# Algebraic solution for the classical harmonic oscillator 

Murilo B. Alves ${ }^{*} 1,2 \square$<br>${ }^{1}$ Centro Nacional de Pesquisa em Energia e Materiais, Laboratório Nacional de Luz Síncrotron, 13083-970, Campinas, SP, Brasil.<br>${ }^{2}$ Universidade Estadual de Campinas, Instituto de Física Gleb Wataghin, 13083-859, Campinas, SP, Brasil.

Received on June 07, 2023. Revised on August 20, 2023. Accepted on October 01, 2023.


#### Abstract

The harmonic oscillator is one of the most studied systems in Physics with a myriad of applications. One of the first problems solved in a Quantum Mechanics course is calculating the energy spectrum of the simple harmonic oscillator with analytic and algebraic approaches. In the algebraic solution, creation and annihilation operators are introduced to factorize the Hamiltonian. This work presents an algebraic solution for the simple harmonic oscillator in the context of Classical Mechanics, exploring the Hamiltonian formalism. In this solution, similarities between the canonical coordinates in a convenient basis for the classical problem and the corresponding operators in Quantum Mechanics are highlighted. Moreover, the presented algebraic solution provides a straightforward procedure for the quantization of the classical harmonic oscillator, motivating and justifying some operator definitions commonly used to solve the correspondent problem in Quantum Mechanics.


Keywords: Harmonic oscillator, classical mechanics, algebraic methods, quantization.

## 1. Introduction

Over the undergraduate curriculum in Physics, the expressions for the creation (raising) and annihilation (lowering) operators are commonly presented to the student for the first time during a Quantum Mechanics course. The operators are typically introduced to develop the algebraic solution of the harmonic oscillator. Later in the studies of Physics, these operators are extensively used, for example, in the second quantization formalism. Following this study timeline, it is easy to create an incorrect opinion that this type of algebraic structure is exclusive to the quantum context.

In Dirac's textbook on Quantum Mechanics [1] a solution of the quantum harmonic oscillator is developed by using a transformation of coordinates which, as Dirac mentions, is motivated from Classical Mechanics. However, Dirac did not discuss the origin of this transformation in Classical Mechanics nor reference where it can be found. A brief review about the history of the simple harmonic oscillator in Quantum Mechanics and the introduction of ladder operators to algebraically solve the problem can be found in [2].

The main goal of this work is to present an algebraic solution for the classical harmonic oscillator that should be easy to follow by any undergraduate student in Physics satisfying the prerequisites for a Quantum Mechanics course. We will then discuss how this classical solution can be adapted to the quantum version of the problem.

[^0]
## 2. Algebraic Solution in Classical Mechanics

In Classical Mechanics, the Hamiltonian function for the simple harmonic oscillator is given by:

$$
\begin{equation*}
H=\frac{p^{2}}{2 m}+\frac{m \omega^{2} x^{2}}{2} \tag{1}
\end{equation*}
$$

where the one-dimensional case will be solved, for convenience. The variable $x$ is the position of the oscillator with respect to its equilibrium (in this case, the coordinate system was chosen so the equilibrium position is $x=0$ ), and $p$ is the momentum $p=m v$, $m$ is the oscillator mass and $v$ the speed. The quadratic potential $V(x)=m \omega^{2} x^{2} / 2$ is related to a linear restoring force $F(x)=-m \omega^{2} x$, by $F=-\frac{\partial V}{\partial x}$.
The proposal of the Hamiltonian formalism is to transform $n$ second order ordinary differential equations (ODEs) (obtained by Newton's second law) into $2 n$ first order ODEs, where $n$ is the number of degrees of freedom of the system.
Let $(x, p)$ be the canonical variables and $n=1$. The Hamilton equations can be derived from the principle of least action and yields the equations of motion of a system [3, 4]. With the Hamiltonian function for the simple harmonic oscillator defined in Eq. (1), the Hamilton equations are:

$$
\begin{align*}
\dot{x} & =\frac{\partial H}{\partial p}=\frac{p}{m}  \tag{2a}\\
\dot{p} & =-\frac{\partial H}{\partial x}=-m \omega^{2} x . \tag{2b}
\end{align*}
$$

After these equations are presented, is quite common that $\ddot{x}=\dot{p} / m$ is calculated by taking the time derivative of Eq. 2a) and then get $\dot{p}$ from Eq. (2b) to obtain $\ddot{x}+\omega^{2} x=0$, showing the equivalence with the Newtonian formalism. However, this process returns to $n$ second order ODEs, evading the original proposal of the Hamiltonian formalism.

We note that, since the harmonic oscillator potential is quadratic, Hamilton equations become a linear system of differential equations, which can be rewritten in the matrix form:

$$
\frac{d}{d t}\left[\begin{array}{l}
x  \tag{3}\\
p
\end{array}\right]=\left[\begin{array}{cc}
0 & 1 / m \\
-m \omega^{2} & 0
\end{array}\right]\left[\begin{array}{l}
x \\
p
\end{array}\right]
$$

Note that we obtained a coupled system of ODEs. We can write the system more compactly with $\frac{d \vec{\eta}}{d t}=\mathrm{M} \vec{\eta}$, where the phase-space vector is written as $\vec{\eta}=(x, p)^{\top}$ in the canonical basis $\mathcal{C}=\left\{\hat{e}_{x}, \hat{e}_{p}\right\}$ of the phase space, where $\hat{e}_{x}=(1,0)^{\top}$ and $\hat{e}_{p}=(0,1)^{\top}$. The matrix M is the representation of the Hamiltonian time evolution in this basis. In Appendix A there is a very brief discussion on the symplectic formalism.

To decouple the system, we must diagonalize the matrix M and solve the problem on the basis of eigenvectors. Solving for $\operatorname{det}(M-\lambda I)=0$, we get the eigenvalues $\lambda_{ \pm}= \pm i \omega$, where $i^{2}=-1$. The corresponding eigenvectors components written in the canonical basis $\mathcal{C}$ are

$$
\vec{v}_{ \pm}=\alpha\left[\begin{array}{c}
1  \tag{4}\\
\pm i m \omega
\end{array}\right]
$$

The non-zero constant $\alpha$ was introduced and just affects the length of the eigenvectors $\vec{v}_{ \pm}$. In Linear Algebra it is often convenient to apply an orthonormalization process to the eigenvectors and choose the constant $\alpha$ such that the eigenvectors have unit length. Then, these unit eigenvectors form an orthonormal basis for the vector space. In our solution, however, physical arguments will be employed to choose the constant $\alpha$.

Let $\mathcal{E}=\left\{\vec{v}_{+}, \vec{v}_{-}\right\}$be the basis of eigenvectors. We should calculate how the components of the phase-space vector $\vec{\eta}$ are written in the basis $\mathcal{E}$, in which the matrix M is known to be diagonal and the problem is decoupled. With the components of $\vec{v}_{ \pm}$written in the canonical basis $\mathcal{C}$, shown in Eq. (4), we calculate the matrix of change of basis from $\mathcal{C}$ to $\mathcal{E}$ and its inverse.

$$
\begin{align*}
\mathrm{T}_{\mathcal{C}}^{\mathcal{E}} & =\alpha\left[\begin{array}{cc}
1 & 1 \\
i m \omega & -i m \omega
\end{array}\right],  \tag{5a}\\
\mathrm{T}_{\mathcal{E}}^{\mathcal{C}}=\left(\mathrm{T}_{\mathcal{C}}^{\mathcal{E}}\right)^{-1} & =\frac{1}{2 \alpha}\left[\begin{array}{cc}
1 & -\frac{i}{m \omega} \\
1 & +\frac{i}{m \omega}
\end{array}\right] \tag{5b}
\end{align*}
$$

To change from the original canonical basis $\mathcal{C}$ to the eigenvectors' basis $\mathcal{E}$, the matrix $\mathrm{T}_{\mathcal{E}}^{\mathcal{C}}$ must be applied to $\vec{\eta}_{\mathcal{C}}$ and we will define the resulting vector as $\vec{a}$ :

$$
\vec{a}:=\vec{\eta}_{\mathcal{E}}=\mathrm{T}_{\mathcal{E}}^{\mathcal{C}} \vec{\eta}_{\mathcal{C}}=\frac{1}{2 \alpha}\left[\begin{array}{l}
x-\frac{i p}{m \omega}  \tag{6}\\
x+\frac{i p}{m \omega}
\end{array}\right] .
$$

Writing $\vec{a}=\left(a_{-}, a_{+}\right)^{\top}$, note that one vector component is related to the other by $a_{-}=a_{+}^{*}$, where the symbol * denotes the complex conjugate. Then, since one component can be simply obtained from the other, let us define $a:=a_{+}$, from which $a^{*}=a_{-}$follows.

From the vector components in Eq. (6), we note that the modulus of the component $a$ can be related to the Hamiltonian by

$$
2 \alpha^{2} a^{*} a=\frac{p^{2}}{2 m^{2} \omega^{2}}+\frac{x^{2}}{2}=\frac{H}{m \omega^{2}}
$$

Let us choose the constant $\alpha$ be $\alpha=1 / \sqrt{2 m \omega}$ and define $J=a^{*} a=|a|^{2}$, then $H=\omega J$ follows. The reason for choosing this particular value of constant $\alpha$ was to create a simple link between the product $a^{*} a$ and the Hamiltonian function $H$, representing the total energy of the system.

The expression for the Hamiltonian $H=\omega J$ can also be achieved through a canonical transformation to the well-known action-angle variables, $(x, p) \rightarrow(J, \phi)$. In these variables, the Hamilton equations are

$$
\begin{align*}
\dot{\phi} & =\frac{\partial H}{\partial J}=\omega  \tag{7a}\\
\dot{J} & =-\frac{\partial H}{\partial \phi}=0 \tag{7b}
\end{align*}
$$

This result shows that the action variable $J=a^{*} a$, is a constant of motion.

Furthermore, we conclude that components of the phase-space vector $\vec{\eta}$ in the basis of eigenvectors are:

$$
\begin{align*}
a & =\sqrt{\frac{m \omega}{2}}\left(x+i \frac{p}{m \omega}\right),  \tag{8a}\\
a^{*} & =\sqrt{\frac{m \omega}{2}}\left(x-i \frac{p}{m \omega}\right) . \tag{8b}
\end{align*}
$$

Given two functions of the canonical variables, $f=$ $f(x, p)$ and $g=g(x, p)$, the Poisson brackets between the functions are defined as [3]:

$$
\begin{equation*}
\{f, g\}:=\frac{\partial f}{\partial x} \frac{\partial g}{\partial p}-\frac{\partial f}{\partial p} \frac{\partial g}{\partial x} \tag{9}
\end{equation*}
$$

A transformation $(x, p) \rightarrow(X, P)$ is called canonical in Classical Mechanics if $\{X, P\}=1$ follows. Calculating the Poisson brackets of the new variables yields to $\left\{a, a^{*}\right\}=-i$. Therefore, with this particular choice for the constant $\alpha$, the transformation $(x, p) \rightarrow\left(a, a^{*}\right)$ is not canonica 1

[^1]We calculate the time evolution of the functions $a, a^{*}$ via Poisson brackets with the Hamiltonian:

$$
\dot{a}=\{a, H\}=-i \omega a, \quad \dot{a}^{*}=\left\{a^{*}, H\right\}=+i \omega a^{*},
$$

where $H=\omega a^{*} a$ was considered. As intended, the system on this basis is decoupled:

$$
\frac{d}{d t}\left[\begin{array}{c}
a^{*}  \tag{10}\\
a
\end{array}\right]=\left[\begin{array}{cc}
+i \omega & 0 \\
0 & -i \omega
\end{array}\right]\left[\begin{array}{c}
a^{*} \\
a
\end{array}\right] .
$$

In that form, the differential equations can be solved by simple integration from $t_{0}$ to $t$ and the result is

$$
a(t)=a\left(t_{0}\right) e^{-i \omega\left(t-t_{0}\right)}, \quad a^{*}(t)=a^{*}\left(t_{0}\right) e^{+i \omega\left(t-t_{0}\right)} .
$$

Note that $a^{*}(t) a(t)=a^{*}\left(t_{0}\right) a\left(t_{0}\right)$, explicitly showing that $a^{*} a$ is a constant of motion.

The variables $x(t)$ and $p(t)$ can be written in terms of $a(t)$ and $a^{*}(t)$ :

$$
\begin{align*}
& x=\sqrt{\frac{1}{2 m \omega}}\left(a^{*}+a\right)=\sqrt{\frac{2}{m \omega}} \operatorname{Re}(a),  \tag{11a}\\
& p=i \sqrt{\frac{m \omega}{2}}\left(a^{*}-a\right)=\sqrt{2 m \omega} \operatorname{Im}(a) . \tag{11b}
\end{align*}
$$

Without loss of generality, let us set the initial time as $t_{0}=0$ and the corresponding initial conditions to be $x(0)=x_{0}$ and $p(0)=p_{0}$. Thus, from Eq. 8a, the initial condition for the complex variable $a(t)$ is:

$$
a\left(t_{0}\right)=a_{0}=\sqrt{\frac{m \omega}{2}}\left(x_{0}+i \frac{p_{0}}{m \omega}\right) .
$$

Note that, since $a(t)$ is complex, to determine its initial value, two real numbers must be given, which can be related to two initial conditions of position and momentum that are commonly used. Thus, after all, two initial conditions are still required to determine the solution, since we are solving a second-order ODE. We showed that the time dependence of $a(t)$ is simply $a(t)=a_{0} e^{-i \omega t}$. Then, with Euler's identity and the expression for $a_{0}$, we obtain:

$$
\begin{align*}
& \operatorname{Re}[a(t)]=\sqrt{\frac{m \omega}{2}}\left[x_{0} \cos (\omega t)+\frac{p_{0}}{m \omega} \sin (\omega t)\right]  \tag{12}\\
& \operatorname{Im}[a(t)]=\sqrt{\frac{m \omega}{2}}\left[\frac{p_{0}}{m \omega} \cos (\omega t)-x_{0} \sin (\omega t)\right] \tag{13}
\end{align*}
$$

Finally, applying this result to Eqs. 11a and (11b), we reach to the well-known solution of the simple harmonic oscillator.

$$
\begin{align*}
& x(t)=x_{0} \cos (\omega t)+\frac{p_{0}}{m \omega} \sin (\omega t)  \tag{14a}\\
& p(t)=p_{0} \cos (\omega t)-m \omega x_{0} \sin (\omega t) \tag{14b}
\end{align*}
$$

Note that Eq. 14a and 14b can be written in a matrix form

$$
\left[\begin{array}{l}
x(t) \\
p(t)
\end{array}\right]=\left[\begin{array}{cc}
\cos (\omega t) & \sin (\omega t) / m \omega \\
-m \omega \sin (\omega t) & \cos (\omega t)
\end{array}\right]\left[\begin{array}{l}
x_{0} \\
p_{0}
\end{array}\right] .
$$

In this form, the time evolution of the harmonic oscillator can be interpreted as a time-dependent transfer matrix $\mathrm{U}_{t \leftarrow t_{0}}$ that propagates the variables $x$ and $p$ from $t_{0}$ to any $t$. Therefore, the phase-space vector $\vec{\eta}(t)=(x(t), p(t))^{\top}$ is obtained from the initial condition $\vec{\eta}\left(t_{0}\right)=\left(x_{0}, p_{0}\right)$ with $\vec{\eta}(t)=\mathrm{U}_{t \leftarrow t_{0}} \vec{\eta}\left(t_{0}\right)$.

## 3. Quantization

The developments on the understanding of phenomena in atomic and subatomic scales from the early 20th century lead to a set of results that are currently referred as "old quantum theory". In 1900, Max Planck had to introduce a paradigm-breaking concept to fully explain the spectrum of black body radiation: the energy must assume discretized values that are integer multiples of a quantum of energy. The concept of quantization revolutionized Physics, being employed to solve openproblems and discover new phenomena related to the nature of matter and radiation in the small scale. Niels Bohr formulated an atomic model postulating that electrons could only be in specific discrete orbit around the nucleus. Bohr proposed that the electrons orbiting in each one of these discrete states should have an action that is an integer multiple of a quantum of action $h \approx$ $6.6 \times 10^{-34} \mathrm{~m}^{2} \mathrm{~kg} / \mathrm{s}$, a constant named after Planck that was introduced on his works on the black body radiation problem. The process of quantization of action variables proposed by Bohr was further formalized in Arnold Sommerfeld's generalizations of the atomic model and had become the main tool to the old quantum theory, called Bohr-Sommerfeld quantization condition [6].
The full development of modern quantum theory came in the mid-1920s, mainly by the works of Erwin Schrödinger, Werner Heisenberg, Paul Dirac and others who established a rigorous mathematical formulation that generalized the often heuristic methods from the old quantum theory [6]. From a modern perspective, the old quantum theory can be viewed as the semi-classical approximation to the modern quantum mechanics.
In the following part we will apply concepts from the old and modern quantum theory to the algebraic solution of the classical harmonic oscillator.

### 3.1. Bohr-Sommerfeld

As presented on the previous section, $a^{*} a$ is an invariant. Explicitly calculating this product, we have

$$
\begin{equation*}
a^{*} a=\frac{m \omega}{2}\left(x^{2}+\frac{p^{2}}{m^{2} \omega^{2}}+\frac{i}{m \omega}(x p-p x)\right), \tag{15}
\end{equation*}
$$

where the term $(x p-p x)$ is zero in Classical Mechanics, but it was intentionally kept on Eq. (15) to highlight the relevance of commutativity between products of variables on the derivation.
We observed that the Hamiltonian function can be written simply as $H=\omega a^{*} a=\omega J$. A first attempt
to study the quantum analog of the classical harmonic oscillator consists in applying the Bohr-Sommerfeld quantization rule, imposing the condition $J=n \hbar$, where $n$ is an integer and $\hbar=h / 2 \pi$ is the reduced Planck constant. Thus, the energy levels of the system, obtained by the Hamiltonian, are $E_{n}=n \hbar \omega$. Note that in this case the ground state energy obtained is $E_{0}=0$, which is currently known to be incorrect. Nevertheless, this is a first step on the path of quantization of energies and its relation to the oscillation frequencies. Furthermore, we see that $J=a^{*} a=n \hbar$, which is an indicative that the product of the variables $a^{*} a$ should be related to an integer number $n$ that can be associated to the oscillator energy level.

### 3.2. Dirac's correspondence

Another attempt, currently known to be the correct one, is applying the correspondence principle proposed by Dirac for the quantization of classical systems [1] also known as canonical quantization. In this procedure the canonical variables and their functions become operators in a Hilbert space and the Poisson brackets are replaced by commutators of operators $\{\cdot, \cdot\} \rightarrow \frac{1}{i \hbar}[\cdot, \cdot]$, where $[\hat{f}, \hat{g}]=\hat{f} \hat{g}-\hat{g} \hat{f}$. From this point of the work, operators will be denoted with hats.

The first observation is that the classical relation between the variables $\left(a, a^{*}\right)$ given by $\left\{a, a^{*}\right\}=-i$, becomes $\left[\hat{a}, \hat{a}^{\dagger}\right]=\hbar$ with this correspondence. The symbol ${ }^{\dagger}$ denotes the Hermitian adjoint of an operator. We noted that $J=|a|^{2}$ is the action variable for the harmonic oscillator and mentioned that the Planck constant $h$ is the quantum of action, thus it is quite reasonable to express $J$ in units of the elementary action quantity $h$ in the quantized version of the problem. This can be done by modifying the definition for the constant $\alpha$ to be $\alpha=\sqrt{\hbar / 2 m \omega}$. With this modification for the constant $\alpha$, the length of the eigenvectors of the Hamiltonian time evolution matrix are affected by a change of scale, with values on the order of the small quantity $\hbar$. The change also makes the commutation relation be $\left[\hat{a}, \hat{a}^{\dagger}\right]=1$, as is typically defined in the literature, and the operators $\hat{a}, \hat{a}^{\dagger}$ are:

$$
\begin{align*}
\hat{a} & =\sqrt{\frac{m \omega}{2 \hbar}}\left(\hat{x}+i \frac{\hat{p}}{m \omega}\right)  \tag{16a}\\
\hat{a}^{\dagger} & =\sqrt{\frac{m \omega}{2 \hbar}}\left(\hat{x}-i \frac{\hat{p}}{m \omega}\right) . \tag{16b}
\end{align*}
$$

These are the creation and annihilation operators that are commonly introduced in a Quantum Mechanics course [5], often without prior motivation, during the development of the algebraic solution of the quantum harmonic oscillator. The number operator is also commonly defined ad hoc as $\hat{N}=\hat{a}^{\dagger} \hat{a}$. With our approach, the reason for those definitions can be justified.

With Dirac's correspondence, the fundamental relation $\{x, p\}=1$ translates to $[\hat{x}, \hat{p}]=i \hbar$. Then, the
explicit calculation of the product $\hat{a}^{\dagger} \hat{a}$, as done in Eq. 15), results in

$$
\begin{aligned}
\hat{a}^{\dagger} \hat{a} & =\frac{\hat{H}}{\hbar \omega}+\frac{i}{2 \hbar}[\hat{x}, \hat{p}] \\
& =\frac{H}{\hbar \omega}-\frac{1}{2} .
\end{aligned}
$$

Then, the Hamiltonian operator is

$$
\begin{equation*}
\hat{H}=\hbar \omega\left(\hat{a}^{\dagger} \hat{a}+1 / 2\right) \tag{17}
\end{equation*}
$$

Let a basis of autokets be such that $\hat{N}|n\rangle=n|n\rangle$ and the operator number $\hat{N}$ defined as $\hat{N}=\hat{a}^{\dagger} \hat{a}$. Thus, $\hat{H}=\hbar \omega(\hat{N}+1 / 2)$. Note that the energy levels are $E_{n}=\hbar \omega(n+1 / 2)$ and the ground state energy is $E_{0}=\hbar \omega / 2 \neq 0$. It also becomes explicit that the nonzero energy of the ground state of the harmonic oscillator is related to the fact that the product between the operators $\hat{x}$ and $\hat{p}$ is non-commutative, which in turn is closely related to the Heisenberg's uncertainty principle.
Following Heisenberg description [5], time evolution takes place on quantum operators instead of quantum states, according to the Heisenberg equation:

$$
\begin{equation*}
\frac{d \hat{A}}{d t}=\frac{1}{i \hbar}[\hat{A}, \hat{H}] \tag{18}
\end{equation*}
$$

for an operator $\hat{A}$. In this description, we can follow exactly the same steps used in the calculation of the classical time evolution of the variables $a$ and $a^{*}$, in order to calculate the evolution of the operators $\hat{a}$ and $\hat{a}^{\dagger}$ and finally obtain solutions with expressions identical to Eqs. 14a) and 14b, but with the operators position $\hat{x}$ and momentum $\hat{p}$.

## 4. Conclusion

We presented an algebraic solution for the classical harmonic oscillator exploring the Hamiltonian formalism. In this solution the components of the positionmomentum vector in the phase space written in the basis that diagonalizes the Hamilton equations have an expression very similar to the creation (raising) and annihilation (lowering) quantum operators. It was possible to develop a motivation from Classical Mechanics for the origin of these operators in Quantum Mechanics and also justify the number operator definition. Using the correspondence principle for quantization of classical systems proposed by Dirac, the algebraic solution to the quantum problem is obtained as a natural consequence of the classical solution. Furthermore, it was possible to explicitly highlight one of the differences between a classical and a quantum theory manifested in the ground state energy of the harmonic oscillator, which is directly related to the commutative property of the variables in the classical theory in contrast to the non-commutativity of the corresponding operators of the quantum theory.

## Acknowledgments

The author thanks Fernando H. de Sá and the referees for valuable suggestions on the manuscript.

## Appendix A: Symplectic notation

Using the symplectic notation the Hamilton equations can be written as [4]:

$$
\begin{equation*}
\frac{\mathrm{d} \vec{\eta}}{\mathrm{~d} t}=\mathrm{J} \nabla_{\vec{\eta}} H, \tag{A1}
\end{equation*}
$$

where $\vec{\eta}=(x, p)^{\top}$ e $\nabla_{\vec{\eta}}=\left(\frac{\partial}{\partial x}, \frac{\partial}{\partial p}\right)^{\top}$.
The matrix J in the one-dimensional case has the expression

$$
\mathrm{J}=\left[\begin{array}{cc}
0 & 1  \tag{A2}\\
-1 & 0
\end{array}\right]
$$

and it is called fundamental symplectic matrix. The description can be generalized to $N$ dimensions and the matrix J satisfy the relations

$$
\mathrm{J}^{2}=-\mathrm{I}, \quad \mathrm{~J}^{\top}=-\mathrm{J},
$$

from which $\mathrm{J}^{\top} \mathrm{J}=\mathrm{I}$ follows.
A matrix $\Omega$ is symplectic if satisfies $J^{\top} \Omega \mathrm{J}=\Omega$. The term $J \nabla_{\vec{\eta}}$ is also known as symplectic gradient.

The harmonic oscillator time evolution matrix $M$ is symplectic. If the coordinate transformation $P=p / \sqrt{m}$ and $X=\omega \sqrt{m} x$ is applied, the Hamiltonian function is written as $H=\left(P^{2}+X^{2}\right) / 2$ and the matrix $M$ in these coordinates is equal to the fundamental symplectic matrix J.

## Appendix B: Canonical transformation

In the third edition of Goldstein's textbook of Classical Mechanics [3], there is an exercise in the chapter about Canonical Transformation (Chapter 9) that asks the reader to prove that the transformation

$$
X=p+i a x, \quad P=\frac{p-i a x}{2 i a}
$$

is canonical, where $a$ is a constant. Then, the exercise suggests using this canonical transformation to solve the linear harmonic oscillator.

With Poisson brackets, it can be shown that $\{X, P\}=1$ then the transformation is canonical $(\{X, X\}=\{P, P\}=0$ are obvious). Furthermore, $2 i a X P=p^{2}+(a x)^{2}$ readily follows. From the Hamiltonian function for harmonic oscillator, we note that $2 m H=p^{2}+(m \omega x)^{2}$, then the choice of constant $a=m \omega$ is quite natural. With $a=m \omega$, the two expressions can be compared to obtain the new Hamiltonian after this canonical transformation as $H^{\prime}=i \omega X P$. Thus, the

Hamilton equations are

$$
\begin{align*}
\dot{X} & =\frac{\partial H^{\prime}}{\partial P}=i \omega X  \tag{A3a}\\
\dot{P} & =-\frac{\partial H^{\prime}}{\partial X}=-i \omega P \tag{A3b}
\end{align*}
$$

which can be compared to Eq. 10, by identifying the similarities $X \sim a_{-}$and $P \sim a_{+}$. Note that this identification should not be taken as one-to-one, since in our solution $a_{-}=a_{+}^{*}$ but here $X \neq P^{*}$. The time evolution of $X(t)$ and $P(t)$ can be obtained by simple integration as $X(t)=X\left(t_{0}\right) e^{i \omega t}$ and $P(t)=P\left(t_{0}\right) e^{-i \omega t}$ as well. By inverting the canonical transformation to write $x(X, P)$ and $p(X, P)$, one obtains the oscillatory solutions as in Eqs. 14a and 14b.
The transformation proposed by Goldstein's exercise is canonical, since $\{X, P\}=1$ is verified. With this canonical transformation the new Hamiltonian is complex: $H=i \omega X P$. The transformation presented in this paper is not canonical, since $\left\{a, a^{*}\right\}=-i$, but the corresponding Hamiltonian is real, given by $H=\omega a^{*} a$.

## References

[1] P.A.M. Dirac, The Principles of Quantum Mechanics (Oxford University Press, London, 1947), 3 ed.
[2] M. Rushka and J.K. Freericks, American Journal Of Physics 88, 976 (2020).
[3] H. Goldstein, C.P. Poole and J.L. Safko, Classical Mechanics (Addison Wesley, London, 2002).
[4] M.A.M. de Aguiar, Tópicos de Mecânica Clássica (Livraria da Física, São Paulo, 2011).
[5] J.J. Sakurai and J.J. Napolitano. Modern Quantum Mechanics (Pearson Education, London, 2014).
[6] F. Caruso and V. Oguri, Física Moderna - Origens Clássicas e Fundamentos Quânticos (LTC, Rio de Janeiro, 2016).


[^0]:    * Correspondence email address: alvesb.murilo@gmail.com

[^1]:    ${ }^{1}$ To ensure the transformation's canonical property, we could have chosen the constant to be $\alpha=1 / \sqrt{2 i m \omega}$, which is complex. This would lead to a complex Hamiltonian and also would break the simple relation of complex conjugation between the new phasespace vector components. The canonical transformation would then be similar to the one presented in Appendix B. By relaxing the requirement for canonical transformation in our solution, we can avoid such complexities and simplify the transition to the quantum treatment of the problem.

