Original Article

Special Article Collection

Di Domenico, M.; Andriguetto-Filho, J.M. and Lopes, R.M. (Eds) An ocean of science, worms, and humaneness: a tribute to Paulo Lana

> http://doi.org/10.1590/2675-2824072.23143 ISSN 2675-2824

Determination of organic contamination levels by the ABC Method (Abundance/Biomass Curves) in intertidal estuarine flats using hierarchical design

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Abstract

This study evaluates the applicability and reliability of the ABC method as an indicator of the level of organic pollution in non-vegetated tidal flats in a subtropical estuary in southern Brazil. Following a hierarchical sampling design, the method was applied in two contaminated and two non-contaminated tidal flats near Paranaguá. It was conducted in three consecutive fortnights of a summer and a winter, aiming to analyze correlations between faunal responses and chemical indicators of contamination and spatio-temporal variability. Tidal flats closer to the city were classified as grossly contaminated. However, the responses on the non-contaminated area were highly heterogeneous, indicating that natural inputs of organic matter were confounded with pollution effects. In the AIC analysis applied to the *W*-statistics of the ABC curves, the best model showed correlations with some of the fecal steroids and total organic carbon. Contrary to expected, the ABC curves significantly varied at the smaller spatio-temporal scales due to the high local hydrodynamics and natural organic inputs. This suggests that the ABC method is indeed sensible to the contamination levels and can be used as an index of biotic quality. However, the method must be cautiously applied in estuaries subjected to natural organic enrichment.

Keywords: Macrobenthic fauna, Tidal flats, K and r-strategies

INTRODUCTION

The use of indexes is an alternative for impact studies since their synthetic, direct, and practical language is easily understood. Indexes and indicators of environmental quality can and should be used to guide research and policies of environmental programs (Pinto et al., 2009, Carter et al., 2017).

Submitted: 30-Aug-2023 Approved: 27-Jan-2024

Associate Editor: José Milton Andriguetto Filho

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In this context, benthic macrofauna has been widely integrated with environmental quality indexes since it is composed of relatively sedentary animals and long-life cycles with different levels of stress tolerance (Wildsmith et al., 2011; Dauvin et al. 2012; Carter et al., 2017; Huang et al., 2021; Huang et al., 2022). Many indexes based on the conditions and functions of the benthic communities have been proposed and applied in specific regions, particularly in temperate areas of the northern hemisphere. However, for such indicators to be in fact generalizable and applicable in real situations, studies are still needed in other areas, including tropical and subtropical ones.

An example of a yet non-generalizable indicator is the Abundance Biomass Curves (ABC) method, proposed by Warwick (1986), based on the relationship between abundance and biomass of benthic macrofauna in response to contamination gradients. The ABC method has been applied even to planktonic, ichthyofaunal, mega- and meiobenthic communities (Warwick et al., 1990; Rogers et al., 2008, Viana et al., 2012, Jimenez et al., 2015; Tweedley et al., 2017). According to the method, the conditions of a community can be evaluated from the relationships between two *k*-dominance curves (Lambshead et al., 1983), one of abundance and one of biomass. In these curves, species are classified in the *x*-axis according to the order of importance (in logarithmic scale) and the percentage of dominance on the *y*-axis (cumulative scale). In this way, *k*-dominance curves are cumulative rankings of abundances and biomass plotted against a species ranking (more precisely, its logarithm). The advantage of dominance curves is that the distribution of abundance and biomass among species can be compared under similar conditions.

The curves typically respond to disturbances caused by organic enrichment associated with domestic sewage disposal. In these cases, the enriched environment is quickly dominated by r-strategist species in high abundance and low diversity, which have small size and high reproduction rates. When the environment is in equilibrium, on the other hand, *k*-strategist species of lower reproductive rate, greater size, and longevity dominate the environment in biomass and occur in greater diversity. In this situation, it is expected that the total biomass is larger, but distributed among a larger number of individuals. Thus, general trends of variation of ABC curves allow to categorize environments into three levels of pollution: unpolluted, moderately polluted, and heavily polluted (Warwick, 1986; Clarke, 1990). In a polluted environment it is expected that the total biomass is distributed only among a few species, making the abundance curve above the biomass curve. In unpolluted communities, the biomass curve is above

the abundance curve. In moderately polluted communities, the curves are similar.

However, the choice of sample design may affect the interpretation of patterns of variation of the benthos structure (Schielzeth and Nakagawa, 2013; Underwood and Chapman, 2013; Brauko et al., 2015). For instance, the macrobenthic fauna that occupy habitats associated with top layers of the sediments may be more sensitive to variations in habitat heterogeneity associated with the sediment-water interface, as well as to differences in these habitat components at the patch and larger spatial scales (Zajac et al., 2013). The temporal variation makes the interpretation of spatial patterns even more complex and must be considered (Varfolomeeva and Naumov, 2012). Despite the evident influence of spatio-temporal variability on environmental quality assessments, few studies have incorporated more robust designs into ABC studies (eg. Drake and Arias, 1997; Geist et al., 2012; Tweedley et al., 2017), such as hierarchical sampling designs. These designs are considered an appropriate method to estimate the contribution of a series of progressively increasing spatial scales to the total variation among samples (Underwood and Chapman, 2013; Souza et al., 2013), yet to be tested in ABC quality assessments.

This paper evaluated the ABC method as an indicator of the level of pollution by organic enrichment in a subtropical estuary by using a hierarchical space-time sample design. For this purpose, macrofauna sampling and chemical indicators of organic contamination were conducted on intertidal flats submitted to the discharge of urban effluents and away from the source of discharge.

METHODS

Study area

The Paranaguá Estuarine Complex (PEC) is one of the largest and most preserved coastal areas along the South American coast, covering 612 km² (Figure 1). The surveys were conducted in the polyhaline Cotinga sub-estuary, which measures about 20 km long and is located near the estuary mouth. Nearly 34% of the surface area of the sub-estuary is covered by intertidal mangroves and marshes or remain unvegetated (Noernberg et al.,

2006). The inner sector of the sub-estuary receives most of the anthropogenic input of sedimentary organic matter or sewage-derived material from Paranaguá city (Souza et al., 2013). The waste of nearly 50% of the city's population undergoes treatment, while the rest is released in natura to the environment (Companhia de Águas do Brasil: CAB, 2010). A compressed gradient of sewage contamination from the inner sector to the outer part of the sub-estuary was evidenced by *Escherichia coli* sediment concentrations (Kolm et al., 2002) and levels of fecal steroids, which are highly stable sewage organic markers (Martins et al., 2010). The sewage-derived impacts indicated by coprostanol levels and the AMBI benthic quality index may vary from high to moderate and are confined to Paranaguá city vicinity (Abreu-Mota et al., 2014; Brauko et al., 2016).

Figure 1. Study sites in Paranaguá Estuarine Complex (PEC), Brazil. Indices were applied to tidal flats 1 and 2, sampled at the Contaminated (CS) and Non-Contaminated sites (NS) of Cotinga sub-estuary in 2011.

Sample collection and processing

Sampling was performed in 2011 during austral summer (January and February) and winter (June and July), at low spring tides. The sampling design was based on a five-factor model (two temporal and three spatial factors). The temporal factors were: Season (two levels, Summer and Winter) and Fortnight (three levels, F1 to F3) within each Season. Spatial factors included: four Plots (four levels, P1 to P4 arranged parallel to the waterline, 10 m apart) with three replicates each, nested within two Tidal Flats (two levels, T1 and T2, 102 m apart), which were, in turn, nested within two Conditions (two levels, Contaminated and Non-Contaminated - 103 m apart). The temporal and spatial factors were arranged orthogonally (Figure 2). Season and Condition were fixed, and all other factors were random.

Faunal samples were collected using a PVC corer (10 cm diameter, 15 cm deep, 78.5 m²). All samples were sieved through a 0.5 mm mesh, fixed in 6% formaldehyde, and preserved in 70% ethanol. In the laboratory, the organisms were counted and identified to the lowest possible taxonomic level, usually species. The dry biomass of these organisms was determined from the difference between the initial and final weights after oven drying at 60°C for 48 hours. Taxa considered rare, with a sample *n* less than 11 in all samples were excluded from the analysis due to not affecting major community trends.

Figure 2. Sampling design diagram. Temporal and spatial scales correspond to the factors of the linear model. Two Seasons were included (Summer and Winter), with three consecutive Fortnights per Season (F1, F2, and F3). In each Fortnight, two Conditions were sampled (Contaminated and Non-Contaminated) 103 m apart, with two Tidal flats per Condition (T1 and T2) 102 m apart, four Plots per Tidal flat (P1, P2, P3 e P4) (10 m apart), and three replicates each (12 m plot).

For the redox discontinuity layer (RDL), 3 measurements were taken at each sampling point with the help of a ruler, which consisted of the threshold or transition depth of the lighter sediment (oxygen rich) for the darker sediment (poor in oxygen). Sediment from each plot was also taken to determine the following physico-chemical parameters: total nitrogen (TN), total organic carbon (TOC), calcium carbonate (CaCO3), sedimentary organic matter (OM) and mud contents, as well as mean grain size and sorting. The concentrations of TN were obtained according to Grasshoff et al. (1983), and TOC was determined with the oxidation method described by Strickland and Parsons (1972). Sediment samples were processed according to Suguio (1973), and granulometric parameters were determined on the R software (R Core Team, 2009) using the package rysgran (Gilbert et al., 2012). Calcium carbonate (CaCO3) and total organic matter contents were determined using acid digestion and furnace combustion at 550ºC for 1 h, respectively.

We additionally sampled the sediment from each tidal flat for fecal steroids analysis, using the method described by Kawakami and Montone (2002). Briefly, sediments were extracted in a Soxhlet apparatus, and the steroid 5a-cholestane was added as a surrogate. The extract was concentrated using rotoevaporation and cleaned up using column chromatography with deactivated alumina and ethanol. The extracts were then evaporated to dryness and derivatized with BSTFA (bis (trimethylsilyl) trifluoroacetamide) with 1% TMCS (trimethylchlorosilane). The organic extracts were analyzed using gas chromatography with an Agilent HP 7890A coupled with a flame ionization detector (FID) and a fused silica capillary column coated with 5% diphenyl/dimethylsiloxane (30 m, 0.32 mm ID and 0.25 m film thickness) for fecal steroids. Instrument specifications and calibration procedures are described by (Montone et al., 2010). The detection limits (DLs) were <0.01 mg g-1 for all analyzed compounds. Measured concentrations of target steroids in the IAEA-417 reference material were within 90 and 110% of the certified values provided by the International Atomic Energy Agency (IAEA).

The ABC curves were generated for the averages of each fortnight of summer and winter, under contaminated and non-contaminated conditions. W coefficients were also generated for each replicate sampled, indicating the ratio or distance between biomass and abundance. This coefficient was proposed by Clarke (1990) as a statistical treatment for the ABC method, with the following formula:

$$
W = \sum_{i=1}^{s} (Bi - Ai)/[50(S - 1)]
$$

Where Bi is the biomass of species i, Ai is the abundance of species i, and S is the number of species.

The values of W vary from -1 to $+1$, and positive values occur when the biomass curve is above the abundance curve, that is, in pristine conditions. The negative values of *W* occur when the abundance curve is above the biomass curve, indicating a polluted environment (Clarke and Gorley, 2006). Moderately polluted environments present a *W* very close to zero since the abundance and biomass curves overlap practically all their extensions.

To explore the correlations between ABC responses and abiotic variables, multiple regressions were performed using the *W* coefficient as the response variable. The predictive variables were: coprostanol (COP) and cholesterol (COL) content in the sediment, coprostanol/cholesterol (COP/ COL) and coprostanol/(coprostanol+cholestanol) (COP/COP+COLA), calcium carbonate (CaCO3), total nitrogen (NT) and total organic carbon (TOC) of the sediment, mud content, mean grain size, sorting and total organic matter (OM). The Akaike Information Criterion (AIC) (Akaike, 1974) was then used to select the best model of correlations. AIC is a methodology for selecting models, where more than one model fits the parameters and shows which models are significant. Before the analysis, the abiotic variables were either logit transformed for proportional data (Warton and Hui, 2011) or square-root transformed for continuous data to fulfill linear model assumptions.

The spatio-temporal variability of the W coefficient was estimated at multiple scales using a nested ANOVA following the linear mixed model:

> $X = \mu + Si + F(S)_{(i)} + Ck + T(C)_{(k)} + P(T(C))_{m((k))} +$ $SCik + ST(C)_{i(k)} + SP(T(C))_{i_m(i(k))} + F(S)Cj(i)k +$ $F(S)T(C)j(i)l(k) + F(S)P(T(C))_{i(i)m(l(k))} + en_{(ijklm)}$

Where $S =$ Seasons; $F(S) =$ Fortnights, nested in Seasons; $C =$ Conditions; $T(C) =$ Tidal flats, nested in Conditions; $P(T(C)) =$ Plots, nested in Tidal flats.

The PRIMER 6 software (Clarke and Gorley, 2006) was used to obtain ABC curves and W coefficients. Statistical analyses were performed with the PERMANOVA+ add-on (Anderson et al., 2008) and the vegan package (Oksanen et al., 2008) in the R software (R Core Team, 2009).

RESULTS

The fauna varied considerably between seasons and conditions of contamination (Table 1). *Tubificinae* sp. 1, also known as oligochaete worms, was very abundant during the winter in both conditions and almost absent in the summer. The abundance of *Capitella* sp. was reduced in the uncontaminated condition, as well as *Tubificinae* sp. 1, *Laeonereis culveri*, *Heteromastus* sp., and *Streblospio benedicti*. The opposite occurred with *Heleobia australis*, generically named snail mud, and *Sigambra* sp., which were more abundant under non-contaminated condition. As for total biomass, the species that contributed most were the larger bivalves, represented by *Anomalocardia flexuosa*—commonly known as maçunim, vôngole, or berbigão—, *Macoma constricta* (tarioba branca), and *Tellina versicolor*. Low biomass values were found for the numerically dominant species *Tubificinae* sp. 1, *Laeonereis culveri*, and *Heleobia australis*. Curve responses varied between contaminated and non-contaminated areas. All the curves classified the contaminated shoals as very polluted, with the line of abundance almost always above the biomass line (Figure 3). In Winter Fortnight 2, the abundance and biomass curves overlapped, but a high degree of contamination was evidenced by the *W* coefficient (Figures 3 and 4). In the summer, there was a gradual improvement of the environmental quality, evidenced by the decrease in the distance between the curves, which was not repeated in the winter fortnights.

However, the responses of the curves in the non-contaminated area were highly heterogeneous, varying from unpolluted to heavily polluted throughout the study periods. The classification "unpolluted" was only attributed by the curves in the first fortnight of winter. The shoals were classified as very polluted in two and three fortnights of summer and winter, respectively. The other shallows of the non-contaminated area were classified as moderately polluted.

Table 1. Abundance and biomass (10-3 g) of the ten most abundant species during winter and summer in Contaminated and Non-contaminated conditions.

Capitella sp. 9 13 5 6

Multiple regressions generated several correlation models between the *W* coefficient and the abiotic variables. The AIC analysis indicated that the best model explained approximately 67% of *W* (global R = 0.6037, adjusted R2 = 0.6037, $df = 19$, $P < 0.0001$), which includes coprostanol/ cholestanol ratio (indicating the presence of organic enrichment by sewage), the presence of cholesterol and coprostanol, grain size, and total nitrogen concentrations. The other models had a set of variables less correlated to *W*, and their respective AIC values can be observed in Table 2.

PERANOVA showed significant differences in the spatiotemporal variability of the ABC curves (represented by the *W* coefficients) in the Lowlands scale (102 m) and the interactions between the Forties and Points (101 m) (Table 3). The variation components (CV%) confirmed the high importance of the scale of tidal flats and the interaction between Points and Fortresses for the variability of the W coefficients.

Figure 3. Mean of the *W* coefficients for each point in summer and winter for Contaminated and Non-contaminated conditions. B1 and B2 correspond to the shoals and Q1, Q2, and Q3 in the sampled fortnights.

Figure 4. ABC curves in every winter and summer fortnight in Contaminated and Non-Contaminated shallows. Species classified in terms of biomass and abundance in the *x*-axis (logarithmic scale), and percentage of dominance (cumulative scale) in the *y*-axis.

Table 2. Model selection table ranked by Akaike information criteria (AIC) for effects of various abiotic variables on *W*-statistic showing the final predictive model in bold (with estimates, standard error, F-, and *p*-value), variables dropped against the full model and selected variables. ΔAIC= difference in AIC value between given model or variable and the best overall model.

	df	MS	F	p	$CV(\%)$
S	1	0.00	0.29	0.91	0.00
C	1	1.77	1.65	0.28	4.69
F(S)	4	0.27	2.88	0.10	3.42
T(C)	2	0.89	5.08	0.01	10.42
SxC	1	0.50	2.03	0.21	3.82
P(T(C))	12	0.11	0.83	0.62	0.00
SxT(C)	$\overline{2}$	0.06	1.00	0.44	0.01
$F(S) \times C$	4	0.23	2.46	0.14	5.31
SXP(T(C))	12	0.09	0.69	0.75	0.00
$F(S) \times (T(C))$	8	0.10	0.75	0.64	0.00
$F(S) \times P(T(C))$	48	0.13	2.29	0.01	21.71
RES	192	0.06			50.62
Total	287				

Table 3. PERANOVA results for the macrofauna associations in the different scales investigated. p-values calculated by means of the Monte Carlo permutation test. The scales include: period (S), fortnight (F), condition (C), tidal flats (T), and point (P).

DISCUSSION

The ABC method responses were clearly congruent in the contaminated area but ambiguous in the non-contaminated area. Most points in the uncontaminated area were classified as moderately polluted, although the chemical indicators of organic contamination indicated concentrations below the contamination limit described in the literature (Grimalt et al., 1990; Jeng and Han, 1994; Mudge and Seguel, 1999). In both contaminated and non-contaminated areas, small species were dominant, which may have confounded the results of the curves. The susceptibility of the method to the presence of dominant species possibly caused the uncontaminated areas to be classified as moderately polluted. Therefore, the presence of individuals of small size and high abundance species such as *Heleobia australis* and *Sigambra* sp. in the tidal flats of the uncontaminated areas may have led to the classification of the environment as moderately polluted.

The absence of dominant species in biomass can result in changes in the positioning of the curves, causing the biomass curve to be below the abundance curve in regions considered as non-polluted (Dauer et al., 1993). In addition, the low abundance of large bivalves such as *Anomalocardia flexuosa* and *Macoma constricta* may have influenced the classification of non-contaminated areas, as their absence displaces the biomass curve below the abundance curve, a characteristic situation of polluted environments. The presence or absence of organisms that are more sensitive to impacts, such as many species of echinoderms and mollusks, may also tend to produce the overall result of the curves as well as the size of the sampler used (Dauer et al., 1993; Salas et al., 2004).

The benthic macrofauna is largely influenced by changes in salinity, temperature, and dissolved oxygen (Lv et al., 2016a; Zhang et al., 2023). The increase of precipitation occurred in the summer may have directly influenced these factors and resulted in the gradual improvement of the environmental quality evidenced by the decrease in the distance between the curves in this period.

The use of the ABC curves in the sublittoral has contributed consistently and congruently to the classification of environmental quality (Frontalini et al., 2011; Semprucci et al., 2013; Lv et al., 2016b; Zhang et al., 2016; Liu et al. 2018). Cai et al. (2013) have shown that the *W* coefficient responds satisfactorily to the organic contamination gradients in sub-littoral sheltered environments from unconsolidated funds. On the other hand, ABC curves may be less efficient

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in tidal flats, where biomass and diversity tend to be low, even if the fauna occurs at high densities (Beukema, 1988).

The values of *W* were correlated to cholesterol and coprostanol levels in the environment, as well as the ratio coprostanol/cholesterol (COP/COL) (an indicator of fecal steroids) and total nitrogen (TN), suggesting that the patterns observed in the curves are associated with organic enrichment from sewage disposal. However, there is a high natural background input represented by organic matter from adjacent mangroves in the region (Abreu-Mota et al., 2014). Organic enrichment in the Cotinga sub-estuary acts as an effective force in the structuring of macrobenthos; however, organic matter of natural origin can show the same effect of discharging sewage into the uncontaminated area on the structure of benthic fauna (Souza et al., 2013). Comparative studies between the ABC method and other macrobenthic fauna-based indexes (Shannon-Weaver, AMBI, M-AMBI) showed that *W* coefficient associated with ABC curves was less sensitive to levels of organic contamination. However, the ABC method has been identified as one of the most sensitive indices in the detection of chemical contamination by several vectors, such as Pb, Cd, Cu, Ni, Hg, Zn, DDD, and TBT (Wetzel et al., 2012; Taupp and Wetzel, 2013).

Adequate sampling replication is a prerequisite for the use of the ABC method since dominant species in biomass are represented by few individuals (Warwick, 1986; Warwick and Pearson, 1987). The application of a hierarchical sampling design with due replication should minimize the effects generated by the sampling of dominant species in biomass. Nevertheless, the performance of the curves was not totally satisfactory in the non-contaminated area, highly influenced by organic matter of natural origin and high abundance of small species.

Contrary to what was expected, the main range of variation of the ABC curves along space and time was not the Contamination (103 m), but that of Tidal Flats (102 m) and that of the interaction between Points (101 m) and Fortnights. The high variability of *W* between the points over the fortnights indicates a highly dynamic environment. The influence of estuarine gradients involving hydrological and sedimentary factors clearly conditions the responses of macrobenthic communities (Mannino and Montagna, 1997). Such natural variability overlapped the effects of contamination on the structure of macrobenthic communities (Gaston et al., 1998), which was reflected in the curves. The ABC method ignored the taxonomic position of species, so polluted or disturbed conditions indicated by this method should be viewed with caution if the species considered responsible were not polychaetes (Warwick and Clarke, 1994).

The *W* values confirm that tidal flats in the contaminated area are more polluted than tidal flats in non-contaminated areas. On the other hand, there was a high variability in the scale of tidal flats. This is because the shoals in the contaminated area are in different stages of contamination (Souza et al., 2013), which was evidenced by the significant value of *p* in the scales of shoals. The fact that the scale of classification of pollution in the ABC method only presents three levels, (unpolluted, moderately polluted, and very polluted) hinders the decision making.

Although there is a numerical value (*W*), the environmental classification limits of the method are not clearly defined. When a large number of ABC curves are plotted, problems can occur, and some authors have proposed an index that measures the area between the two curves to solve them (Beukema 1988; Clarke 1990; McManus and Pauly 1990; Meire and Dereu, 1990).

Our results indicate that the application of the ABC method for the detection of impacts by organic pollution was not totally satisfactory in the case of a subtropical estuary with sources of natural organic matter associated with coastal vegetation. Despite the consistent classification of contaminated areas, some spots in the uncontaminated area were inconsistently classified as moderately or heavily polluted. The high background organic matter input of natural origin promoted the incorrect diagnosis of disturbance in the contamination-free shoals, which resulted in the significant variability of the smaller spatial scales (from 101 m to 102 m) rather than the larger scale (103 m), or the scale contamination. The dominance of

small species also influenced these ambiguous responses. The curves are excessively dependent on the first species classified, which can increase the dominance curves over the biomass curves.

The ABC method is not necessarily more sensitive than diversity indices in detecting perturbations and is less sensitive than multivariate methods (such as multi-dimensional scaling analysis, MDS) in differentiating the structure of macrobenthic communities (Warwick and Clarke, 1991). However, it holds the advantage of providing an absolute response rather than a comparative measure of contamination induced perturbations, that is, it provides an index that gives us a measure of how the environment is without having to compare indices of biomass and abundance separately (Warwick, 1993).

CONCLUSION

The ABC method responded to organic contamination in the study region. However, the curves show a rough scale of environmental classification, besides responding to inputs of natural organic matter not only of human origin. Thus, ABC curves should be applied with caution in estuarine environments subject to organic matter discharges of natural origin, which represent natural background variability of the "noise" type and may not be the most suitable index for decision makers in these environments.

ACKNOWLEDGMENTS

SKB and KMB would like to acknowledge the inestimable contribution of Professor Paulo da Cunha Lana to this work. It was a true honor to be mentored by Paulo, who cared about his students as if they were family, always encouraging us to think outside the box and to see the wonder in every little thing in nature that is wonderful, even in the muddy environments he taught us to love. He will always be the best professor and friend. The authors also wish to thank the National Council for Scientific and Technological Development (CNPq) for the scholarships received during the development of this research.

AUTHOR CONTRIBUTIONS

- S.K.B: Conceptualization; Methodology; Software; Investigation; Formal Analysis; Writing – Original draft; Writing – Review & editing.
- K.M.B: Supervision; Conceptualization; Methodology; Formal Analysis; Investigation; Writing – Review & editing.
- P.C.L: Supervision; Resources; Project Administration; Funding Acquisition; Writing – Review & editing.

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