusion rate of pharmaceutical innovations in the Brazilian Unified National Health System (SUS). Brazil, 2015.

Category of variable		Value
1. Other treatments already available at the CEAF		
Description	Analyzes the influence of preexistence of other medication available for treatment of the same disease at CEAF, which may facilitate access to pharmaceutical innovations due to previous knowledge of physicians and patients.	Binary variable (Yes, No)
Method	Search in CEAF ordinances to identify other medication associated with ICD-10 correspondent to the specific disease targeted by the pharmaceutical innovation.	
2. Number of competitors for treatment of the same disease		
Description	Identifies the amount of competitor medications for the same line of treatment of the disease, influencing the probability of pharmaceutical innovation adoption.	Discrete variable (Count)
Method	Analysis of the first clinical PCDT available for the targeted disease. If no competitor medications are mentioned, the variable value was zero. Otherwise, the number of active principles competing for treatment of the same disease was computed.	
3. Line of treatment		
Description	Analyzes the influence of the line of treatment on diffusion rates, since medication in the last line of treatment, theoretically, should be prescribed to a smaller number of patients.	Binary variable for each line of treatment (1st line, 2nd line, 3rd line, or NA)
Method	Analysis of the first PCDT available for the targeted disease, in order to identify changes in line of treatment using another health technology (pharmaceutical or other) in case of refractoriness, fail or intolerance to standard treatment. If it was not possible to establish a line of treatment, the term "non-defined" was attributed.	
4. Medicine used in combination with other medication		
Description	Verifies the influence of need to adopt a combined use of medication, due to potential difficulties to access other medicines prescribed.	Binary variable (Yes, No)
Method	Analysis of the first PCDT available for the targeted disease, in order to identify indication of use in association with other medicines.	
5. Innovation within the SUS		
Description	Analyzes the influence of incremental benefits of the pharmaceutical innovation in comparison to other types of treatment of the disease.	Binary variable (Yes, No)
Method	Due to absence of specific definition regarding the concept of innovation in health care, the following premises were adopted: Medication for treatment of diseases not yet available at SUS; Medication for treatment of diseases already available that: Represents new line of treatment of the disease; or Presents improved efficacy in relation to other medication already available, based on search of evidences published in meta-analysis or direct comparison ^{20,21} . Other medications competing in the same line of treatment and in the same pharmacological category were not considered innovative.	
6. Time gap between from incorporation and clinical protocol publication (months)		
Description	Analyzes the influence of PCDT in diffusion rates, due to definition of prescription and utilization criteria.	Discrete variable (Count)
Method	Identification of the publication date of PCDT. If the PCDT was published prior to the medication incorporation, the variable value was zero.	
7. Treatment for infectious diseases		
Description	Analyzes the influence of type of disease in diffusion rates of pharmaceutical innovations, considering that infectious diseases have limited time for treatment in comparison to other types of diseases.	Binary variable (Yes, No)
Method	Assessment of characteristics of the targeted diseases, according to description in PCDT.	

(continues)

Table 2 (continued)

Category of variable		Value
8. Lag period after incorporation of the medicine (in trimesters)		Discrete variable
Description	Information used to estimate the diffusion rates over time (up to three years after incorporation).	(Count)
Method	Assignment of ordinal category corresponding to the number of trimesters after incorporation.	
9. Area of specialty in medicine		Binary variable for each area of medical specialty
Description	Analyzes the influence of the area of medical specialty of the disease on diffusion rates of pharmaceutical innovations.	(Cardiology, Hematology, Infectious Disease, Rheumatology, Gastroenterology, Nephrology, Neurology)
Method	Analysis of the PCDT for the targeted disease, in order to determine the area of medical specialty for treatment of the disease. Each disease was categorized in only one specialist area, if more than one area was indicated; the most representative specialist area was adopted.	
10. Medicine with patent (monopoly)		Binary variable
Description	Analyzes the influence of the presence or absence of generic or similar drugs at the moment of incorporation, which presupposes the absence or presence of patent, respectively.	(Yes, No)
Method	Search in the price list of the Chamber for Regulation of the Pharmaceutical Market, in order to identify generic or similar drugs in Brazil.	
11. Annual cost of drug therapy per patient		Continuous variable
Description	Analyzes the interference of drug therapy costs per patient in the diffusion rate and potential impacts of reduction in prices due to scale in production, considering that overall budget impact may influence the access to medication within the SUS.	(Log R\$)
Method	Estimation of annual costs for standard treatment (in log), considering recommended dosage of the medication in the PCDT for the targeted disease, at the period of pharmaceutical innovation incorporation within the SUS. A standard patient profile weighting 70kg was adopted, in case of dosage per body weight. The annual costs were based on the amount of medication for annual treatment and the PMC (18%) from the Chamber for Regulation of the Pharmaceutical Market (2014).	
12. Higher price in comparison to pharmaceutical competitors		Binary variable
Description	Analyzes the influence of variations in price on the diffusion rate, in comparison with other technologies for drug therapy of the same disease already available at the SUS.	(Yes, No, NA)
Method	Comparison of the variable "annual cost of drug therapy per patient" in relation to the annual costs estimated for drug therapy of the targeted disease using other medication available within SUS. The annual costs were based on the amount of medication for annual treatment and the PMC (18%) from the Chamber for Regulation of the Pharmaceutical Market (2014). If there are no other therapeutic options for treatment of the disease, the variable value was "non applicable".	
13. Public management level responsible for acquisition of medication		Dummy variable for each government level
Description	Assesses the impact of diverse patterns of acquisition of medication for CEAF (federal, and/or state level acquisition) on diffusion rates.	(Ministry of Health, State Secretary of Health, or both)
Method	Identification of the public management level responsible for acquisition of the medication, through search in Ministry of Health ordinances that established the Component of Medications with Exceptional Dispensation (Ordinance GM/MS 2,577/2006), the CEAF (Ordinance GM/MS 2,981/2009), and other ordinances published for alteration or revocation of the previous ordinances and its annexes. Changes in responsibility during the period analyzed were categorized as "both".	
14. State of residence of patient		Binary variable for each Brazilian state
Description	Verifies differences among states of residence of patients in the access of medication provided by SUS or in execution of CEAF, and its influence on diffusion rates.	
Method	Extraction of data regarding patients' state of residence from SUS databases, described in Methods.	

(continues)

Table 2 (continued)

Category of variable		Value
15. Region of residence of patient		Binary variable for each Brazilian region
Description	Verifies differences among regions of residence of patients in the access of medication provided by SUS or in execution of CEAF, and its influence on diffusion rates.	
Method	Extraction of data regarding patients' region of residence from SUS databases, described in Methods.	
16. Long-term use medication		Binary variable (Yes, No)
Description	Analyzes the influence of period recommended for treatment on diffusion rate, considering that continuous-use medication usually presents lower adherence from patients.	
Method	Analysis of general recommendations regarding the period recommended for treatment using the medication in the PCDT for the targeted disease, at the period of pharmaceutical innovation incorporation within SUS. Long-term use medication was considered to be indicated for utilization during periods longer than one year of treatment. In the case of pharmaceutical innovations without published PCDT at the moment of incorporation, information contained in recent PCDT were adopted.	
17. Improvement in route of administration		Binary variable (Yes, No)
Description	Analyzes the impact of advantages in dosage scheme or route of administration of the pharmaceutical innovation in comparison to other medications already available for treatment of the same disease at CEAF.	
Method	Analysis of recommended dosage of the pharmaceutical innovation in comparison to other medication available, considering information of dosage per week or ease in route of administration. Advantages in route of administration were based on the following hierarchy: oral > subcutaneous or intradermic > intramuscular > intravenous (with the first options considered to be preferable to the latter ones).	

Binary variable: variable assuming values 0 or 1, according to the characteristics attributable to the case in analysis, indicating the effect of the characteristic described on the rate of adoption; CEAF: Brazilian Program for Specialized Pharmaceutical Services; ICD-10: 10th revision of the International Classification of Diseases; NA: non-applicable; PCDT: clinical protocol and therapeutic guideline; PMC: maximum price for consumers.

Source: prepared by the authors, based on synthesis of documental research at the Brazilian Ministry of Health.

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Description and characterization of categories of independent variables for analysis of diffusion rate of pharmaceutical innovations in the Brazilian Unified National Health System (SUS). Brazil, 2015.

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Method	Due to absence of specific definition regarding the concept of innovation in health care, the following premises were adopted: <ul style="list-style-type: none"> • Medication for treatment of diseases not yet available at SUS; • Medication for treatment of diseases already available that: <ul style="list-style-type: none"> A. Represents new line of treatment of the disease; or B. Presents improved efficacy in relation to other medication already available, based on search of evidences published in meta-analysis or direct comparison ^{20,21}. Other medications competing in the same line of treatment and in the same pharmacological category were not considered innovative.	
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