Revi-

Metathesis in Oleochemistry

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Metátese é uma reação atraente para a conversão de óleos e gorduras em produtos químicos úteis. Assim, a metátese de ésteres de ácidos graxos insaturados (constituintes básicos de óleos e gorduras) é uma rota conveniente para a síntese de diésteres insaturados, que podem ser usados como intermediários na produção de polímeros e na química fina. A metátese cruzada com olefinas simples permite alterar o comprimento da cadeia carbonada de ácidos graxos e de seus derivados; a metátese cruzada com eteno (etenólise) fornece compostos contendo ligações duplas terminais, que possuem uma extensa gama de aplicações. A etenólise de óleos graxos (triglicerídeos) permite a transformação de triglicerídeos de ácidos graxos de longas cadeias carbonadas em óleos graxos de menor massa molecular. Diversos sistemas catalíticos - homogêneos ou heterogêneos -, altamente seletivos, se mostraram capazes de efetuar a metátese de ésteres insaturados. Catalisadores heterogêneos à base de rênio ou de molibdênio têm atraído muita atenção. Considerando os procedimentos de ativação e de regeneração do catalisador, os sistemas catalíticos à base de rênio são preferidos.

Metathesis is an attractive reaction for the conversion of oleochemical feedstocks into useful chemical products. Thus, metathesis of unsaturated fatty acid esters (the oleochemical raw materials) provides a convenient route to unsaturated diesters which can be used as intermediates for the production of polymers and specialty chemicals. Cross-metathesis with olefins is a means to change the chain length of fatty acids and derivatives; cross-metathesis with ethene (ethenolysis) gives compounds with terminal double bonds, having a broad range of applications. Ethenolysis of fatty oils or triglycerides allows the transformation of long-chain fatty acid triglycerides into fatty oils of lower molecular weight. Several highly selective catalyst systems - homogeneous as well as heterogeneous - have proven to be successful for the metathesis of unsaturated esters. Especially modified heterogeneous rhenium- and molybdenum-based catalysts have attracted much attention. Considering catalyst activation and regeneration procedures, rhenium-based catalysts are to be preferred.

Keywords: metathesis, catalysts, oleochemicals, esters

Introduction

Fats and oils

In the next century the availability of fossil organic feedstocks - both as energy sources and for the production of organic chemical raw materials - will gradually decrease. Hence it is important to look for alternatives. These can be found in renewable resources both for energy purposes as well as for raw materials for the chemical industry. In the latter case, much attention has already been given to the use of natural fats and oils of vegetable and animal origin in the *oleochemical* industry. Oleochemicals are not only produced from renewable resources, but they also have the advantage of a good biodegradability and no net CO₂ production.

Fats and oils (composed predominantly of glyceryl esters of fatty acids) are important sources both for nutrition and as raw materials for the oleochemical industry. About 15% of the world production of fats and oils (81 million t/a in 1990, 105 million t/a expected in 2000) is used in the oleochemical industry as starting materials for a wide range of chemical products¹. The most important group from the point of view of cost-effectiveness is that of the long-chain vegetable oils, such as soybean oil, sunflower oil and new rapeseed oil (all consisting mainly of unsaturated C₁₈ fatty acid chains) and palm oil (containing both C₁₆ and C₁₈ chains). The oleochemical industry prefers a high content of oleic acid (mono-unsaturated C₁₈ fatty acid) because this is an important starting material for many consecutive chemical reactions. Short- and medium-chain fatty oils, such as palm-kernel oil and coconut oil, consist mainly of lauric (C_{12}) and myristic (C_{14}) acid and are important sources for the production of detergents, soaps, emulsifiers, etc. Animal fats, such as tallow (containing 40-45% oleic acid), are also in demand as raw material, mainly because of their low price. Some other vegetable oils are the source of oleochemicals on a smaller scale. An example is castor oil, consisting mainly (85-95%) of ricinoleic acid, which has a wide range of industrial uses.

The feedstocks for vegetable oils and fats come from different parts of the world. Soybeans are produced chiefly in the USA, Brazil, China and Argentina, rapeseed in China, Europe, Canada and India, and sunflowers in East & South Europe and Central & South America. Coconut and palm kernel oil come from Southeast Asian Countries.

Fatty acid esters are generally obtained by transesterification of natural oils and fats with a lower alcohol, *e.g.* methanol. Although more than 95% of all chemical reactions (*e.g.* conversion into fatty alcohols and fatty amines) of fatty acid esters (or acids) are carried out at the carboxy function, transformation of unsaturated fatty acid esters by reactions of the carbon-carbon double bond, such as hydrogenation, epoxidation, ozonolysis, hydroformylation and dimerization, are becoming increasingly of industrial importance. Metathesis is another reaction of the carbon-carbon double bond.

Metathesis

Olefin metathesis is a catalytic exchange reaction between two alkene molecules during which two alkylidene groups are interchanged. For normal olefins this can be represented by reaction (1).

A simple example is the metathesis of propene (R = H; $R' = CH_3$) into ethene and but-2-ene. The forward reaction is often called *self-metathesis*, while the reverse reaction, *i.e.* a reaction between two different alkene molecules, is called *cross-metathesis*. In fact, many olefinic substrates can un-

dergo metathesis in the presence of a suitable catalyst, resulting in a wide variety of possible products. These substrates include acyclic alkenes, dienes, polyenes, cyclic alkenes, and also functionally substituted alkenes, such as unsaturated esters, nitriles, halogens etc.

At the moment there are various commercial applications of olefin metathesis: (i) the production of polymerisation-grade propene via cross-metathesis between ethene and but-2-ene; this is, in fact, the reverse process of the first industrial application of the olefin metathesis reaction, viz. the metathesis of propene into high-purity ethene and but-2-ene, called the Phillips Triolefin Process, which was in operation from 1966 till 1972; (ii) the production of neohexene (an important intermediate in the manufacture of synthetic musks) via cross-metathesis of di-isobutene with ethene; (iii) the Shell Higher Olefins Process (SHOP), a large-scale industrial process incorporating olefin metathesis, for converting ethene to detergent-range olefins; (iv) the production of several kinds of polymers produced via the metathesis of cyclic olefins, such as cyclooctene, norbornene, and dicyclopentadiene. A promising synthetic application for the metathesis reaction concerns the metathesis of acyclic olefins containing heteroatom functional groups. This would allow single-step syntheses of various mono- and difunctional derivatives of hydrocarbons with well-defined structures.

Considering the extensive possibilities offered by the metathesis of unsaturated hydrocarbons within chemical synthesis, it is not surprising that much research is being carried out into the development of active and selective catalysts for this reaction. The metathesis reaction can be catalysed by both heterogeneous and homogeneous catalysts. A wide variety of transition metal compounds will catalyse the reaction, the most important ones being based on W, Mo, Re and Ru. Heterogeneous catalysts generally consist of a transition metal oxide, or an organometallic precursor, deposited on a high-surface-area support (an inorganic oxide). Examples are Re₂O₇/Al₂O₃, MoO₃/SiO₂, WO_3/SiO_2 , and $(\pi-C_4H_7)_4W/SiO_2$. In particular a supported Re₂O₇ catalyst shows pronounced activity and high selectivity even at room temperature; when promoted with R₄Sn (R = Me, Et, Bu) it becomes also active for the metathesis of unsaturated esters and other functionalized olefins. Homogeneous catalysts mainly consist of (i) a combination of the transition metal compound (usually a chloride, such as WCl₆, WOCl₄ and ReCl₅) and an organometallic compound, e.g. $R_4Sn (R = alkyl)$ or $EtAlCl_2$, as cocatalyst, or (ii) a well-defined alkylidene (carbene) complex of a transition metal, e.g. Ru(=CHCH=CPh2(Cl)2 (PCy3)2. Only a relatively small number of the many metathesis catalyst systems are able to bring about the metathesis of functionalized olefins; these will be discussed in Catalysts section.

Metathesis is a transalkylidenation reaction and it is generally accepted that the reaction proceeds *via* the so-called metal-carbene mechanism. The propagation reaction involves a transition-metal carbene as the active species with a vacant co-ordination site at the transition metal. The alkene co-ordinates at this vacant site, and subsequently a metal-lacyclobutane intermediate is formed. The metallacycle is unstable and cleaves to form a new metal carbene complex and a new alkene, reaction (2). The initial metal carbene can be formed by a reaction between the catalyst and the cocatalyst, if present, or by interaction of the substrate alkene with the transition metal centre. When using an actual metal carbene as catalyst a metal-carbene forming step is, of course, not necessary. A detailed monograph dealing with all aspects of olefin metathesis has recently appeared².

Metathesis of Unsaturated Fatty Acid Esters

Self-metathesis

Unsaturated fatty acid esters and fatty oils are very promising and cheap feedstocks for metathesis. For this reason, the metathesis reaction is of interest to the oleochemical industry.

The first successful metathesis conversion in this area was the selective transformation of methyl oleate (methyl cis-octadec-9-enoate), a readily available ester, into equimolar amounts of octadec-9-ene and dimethyl octadec-9-enoate in the presence of a WCl₆/Me₄Sn catalyst system³, reaction (3).

Because the free enthalpy change in this type of reaction is virtually zero, the result at equilibrium is a random distribution of the alkylidene groups. Thus, starting with methyl oleate, the equilibrium mixture consists of 50 mol% of the starting material and 25 mol% of each of the products octadec-9-ene and dimethyl octadec-9-enoate. The *cis/trans* ratio of the reaction products is also in accordance with thermodynamics. This demonstrates that - in the presence of a suitable catalyst - the metathesis of unsaturated fatty acid

esters provides a convenient and highly selective route to unsaturated diesters. Unsaturated diesters can be used for the production of useful chemical products such as macrocyclic compounds. For instance, the diester obtained by metathesis of ethyl oleate has been subjected to a two-step reaction sequence, i.e. a Dieckmann condensation followed by hydrolysis-decarboxylation give 9-cycloheptadecen-1-one, whose cis form, civetone, is an important base material in the perfume industry^{4,5}, reaction (4). Moreover, unsaturated dicarboxylic esters and acids are interesting starting materials for the manufacture of polyesters and polyamides⁶. On the other hand, the product octadec-9-ene can be dimerized and hydrogenated to 10,11-dioctyleicosane, a lube-oil range hydrocarbon intermediate⁷.

Many other unsaturated fatty acid methyl esters of the general formula $Me(CH_2)_nCH=CH(CH_2)_mCOOMe$ have been shown to undergo metathesis, such as methyl palmitoleate (n=5, m=7), methyl erucate (n=7, m=11) and methyl petroselenate (n=10, m=4). Another example is methyl undec-10-enoate, which can be obtained from castor oil *via* pyrolysis cleavage of the ricinoleic acid. This reaction proceeds to completion when the by-product ethene is continuously removed during the reaction, reaction (5). It should be noted that olefins with an OH-containing functional group, such as COOH and CHO, deactivate most catalysts which are active for the metathesis of esters⁸.

2
$$CH_2$$
= $CH(CH_2)_8COOMe$
MeOOC($CH_2)_8CH$ = $CH(CH_2)_8COOMe + CH_2 = CH_2 (5)$

For the metathesis of methyl oleate a very pure substrate is required. In an alternative process for the synthesis of civetone, methyl oleate is first converted to the doubly-unsaturated ketone pentatriaconta-9,26-dien-18-one, *oleon* (1), which can be separated in pure form from the reaction mixture. Oleon is then conver-

ted into 9-cycloheptadecen-1-one (2) via an *intra*molecular metathesis reaction (see Scheme 1), at room temperature in the presence of a Re₂O₇ catalyst supported on SiO₂-Al₂O₃ and promoted with Bu₄Sn. To reduce the possibility of *inter*molecular metathesis between two oleon molecules it is necessary to carry out the reaction under high dilution conditions⁹.

Metathesis of polyunsaturated fatty acid esters, *e.g.* methyl linoleate and methyl linolenate, leads to a variety of reaction products, including polyenes, monoesters, diesters and cyclopolyenes¹⁰.

Cross-metathesis

Cross-metathesis of unsaturated fatty acid esters with a normal alkene is an elegant way of synthesising homologues of these esters, and greatly extends the versatility of the metathesis reaction in the field of oleochemistry. From most industrial vegetable oil crops fatty acid esters are obtained with a predominant chain length of 18 carbon atoms. Shortening these esters to medium-chain fatty acid esters (C_{10} - C_{14}) is possible via cross-metathesis with lower olefins, see *e.g.* reaction (6)¹¹⁻¹³.

$$Me(CH_2)_7CH=CH(CH_2)_7COOMe$$

+ $EtCH=CHEt$ \longrightarrow $EtCH=CH(CH_2)_7COOMe$ + $Me(CH_2)_7CH=CHEt$ (6)

A large excess of the normal alkene can force the reaction to the product side. Fatty acid derivatives of medium chain length - especially C_{12} - are in high demand for the industrial production of surfactants. With regard to their chain length these esters are quite similar to those of the fatty acids derived from palm kernel oil and coconut oil. The by-product alkenes, with the double bond near the end of the chain, can be used for example for the production of $C_{12}\text{-}C_{14}$ alcohols by hydroformylation.

On the other hand, instead of shortening the carbon chain of unsaturated esters, it is possible to lengthen it, as illustra-

Scheme 1. Metathesis of oleon.

ted for the cross-metathesis between methyl undec-10-enoate and hex-3-ene in Eq. 7.

$$CH_2$$
= $CH(CH_2)_8COOMe + EtCH=CHEt$
 $EtCH=CH(CH_2)_8COOMe + CH_2=CHEt$ (7)

From a synthetic point of view, cross-metathesis reactions are very promising in opening new routes for the synthesis of derivatives that often can hardly be obtained by other means. An example is the is the synthesis of 1-triacontanol, CH₃(CH₂)₂₈CH₂OH, a plant growth stimulant, in a relatively simple two-step process by cross-metathesis between methyl erucate and octadec-1-ene in the presence of a WCl₆/Me₄Sn catalyst, reaction (8), followed by hydrogenation of the ester thus obtained¹⁴.

Another example of organic synthesis *via* cross-metathesis is the synthesis of biologically active unsaturated compounds such as insect pheromones. Use of such pheromones offers an effective and selective pest control method. Thus, cross-metathesis of ethyl oleate with dec-5-ene results in a *cis-trans* mixture of ethyl tetradec-9-enoate, a pheromone precursor¹⁵. Ethyl tetradec-9-enoate is also obtained by cross-metathesis of mixtures of unsaturated C₁₈ ethyl esters (oleic, linoleic, linolenic) derived from olive, sunflower or linseed oil with excess dec-5-ene¹⁶. Other examples are summarised elsewhere^{8,17}.

Cross-metathesis of an unsaturated ester with a cyclic olefin leads to long-chain linear di-unsaturated esters. Thus, 1-triacontanol is also obtained by cross-metathesis between methyl oleate and cyclododecene, reaction (9), followed by hydrogenation of the unsaturated diester product¹⁸.

$$Me(CH_2)_7CH = CH(CH_2)_7COOMe + (CH_2)_{10} \parallel \underbrace{_{CH_2}}_{CH}$$

$$Me(CH_2)_7CH = CH(CH_2)_{10}CH = CH(CH_2)_7COOMe$$
 (9)

Ethenolysis

Cross-metathesis of an olefinic compound with ethene is called *ethenolysis*. Ethenolysis of unsaturated fatty acid esters allows the synthesis of shorter-chain ω -unsaturated esters which have a broad range of applications. An excess of ethene can easily be applied (*e.g.* by using an ethene pressu-

res of 30-50 bar) to suppress self-metathesis of the ester and to force the conversion to completion. The ethenolysis of methyl oleate will lead to methyl dec-9-enoate, together with dec-1-ene^{19,20}, reaction (10).

$$Me(CH_2)_7CH=CH(CH_2)_7COOMe + CH_2=CH_2$$

$$Me(CH_2)_7CH=CH_2 + CH_2=CH(CH_2)_7COOMe \quad (10)$$

Methyl dec-9-enoate is the hypothetical source of many polymers and copolymers; it can be converted e.g. into the ω -amino acid, and then used for the production of nylon-10. It is an interesting chemical building block for the synthesis of relevant chemical products; after hydrolysis and hydrogenation it yields decanoic acid or decanol, substances used in lubricants and plasticizers. In addition, fragrances can be obtained (such as 9-dec-1-enol and civetone), as can pheromones, prostaglandins etc. 8,17 , which can easily be isolated in pure form. Dec-1-ene, like other alk-1-enes, is an important intermediate in organic syntheses, and has a variety of end uses in polymers, surfactants and lubricants. Ethenolysis of methyl erucate gives another ω -unsaturated ester, methyl tetradec-13-enoate, which could have applications analogous to those of methyl dec-9-enoate.

For efficient production of the diester of methyl oleate a two-step process can be considered²¹. First, methyl oleate undergoes ethenolysis to dec-1-ene and methyl dec-9-enoate; high conversions can be obtained by using a high ethene pressure. After product separation, methyl dec-9-enoate undergoes self-metathesis to ethene and dimethyl octadec-9-enoate. In the latter case the equilibrium can be shifted to the product side by continually removing the volatile ethene. In this way more than 50% conversion can be obtained in both reaction steps, and there are no big problems in separating the reaction products.

Structure and reactivity of esters in metathesis

In different studies the relation between the structure of fatty acid esters (and related compounds) and their reactivity in metathesis has been investigated with two types of catalyst systems: WCl₆/Me₄Sn at 70 $^{\circ}\text{C}^{11}$ and Re₂O₇/Al₂O₃/Me₄Sn at 20 $^{\circ}\text{C}^{12}$. It appeared that the reaction works best if the ester group is not too close to the carbon-carbon double bond. The presence of one or more CH₂ groups between the double bond and the ester group is sufficient to ensure that metathesis takes place.

Metathesis of Natural Fats and Oils

Metathesis of esters of glycerol, *i.e.* unsaturated fatty oils, proceeds intra- as well as intermolecularly, the latter reaction strongly predominating, reaction (11). Thus, olive oil, which consists mainly of glyceryl trioleate, yields octadec-9-ene and polymeric glycerides (principally dimers and trimers). Metathesis of oils such as olive oil, soybean oil and

linseed oil (containing triglycerides of oleic, linoleic and linolenic acid) results in viscous high-molecular-weight oils, so-called stand oils, with outstanding drying properties due to their pronounced unsaturated character^{17,22}.

Ethenolysis of distinct fatty oils (olive, soybean, rapese-ed, cotton seed) allows, in principle, the transformation of long-chain fatty acid glycerides into glycerides of lower molecular weight, *e.g.* the production of tricaprin from olive oil (mainly triolein) by ethenolysis and subsequent hydrogenation, reaction (12), and of trimyristin from trierucain along a similar route. As valuable by-products corresponding amounts of terminal olefins are produced. A study of the ethenolysis of triolein (glyceryl trioleate) as model substrate with a supported Re₂O₇ catalyst activated with Bu₄Sn showed that the reaction consists of a sequence of three consecutive ethenolysis reactions, from triolein to tridecenylglycerol, and proceeds with high conversion at room temperature and an ethene pressure of 30 bar²³.

Ethenolysis of polyunsaturated esters, as present *e.g.* in soybean oil, with an excess of ethene in the presence of the catalyst system Re₂O₇/Al₂O₃/Et₄Sn at 20 °C leads to good yields of hept-1-ene or but-1-ene (from linoleic or linolenic esters, respectively), penta-1,4-diene, deca-1,4-diene, methyl dec-9-enoate and methyl trideca-9,12-dienoate, as illustrated for methyl linoleate in Eq. 13²⁴.

$$Me(CH_2)_4CH=CHCH_2CH=CH(CH_2)_7COOMe + \\ CH_2=CH_2 \xrightarrow{\hspace{1cm}} CH_2=CH(CH_2)_4Me + \\ CH_2=CHCH_2CH=CH_2 + \\ CH_2=CHCH_2CH=CH(CH_2)_4Me + \\ CH_2=CH(CH_2)_7COOMe + \\ CH_2=CHCH_2CH=CH(CH_2)_7COOMe \qquad (13)$$

A variety of unsaturated fatty oils may serve as easily obtainable and relatively cheap raw materials of this type. Thus, good results have also been obtained in the ethenolysis of methyl esters from olive oil and palm oil²⁵.

Catalysts

Because of the potential industrial importance of the reaction, much effort has been devoted to the development of catalysts able to bring about the metathesis of unsaturated fatty acid esters. A problem is the deactivation of catalytic sites by the functional group resulting in turnover numbers which are much lower than those obtained for the metathesis

of analogous simple olefins. Examples of catalyst systems which can withstand the negative effect of the polar group to a certain extent are given in the Table 1.

Homogeneous systems

Undoubtedly the most active homogeneous catalyst systems are the well-defined metal alkylidene complexes synthesised in the laboratories of Schrock^{29,33,34} and Basset³². Such systems are characterised by a metal (W, Mo or Re) in its highest oxidation state containing in its co-ordinating sphere both alkylidene and bulky electron-withdrawing ligands (aryloxides, fluoroalkoxides, etc.); examples are the complexes **I**, **II** and **III**. The bulkiness of aryloxides³² and imido or alkylidyne ligands^{29,33,34} would prevent dimerization of these electron-deficient organometallic complexes and, to some extent, the co-ordination of the functional group to the metal atom³⁵.

On the other hand, two-component catalyst systems, mainly WOCl₄ and WCl₆ with a suitable cocatalyst (an alkylating agent such as Me₄Sn), have been widely employed on a laboratory scale. These are cheaper and easier to handle than the alkylidene complexes. In this sense, the use of

Table 1. Examples of catalyst systems for the metathesis of unsaturated esters.

Catalyst	Substrate ^a	[Ester]/ [Metal atom]	T/°C	t ^b /h	turnover number	Ref.
Homogeneous systems						
WCl ₆ /Me ₄ Sn	MeOl	75	110	2	38	22
WOCl ₄ /Me ₄ Sn	MeOl	50	70	6	25	26
WOCl ₄ /Cp ₂ TiMe ₂	EtOl	50	70	20	18	27
W(=CHCMe ₃)Np(Cl)(OAr) ₂ (OR ₂) ^c	EtOl	100	85	1	32	28
[W]=CHCMe ₃ (see formula I)	MeOl	200-300	25	2-3	100-150	29
W(OAr) ₂ Cl ₄ /R ₄ Sn or Bu ₄ Pb ^d	EtOl	50	85	6	25	30
WOCl ₄ /DSCB ^e	EtPe	150	70	6	81	31
[W]=CHCMe ₃ (see formula II)	EtOl	500	25	1	250	32
[Re]=CHCMe ₃ (see formula III)	MeOl	100	20	6	50	33
Mo(=CHCMe ₂ Ph)(NAr)(ORF ₆) ₂ ^f	MePe	1000	20	1	840	34
Heterogeneous systems						
Re ₂ O ₇ /Al ₂ O ₃ /Et ₄ Sn	MeOl	60	20	2	3	8
MoO ₃ /Re ₂ O ₇ /Al ₂ O ₃ /Et ₄ Sn	MeOl	60	20	2	30	8
Re ₂ O ₇ /SiO ₂ -Al ₂ O ₃ /Bu ₄ Sn	MeOl	240	40-45	3	120	23
Re ₂ O ₇ / B ₂ O ₃ /SiO ₂ -Al ₂ O ₃ /Bu ₄ Sn	MeUn	350	50	13	348	21
MoCl ₅ /SiO ₂ /R ₄ Sn	EtOl + dec-5-ene	110	90	4	99	15
MoO ₃ /SiO ₂ /(CO, hv)/cyclopropane	EtOl	250	50	0.17	125	16
MoO ₃ /SiO ₂ /(CO, laser)/cyclopropane	MeOl	1250	40	3	500	23

^aMeOl = methyl oleate; EtOl = ethyl oleate; EtPe = ethyl pentenoate; MePe = methyl pentenoate; MeUn = methyl undecenoate; b t = time to reach the highest conversion; c Ar = C₆H₃-(Ph)₂-2,6; Np = CH₂CMe₃; R = Et, i -Pr; d Ar = C₆H₃-(Cl)₂-2,6 or C₆H₃-(Br)₂-2,6; e DSCB = 1,1,3,3-(Me)₄-1,3-disilacyclobutane; f Ar = C₆H₃-(i -Pr)₂-2,6; RF₆ = CMe(CF₃)₂.

$$F_{3}C CH_{3}$$

$$F_{3}C CH_{3}$$

$$H_{3}C O N$$

$$F_{3}C C CH_{3}$$

$$F_{3}C C O N$$

$$F_{3}C C CH_{3}$$

$$F_{3}C C O N$$

$$F_{3}C C C CH_{3}$$

$$F_{3}C C C CH$$

 $W(OAr)_2Cl_4$ has the advantage of allowing its manipulation under air³⁰. The role of the cocatalyst would be a double alkylation of the metal centre, followed by an α -H-elimination leading to the formation of an alkylidene ligand. A butylidene ligand has indeed been observed during *in situ* 1 H-NMR studies of the system $W(OC_6H_3-Ph_2-2,6)_2Cl_4/Bu_4Pb^{30}$.

Heterogeneous systems

Heterogeneous catalysts seem more suitable for industrial applications, because they are more favourable with respect to the separation of the catalyst from the reaction products, catalyst regeneration and application in continuous processes. The most active systems are based on rhenium or molybdenum oxides. They are usually prepared by impregnation of the support with an aqueous solution of the ammonium salt of the transition metal. After drying in air at 110 °C and calcination at the desired temperature (usually between 500 and 550 °C), the catalysts are commonly formulated as Re₂O₇/support or MoO₃/support. However, in order to be able to bring about metathesis of functionalized olefins, these catalysts need either the addition of a promoter as cocatalyst (Re₂O₇/support + R₄Sn or R₄Pb) or to undergo a special activation procedure (MoO₃/support). Several parameters can affect the performance of these catalytic systems, such as (i) the transition metal loading; (ii) the kind of support; (iii) the calcination procedure; (iv) the transition metal/cocatalyst molar ratio; etc. The table presents the best performances reported for each system. The very high selectivity (>97%) encountered in the metathesis of fatty acid esters might be due to the complexation of the ester group to the Brönsted acid sites on the support, preventing side reactions such as double bond shift.

Rhenium Catalysts

Re₂O₇/Al₂O₃/Me₄Sn was the first heterogeneous catalyst system found to be effective for the metathesis of ole-finic esters³⁶. The catalytic performance of this system has since been improved in several ways by (i) the incorporation of a third metal oxide such as V₂O₅, MoO₃ or WO₃^{37,38}; (ii) the use of a modified support, *e.g.* SiO₂-Al₂O₃³⁹, Al₂O₃-B₂O₃⁴⁰, phosphated alumina⁴¹ or borated sili-

ca-alumina²¹; (iii) the use of another promoter, such as Bu₄Sn, Et₄Sn or R₄Pb⁸.

Structure x catalytic activity

Many spectroscopic studies have been carried out in order to characterise the surface structure of Re2O7/Al2O3 catalysts42. The system is generally described as a monolayer catalyst; the rhenium oxide species which are catalyst site precursors are bonded to the alumina surface as monomeric species, presenting a C_{3v} symmetry. The structure of the rhenium oxide phase present on SiO₂-Al₂O₃ has been studied less. However, at least up to a 3 wt% Re₂O₇ loading, the rhenium oxide supported on SiO₂-Al₂O₃ has predominantly a monomeric structure; at higher loadings it might also be present as three-dimensional clusters⁴³.

The catalytic activity of Re2O7/Al2O3 increases with the rhenium loading. A positive correlation between the catalytic activity and the surface acidity (mainly the Brönsted acidity) of such systems has been observed 44,45 . The activity of Re2O7/Al2O3 catalysts can be explained on the basis of the activity of the surface ReO4 groups which have reacted with Lewis acid sites and with the different aluminium-bonded OH groups during the preparation of the catalyst 41 . The most active sites arise from the reaction between ReO4 $^{-}$ ions and the most acidic OH groups on the alumina surface to form Al-bonded ReO4 species. Such reactions are favoured only after the basic and neutral OH groups have reacted to some extent.

On the other hand, when SiO_2 - Al_2O_3 is used as the support, the specific catalytic activity decreases as the rhenium loading is increased⁴⁶. By analogy with the explanation given above for Al_2O_3 it is thought that there are two types of hydroxyl groups on SiO_2 - Al_2O_3 : hydroxyl groups attached to a Si atom and bridging hydroxyl groups attached to both a Si and an Al atom. The reaction of ReO_4 -ions with the bridging hydroxyl groups would result in electropositive rhenium centres (ReO_4 -tetrahedra), the active sites. Thus, higher rhenium loadings would favour the formation of inactive sites of the type $\Leftarrow Si$ -O- ReO_3 , as it is known that Re_2O_7/SiO_2 has no activity in olefin metathesis⁴⁷. It should be pointed out, however, that a great difficulty in the characterisation of

these systems lies in the fact that only *ca.*1% of the rhenium sites are active in metathesis ^{48,49}.

Role of the promoter

Although rhenium-based systems are only active for the metathesis of functionalized olefins when promoted with an alkyltin or alkyllead compound, the role of the latter is still not well understood. Reduction of the rhenium atom, modification of the active site (by addition of a tin ligand) and formation of the initiating metal-alkylidene species (via a double alkylation followed by an α-H-abstraction) have been postulated as promotion mechanisms⁵⁰. However, only a few studies have been carried out concerning the nature of the interaction between the tin compound and the surface rhenium species⁵¹⁻⁵⁵. Evidence for the presence of Re-O-Sn bonds comes from Raman spectroscopic studies of Re₂O₇/Al₂O₃/Me₄Sn⁵³. Based on ¹¹⁹Sn Mössbauer and ¹³C ¹¹⁹Sn MAS NMR experiments Re₂O₇/SiO₂-Al₂O₃/R₄Sn, reactions as depicted in Scheme 2 have been proposed⁵⁴. The R ligand on species A might still undergo an electrophilic attack from a remaining OH⁻ group from the surface with release of an alkane. If a double alkylation involving only one rhenium site takes place, a coordinatively saturated species, **B**, would be formed. This species might undergo an α-H-abstraction, spontaneous or surface-induced, leading to a rhenium-alkylidene species, C. Although an alkylidene ligand in the rhenium co-ordination sphere could not be spectroscopically identified, chemical reactivity suggests its presence in minor amounts. While species A would predominate for Re₂O₇/SiO₂-Al₂O₃/R₄Sn systems, in particular when R = Et, for $Re_2O_7/Al_2O_3/Bu_4Sn$ there would only be species C or D^{55} . Taking into account that (i) for Re₂O₇/SiO₂-Al₂O₃/R₄Sn systems the highest catalytic activity per rhenium atom is obtained when $R = Et^8$, for which species A appears in higher concentration than for

R = Bu or Me, and (ii) species C and/or D are the only ones present on the surface of $Re_2O_7/Al_2O_3/Bu_4Sn$, which is less active, it seems that with these systems the presence of a pre-formed alkylidene ligand may not be a prerequisite for metathesis to take place.

Organometallic models of active sites

Since Re₂O₇ reacts with Me₄Sn to form CH₃ReO₃⁵⁶, it has been suggested that the latter complex is an intermediate in the heterogeneous system. In solution CH₃ReO₃ is active for the metathesis of cyclic and acyclic olefins, but only in the presence of both a Lewis acid and an alkylating agent. On the other hand, when supported on Al₂O₃, SiO₂-Al₂O₃ or Nb₂O₅, it becomes an efficient metathesis catalyst - even for functionalized olefins - without a promoter^{57,58}. Although ¹³C-NMR studies show that the organic ligand remains attached to the rhenium atom after interaction between CH₃ReO₃ and Nb₂O₅⁵⁸, exchange reactions with a symmetrical olefin using a ¹³C labelled sample showed that the first metal-alkylidene species does not arise from the methyl group, but from the olefin itself ⁵⁹. The main role of the support would be to increase the Lewis acidity of the rhenium centre.

Another approach to prepare surface-anchored alkylidene species is based on the fact that in solution Schrock-type tungsten alkylidene complexes (which are expected to have the same chemical behaviour as their rhenium analogues) can be synthesised from the corresponding alkylidyne complexes *via* protonation by Brönsted acids⁶⁰. Thus, Schrock-type tungsten alkylidyne complexes, precursors of the homogeneous catalysts **I** and **II**, have been reacted with the surface of SiO₂^{61,62}, Nb₂O₅, Al₂O₃ and SiO₂-Al₂O₃⁶³, respectively, leading in all cases to very active catalysts for the metathesis of simple olefins. Chemical and spectroscopic studies of these systems point to the presence of several

surface species, at least one of them bearing an alkylidene ligand 63 . However, metathetical exchange experiments suggest that the most active systems are those that can react with olefins and form the initiating metal-alkylidene species via a π -allyl mechanism 62,63 . It has been proposed that surface Lewis acid sites induce the formation of a tetracoordinated cationic neopentylidenetungsten(VI) complex (Scheme 3), which might well be the precursor of the most active species due to its high electronic and coordinative unsaturation 63 . The presence of such a species could account for both the high isomerization (through double-bond migration) observed for terminal olefins and the lack of catalytic activity of these heterogeneous systems towards functionalized olefins 64 .

All results discussed above show that surface organometallic approaches to the synthesis of well-defined heterogeneous metathesis catalysts have so far failed to provide a good picture of the actual active site, the homogeneous systems being not an adequate model for their heterogeneous counterparts.

Catalyst deactivation and regeneration

The activity of any catalytic system in the metathesis of olefinic esters is unavoidably limited by the presence of the functional group²³. Besides an intrinsic deactivation mechanism (reductive elimination of the metallacyclobutane intermediate)⁶⁵, a Wittig-type reaction between the carbonyl group and the metal-alkylidene may also be responsible for a decrease in catalytic activity. These systems are also air sensitive. ¹¹⁹Sn Mössbauer spectroscopic studies of Re₂O₇/SiO₂-Al₂O₃/R₄Sn systems suggest that air exposure does not cause hydrolysis of Sn-O-Re bonds; such bonds might, however, be weakened owing to a change in the co-ordination sphere of the rhenium atom⁵⁴.

After deactivation, the catalyst can be regenerated many times *via* calcination followed by addition of another amount of the promoter. However, there is a limit to the number of times the catalyst can be regenerated in this way⁶⁶. ¹¹⁹Sn Mössbauer spectroscopic studies revealed after calcination the formation of both an SnO₂ phase and a polymeric ionic Sn(IV) species, probably bonded to the rheni-

Scheme 3.

um^{54,55}, which eventually would prevent the formation of new active sites.

Molybdenum Catalyst

Only two of the many Mo-based heterogeneous metathesis catalyst systems have been reported to bring about the metathesis of functionalized olefins, viz. MoCl₅/SiO₂/R₄Sn¹⁵ and Mo/SiO₂, photoreduced in CO at room temperature and subsequently activated with cyclopropane. The latter system has been systematically studied and will be discussed here.

Photoreduced molybdenum catalysts

Photoreduction of calcined 1 wt% MoO₃/SiO₂ with a Hg lamp in a CO atmosphere leads mainly to Mo(IV) ions^{68,69}. The resulting system is active for the metathesis of propene. Treatment of this system with cyclopropane results in a sharp increase of its specific activity 70. Moreover, the catalyst becomes active for the metathesis of olefinic esters¹⁶. From IR and UV/vis spectroscopic studies it was concluded that a molybdenacyclobutane intermediate is formed, which decomposes into molybdenum-alkylidene and Mo(IV)- π -olefin complexes; Scheme 4^{70-72} . The molybdenum-alkylidene complexes were characterised by an absorption band at 450-480 nm in the electronic spectrum⁷⁰. They proved to be thermally stable up to 430°C and oxygen resistant at room temperature. Interestingly, the proposed [Mo]=CH₂ species does not undergo a Wittig-type reaction with acetone⁷⁰, which is characteristic of Schrock-type alkylidene complexes.

An alternative activation procedure, consisting of photoreduction of MoO₃/SiO₂ in CO with a laser beam of 308 nm instead of a Hg lamp, followed by cyclopropane treatment leads to a catalyst of even higher activity^{23,67}.

Catalyst deactivation

Studies concerning the stability of the catalyst during continuous metathesis of propene showed a loss of activity independent of the propene flow rate, suggesting an intrinsic deactivation mechanism⁷³. Due to the high stability of both [Mo]=CH₂ and [Mo]=CHCH₃, the deactivation of the catalyst is assigned to isomerization of the intermediate metallacyclobutane complexes, leading to inactive π -complexes, in a way analogous to that depicted in Scheme 4. This hypothesis is supported by *in situ* UV/vis spectroscopic studies⁷³.

Perspectives

Despite its considerable potential, the metathesis of unsaturated fatty oils and their derivatives has not yet been adopted by the chemical industry. The activity of the catalysts is decreased by the functional group and thus catalyst costs are high, because high catalyst concentrations are required. Besides the intrinsic deactivation reactions, catalyst

Scheme 4.

deactivation might in practice also take place due to impurities in the substrate, complexation of the functional group to the active site or adsorption of product molecules (when using a solid catalyst), which can block active sites.

The question of activity concerns not only the turnover number that can be attained, but also the reaction rate (in other words, how long the reaction takes to reach an acceptable conversion). For the well-defined homogeneous catalyst complexes a considerable amount of time and money may be expended in just the catalyst preparation alone, which means that from an industrial point of view they are very expensive 74. Therefore, in view of the present knowledge, regenerable heterogeneous catalysts are superior.

Although rhenium is a precious metal, rhenium-based catalysts are to be preferred over molybdenum ones because their activation is much simpler and regenerability is much better. After the catalyst has deactivated, the question is not only whether, but also how many times it can be regenerated. Heterogeneous rhenium oxide catalysts can be regenerated many times without any loss of activity, while the rhenium can be recovered from these catalysts after total deactivation. Moreover, metathesis can be carried out with these catalysts under mild reaction conditions and with high selectivity. This means that there are favourable prospects for its application in oleochemistry.

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