

Original Article (short paper)

Level of performance and stability of cardiopulmonary variables in the intensity of the ventilatory anaerobic threshold

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Abstract — Aim: The aim of this study was to describe the behavior of different cardiopulmonary variables in exercise session with constant running speed, corresponding to the intensity of ventilatory anaerobic threshold and identifying the steady state in a different level of performance. **Methods:** A cross-sectional study with nine elite athletes (31 ± 5.7 years, 1.7 ± 0.05 meters and $\dot{V}O_{2\max} 68.6 \pm 3.2 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$) and nine non-athletes (32 ± 10 years, 1.8 ± 0.1 meters and $\dot{V}O_{2\max} 47.2 \pm 4.4 \text{ mL} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$). Two visits to the laboratory have been conducted. Firstly, cardiopulmonary exercise testing until voluntary exhaustion took place to identify ventilatory thresholds and maximum oxygen consumption ($\dot{V}O_{2\max}$) and secondly, there was a running session for 1 hour in ventilatory anaerobic threshold speed, with continuous measurement of exhaled gases. A range of 5% ($\Delta 5\%$) for VO_2 and PetCO_2 was used; 5.5% ($\Delta 5.5\%$) for VE and 3% ($\Delta 3\%$) for respiratory exchange ratio (RER) and one-way ANOVA with statistical significance of $p \leq 0.05$ to identify the steady state of results. **Results:** A session with constant speed related to ventilatory anaerobic threshold intensity showed similarity in the steady state of ventilatory variables except for RER in the NA group ($p \leq 0.05$). **Conclusion:** It was possible to identify the steady state from ventilatory variables related to ventilatory anaerobic threshold intensity that occurred independently of the physical performance level.

Keywords: aerobic exercise; steady state; ventilatory threshold; running; athletic performance.

Introduction

Aerobic exercise through the continuous method is the most common running training prescription. The high-performance athletes train in a polarized way with ~75% of the training being performed below or at the Ventilatory Anaerobic Threshold (VAT)¹. When performing exercise close to the VAT, we can observe a physiological steady state, a phenomenon characterized by intrinsic adjustments of the organism to maintain the balance of energy substrates via aerobic metabolism^{2,3}.

For an accurate evaluation of steady state, there is a need for equipment with good accuracy in the metabolic variables measurement, and not only lactate and heart rate (HR)⁴. This way, the cardiopulmonary exercise testing (CPx), and the gold-standard method for prognostic and diagnostic of cardiopulmonary diseases, can be used to prescribe physical exercises as well as evaluate the physiological steady state, identify ventilatory thresholds and the maximum consumption of O_2 ($\dot{V}O_{2\max}$) in a non-invasive way, through gas exchange analysis⁵.

VAT is better than $\dot{V}O_{2\max}$ to prescribe exercise intensity and as sports performance forecast^{4,5}. Its identification can be made by the non-linear increase in pulmonary ventilation, by the increases in the ventilatory equivalent of O_2 ($\text{VE}/\dot{V}O_2$) without concomitant increase of $\text{VE}/\dot{V}CO_2$ ⁶ and by the computerized V-slope method, that indicates the intersection point with loss of linearity between $\dot{V}CO_2/\dot{V}O_2$ ⁷. These ventilatory adjustments are considered moments of metabolic and ventilatory imbalance and indicate an attempt of maintaining homeostasis of physiological processes to continue the exercise^{4,8,9,10}.

The steady state at the production and use of lactate with the superior limit level in the $[\text{Lac}]$ of $2.2 \text{ mmol} \cdot \text{L}^{-1}$ during constant load exercise relative to the VAT was previously reported in literature^{10,11,12,13,14,19,20,27,29}.

When evaluating the kinetics of O_2 consumption^{17,18}, we can observe a steady state at an intensity below VAT¹⁵. Nevertheless, when performing continuous exercise above VAT, the steady state occurrence may be delayed or not reached due to the slow component of O_2 , so there will be an increased contribution of glycolytic metabolism to generate ATP. This way, Whipp et al. (1972)¹⁸ claimed that during constant exercise $\dot{V}O_2$ took longer than 3 min to stabilize, therefore not reaching the steady state, the individual would be exercising at VAT intensity or above. In an attempt of characterizing the steady state, Haverly et. al (1988)²⁴ indicated a variation of $0.2 \text{ L} \cdot \text{min}^{-1}$ in the $\dot{V}O_2$ in the last 10 min of exercise²⁴. These findings present a lack of consensus regarding the determination of the steady state.

The exercise at VAT intensity is used as an efficient cardiopulmonary stimulus to trigger adaptive responses to training^{19,20}, but we still have to find out if the behavior of ventilatory, gas exchange and metabolic variables can exhibit a steady state response in exercises with constant VAT intensity for individuals with different levels of performance. Therefore, the possibility of a steady state in individuals with a high level of $\dot{V}O_{2\max}$ is hypothesized, which is related to a higher mechanical, metabolic and enzymatic adaptation.

Thus, the characterization of the steady state from ventilatory variables is a non-invasive method and training at VAT intensity promotes low risks for practitioners and, at the same time, induces positive physiological adaptations¹ that can contribute to the prescription of continuous aerobic exercise for individuals with different levels of training.

Therefore, we aimed to describe the behavior of different cardiopulmonary variables in exercises with constant running speed at the VAT intensity and to identify the occurrence of the steady state according to the level of performance.

Methods

Subjects

This study evaluated 18 street runners, 9 elite athletes (EA) that were highly trained and have participated in races of 5 and 10 km, classified between 1st and 5th place in street races (Espírito Santo/Brazil), and 9 non-athlete (NA) that have participated, but not regularly, in races of 5 km, without comorbidities (Table 1). We calculated the sample size according to the expecting modifications in the $\dot{V}O_{2\max}$ ($z\alpha=1.96$ and $z\beta=0.84$). The volunteers were informed to refrain from coffee, alcohol and exhaustive exercise for almost 24h before visiting the laboratory. On the first visit, they came in the morning between 9 and 11h and performed anthropometry and cardiopulmonary exercise testing (CPx). On the second visit, 48h after the first one, they run at VAT speed. The procedures were conducted according to the CNS 466 resolution of December 12th, 2012 and approved by the Research Ethics Committee at UFES, n° 261.897 on May, 2013. All individuals were informed of the experimental procedures, signing the Term of Written Informed Consent.

Table 1- Anthropometric characteristics in the EA and NA groups, street runners.

Anthropometric	NA (n = 9)	EA (n = 9)	p
Weight (kg)	82.1 ± 9.9	61.8 ± 4.5*	< 0.001
Height (m)	1.8 ± 0.1	1.7 ± 0.05	0.311
Age (years)	32 ± 10	31 ± 5.7	0.574
BMI (kg/m ²)	26.8 ± 2.2	21 ± 1.1*	< 0.001
∑ Skinfolds (mm)	134.8 ± 57	40.3 ± 10.5*	< 0.001

Mean ± SD. EA – Elite Athletes; NA – Non-Athletes. Skinfolds: tricipital, subscapular, mid axilla, chest, iliac crest, abdominal and thigh. Student's t-test for independent samples. * $p \leq 0.05$.

Cardiopulmonary Exercise Testing (CPx)

The CPx was performed on a treadmill (Inbra Sport Super ATL, Porto Alegre, Brazil), with a 1% inclination following the ramp protocol, with a duration of 8 - 12 min. The speed was gradually increased until exhaustion. The EA group, the initial speed was of 8 km·h⁻¹ and the NA group was of 5 km·h⁻¹ with an increase of 0.7 to 1 km·h⁻¹ per minute. A Metabolic Gas Analyzer (Cortex Metalyzer 3B, Germany) was used, with breath-by-breath measure and a calibration of mixed gas (11.97 %O₂ and 4.95 %CO₂) before each test, which was analyzed with an average of 15 seconds. The volume was calibrated with a syringe of 3L. The room temperature was controlled between 23°C and 25°C, and the test was supervised by a cardiologist. At least three of the following criteria were considered as maximum test: a) voluntary exhaustion; b) ≥ 90% of the predicted HR; c) respiratory exchange ratio (RER) is equal to or greater than 1.05²¹; d) plateau of $\dot{V}O_{2\max}$ with an increase in exercise intensity²².

Identifying Ventilatory Anaerobic Thresholds

The VAT was identified at the lowest point following a sustained increase in the ventilatory equivalent for oxygen ($VE/\dot{V}O_2$), without increasing the ventilatory equivalent for carbon dioxide ($VE/\dot{V}CO_2$); through V-slope⁶, indicating a point of intersection with loss of linearity between $\dot{V}CO_2/\dot{V}O_2$ and an abrupt increase of VE and PetO₂. In the visual method, three evaluators analyzed the results blindly and independently and the agreeing points of at least two of them were considered.

Exercise Session

A five-minute warm-up on 20% intensity lower than the VAT achieved in CPx and free static stretches were performed. The calibration procedures of the equipment were used as described in CPx, and shortly after the warm-up, the mask placement procedure was performed where they remained standing on the treadmill for 2 min, after adjusting the treadmill control in the VAT. The treadmill started progressively until the individual velocity of VAT was achieved with a maximal duration of 1 hour.

Data analysis and statistical procedures

The Kolmogorov-Smirnov test was used to test the normality of data. In the exercise session at VAT, averages were calculated every 2 min, making the graphic visualization easier. The data are presented as mean ± standard deviation. To compare the CPx averages among groups, student's independent t-test was used. To evaluate the magnitude of differences, Hedges' g for effect size metrics was used from the arbitrary scale of 0.2; 0.5; 0.8 and 1.3³⁰. To consider a steady state vs time we used one-way ANOVA with Tukey's *post hoc* test for multiple comparisons and variations of 5% (Δ 5%) for $\dot{V}O_2$ and PetCO₂; 5.5% (Δ 5.5%) for VE and 3% (Δ 3%) for RER⁵. The software SigmaStat 3.5© (Systat Software, Germany, 2006) was used for inferential analysis with significance level ($p \leq 0.05$).

Results

The speeds reached at VAT (NA = 9.2 ± 1.3 km·h⁻¹ and EA = 15.6 ± 1.7 km·h⁻¹, $p < 0.001$, ES = 4.41) and maximum (NA = 16.2 ± 1.1 km·h⁻¹ and EA = 22.2 ± 0.9 km·h⁻¹, $p < 0.001$, ES = 5.69), were 41% and 27% higher for the EA group, with statistical differences between the groups and a very large effect size. (Table 2)

The VE, RER and $\dot{V}O_2$ variables were statistically different between the groups ($p \leq 0.05$) with values of 33%, 26% and 45% higher for EA, respectively.

The total test time 12 ± 0.9 and 11 ± 1.1 min of CPx did not differ statistically in EA and NA, but presented a large effect size of difference ($p = 0.204$, ES = 0.95). The %HR_{VAT} presented a value 9.5% lower ($p < 0.001$; ES = 1.87) and the % $\dot{V}O_{2\max}$ with 11% lower ($p < 0.001$; ES = 1.24) for NA. (Table 2)

Table 2 – Speed, metabolic and ventilatory variables of CPx regarding vVAT.

Variables	NA (n = 9)	EA (n = 9)	p	ES(g)
vVAT (km·h ⁻¹)	9.2 ± 1.3	15.6 ± 1.7*	< 0.001	4.41
VE _{VAT} (L·min ⁻¹)	52.6 ± 14.7	78.8 ± 7.7*	< 0.001	4.48
RER _{VAT}	0.79 ± 0.08	0.89 ± 0.01*	0.005	1.34
$\dot{V}O_{2VAT}$ (mL·kg ⁻¹ ·min ⁻¹)	27.3 ± 4.9	49.3 ± 4.8*	< 0.001	4.32
HR _{VAT} (bpm)	141.0 ± 15	151.0 ± 8	0.109	0.78
PetCO _{2VAT} (mmHg)	40 ± 3.3	39 ± 2.4	0.447	-0.36
%HR _{VAT}	76.0 ± 5.4	85.5 ± 4.2*	< 0.001	1.87
% $\dot{V}O_{2VAT}$	61.8 ± 8.9	72.7 ± 7.8*	< 0.001	1.24
vMax (km·h ⁻¹)	16.2 ± 1.1	22.2 ± 0.9*	< 0.001	5.69
Time _{max} (min)	11.0 ± 1.1	12.0 ± 0.9	0.204	0.95
VE _{max} (L·min ⁻¹)	123.0 ± 17.9	148.0 ± 21.2*	0.016	1.21
RER _{max}	1.1 ± 0.03	1.1 ± 0.01	0.103	0.00
$\dot{V}O_{2max}$ (mL·kg ⁻¹ ·min ⁻¹)	47.2 ± 4.4	68.6 ± 3.2*	< 0.001	5.45
HR _{max} (bpm)	188.0 ± 14.5	184.0 ± 14.8	0.528	-0.26
PetCO _{2max} (mmHg)	37.6 ± 3.7	36.4 ± 2.8	0.451	-0.35

Mean ± SD (NA – non athletes; EA – elite athletes; student's t-test for independent samples * $p \leq 0.05$; ES – effect size – Hedges' g). Legend: vVAT – speed corresponding VAT; VE – pulmonary ventilation per minute; RER – respiratory exchange ratio; $\dot{V}O_2$ – oxygen consumption; HR – heart rate; PetCO₂ partial pressure of expired carbon dioxide; %HR_{VAT} and % $\dot{V}O_{2VAT}$ – Percentage of VAT.

VAT session: behavior of ventilatory variables

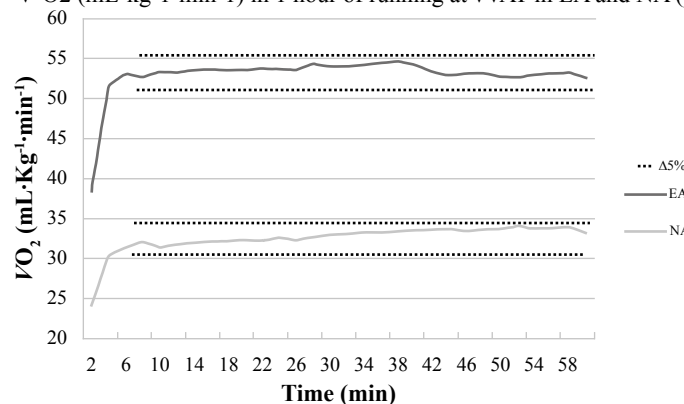
Seventeen individuals performed a one-hour run. However, one individual in the EA group performed it in 40 min, possibly because we do not have any control of competitive period and this athlete could feel the effects of this vigorous phase of training.

As observed in Figure 1, both groups presented a steady state behavior of $\dot{V}O_2$, remaining in the criterion of ($\Delta 5\%$) ($p > 0.05$). From the 4th to the 60th min there have been differences of 1 and 3 mL·kg⁻¹·min⁻¹ for the AE and NA groups respectively. (Figure 1)

The VE presented a steady state considering the criteria ($\Delta 5.5\%$) and ($p > 0.05$). From the 4th to the 60th minute there have been differences of 11 and 12.2 L·min⁻¹ for the AE and NA groups respectively. (Figure 2)

Both groups show a reduction in the RER during VAT in the 1-hour training session. In the EA group, the RER rates varied from 0.89 to 0.87 from the 4th to the 60th minute, and despite this behavior, there are no statistical differences ($p > 0.05$). In the NA group, the rates varied from 0.88 to 0.82 from the 4th to the 60th minute with statistical differences ($p < 0.05$), however, considering the criteria of variation of ($\Delta 3\%$), both groups presented steady state. (Figure 3)

The PetCO₂ behavior in the EA and NA groups presented a reduction of rates, but without statistical differences. In the EA group, the PetCO₂ rates varied from 37.8 to 35.5 mmHg from the 4th to the 60th minute with a 2.3 mmHg difference. In the NA group, the rates varied from 40.2 to 36.5 from the 4th to the 60th minute with a 3.7 mmHg difference ($p > 0.05$). (Figure 4)

Figure 1 – $\dot{V}O_2$ (mL·kg⁻¹·min⁻¹) in 1 hour of running at vVAT in EA and NA ($p > 0.05$).

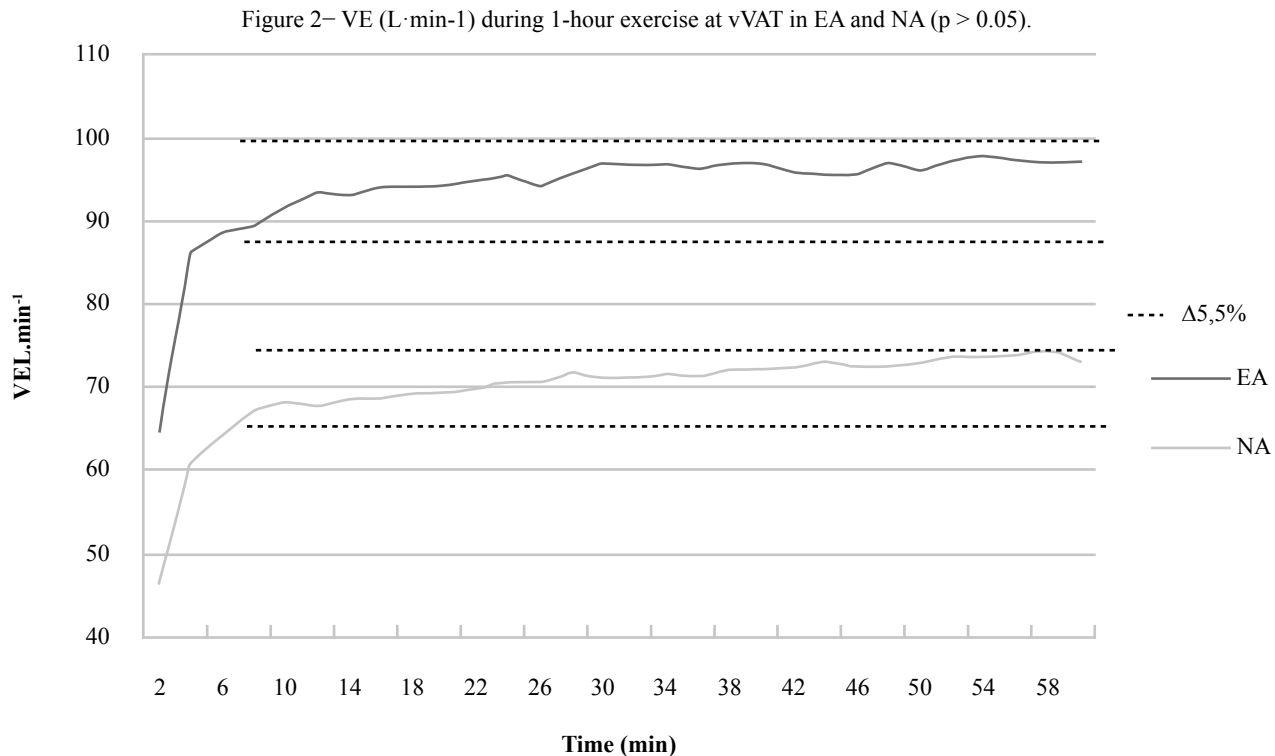


Figure 3– RER during 1 hour exercise at vVAT in the EA (p > 0.05) and NA (p < 0.05) group.

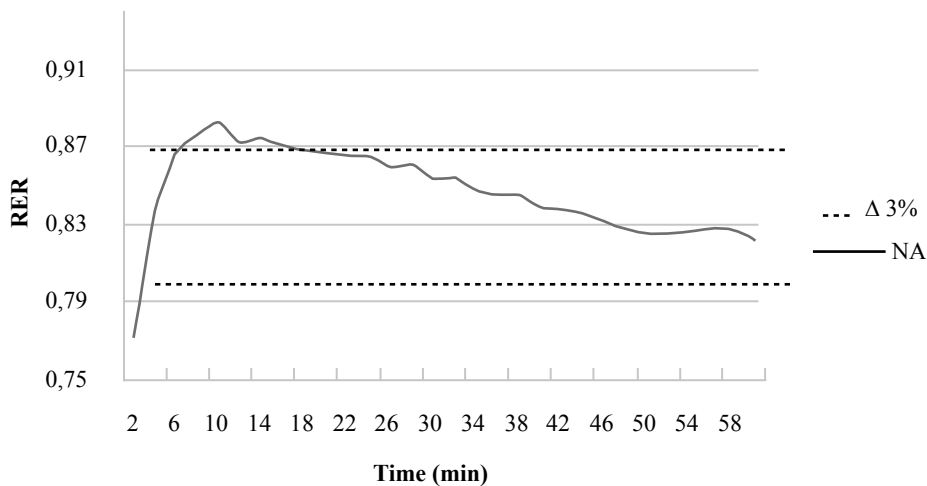
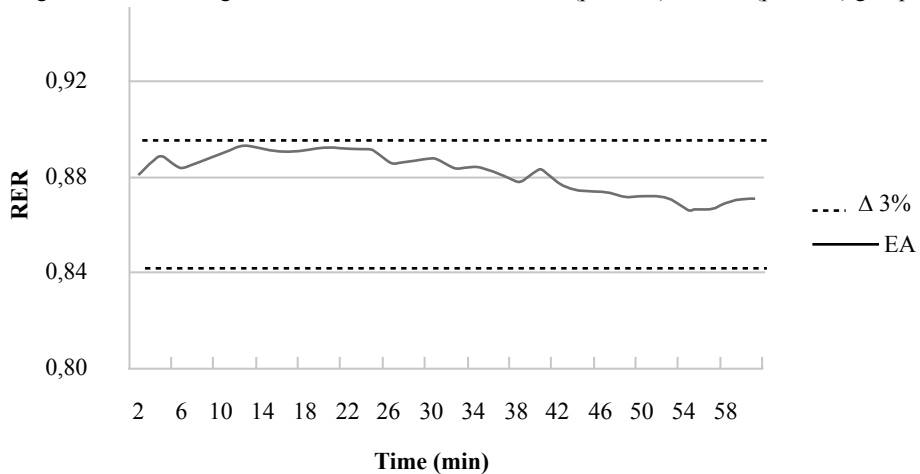
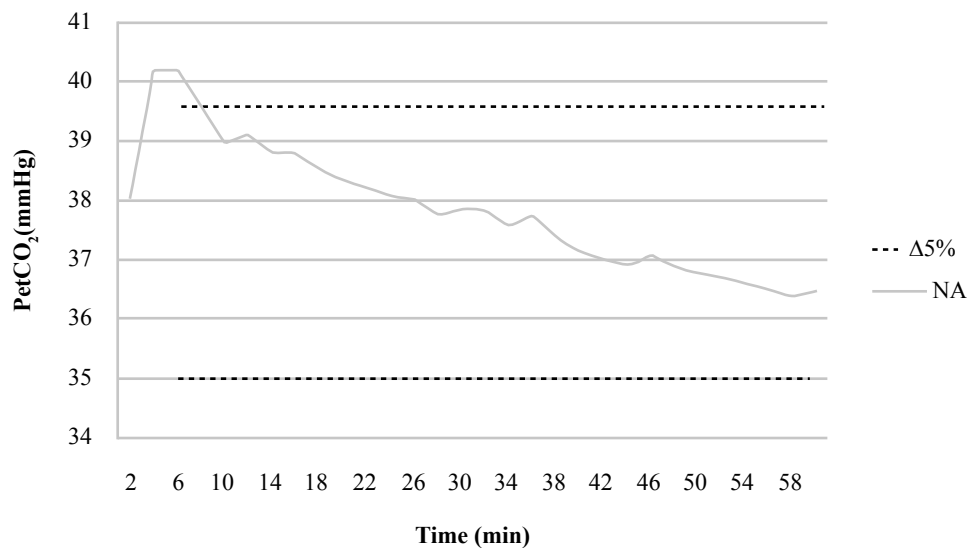
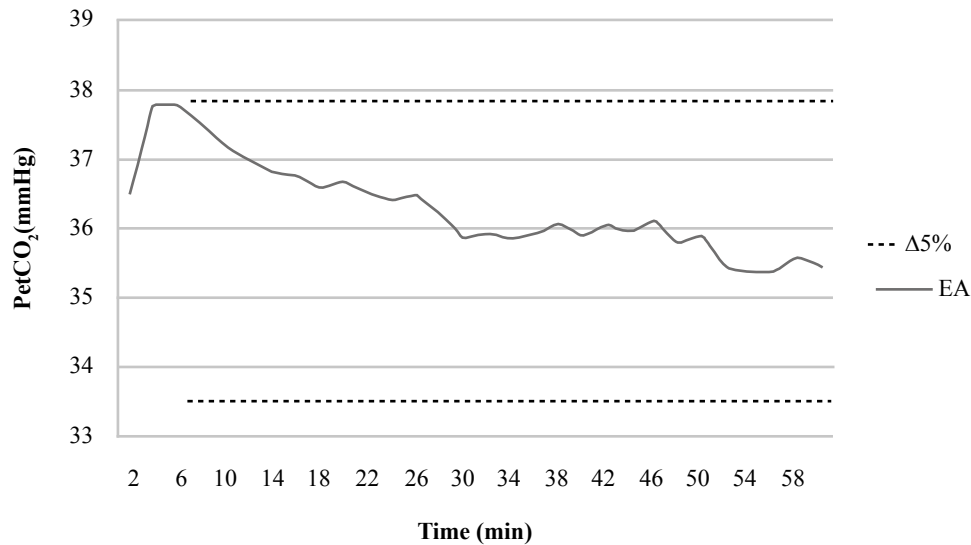


Figure 4– PetCO₂ during 1-hour exercise at vVAT in EA and NA (p > 0.05).



Discussion

A session of continuous exercise at VAT speed applied to two groups of runners of different performance levels has presented a steady state behavior in the ventilatory variables: $\dot{V}O_2$, VE, RER and PetCO₂. Until the present moment, to our knowledge, this was the first study to evaluate the steady state in this intensity with individuals of different performance levels.

A variation of 1 mL·kg⁻¹·min⁻¹ in the EA group represented 75 to 77%, and the variation of 3 mL·kg⁻¹·min⁻¹ in the NA group represented 64 to 70% of $\dot{V}O_{2max}$ from the 4th to the 60th minute, characterizing a lower variation and higher stability for the EA. This acute responses are expected because athletes have higher mechanical, metabolic and enzymatic adaptation compared with non athletes. Some explanations about metabolic and

enzymatic adaptations are demonstrated by Fink et al. (1977). They demonstrate in elite runners 82.9% of the cross-sectional area is composed by slow-twitch fibers, compared with 40.5 and 69.4% in middle distance runners (800 – 5000 m). In elite runners muscles, the activity of succinate dehydrogenase (SDH) is greater 21.6 μmoles/g·min vs 17.7 μmoles/g·min compared with middle distance runners³¹.

One difference of our study was the use of two criteria to identify the steady state that presents greater accuracy in the identification of the steady state whereas previous studies presented only one criterion^{12,19,20}.

Tanaka (1991) observed a reduction of the RER (0.87 – 0.82) with a decrease in [Lac] after 20 minutes of exercise¹². Despite not evaluating [Lac], these data corroborate with our findings, which presented a reduction of RER (0.88 – 0.82) for the NA

group. However, contrary to what was expected, in the EA group the RER showed a reduction, but without statistical difference.

Even though our study evaluates responses of only one exercise session, the importance of the steady state training at VAT intensity for runners stands out. Also, a high rate of VAT expressed in running speed is correlated ($r=0.60$) with better performance and higher average speed in 5 km runs²³. LaFontaine et al. (1981) showed high correlation between the maximum steady state of $\dot{V}O_2$ identified during treadmill tests and $[Lac^-]$ of $2.2 \text{ mmol}\cdot\text{L}^{-1}$ during track tests, especially for 8 km runs ($r=0.995$)²⁰. This study corroborates with our findings, presenting similar values for the EA group in the $\dot{V}O_{2\text{max}}$ (66.1 vs $68.6 \text{ mL}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$), $\dot{V}O_{2\text{VAT}}$ (52.4 vs $49.3 \text{ mL}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$) and VAT speed (15.5 vs $15.6 \text{ km}\cdot\text{h}^{-1}$), that may indicate the occurrence of the steady state at VAT intensity. This way, previous studies confirm the importance of training in the steady state at VAT intensity, presenting an increase in $\dot{V}O_{2\text{max}}$ and in the anaerobic potential, decrease in resting HR and an increase of performance in 15km, 600 m, 3.22 km and 10 km runs^{23,24}. A high correlation between LT and VAT reinforces the use of non-invasive measures to identify the thresholds and their application during the training^{23,24}.

The continuous training at or near VAT intensity promotes adaptations such as the increase of type I muscle fibers, the increase of activity and expression of enzyme markers of the citric acid cycle like citrate synthase, which is responsible for starting the Krebs cycle, the increase of BHAD (3- hidroxyacil COA desidrogenase) a key enzyme in beta-oxidation and an increase of expression and activity of PGC1- α , an important co-activator responsible for the increase of mitochondrial numbers and type I and IIa muscle fibers in the skeletal muscle^{25,26}. These adaptations can maximize the oxidative input and the use of energy substrates during the exercise, enhancing the physical capacity and performance.

A limitation of this study may be the non-control of the training period, what makes it possible that the athletes had been evaluated in different phases of the periodization. However, this did not avoid the identification of steady state. Failure to perform the sample calculation for all ventilatory and metabolic variables evaluated except $\dot{V}O_2$ can be considered as another limitation of this study. However, they have not diminished the strength of the results due to the inclusion of the effect size. Another aspect to be considered is the metabolic analysis added as measures of $[Lac^-]$ and HCO_3^- , in order to confirm the steady state. Nevertheless, such limitations do not interfere with the findings, since the characterization of the steady state is more carefully analyzed when compared to previous studies.

In practical terms, if we want an exercise intensity that has low risks for practitioners and, at the same time, induces positive physiological adaptations, it is the VAT. We can see that all physiological responses remained stable during 60-minutes running in athletes and non-athletes. However, there are some differences between athletes and non-athletes that must be considered. For example, athletes run in greater percentage of $\dot{V}O_{2\text{max}}$ and HR_{max} than non-athletes; therefore, we cannot use the same percentage of $\dot{V}O_{2\text{max}}$ and HR_{max} for different level of performance to intensity prescription. The athletes showed

higher RER and minute ventilation during 60-minutes running; it means that they used more carbohydrate than non-athletes, and on the other hand, non-athletes were able to consume more fat than athletes. This fact is important for nutritionists to manage the diets according to personal goals (e.g., lose fat, performance improvement).

Conclusion

It was possible to identify the steady state from ventilatory variables on the relative intensity of VAT, and this phenomenon occurred independently of the level of physical performance, and as expected, the EA group presented higher values than NA. The physical exercise performed in a steady state at VAT can be used as a strategy to prescribe race training both in high-performance athletes and non-athletes.

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