

Original article (short paper)

Functional independence and mobility in kidney transplanted patients: cross-sectional study

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Abstract - Aim — To assess functional independence, balance and mobility of kidney transplant recipients, to verify transplant time, donor type, regular exercise practice, musculoskeletal complaints, as well as association among these variables. **Methods:** Observational study with 86 kidney transplant individuals, subjected to evaluation of the Functional Independence Measure (FIM) and Timed Up and Go test (TUG). **Results:** The mean age of the study population was 43.98 years old, 50% of these individuals were between 5-10 years of transplantation and 50% between 10-15 years. Changes in mobility and balance (TUG) were found in 9.3% of transplant patients, while 2.3% had deficits in functional independence (FIM). The association between TUG and the FIM ($\chi^2= 19.964$, $p< 0.001$) was found in 25% of the 9.3% of individuals who showed changes in TUG. It was found that only 20.9% of kidney transplant between 5-10 years and 14.0% between 11 and 15 years performed regular physical exercises ($\chi^2= 0.727$, $p= 0.394$) and 67.4% presented prevalent complaints on lower limbs musculoskeletal. **Conclusion:** Although the level of dependence and impairments in mobility and balance found in renal transplants are low, deficits in mobility and balance may lead to changes in the ability to perform their functional activities independently.

Keywords: chronic kidney disease, kidney transplantation, functionality, physical therapy.

Introduction

Chronic Kidney Disease (CKD) is considered an important public health problem with high prevalence, morbimortality rate and cost of treatment^{1, 2, 3}.

Once installed the CKD requires substitutive kidney treatment at the disease's final stage^{3, 4, 5}. Among the therapeutic modalities to be established for these patients, the kidney transplant represents the most durable treatment with benefits in the survival, quality of life and in the cost-effectiveness, when compared to dialysis, forming the gold treatment for the disease's final stage^{6, 7, 8}.

While the kidney transplantation is considered the gold treatment, other transplanted patients can continue to suffer consequences of a uremic disease. Uremic myopathy can occur by reducing protein intake and muscle atrophy generated by CKD, leading to loss of muscle fibers, decrease in bone mass and peripheral circulatory deficits⁴. Thus, the transplanted patient can present balance and mobility changes, for impairment of muscle fiber and bone complications, and consequently, reduced work capacity and quality of life, leading to restrictions and limitations, resulting in the inability to develop daily activities, requiring the help of others, characterizing this patient as a dependent^{9, 10, 11}.

Patient independence can also be hampered by fragility following transplant surgery. Fragility represents a measure of the physiological reserve that is related as a risk factor for adverse effects of renal transplantation, such as delayed graft function, early hospital readmission and mortality. The decline in physiological reserve promotes an increased vulnerability to stressors resulting from a deregulation of multiple physiological systems, and this may directly affect the functionality of these individuals^{12, 13, 14, 15}.

Few studies show the relationship of functionality with transplantation time, however, the study by Costa, Nogueira¹⁶ demonstrated that renal transplants with shorter transplantation time had lower functional capacity, which may directly affect the independence of these individuals¹⁶. Thus, the functional independence is conceptualized as the ability to do something with their own means, through satisfactory motor and cognitive conditions to the development of activities^{17, 18, 19}. Considering that the functionality of kidney transplant patients may be altered due to the permanence of uremic symptoms, even after years of transplantation, and considering the need for further studies to evaluate the impact of CKD after renal transplantation on functionality, mobility and balance these patients, studies with such evaluation perspectives prove necessary.

Thus, the objective of this study was to evaluate the functional independence, balance and mobility of kidney transplant recipients, to verify transplant time, donor type, regular exercise practice, musculoskeletal complaints, as well as association among these variables.

Methods

Observational study conducted at the Nephrology ambulatory of Hospital das Clínicas, Universidade Federal de Pernambuco from January to July 2015. After approval by the Ethics Committee on Institutional Research (CAAE 38714914.7.0000.5208) all volunteers signed the consent form to participate in this study, according to Resolution 466/2012 of CONEP.

Previously the entire population with active transplant cards that had been treated at the ambulatory was selected to the study,

totaling 180 transplanted. Of these, 96 patients met the inclusion criteria. The adopted inclusion criteria were: age between 18 and 60 years old, transplanted from 2000 to 2010 and treated at the Hospital das Clínicas. Patients who had a history of hospitalization during the three months preceding the day of collection and the ones who were not being monitored by the service for a period of six months were excluded from the study.

All patients were approached at the hospital nephrology clinic for the interview, then the data were confirmed in the medical records.

It was applied a questionnaire, covering socio-demographic data (gender, income, and education), age, time of kidney transplant, type of donor (living or deceased), presumed etiology of kidney disease, presence and number of comorbidities and medication used. Through a direct interview, without the use of validated questionnaires, musculoskeletal complaints and information about regular practice of physical exercise were reported. The recommendations of the Physical Activity Guidelines Advisory Committee classify regular exercise, a minimum of 75 vigorous-intensity or 150 moderate-intensity minutes per week¹⁹.

Functional Independence (Functional Independence Measure – FIM)

For the functional independence assessment it was applied the Functional Independence Measure (FIM) validated in Brazil by Oller, Ribeiro, Travagim, Batista, Marques, Kusumota¹⁸ with good cultural equivalency and reproducibility.

The FIM checks the individual's performance in carrying out 18 tasks related to subscales of self-care, sphincter control, transfers, locomotion, communication and social cognition. Each item was rated on a scale of degrees of dependence on 7 levels, the value 0 corresponding to the total dependence and the value 7 corresponding to normal in carrying out tasks independently¹⁹. The total score ranges from 18 to 126, the lower the result, the greater the dependence of the individual to perform their functional activities^{19,20}. To analysis purposes in this study, the result of the FIM was dichotomized into "yes" (preserved functionality) and 'no' (functional dependence)²⁰.

In this study, a total score of 108 was considered as cut-off point for the classification of renal transplants evaluated. Thus, scores lower than 108 represent that the individuals evaluated are dependent, whereas the same or higher values suggest that these individuals are functionally independent²⁰.

Mobility and Balance (Timed Up and Go - TUG)

To evaluate the mobility and static and dynamic balance, it was used the Timed Up and Go test (TUG) developed by Podsiadlo and Richardson²¹. For the realization of the test, it was used a chair with armrests and seat height of 46 cm, a timer and bookmarks for notes of the results. Participants were instructed to walk as fast as possible, safely, and thus, the test started from the issue of the verbal command "go" by the researcher, followed by the time tracking. Participants came

from the starting position, with their back against the back of the chair and feet parallel to the ground, then stood up from the chair, walked a distance of 3 meters and returned to the chair into the shortest time possible. The test was performed in duplicate with an interval of two minutes among repetitions, and then was adopted the best time of attempts²¹. Test results less than or equal to 20 seconds are considered people with no balance changes in basic tasks, those that exceed that time to complete the test are considered dependent in many of their activities of daily living and mobility²². For analysis purposes, the TUG was classified as without (score \leq 20 seconds) and with balance changes (score $>$ 21 seconds)²¹. All tests were performed by the same examiner. In our study, patients were familiarized with the test before recording the time of collection and the best time of three trials was used.

Statistical Analysis

A descriptive analysis was performed to characterize the sample and the variables were expressed through mean and standard deviation, confidence interval and frequency distribution. In order to verify associations between the variables, including FIM and TUG, the Pearson chi-square test was performed, considering $p < 0.05$ as the significance level. The contingency coefficient was used to verify the degree of association between TUG and FIM. For analysis purposes, individuals were classified into 5-10 years and 11-15 years since receiving their kidney transplant. The statistical analysis was performed in SPSS 20.0 software.

Results

From the 96 eligible transplant patients, 8 individuals failed to attend the clinic at the scheduled time for consultation and 2 refused to participate in the study, totaling 86 kidney transplanted (Figure 1). The time of renal transplantation among study subjects was 10.60 ± 2.92 years (9.98 - 11.23). The Table 1 presents general characteristics of the sample.

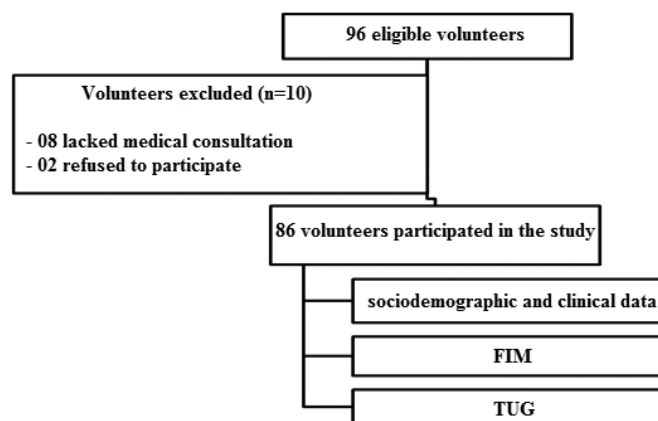


Figure 1: Flowchart of kidney transplanted patients in the study
FIM: functional independence measure; TUG: Time Up and Go Test

Table 1. General characteristics of kidney transplant patients from the Nephrology Service, Hospital das Clínicas UFPE, Recife, Brazil.

Variables	Mean ± SD/ n(%) n= 86	CI 95%
Age (years)	43.98 ± 0.93	42.12 - 45.83
Time of kidney transplantation		
5 to 10 years	43 (50.0%)	
11 to 15 years	43 (50.0%)	
Type of transplantation		
Living donor	32 (37.2%)	
Deceased donor	54 (62.8%)	
Etiology		
SAH	45 (52.3%)	
Others	41 (47.7%)	
Medications		
Anti-SAH	41 (47.7%)	
Others	12 (14.0%)	
Comorbidities		
Yes	33 (38.4%)	
No	53 (61.6%)	
Practice of Exercise		
Yes	15 (17.4%)	
No	71 (82.6%)	
Complaints		
Yes	58 (67.4%)	
No	28 (32.6%)	
Types of complaints		
Pain in the LLs	44 (51.2%)	
Low back pain	14 (16.3%)	
Other complaints	12 (14.0%)	
TUG (s)	10.48 ± 0,49	9.49 - 11.46
FIM	123.23 ± 0.62	122 - 124.47

CI: confidence interval; TUG: timed up and go; SAH: systemic arterial hypertension; FIM: functional independence measure; LLs: lower limbs; s: seconds.

Regarding mobility and balance of these patients (TUG), 9.3% underwent the test with time above 20 seconds. Of these, 7% (3) were in the group between 5-10 years and 11.6% (5)

were in the group above 11 years, as can be seen in Table 2 ($\chi^2 = 0.551, p = 0.458$). All patients with altered TUG received deceased donor graft ($\chi^2 = 5.227, p = 0.022$) (Table 2).

Table 2. Characteristics of individuals related to the type of kidney transplant (living donor and deceased donor).

	Living donor n= 32 (%)	Deceased donor n= 54 (%)	χ^2	p-value
TX Time				
5 to 10 years	14 (43.8%)	29 (53.7%)	0.796	0.372
11 to 15 years	18 (56.3%)	25 (46.3%)		
Etiology				
SAH	16 (50.0%)	29 (53.7%)	0.110	0.740
Others	16 (50.0%)	25 (46.3%)		
Comorbidities				
No	21 (65.5%)	32 (59.3%)	0.344	0.557
Yes	11 (34.4%)	22 (40.7%)		
SAH				
No	27 (84.4%)	40 (74.1%)	1.239	0.266
Yes	5 (15.6%)	14 (25.9%)		
TUG				
Yes	32 (100%)	46 (85.2%)	5.227	0.022
No	0 (0.0%)	8 (14.8%)		

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	Living donor n= 32 (%)	Deceased donor n= 54 (%)	χ^2	p-value
TX Time				
FIM				
Yes	32 (100%)	52 (96.3%)	1.213	0.271
No	0 (0.0%)	2 (3.7%)		
Complaints				
Present	13 (40.6%)	15 (27.8%)	1.510	0.219
Absent	19 (59.4%)	39 (72.2%)		
LL Pain				
No	19 (59.4%)	23 (42.6%)	2.265	0.132
Yes	13 (40.6%)	31 (57.4%)		
Lower back pain				
No	27 (84.4%)	45 (83.3%)	0.016	0.899
Yes	5 (15.6%)	9 (16.7%)		
Physical Exercise				
Yes	7 (21.9%)	8 (14.8%)	0.696	0.404
No	25 (78.1%)	46 (85.2%)		

TX: Transplant; SAH: systemic arterial hypertension; TUG: timed up and go; FIM: functional independence measure; LL: lower limbs; χ^2 : Chi-Square test. Significant level, $p < 0.05$.

Table 3. Characteristics of individuals in the study related to the time of kidney transplantation.

	5 to 10 years of TX n= 43 (%)	11 to 15 years of TX n= 43 (%)	χ^2	p-value
TUG				
Yes	40 (93.0%)	38 (88.4%)	0.551	0.458
No	3 (7.0%)	5 (11.6%)		
FIM				
Yes	43 (100%)	41 (95.3%)	2.048	0.152
No	0 (0.0%)	2 (4.7%)		
Complaints				
Present	16 (37.2%)	12 (27.9%)	0.847	0.357
Absent	27 (62.8%)	31 (72.1%)		
Pain in the LLs				
Yes	20 (46.5%)	24 (55.8%)		
No	23 (53.5%)	19 (44.2%)	0.745	0.388
Low back pain				
Yes	8 (18.6%)	6 (14.0%)		
No	35 (81.4%)	37 (86.0%)	0.341	0.559
Medications				
Yes	4 (9.3%)	8 (18.6%)		
No	39 (90.7%)	35 (81.4%)	1.550	0.213
Physical Exercise				
Yes	9 (20.9%)	6 (14.0%)	0.727	0.394
No	34 (79.1%)	37 (86.0%)		

TX= Transplant; TUG=timed up and go; FIM= functional independence measure; LLs=lower limbs. Pearson's Chi Square test (χ^2). Significant level $p < 0.05$.

As for functionality (FIM), it was observed that 2.3% of individuals had the need of some type of assistance to perform their functional activities and these were in the group over 11 years of transplantation ($\chi^2 = 2.048$, $p = 0.152$) (Table 2) and received the graft from a deceased donor ($\chi^2 = 1.213$, $p = 0.271$), as can be seen in Table 2.

Regarding to the practice of regular exercise, only 15 individuals (17.4%) reported performing, being 20.9% (9) of the group between 5-10 years and 14.0% (6) of the group between 11 to 15 years of transplantation respectively ($\chi^2 = 0.727$, $p = 0.394$). When this association was carried out taking into consideration the type of donor, it was found that 21.9% (7) of patients with graft from a living donor and 14.8% (8) patients with graft from a deceased donor practiced physical exercise ($\chi^2 = 0.696$, $p = 0.404$) (Tables 2 and 3).

From the 67.4% of transplanted who reported having musculoskeletal complaints, 51.2% had pain in the lower limbs, 16.3% had low back pain and 14.0% had other complaints (paresthesia, edema in the lower limbs and pain in the upper limbs). The pains in lower limbs were the most common among them, where 46.5% (20) of the patients between 5-10 years and 55.8% (24) of the patients between 11 and 15 years of the transplantation ($\chi^2 = 0.745$, $p = 0.388$) (Table 2). When considering the donor type, this association remained similar to the previous one, as can be observed in table 3 ($\chi^2 = 0.341$, $p = 0.599$).

When we verified the association between the TUG and the FIM among individuals of the study, it was observed that 25% of the 9.3% patients who showed impaired TUG also showed an impaired FIM ($\chi^2 = 19.964$, $p < 0.001$) and the degree of association between these two variables was 0.434 ($p = 0.000$), according to the analysis of the contingency coefficient.

Discussion

With the primary objective of assessing the functional independence, balance and mobility of renal transplant recipients as well as the association between these variables, this study found the association between functional independence (FIM) and mobility (TUG) between the recipients of Kidney transplant in the study, showing that patients with mobility and changes in balance may also demonstrate functional dependence, although the level of dependence.

In our study, the TUG test was able to detect changes in mobility and balance at 9.3% of kidney transplant patients, similar to the study by Greenwood, Lindup, Taylor, Koufaki, Rush, Macdougall²³. These authors subjected patients with chronic kidney disease (pre-dialysis, dialysis, and transplantation) to a 12-week rehabilitation program and observed that, for the kidney transplant, the TUG showed a reduction of 25% of their time at the end of the program²³.

In our study, we observed that patients with an altered TUG received the graft from deceased donor and the majority was in the group above 11 years of kidney transplantation.

The functionality of most of transplanted from our study was preserved, reflecting functional independence, similar to the findings in patients undergoing hemodialysis in the study

of Oller, Ribeiro, Travagim, Batista, Marques, Kusumota¹⁸. Although the functionality was not compromised, the changes in the muscular system are common to occur in the population due to decreased protein-calorie intake, muscle atrophy due to disuse and impaired muscle protein balance, the same occurring in patients with CKD undergoing hemodialysis. Unlike patients on hemodialysis, renal transplant patients require immunosuppressive therapy constantly. This therapy may also be responsible for the decrease of protein synthesis and the increase of protein catabolism, further compromising the muscular system of these patients^{4,24}.

In addition, renal transplant recipients have a high risk of bone loss due to factors found in the general population, as well as additional time, such as time on hemodialysis and transplantation, recipients of deceased donors and persistence of secondary hyperparathyroidism. In addition, renal transplant recipients have a high risk of bone loss due to factors found in the general population, as well as additional time, such as time on hemodialysis and transplantation, recipients of deceased donors and persistence of secondary hyperparathyroidism, increasing the risk of fractures and the incidence of cardiovascular diseases¹⁰.

With respect to functionality, patients considered dependent in our study were in the group over 11 years of transplantation and received deceased donor graft, unlike that found in the study by Costa, Nogueira¹⁶, where the transplant patients were assessed in a shorter transplant and whose functionality was assessed by the functional capacity domain of the SF-36 questionnaire. What possibly should have occurred in the study mentioned above is that the transplant elapsed time has not been sufficient to eliminate the deleterious effects of uremia on the musculoskeletal functions and thus, the functionality was presented as compromised⁴.

Although most of the study participants present preservation of mobility and functionality for the most part, we noted the presence of pain complaints, especially in the lower limbs. Pain in lower limbs are more frequent, and can be explained by the significant physical inactivity found among these patients, but also by the late uremic consequences on the muscular, metabolic, circulatory and skeletal systems, which cause symptoms such as early fatigue, peripheral circulatory deficit, changes sensitivity, muscle dysfunction and peripheral neuropathy^{3,4,10,11,16}.

Physical inactivity was high among our transplanted patients, similar to those found in the study by Costa, Nogueira¹⁶, which reported a frequency of 66.7%. According to the study by Romano, Lorenzon, Montanaro¹¹ the low frequency of physical activity is aggravated by depression as well as by a decrease in quality of life that does not only compromise hemodialysis patients, but also renal transplants. In these patients the tendency to sedentary lifestyle can also be attributed to patients' fear of losing the graft, lack of professional knowledge to indicate exercise, excessive family protection with these patients and lack of structural support to perform the exercises. Although we did not investigate the reasons for physical inactivity in our study, the reasons explained by Romano, Lorenzon, Montanaro¹¹ can be applied to our patients, considering that they have the same type of transplant in common¹¹.

Regarding the use of immunosuppressive, all the patients with

whom were made the interviews uses it. The immunosuppressive therapy maximizes transplant survival and minimizes rejection however corticosteroids have significant impact on cardiovascular risk, leading to increased and severity of hypertension and to diabetes mellitus^{26, 27}. Although exercise is considered important in combating these risk factors, and considering the necessity to use of such therapy for maintenance of the graft, we observed that physical inactivity predominated among our patients and this may be responsible for the high frequency of pain complaints found among them reflecting that only the recovery of the isolated kidney function from incorporating other health maintenance measures is needed¹⁶.

In our study, was observed that about half of patients using antihypertensive and hypoglycemic agents and the presence of comorbidities in these patients may reflect increased risk for these same patients. Other frequent occurrences in transplanted patients concern the increase in atherosclerotic plaques and other vascular changes associated with physical inactivity of this population may reflect badly on functional capacity and early mortality of these patients^{11, 28}.

As far for the limitations found, we recognize the need to assess kidney transplant in the early years after the transplant, which cannot be performed in this study due to the characteristics of the service, with a prevalence of more than 5 years of transplanted patients. We also recognize the importance of evaluating the reasons why these patients are inactive for the most part (fear, lack of access, lack of medical advice, excess of family protection).

Finally, we highlight the clinical relevance of our study in order to draw attention to the high number of transplanted who do not practice physical exercise. Although mobility and balance as well as the functionality were relatively preserved, these patients present complaints of pain in the lower limbs and lumbar spine, thus suggesting the importance of physical therapy monitoring over time. At present, these patients present preservation of functional independence, mobility and balance however, it is unknown to what extent these parameters will be maintai

Conclusion

The present study showed that although the level of dependence and impairments in mobility and balance found in renal transplants are low, deficits in mobility and balance may lead to changes in the ability to perform their functional activities independently. These individuals are mostly inactive and have musculoskeletal complaints mainly in the lower limbs. Additional studies need to be performed in order to monitor these individuals, especially as the periodic assessment and development of a treatment protocol involving regular physical exercise.

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