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Prevalence of clinical manifestations suggestive of depression in patients with sickle cell disease: a review

Prevalência de manifestações clínicas sugestivas de depressão em pacientes portadores de doença falciforme: uma revisão

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ABSTRACT

Objective: To assess the prevalence of clinical manifestations suggestive of depression in patients with sickle cell disease. **Methods:** A systematic search was performed in the electronic databases PubMed[®], LILACS and SciELO, with the following inclusion criteria: cross-sectional studies that assessed the prevalence of depression in patients with sickle cell disease, published in English or Portuguese in the last 10 years. The selection of the articles was performed in two stages by two independent researchers following the PRISMA (Preferred reporting items for systematic reviews and meta-analyses) recommendations. The first stage consisted on screening the titles and abstracts, and in the second stage the full text was appraised, both following the pre-defined inclusion and exclusion criteria. **Results:** From the 42 articles available, nine were included in this review. Seven instruments were used to screen for depression with different cutoff points, and the Patient Health Questionnaire-9 (PHQ-9) was the most used instrument. The worldwide prevalence of clinical manifestations suggestive of depression ranged from 11 to 40%, according to several variables. **Conclusion:** The prevalence of clinical manifestations suggestive of depression in patients with sickle cell disease is higher compared to the prevalence of depression in the general population. Thus, the multidisciplinary follow-up for these people, with a focus on mental health, is of great importance.

KEYWORDS

Sickle cell disease, depression, prevalence, major depressive disorder.

RESUMO

Objetivo: Avaliar a prevalência mundial de manifestações clínicas sugestivas de depressão em portadores de doença falciforme. **Métodos:** Realizou-se uma busca sistemática nas bases de dados eletrônicas PubMed[®], LILACS e SciELO, para identificação dos estudos transversais, publicados em inglês ou português nos últimos 10 anos, que avaliaram a prevalência de depressão em portadores de doença falciforme. A seleção dos artigos foi realizada em duas etapas e por dois pesquisadores independentes seguindo a recomendação PRISMA (*Preferred reporting items for systematic reviews and meta-analyses*). A primeira etapa consistiu na triagem dos títulos e resumos e na segunda etapa foi realizada a análise completa do artigo, ambas seguindo os critérios de inclusão e exclusão predefinidos. **Resultados:** Dos 42 artigos disponíveis, nove foram incluídos na revisão. Foram utilizados sete instrumentos diferentes para rastreamento de depressão com diferentes pontos de corte, sendo *The Patient Health Questionnaire-9* (PHQ-9) o instrumento mais utilizado. A prevalência mundial de manifestações clínicas sugestivas de depressão variou de 11% a 40%, conforme diversas variáveis verificadas. **Conclusão:** A prevalência de manifestações clínicas sugestivas de depressão encontrada para os portadores de doença falciforme é superior à prevalência de depressão descrita na população geral. Desse modo, é de suma importância o acompanhamento multidisciplinar para essas pessoas, com enfoque na saúde mental.

PALAVRAS-CHAVE

Doença falciforme, depressão, prevalência, transtorno depressivo maior.

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INTRODUCTION

Sickle cell disease is a chronic and incurable group of hemolytic anemias resulted from a mutation in the gene that encodes the β chain of hemoglobin, and an anomalous hemoglobin, S, is formed¹⁻⁴. Such alteration is characterized by the replacement of the glutamic acid by valine^{1,3}. Thus, when subjected to stressful situations, it undergoes a process of polymerization and takes a sickle format⁵⁻⁷. This characteristic leads to inadequate microcirculation, obstruction of capillary blood flow and hemolysis associated with a chronic inflammatory process⁵⁻⁷. This gene can be homozygous, leading to sickle cell anemia, or combined with another hemoglobinopathies such as Hb C, D, E and β -thalassemia, featuring a diverse clinical spectrum¹. Therefore, patients experience several clinical events throughout their life, which are acute or chronic, generating a social and personal impact^{1,5}.

It is one of the most common genetic diseases worldwide, mainly in the African continent, where the prevalence can reach 25% of the population in some countries⁴. In Brazil, the state of Bahia has a greater number of live births with sickle cell disease in the country, one in every 650⁸.

A patient with sickle cell anemia often needs hospitalization due to acute events, such as pain, priapism, stroke, acute chest syndrome, propensity to develop diseases, splenic sequestration, cholecystitis, among others^{5,9}.

In order to address this problem, special attention should be directed to the mental health of these patients. The appearance of depressive symptoms is frequent due to chronicity and suffering inherent to the pathology, which can compromise the prognosis^{10,11}.

Major depressive disorder is a mood disorder characterized by a range of signs and symptoms¹². Among them are profound sadness, apathy, irritability, loss of interest, motor delay or agitation, suicidal ideation, and somatic complaints, especially insomnia, fatigue and anorexia stand out¹². Thus, a depressive patient has great suffering level and several functional impairments^{12,13}. The diagnosis of major depressive disorder is clinical^{12,14}. Although globally validated scales contribute to the diagnosis, them alone do not define it¹⁴. However, several studies assess clinical manifestations suggestive of major depressive disorder only through scales¹⁴. Therefore, the prevalence of depression is often estimated based on the scores of these scales, even without systematic psychiatric evaluation¹⁴.

In general, patients with chronic illness have several limitations that end up affecting the entire social sphere of adult life, and are more likely to develop depression compared to the healthy population¹⁵. Thus, special attention should be paid to patients with sickle cell anemia, as they have risk factors for depression: a severe, painful and chronic disease^{10,13}. Unfortunately, there is a lack of studies regarding this subject.

Given the above, this study aims to contribute to the current knowledge about the prevalence of clinical manifestations suggestive of depression in patients with sickle cell disease worldwide through a systematic review of the literature.

METHODS

A systematic literature review was performed, registered in the PROSPERO (International Prospective Register of Systematic Reviews) under registration number CRD42020200157. The guiding question was defined by the PVO model (participants, variables, outcomes)¹⁶.

Eligibility criteria

Studies that met the following inclusion criteria were considered eligible: cross-sectional studies that assessed the prevalence of major depressive disorder in patients with sickle cell disease, written in English or Portuguese and published in the last 10 years. Studies that did not use a validated instrument for the identification of major depressive disorder, incomplete publications or restricted to abstracts or posters, those that did not comply with ethical aspects or those that were duplicated were excluded.

Information sources

The electronic databases PubMed®, LILACS and SciELO were used, assessed up from August 1st, 2020 to August 5th, 2020.

Search

The research was carried out in the databases described, with the guiding question structured in the PVO model, using the descriptors "sickle cell disease", "depressive disorder", "sickle cell anemia" and "depression". All Entry Terms of "sickle cell disease" and "depressive disorder" and the operators "AND" and "OR" were also used.

PubMed® Search Strategy

The following Mesh Terms were included in PubMed® Search Strategy: sickle cell disease, sickle cell anemia, hemoglobin's disease, depressive disorder, endogenous depression, depressive syndromes, neurotic depression, unipolar depression, melancholia.

Selection of studies

The selection of articles was carried out in two stages and by two independent researchers. In the first stage, each researcher performed an initial screening from the titles and abstracts of the studies selected according to the inclusion criteria to identify potentially relevant studies. In the second stage, all articles classified as potentially relevant were retrieved for complete analysis of the text, based on

the inclusion and exclusion criteria. Disagreements between researchers were solved by consensus.

The steps in the process of identifying and selecting studies are illustrated by means of a diagram in accordance with the PRISMA recommendation (Preferred reporting items for systematic reviews and meta-analyses)¹⁷.

Summary of results

The data from the records included in this systematic review were extracted on a form and the information was summarized in a table, highlighting the prevalence ratio, the age group of the participants, year of publication, the location of each study, sample size, instrument used to assess depression and its cutoff point. The first author's last name and year of publication were used to identify each study.

Quality assessment of included studies

All included studies were submitted to a quality assessment through the Joanna Briggs Institute's critical appraisal checklist for analytical cross-sectional studies¹⁸. The same system for selecting the study was used.

The instrument consists of eight topics that assess the inclusion criteria, detail of the sample and research scenario, instrument for assessing health status and outcome, confounding factors and statistical analysis. "2 points" were defined for the criteria answered with "yes", "1 point" for "did not make it clear" and 0 for "no" or "not applicable". The higher the score obtained, the better the article quality, with 16 being the maximum score, according to this definition. Due to the low risk of bias, 70% was assigned as a cutoff point for quality. Thus, articles with a score lower than 11 were excluded from the review.

RESULTS

Study selection

The search strategy returned an equivalent of 42 titles (four duplicates), 22 studies were excluded after careful analysis of the title and abstract. The full text of the remaining 16 articles was evaluated, of which nine were identified and included, for responding to the question proposed in this systematic review. In total, 29 studies were excluded, 12 for not being related to the topic, 10 for not being cross-sectional, one for not using a valid instrument to screen for major depressive disorder and, finally, six for not describing the prevalence of depression in patients with sickle cell disease. This information was synthesized through a flowchart as shown in figure 1.

Characteristics of included studies

The data extracted from the nine records included in the present review are shown in table 1. Variations were observed

regarding the instrument used to assess depression and the cutoff point chosen for the diagnosis. Thus, variations were also observed between the prevalence of depression in patients with sickle cell disease presented by each study.

The nine articles included in this review were carried out between the years 2011 and 2020 and add up to a total of 1,269 participants from different age groups; with four out of nine studies involving children. Most of the work was carried out in the United States (USA), three were carried out in Brazil and one in Nigeria.

In the quality assessment, most records (44.4%/N = 03) scored 15, because they did not make clear the strategies for dealing with confounding factors¹⁹⁻²². Three studies had a maximum score^{10,23,24}. And, two had scores equal to 11^{25,26}. These two articles did not make clear the confounding factors and, consequently, the strategies to deal with them. These data are also summarized in table 1.

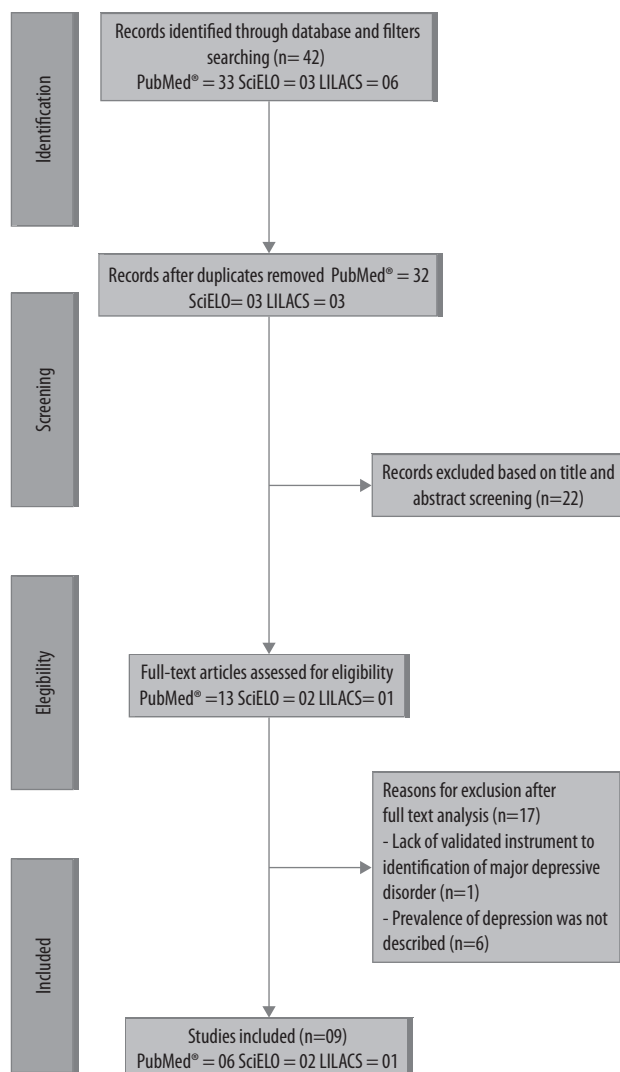


Figure 1. Flowchart referring to the selection of articles searched in the PubMed®, LILACS and SciELO databases, in August 2020, according to the established eligibility criteria.

Table 1. Description of the nine studies selected in August 2020 for the present systematic review

Author and year of publication	Location	Sample size	Age group	Instrument used to diagnose major depressive disorder	Prevalence	Quality Score
Benton, 2011 ²⁵	Philadelphia, USA	40	From 12 to 19 years old	ChIPS	12.5%	11
Vilela, 2012 ¹⁹	Alagoas, Brazil	25	+ 20 years old	BDI Cutoff point: >20	16%	15
Mastandrea, 2015 ²⁰	São Paulo, Brazil	110	From 18 to 67 years old	PHQ-9 Cutoff point: ≥ 10	30%	15
Robbins, 2020 ²³	USA	336	+ 18 years old	PHQ-9 Cutoff point: ≥ 10	28%	16
Barreto, 2011 ¹⁰	Sergipe, Brazil	76	From 7 to 17 years old	CDI Cutoff point: ≥ 13	34.2%	16
Raji, 2016 ²²	South of Nigeria	205	From 18 to 65 years old	MINI Plus – Depression module	16.6%	15
Goldstein-Leever, 2018 ²⁴	Ohio, USA	100	From 8 to 18 years old	CDI-II Cutoff point: ≥14	27%	16
Moscou-Jackson, 2016 ²⁶	Middle Atlantic of USA	263	+ 18 years old	CESD-10 Cutoff point: ≥ 10	40%	11
Valrie, 2020 ²¹	Southeastern of USA	114	From 8 to 17 years old	BASC-2 Cutoff point: ≥ 60	11%	15

ChIPS: Children's Interview for Psychiatric Syndromes child; BDI: Beck Depression Inventory; PHQ-9: The Patient Health Questionnaire-9; CDI: Children's Depression Inventory; CESD-10: Center for Epidemiologic Studies Depression Scale; BASC-2: Behavior Assessment System for Children.

Prevalence of clinical manifestations suggestive of major depressive disorder

Among the nine studies, eight used cluster sampling and carried out the research in patients with sickle cell disease who were being followed up on an outpatient basis in a particular hematology center in the region studied^{10,19-25}. Only one study took its sample from a previous prospective cohort study²⁶.

It is important to note that most studies used data from medical records to confirm the diagnosis of sickle cell disease and its genotype (Sβ thalassemia, SC and SS)^{20,21,23-25}. Only two used hemoglobin electrophoresis^{19,22}. And two others did not describe how the diagnosis was established, nor the genotype of the participants^{10,26}.

Seven different instruments were used to screen for major depressive disorder, with different cutoff points, and The Patient Health Questionnaire-9 (PHQ-9) was the most used instrument. The worldwide prevalence of clinical manifestations suggestive of depression varied from 11% to 40%, according to the instrument, cutoff point, age group, year of publication and country of origin.

In all studies included in this review, the majority of the patients was black. In seven of these, there was a predominance of females^{19-24,26}. However, none of the articles established statistical significance between the development of depression and the participant's gender. The same occurred with the genotype, which prevailed HbSS in all seven studies that described this variable^{19-24,26}.

Regarding education, income and unemployment, an article established statistical significance between these variables and the development of depression²². However, of those who presented the participants education, only one of them had the majority of the patients completed high school²³. As for income and unemployment, only one study reported that the majority of its population was employed¹⁹.

In the USA, five studies were carried out, from which three focused on children and adolescents. The less recent was held in Philadelphia, published in 2011 and included 40 teenagers, between 12 and 18 years old²⁵. This study used Children's Interview for Psychiatric Syndromes child (ChIPS) and described a 12% prevalence of depression, however, it did not inform the cutoff point used to consider the diagnosis of depression²⁵. In 2018, another article was published in this country on the topic, which was set in Ohio with 100 children and adolescents between 8 and 18 years old²⁴. The Children's Depression Inventory II (CDI-II) was used and the cutoff point was 14, with 27% being the prevalence found for major depressive disorder²⁴. The last study carried out in the USA with children and adolescents on this topic was published in 2020 and involved 114 patients with sickle cell disease aged between 8 and 17 years old²¹. In this research, the instrument used to screen for major depressive disorder was the Behavior Assessment System for Children (BAS-2), whose cutoff point was 60 and the prevalence found was 11%. It should be noted that this prevalence of 11% included both those who scored for depression and those classified as at risk for depression²¹.

Regarding the American adult population, two articles have been published on this topic in the country. The most recent one was published in 2020 and studied 336 people with sickle cell disease. This study used PHQ-9 to screen for depression, whose cutoff point was 10, and described a 28% prevalence of major depressive disorder in this population²¹. In 2016, an article was published in the USA about insomnia and its predictors in patients with sickle cell disease. Such research, conducted with 263 African-American adults, suggested depression as a risk factor for insomnia in this population and described the of major depressive disorder²⁶. For this purpose, the Center for Epidemiologic Studies Depression Scale (CESD-10) questionnaire was used, with a cutoff point greater than or equal to 10, and the prevalence of 40% of major depressive disorder was described²⁶.

In Brazil, three studies have been carried out on this topic, only one in the youth population. Among those performed in the adult Brazilian population, the most recent was published in 2015 and performed in São Paulo²⁰, with 110 patients suffering from sickle cell disease in the age group from 18 to 67 years old. The PHQ-9 was used with a cutoff point of 10 to consider the diagnosis of depression, finding a prevalence of 28%²⁰. Another study, carried out in Alagoas and published in 2012, where only 25 adults (over 20 years old and mostly male, 73.3%) participated, 16% had criteria for major depression¹⁹. The instrument used was the Beck Depression Inventory (BDI) and the established cutoff point was 20¹⁹.

The only Brazilian study about the prevalence of clinical manifestations suggestive of depression in children and adolescents with sickle cell disease included in this review was published in 2011 and carried out in Sergipe. It assessed, through the ICD with a cutoff point greater than or equal to 13, 76 children and adolescents, between 7 and 17 years old, mostly boys (53.4%), and found that 34.2% had depressive symptoms¹⁰.

Finally, the only Nigerian article included in the review was published in 2016 and studied 205 adults, aged 18 to 65 years, of which 16.6% were identified with major depressive disorder according to the instrument used, the MINI Plus – Depression module²². However, the cutoff point used to consider the diagnosis of depression was not informed²².

DISCUSSION

The present review shows a high worldwide prevalence of clinical manifestations suggestive of depression in patients with sickle cell disease. Several factors can be related to this observation, such as, for example, unemployment, low level of education, poverty, painful crises and use of analgesics²². Corroborating this fact, one of the studies included in this review demonstrated that the individual's health condition

and its complications became an obstacle to getting good paying jobs¹⁹. Thus, a vicious cycle of poverty, low quality of life and depression is shown.

The high prevalence of clinical manifestations suggestive of depression in patients with sickle cell disease is evident in childhood, as shown by research on children and adolescents^{10,21,24,25}. It is higher than the prevalence in the general population in this age group^{24,25}. This is an extremely worrying fact, since depression is related to worse adherence to clinical treatment and low likelihood of changes in lifestyle²⁵. In addition, depression in this population was also associated with low self-reported interpersonal skills, predisposing to social isolation²¹.

Unfortunately, none of the nine selected articles assessed both minors and adults at the same time. To address this issue, considering only the studies carried out in the USA for a fairer comparison, where three of them were conducted in children/adolescents^{21,24,25} and the other two in the adult population^{23,26}, a tendency to a higher prevalence as age increases is suggested. Thus, further research on this matter is required to corroborate that statement.

When analyzing the prevalence of clinical manifestations suggestive of depression in patients with sickle cell disease in Brazilian studies, it is interesting to note that the one which described the lowest prevalence was also the one where the majority of the sample population was employed. In addition, this study had the smallest sample size¹⁹. This fact, as already discussed, is, like shown, related to the lower development of depression^{19,22}.

It is also clear from the records included in this review that, despite all studies showing a high prevalence of symptoms suggestive of depression, it is clear to see a wide variation. As already mentioned, several factors can be related to this, mainly, the instrument used to screen for depression and the cutoff point. It was observed that the higher the cutoff point for identifying depression, the lower the prevalence. However, it is not possible to state that this is the only factor responsible for the variation. In addition to the variables already mentioned, cultural factors, treatment effectiveness, marital status and pain severity were also variables that interfere with quality of life and thus can be related to depression^{19,22,24}. Thus, the characteristics of the sampled population interfere with the prevalence of depression described.

In the general population, women are more likely to develop depression²⁷. As seven of the selected articles are predominantly female among the participants, the results obtained could have been overestimated^{19-24,26}. However, all of the four articles that established a comparison of the prevalence of depression between men and women stated no statistical difference in the results^{10,22-24}. Thus, it is recommended that new studies are carried out to assess a possible difference in the prevalence of depression between

genders in patients with sickle cell disease. Despite this issue, the results obtained should not be disregarded, as they reflect a serious health problem. According to the World Health Organization (WHO), the prevalence of global depression is 4.4%²⁷, which is much lower than the prevalence of clinical manifestations suggestive of depression found for patients with sickle cell disease in this review.

It is also essential to highlight the limitations of this review. Regarding the method of diagnosis of sickle cell disease carried out in the included studies, as mentioned above, most used data from medical records^{20,21,23-25}, while only two used hemoglobin electrophoresis^{19,22} and two others did not describe how the diagnosis was established, nor the genotype of the participants^{10,26}. Thus, it is necessary to emphasize that the lack of detailed psychiatric evaluation is a limitation of this review, since the final diagnosis of depression can only be reached through clinical interview. The failure to establish the diagnostic method, as well as its non-confirmation, can weaken the aforementioned records. In addition, the absence of supplementary search and other databases for additional studies, as well as the inclusion of articles published only in English or Portuguese are limitations that may have contributed to the fact that most of the studies found are from the United States and Brazil^{10,19-21,23-26}. The heterogeneity between the major depressive disorder assessment instruments is also a limitation of this study.

CONCLUSION

After a systematic review of the literature on the prevalence of clinical manifestations suggestive of depression in patients with sickle cell disease, it is possible to infer, in comparison to data assessed by WHO, that these patients have a higher prevalence of depression compared to the rest of the population. However, it is important to emphasize that the instruments used to assess depression in the reviewed studies are screening tools, and the definite diagnosis requires a psychological assessment carried out by a qualified professional. Despite this, in the original articles, the authors used predefined cutoff points to consider the diagnosis of depression and its prevalence could be estimated. Thus, the results of this study alert for the high prevalence of clinical manifestations suggestive of depression in sickle cell patients. It is of great importance that professionals are attentive to the variables that may predispose this condition, such as severe pain, lack of emotional support, low level of education and, consequently, unemployment. Therefore, multidisciplinary follow-up for patients with sickle cell disease and monitoring of school performance is extremely important, as dropout can lead to social isolation and unemployment conditions in the future, both related to the development of depression.

In addition, there was a shortage of literature on the subject, especially in areas where there is a high prevalence of depression, such as Nigeria, where only one study was assessed, and in Brazil, mainly in the state of Bahia, which has the highest number of live births patients with sickle cell disease in the country⁸, but there is no publication in the databases researched in this review on the topic.

INDIVIDUAL CONTRIBUTIONS

Amanda Gabrielle Santos Leite and **Liz Freitas de Sá Oliveira** – Conceptualization of this study, as well as data collection, analysis and investigation, methodology and draft's execution.

Isa Menezes Lyra and **Ricardo Coutinho Fortes** – Supervision, administration, methodology, review, writing and editing of the project.

CONFLICTS OF INTEREST

The authors declare no conflicts of interest and no financial support.

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