

# Cost-effectiveness and budget impact of saxagliptine as additional therapy to metformin for the treatment of diabetes mellitus type 2 in the Brazilian private health system

MARCELO EIDI NITA<sup>1</sup>, FREDDY G. ELIASCHEWITZ<sup>2</sup>, ELIANE RIBEIRO<sup>3</sup>, ELIO ASANO<sup>4</sup>, ELIAS BARBOSA<sup>5</sup>, MAÍRA TAKEMOTO<sup>6</sup>, BONNIE DONATO<sup>7</sup>, ROBERTO RACHED<sup>8</sup>, ELAINE RAHAL<sup>9</sup>

<sup>1</sup> MSc in Clinical Epidemiology, The University of Newcastle, Australia; MD and Associate Director, Health Economics and Outcome Research, Medical Division, Bristol-Myers Squibb, São Paulo, SP, Brazil

<sup>2</sup> MD, CPCLIN; Endocrinologist, Centro de Pesquisas Clínicas, São Paulo, SP, Brazil

<sup>3</sup> PhD in Pharmaceutical Sciences, Universidade de São Paulo (USP); Professor, Pharmaceutical Sciences School, USP, São Paulo, SP, Brazil

<sup>4</sup> Pharmacist and Enterprise Administrator, USP; Former Coordinator, Health Economics and Outcome Research, Medical Division, Bristol-Myers Squibb, São Paulo, SP, Brazil

<sup>5</sup> Pharmacist, USP; Former Analyst, Health Economics and Outcome Research, Medical Division, Bristol-Myers Squibb, São Paulo, SP, Brazil

<sup>6</sup> MSc in Nursing, Universidade Estadual de Campinas (UNICAMP); Nurse and Consultant, Health Economics and Outcome Research, ANOVA, Rio de Janeiro, RJ, Brazil

<sup>7</sup> PhD in Economy, Washington University, USA; Director, Health Economics and Outcome Research, Medical Division, Bristol-Myers Squibb, USA

<sup>8</sup> PhD in Medicine, USP; Associate Director, Health Economics and Outcome Research, Medical Division, Bristol-Myers Squibb, São Paulo, SP, Brazil

<sup>9</sup> MSc in Medicine, USP; Director, Health Economics and Outcome Research, Medical Division, Bristol-Myers Squibb, São Paulo, SP, Brazil

## SUMMARY

**Objectives:** To compare costs and clinical benefits of three additional therapies to metformin (MF) for patients with diabetes mellitus type 2 (DM2). **Methods:** A discrete event simulation model was built to estimate the cost-utility ratio (cost per quality-adjusted life years [QALY]) of saxagliptine as an additional therapy to MF when compared to rosiglitazone or pioglitazone. A budget impact model (BIM) was built to simulate the economic impact of saxagliptine use in the context of the Brazilian private health system. **Results:** The acquiring medication costs for the hypothetical patient group analyzed in a time frame of three years were R\$ 10,850,185, R\$ 14,836,265 and R\$ 14,679,099 for saxagliptine, pioglitazone and rosiglitazone, respectively. Saxagliptine showed lower costs and greater effectiveness in both comparisons, with projected savings for the first three years of R\$ 3,874 and R\$ 3,996, respectively. The BIM estimated cumulative savings of R\$ 417,958 with the repayment of saxagliptine in three years from the perspective of a health plan with 1,000,000 covered individuals. **Conclusion:** From the perspective of private paying source, the projection is that adding saxagliptine with MF save costs when compared with the addition of rosiglitazone or pioglitazone in patients with DM2 that have not reached the HbA1c goal with metformin monotherapy. The BIM of including saxagliptine in the reimbursement lists of health plans indicated significant savings on the three-year horizon.

**Keywords:** Health economics; diabetes mellitus type 2; health management; therapeutics; pharmacy and therapeutics committee; cost-effectiveness assessment.

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**Correspondence to:**  
Marcelo Eidi Nita  
Health Economics and Outcome Research  
Medical Division, Bristol-Myers Squibb, SP  
Rua Verbo Divino, 1711  
Chácara Santo Antônio  
São Paulo, SP, Brazil  
04719-002  
marcelo.nita@bms.com

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Marcelo Eidi Nita, Elio Asano, Elias Barbosa, Bonnie Donato, Roberto Rached and Elaine Rahal are employees of the Medical or Health Economy Department, Bristol-Myers Squibb. Maíra Takemoto and Freddy G. Eliaschewitz have received grants as consultants for the Medical or Health Economy Department, Bristol-Myers Squibb.

## INTRODUCTION

The prevalence of type 2 diabetes mellitus (DM2) continues to grow around the world and it is considered today a global epidemic. A study of global burden of diabetes mellitus has identified that, in 1995, there were 135 million people with diabetes in the world and there are projections that, in 2025, this figure will reach 300 million<sup>1</sup>. It is estimated that 2/3 of these patients live in developing countries, and countries such as Brazil, India, and China will have twice as many patients with diabetes than the USA<sup>2,3</sup>.

Brazilian regional studies observed that DM2 prevalence varies between 7% and 13%<sup>4-7</sup>. However, the only Brazilian study of prevalence at national level was conducted in the late 1980's, showing a prevalence of diabetes in the population of 30-69 years of 7.6%<sup>8</sup>. After two decades other studies were conducted, showing DM2 prevalences of 12.1% in the city of Ribeirão Preto/SP<sup>7</sup>, 12.4% in Porto Alegre/RS<sup>9</sup>, and 7.1% in Pelotas/RS<sup>7</sup>. The most recent prevalence studies did not include the North, Northeast and Midwest regions of Brazil, which may mean that the estimates currently available do not comprehensively portray the DM2 national reality. Several factors are associated with the observed increase in the prevalence of diabetes mellitus in Brazil and worldwide. The most frequently discussed were increasing life expectancy of the population, changes in lifestyle (including high-fat foods and sedentary lifestyle), and obesity<sup>1,10,11</sup>.

As a chronic condition with serious complications and high demand of care, DM2 is an expensive disease. Although published data have shown that improved glycemic control can lead to better health outcomes, fewer than 50% of the Brazilian patients assessed in local studies reached the desired value for HbA1c<sup>12-14</sup>. Thus, strategies directed to a better glycemic control of these patients, including new drugs used in combination with existing hypoglycemic agents, may improve the health *status* of patients with DM, reducing the complications<sup>15,16</sup> and costs associated<sup>17-19</sup>. Saxagliptine (SAX) is a selective inhibitor of dipeptidyl peptidase-4 (DPP-4), whose safety and efficacy have been established in randomized studies that have observed significant reductions in HbA1c in monotherapy or in additional therapy to metformin (MF)<sup>20-23</sup>. In the Brazilian health system, public and private services coexist and account for about USD 70 billion per year, which corresponds to nearly 7.5% of the country's GDP. Currently, 37 million ( $\approx$  20%) Brazilian people have access to the private health system. The economic analysis on health is a well-established method to assess the effectiveness of new drugs, and it is increasingly used as a managerial tool<sup>24,25</sup>. Whereas the data of cost-effectiveness in schemes with SAX for patients who have failed to achieve the goals of HbA1c with MF in Brazil are not available, the objective of this study is to compare the costs and benefits of additional therapies to metformin.

## METHODS

### DECISION-MAKING MODEL

A discrete event simulation model was designed to estimate the incremental cost-effectiveness ratio of SAX as an additional therapy to MF versus the addition of rosiglitazone (ROS) or pioglitazone (PIO) in a hypothetical cohort of patients treated with MF without glycemic control. Such models are based on secondary data (obtained through systematic review of the literature) to estimate the outcome of a group of patients with the disease under analysis with each of the comparators, using computational simulation resources.

The model was developed using data derived from the United Kingdom prospective diabetes study (UKPDS), which demonstrated the association between HbA1c, levels of systolic blood pressure, and micro and microvascular complications<sup>15</sup>. The UKPDS outcomes model (a decision model built from the results of UKPDS) simulates the health results throughout the lives of patients with DM2 to predict the occurrence and moment of seven DM-related complications (myocardial infarction [MI], congestive heart failure [CHF], stroke, amputation, terminal stage renal disease [TSRD], and blindness) and calculate the life expectancy and the quality-adjusted life years (QALYs)<sup>26</sup>.

The main characteristic of the model type used is the ability to generate a hypothetical cohort of patients, with DM2 ( $n = 1,000$ ) for each therapeutic approach and assign different demographic profiles and a set of risk factors (body mass index [BMI], total cholesterol, HDL cholesterol, systolic blood pressure, and HbA1c) for each one, allowing the simulation of the behavior of patients treated according to their baseline risk of events. Regarding entry in the model, all patients are in monotherapy with MF with uncontrolled blood glucose. At this stage they start one of the three strategies and their progression and the effect of the treatment are then simulated at one-year intervals, after which the results of health and cost of the treatment are updated. The occurrence of fatal and non-fatal events depends on the demographic profile of the patient, attributed clinical characteristics, and risk equations based on the data in UKPDS. The simulation is run for 1,000 patients and ten repetitions where the mean results are provided for costs and benefits. During the evolution, when patients are above the HbA1c threshold ( $\geq 7.5\%$ ), they receive rescue therapy with NPH insulin and MF. To test the model stability related to the variability and uncertainty in the parameters and assumptions followed, two different strategies were used (univariate sensitivity analysis and probabilistic sensitivity analysis), with a variation of the following parameters: utility values, probability of adverse events, characteristics on baseline and costs. Additional data are available from the authors and can be supplied to interested parties.

## PATIENT PROFILES AND TREATMENT EFFECT

The characteristics on baseline followed in the model are shown in Table 1 and were obtained from analyses of the subgroup of patients on monotherapy with MF in DIAPS79<sup>27</sup>, a Brazilian study of clinical outcomes and cost of DM2 on the private health system. The model uses different profiles of efficacy and safety for each comparator expressed by the treatment effect on HbA1c, cardiovascular risk factors and adverse events (Table 1)<sup>28-31</sup>. For the case-base, the decrease in HbA1c in the first year was based on a published meta-analysis<sup>32</sup>, the duration of the benefit was established as 12 months, no delay at the beginning of HbA1c modification was assumed, and the slope of the curve was set as 0.759 (defined by a nonlinear function). Both comparators showed similar results in terms of reduction of HbA1c. Consequently, the progression curves overlap over time.

## COST DATA AND UTILITIES WEIGHTS

The annual cost data inserted in the model are related to the purchase of medicinal products, control of adverse events and treatment of complications for DM2. All costs were discounted at an annual rate of 5%. Medication costs were obtained from official lists and the mean doses of the DIAPS79 study. The source of cost data related to micro and macrovascular complications was also the DIAPS79 study, except for the costs related to the maintenance of patients with TSRD (Table 2), which were not available from that study and were based on assumptions from the repayment of the public health system (as an approximation of values in the private health, due to the lack of specific data).

The utility values are weights attributed to health states and reflect the patients' preferences for each state on a scale of 0 (death) to 1 (best imaginable health state). When an event (complication or death) occurs in the model, the patient receives a factor that is subtracted from the mean utility allocated to patients without complication. The utility reduction coefficients were obtained from UKPDS62, with the exception of TSRD and blindness<sup>33,34</sup>. QALYs were calculated by multiplying the time spent in the *status* of health by the utility of the health *status* (Table 2)<sup>35</sup>.

## BUDGET IMPACT MODEL (BIM)

A BIM was designed to simulate the economic impact of using SAX in patients with DM2 and uncontrolled blood glucose in a time frame of three years. The BIM analysis combines epidemiological data, estimates of market share and treatment costs to predict the eligible population and the total investment needed to deliver a new drug to patients. The BIM was designed considering a health plan with 1,000,000 individuals and only the costs relating to the purchase of medicines were considered, since other medical costs are not significantly different among the

comparators in short-term time frames. The expected annual cases of DM2 were calculated by applying the Brazilian data of prevalence adjusted by age<sup>7,36</sup> and the annual increment of cases is a result of the ageing of the population and the increased prevalence of the disease among older individuals. Table 2 presents input data for the BIM. To estimate the current market share that would be replaced by SAX, data from a national survey that assesses the sales of medicines in pharmacies in Brazil were combined with the mean dose reported in DIAPS79. The assumption followed was that the market share for SAX would rise from 0.35% in the first year to 1.95% in the third year, mainly due to the replacement of TZDs and, to a lesser extent, due to the replacement of sulfonylurea.

## RESULTS

Compared with the addition of ROS to the ongoing therapy with MF, SAX was estimated to avoid 12.3 vascular events (5.3 fatal cases). When compared to PIO, SAX resulted in an incremental benefit of 15.0 vascular events avoided (3.5 fatal cases). The acquisition costs of the medication for the assessed cohort were R\$ 10,850,185, R\$ 14,836,265, and R\$ 14,679,099 for SAX, PIO and ROS, respectively. Hospitalization and treatment of adverse events were the main components of the cost, representing 67.2%, 59.9% and 60.2% of the total costs for SAX, PIO and ROS, respectively. Both comparisons point to the additional therapy of SAX as being cost-saving (more effective and less costly), with projected savings for the first three years of R\$ 3,874 and R\$ 3,996, respectively (Table 3).

In the univariate sensitivity analysis, SAX remained dominant compared to TZDs after a variation of +/-15% on all selected parameters. HbA1c and costs were the parameters that impacted the model, since the baseline level of HbA1c directly affects the amount of time that patients will remain under treatment with SAX or TZDs. In probabilistic analyses, adding SAX to the MF therapy was dominant in 62.1% and 76.6% of all scenarios versus the addition of PIO or ROS, respectively. Only in 2.2% and 1.5% of the simulations did SAX show less effectiveness and higher costs, enhancing the consistency of the observed results. Additional information (including sensitivity analysis graphs and additional details for results of cost-effectiveness) can be obtained by contacting the authors.

The BIM estimated cumulative savings of R\$ 417,958 with the reimbursement of SAX in 3 years. Considering the cost per patient eligible for treatment with SAX, the model estimated annual savings that rise gradually until year three, when it reaches 6.6%. Univariate sensitivity analysis was conducted to assess the impact of the main parameters in the final results (prevalence of DM, market share and drug acquisition costs). A variation range of  $\pm 25\%$  was assumed for the sensitivity analysis but the

**Table 1** – Basal characteristics of patients and treatment effect parameters

Basal characteristics				
Parameter	Value			Source
Median age (years)	59.77			DIAPS79
% of women	0.58			DIAPS79
Mean duration of DM2 (years)	7.27			DIAPS79
Mean height (meters)	1.61			DIAPS79
% of Afro-caribbean	0.15			DIAPS79
% of smokers	0.10			DIAPS79
Mean HbA1c (%)	6.47			DIAPS79
Mean total cholesterol (mmol/L)	4.44			DIAPS79
Mean HDL cholesterol (mmol/L)	1.22			DIAPS79
Mean systolic BP (mmHg)	123.57			DIAPS79
Mean weight (kg)	78.76			DIAPS79
Clinical history of (%)				
Atrial fibrillation	1.1%			DIAPS79
Peripheral vascular disease	3.3%			DIAPS79
Ischemic heart disease	12.0%			DIAPS79
Myocardial infarction	2.2%			DIAPS79
Congestive heart failure	4.3%			DIAPS79
Stroke	3.3%			DIAPS79
Amputation	0.0%			DIAPS79
Blindness	0.0%			DIAPS79
TSRD	0.0%			DIAPS79
Treatment effect parameters				
Parameter	Treatment	Control		Rescue
	MF+SAX*	MF+PIO	MF+ROS	MF+INS <sup>§</sup>
<b>HbA1c</b>				
Reduction in 1st year	-0.69	-0.64 <sup>§§</sup>	-0.63	-2.10
Months with benefit (1st year)	12	12	12	12
Delay in HbA1c modification	0	0	0	0
Slope (per year)	0.759	0.759	0.759	0.759
<b>Risk factors</b>				
Systolic blood pressure	0	0	0	0
Total cholesterol (mmol/L)	0	0.138	0.27	0
HDL cholesterol (mmol/L)	0	0.159 <sup>¶</sup>	0.08 <sup>¶</sup>	0.07
Weight (kg)	-0.28	1.54	1.54	3.500
<b>Adverse events</b>				
<b>Hypoglycemia</b>				
Symptomatic events	0.01	0	0.01	0.47
Nightly events	0	0	0	0
Serious events	0	0	0	0

DM2, diabetes mellitus type 2; BP, blood pressure; TSRD, terminal stage renal disease; MF, metformin; SAX, saxagliptine; PIO, pioglitazone; ROS, rosiglitazone; INS, insulin; \*DeFronzo<sup>22</sup>; <sup>§</sup>Yki-Järvinen<sup>28</sup>; <sup>§§</sup>Mwamburi<sup>31</sup>; <sup>¶</sup>Polonsky<sup>29</sup>; <sup>¶¶</sup>Stewart<sup>30</sup>.

**Table 2** – Costs, utilities weights and input parameters in budget impact model

<b>Direct medical costs</b>				
<b>Therapy</b>	<b>Annual cost of acquisition</b>			<b>Source</b>
Metformin + saxagliptine	2.133			DIAPS79*
Metformin + pioglitazone	2.889			DIAPS79
Metformin + rosiglitazone	2.859			DIAPS79
Metformin + insulin	1.066			DIAPS79
<b>Adverse event</b>	<b>Event cost</b>			<b>Source</b>
Serious hypoglycemia	0			Assumption
Symptomatic hypoglycemia	0			Assumption
Nocturnal hypoglycemia	0			Assumption
<b>Complication</b>	<b>Event cost</b>	<b>Maintenance</b>	<b>Source</b>	
Ischemic heart disease	7.311	704	DIAPS79	
Myocardial Infarction	8.651	704	DIAPS79	
Congestive heart failure	4.843	704	DIAPS79	
Stroke	7.634	704	DIAPS79	
Amputation	2.182	872	DIAPS79	
Blindness	0	872	DIAPS79	
TSRD	4.438	22.464**	DIAPS79	
<b>Health state utility weight</b>	<b>Value</b>			<b>Source</b>
Baseline	0.885			United Kingdom Research
<b>Utility reduction</b>	<b>Value</b>			<b>Source</b>
Ischemic heart disease	-0.090			UKPDS 62
Myocardial infarction	-0.055			UKPDS 62
Congestive heart failure	-0.108			UKPDS 62
Stroke	-0.164			UKPDS 62
Pre-blindness	-0.029			UKPDS 62
Blindness	-0.074			Currie 2006
TSRD	-0.263			Currie 2006
Kidney transplant	-0.075			UKPDS 62
Amputation	-0.280			UKPDS 62
BMI	-0.014			UKPDS 62
<b>Parameters for budget impact model ingress</b>				
<b>Parameter</b>	<b>Year 1</b>	<b>Year 2</b>	<b>Year 3</b>	<b>Source</b>
Individuals covered	1,000,000	1,000,000	1,000,000	Assumption
Prevalence of DM	4.77%	4.88%	4.99%	Calculated
% of diagnosed among the prevalent cases of DM	76.0%	90.0%	90.0%	Decision resources
% of DM2 among patients w/DM	90.0%	91.1%	91.1%	Winer 2004
% of patients with DM2 w/OGLD	91.1%	53.3%	53.3%	DIAPS79
% of uncontrolled DM2 (HbA1c > 10% of the reference value)	53.3%	76.0%	76.0%	DIAPS79

BMI, body mass index; DM, diabetes mellitus; DM2, diabetes mellitus type 2; TSRD, terminal stage renal disease; \*DIAPS79, data on file; \*\*assumption based in 3 hemodialysis sessions per week with a unit cost of R\$ 144 per session (source: Official List of Public Health System).

**Table 3** – Incremental results of case-base (additional therapy of saxagliptine versus comparators)

		Comparator	Costs (R\$)	QALY	Cost/QALY gained
Saxagliptine + Metformin versus Pioglitazone + Metformin		Saxagliptine	33,023	10.55	
		Pioglitazone	37,019	10.42	
		Incremental			Dominant
	Comparator	Costs (R\$)	LYG	Cost/LYG gained	
		Saxagliptine	33,023	12.17	
		Pioglitazone	37,019	12.16	
	Incremental			Dominant	
Saxagliptine + Metformin versus Rosiglitazone + Metformin		Saxagliptine	33,023	10.55	
		Rosiglitazone	36,898	10.41	
		Incremental			Dominant
	Comparator	Costs (R\$)	LYG	Cost/LYG gained	
		Saxagliptine	33,023	12.17	
		Rosiglitazone	36,898	12.15	
	Incremental			Dominant	

QALY, quality-adjusted life years; LYG, life year gained.

conclusion of SAX as an economically viable option remained practicable even by varying the parameter with the greatest impact on final results (acquisition cost of SAX; -R\$ 131,130 in 3 years).

## DISCUSSION

DPP-4 inhibitors such as SAX are new therapies with proven efficacy as adjuncts to MF for patients who have failed to achieve the goal of HbA1c in monotherapy but new anti-hyperglycemic medications can have higher unit costs compared to previous well-established therapeutic options. More comprehensive assessments, considering total costs of treatment and expected health benefits, can help decision-makers analyze whether higher acquisition costs may be offset by higher therapeutic results, leading to future projected savings for the private health care system. Decision-making supported in economic appraisal is a reality in several countries where political guidelines and reimbursement lists are developed using cost-effectiveness results. An example is the recommendation of additional therapy with DPP-4 inhibitors for patients with poor glycemic control in the United Kingdom, supported by results also obtained from the UKPDS Outcomes Model<sup>37,38</sup>.

A systematic review on cost-effectiveness of interventions in DM2 found strong evidence to classify the intensive glycemic control, as proposed in UKPDS, compared to conventional glycemic control as a very cost-effective strategy<sup>39</sup>. In other contexts DPP-4 inhibitors proved to be a cost-effective additional therapy when compared to other oral anti-hypoglycemic medications<sup>40,41</sup>. The addition of SAX to MF therapy in patients who did not reach the HbA1c goal proved to be a resource-saving strategy in

this study. Additionally, the budget impact analysis indicated that the reimbursement of SAX would lead to significant cost savings from the perspective of a private paying source in the first three years. The BIM did not consider costs occurring from adverse events to ensure a conservative approach, since the DPP-4 class has the most favorable safety profile among all oral hypoglycemic medications<sup>32</sup>, thus higher savings could be obtained as a result of lower costs related to adverse events. The Brazilian data regarding cost-effectiveness of interventions to treat and prevent long-term complications of DM are still scarce. Nevertheless, studies of the disease cost have become increasingly frequent, especially those dealing with the economic burden of the chronic complications of DM<sup>14,17,19</sup>.

The association between glycemic control and occurrence of DM complications is well-established and it is believed that strategies targeting the maintenance of adequate levels of HbA1c reduce costs related to complications<sup>15,42,43</sup>. The simulation model used in this study was able to reproduce the long-term benefits of glycemic control by reducing the risk of MI, CHF, stroke, amputation, TSRD, and blindness. Generally, the difficulty to maintain patients with DM2 within glycemic control targets is acknowledged, as evidenced by Brazilian studies that assessed this issue<sup>12-14</sup>. It is known that the causes of this problem are multifactorial and include issues related to changes in lifestyle and compliance with the drug treatment. Despite the complexity of the matter, it is believed that new therapeutic strategies targeting the subgroup of patients who did not achieve control with MF alone can improve this scenario, as estimated specifically for SAX in this study.

The discrete event simulation model used in this study, named the UKPDS Outcomes Model, has significant advantages over other DM simulation models: (1) it was designed to properly assess interventions that affect risk factors with well-established association with DM2-related complications; (2) the model can be used to simulate health outcomes in populations with different baseline characteristics and risk factors<sup>26</sup>; (3) it used UKPDS data, which is one of the most important studies on DM already conducted and with results that are considered evidence of high level in the specialization, although it has some known limitations such as not presenting diabetic neuropathy as one of the assessed complications<sup>15</sup>. In addition, the main source of data related to costs was an observational study that investigated the specific context of patients treated in the private health care system in Brazil (DIAPS79)<sup>27</sup>.

Some limitations of the model should be mentioned. Not all the DM complications are included in the model and it was assumed that the negative impact on the quality of life is caused only by complications (neither the progression of disease severity itself nor the therapeutic strategies are linked to decreases of utility in the model). Additionally, the utility values were obtained from other countries due to lack of local data. New studies are needed to obtain utility data and additional economic analyses, and this could broaden knowledge about the impacts of new therapeutic strategies for the control of blood glucose, supporting multi-criteria decision-making analysis in Brazil.

## CONCLUSION

From the perspective of private paying source, it is projected that adding SAX to MF saves costs when compared to the addition of ROS or PIO in patients with DM2 that have not reached the HbA1c objective with MF monotherapy. The BIM of SAX inclusion in the reimbursement lists of health plans indicated significant savings within the three-year horizon.

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