

MOLECULAR EPIDEMIOLOGY OF A NOSOCOMIAL OUTBREAK DUE TO *Enterobacter cloacae* AND *Enterobacter agglomerans* IN CAMPINAS, SÃO PAULO, BRAZIL

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SUMMARY

A total of 73 isolates (57 *Enterobacter cloacae* and 16 *Enterobacter agglomerans*), recovered during an outbreak of bacteremia in the Campinas area, São Paulo, Brazil, were studied. Of these isolates, 61 were from parenteral nutrition solutions, 9 from blood cultures, 2 from a sealed bottle of parenteral nutrition solution, and one was of unknown origin. Of the 57 *E. cloacae* isolates, 54 were biotype 26, two were biotype 66 and one was non-typable. Of 39 *E. cloacae* isolates submitted to ribotyping, 87.2% showed the same banding pattern after cleavage with *EcoRI* and *BamHI*. No important differences were observed in the antimicrobial susceptibility patterns among *E. cloacae* isolates exhibiting the same biotype, serotype and ribotype. All *E. agglomerans* isolates, irrespective of their origin, showed same patterns when cleaved with *EcoRI* and *BamHI*. The results of this investigation suggest an intrinsic contamination of parenteral nutrition solutions and incriminate these products as a vehicle of infection in this outbreak.

KEYWORDS: Nosocomial outbreak; *Enterobacter cloacae*; *Enterobacter agglomerans*; Serotypes; Ribotyping .

INTRODUCTION

Enterobacter species are emerging as important human pathogens, particularly among hospitalized patients^{5,14,33,37}. Among 13 species currently recognized as members of the genus *Enterobacter*^{11,12}, *E. cloacae* and *E. aerogenes* are the most frequently isolated species associated with human diseases^{1,10,17,19,30}. *E. agglomerans*, commonly found in plants, soil, water and food stuffs, although rarely recognized as an agent of endogenous nosocomial infections^{6,8,14}, can cause epidemics among hospitalized patients when associated with the use of contaminated intravenous products due to its ability to grow in commercial infusion fluids^{22,23}.

Single source outbreaks of *Enterobacter* infections have been frequently traced to contaminated intravenous products, blood products, distilled water and pressure monitoring devices^{2,7,22,24,25,31,32,34,35}. A long-lasting epidemic caused by *Enterobacter* spp involving many hospitals and associated with the use of intrinsically contaminated intravenous solutions was reported in the 1970's in the United States by MAKI *et al.*²².

In March 24, 1997, one hospital of Campinas County, São Paulo State, Brazil, notified the Municipal Secretary of Health of an outbreak of bacteremia associated with intravenous therapy. According to the epidemiological investigation²⁸, this outbreak began between 21 and 22 March, and was associated with the use of a parenteral nutrition solution produced by one manufacturer and bearing the same batch number.

Enterobacter cloacae and *Enterobacter agglomerans* were isolated from in-use infusion bags available in two of eight affected hospitals of Campinas County. Among 49 affected patients of this Municipality, 12 died (two adults and 10 newborns). Bacteremia was the typical clinical manifestation seen in the majority of patients receiving infusion bags after delivered by the manufacturer.

The purpose of this study was to investigate, by phenotypical and genotypic methods, the relatedness of *E. cloacae* and *E. agglomerans* strains recovered during this outbreak.

MATERIAL AND METHODS

Bacterial strains. A total of 73 isolates, 57 of them *Enterobacter cloacae*, and 16 *Enterobacter agglomerans*, were studied. Of the 57 *E. cloacae* isolates, 7 were from blood cultures, 48 from solutions administered to patients, one was from a sealed bottle and one was of unknown origin. Concerning the 16 *E. agglomerans* isolates, 2 were isolated from blood cultures, 13 from solutions administered to patients and one was from a sealed bottle. These isolates were recovered at Instituto Adolfo Lutz, Regional Laboratory, Campinas, in the Department of Clinical Microbiology of Campinas University, UNICAMP, and in the Laboratory of the Hospital "Maternidade de Campinas", Campinas, São Paulo, Brazil. All isolates were recovered in March 1997 during an outbreak of bacteremia that occurred in hospitals using parenteral nutrition solutions produced by one manufacturer.

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Table 1
Origin and phenotypic and genotypic characteristics of enterobacteria isolated during the outbreak associated with the use of parenteral nutrition solution, March, 1997.

Patient	Source	Microorganism	Biotype	Serotype	Ribotype	Antimicrobial Resistance Pattern
1	PNS ¹	<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN
		<i>E. cloacae</i>	66	NT ²	E3B3	CX CF AP
2	PNS	<i>E. cloacae</i>	NT	NT	E5B5	CX CF AP
3	PNS	<i>E. cloacae</i>	26	O3	E1B1	CX CF GN
4	PNS	<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN
		<i>E. cloacae</i>	26	R	E1B1	CX CF GN
		<i>E. agglomerans</i>	- ³	-	E6B6	S
	Blood	<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN
		<i>E. agglomerans</i>	-	-	E6B6	S ⁴
5	PNS	<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN
		<i>E. cloacae</i>	26	R ⁵	E1B1	CX CF GN
		<i>E. cloacae</i>	26	O3	-	CX CF AP GN
		<i>E. agglomerans</i>	-	-	E6B6	S
6	PNS	<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN
		<i>E. cloacae</i>	26	R	E1B1	CX CF AP GN
		<i>E. cloacae</i>	26	O3	-	CX CF AP GN
		<i>E. agglomerans</i>	-	-	E6B6	S
	Blood	<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN
7	PNS	<i>E. cloacae</i>	26	O3	E1B1	CX CL AP GN
		<i>E. cloacae</i>	66	NT	E4B4	Multiresistant ⁶
		<i>E. cloacae</i>	26	O3	-	CX CF AP GN
		<i>E. agglomerans</i>	-	-	E6B6	Multiresistant ⁷
8	PNS	<i>E. cloacae</i>	26	O19	E2B2	Multiresistant ⁸
9	PNS	<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN
		<i>E. cloacae</i>	26	R	-	CX CF AP GN
		<i>E. cloacae</i>	26	R	-	CX CF AP GN
		<i>E. agglomerans</i>	-	-	E6B6	S
	Blood	<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN
10	PNS	<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN
		<i>E. cloacae</i>	26	O3	-	CX CF AP GN
		<i>E. cloacae</i>	26	R	-	CX CF AP GN
11	Blood	<i>E. cloacae</i>	26	R	E1B1	CX CF AP GN
12	PNS	<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN

Patient	Source	Microorganism	Biotype	Serotype	Ribotype	Antimicrobial Resistance Pattern
13	PNS	<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN
		<i>E. cloacae</i>	26	O3	-	CX CF AP GN
	?	<i>E. agglomerans</i>	-	-	E6B6	S
		<i>E. cloacae</i>	26	O3	E1B1	CX CF
14	PNS	<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN
		<i>E. cloacae</i>	26	R	-	CX CF AP GN
		<i>E. agglomerans</i>	-	-	E6B6	S
15	PNS	<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN
		<i>E. cloacae</i>	26	O3	-	CX CF AP GN
		<i>E. cloacae</i>	26	R	-	CX CF AP GN
16	PNS	<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN
		<i>E. cloacae</i>	26	O3	-	CX CF AP GN
17	PNS	<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN
18	PNS	<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN
		<i>E. agglomerans</i>	-	-	E6B6	S
	Blood	<i>E. agglomerans</i>	-	-	E6B6	S
19	Blood	<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN
20	PNS	<i>E. cloacae</i>	26	R	E1B1	CX CF AP GN
		<i>E. cloacae</i>	26	R	-	CX CF AP GN
		<i>E. agglomerans</i>	-	-	E6B6	S
21	PNS	<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN
		<i>E. cloacae</i>	26	O3	-	CX CF AP GN
		<i>E. cloacae</i>	26	O3	-	CX CF AP GN
		<i>E. cloacae</i>	26	R	-	CX CF AP GN
		<i>E. agglomerans</i>	-	-	E6B6	S
		<i>E. agglomerans</i>	-	-	E6B6	AP GN TT
		<i>E. agglomerans</i>	-	-	-	S
22	PNS	<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN
23	PNS	<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN
24	PNS	<i>E. cloacae</i>	26	O14	NT	CX CF AP
25	PNS	<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN
		<i>E. cloacae</i>	26	O3	-	CX CF AP GN
		<i>E. cloacae</i>	26	R	-	CX CF AP GN
		<i>E. agglomerans</i>	-	-	E6B6	AP GN TT
26	PNS	<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN
27	PNS	<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN
28	Blood	<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN
29	Blood Sealed Bottle	<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN
		<i>E. cloacae</i>	26	O3	E1B1	CX CF AP GN
		<i>E. agglomerans</i>	-	-	E6B6	S

PNS¹ (parenteral nutrition solution); NT² (non-typable); -³ (not tested); S⁴ (susceptible to all tested drugs); R⁵ (rough strain); multiresistant⁶ (resistant to CX,CF, AP, AM, TT,CLO, SUT, CPZ, CRX); multiresistant⁷ (resistant to AP, TT,AM,GN,CLO); multiresistant⁸ (resistant to CX,CF,AP,TT,AM,SUT,CPZ,GN,CTX); ? (unknown origin)

Biochemical identification. All isolates were subjected to biochemical identification⁹, and all *E. cloacae* were biotyped as previously described^{27,36}.

Serotyping. Nineteen *E. cloacae* isolates were serotyped in the Central Public Health Laboratory, Laboratory of Hospital Infection, Colindale, London, UK. The remaining 38 *E. cloacae* were serotyped at Instituto Adolfo Lutz, Central Public Health Laboratory, using O-antisera prepared with standard *E. cloacae* strains received from the Laboratory of Hospital Infection, London, UK. O-serotyping was performed according to methods previously described¹⁵.

Antibiotic susceptibility testing. The susceptibility of all isolates to antimicrobial agents was determined by the standard disk diffusion method²⁶ using the following commercial disks (Cecon, Centro de Controle e Produtos para Diagnósticos, S. Paulo, Brazil): amikacin (AM, 30µg), cefoperazone (CPZ, 75µg), cefotaxime (CTX, 30µg), imipenen (IPM, 10µg), ciprofloxacin (CIP, 5µg), tetracyclin (TT, 30µg), chloramphenicol (CLO, 30µg), sulfamethoxazole-trimethoprim (SUT, 1.25/23.75µg), ampicillin (AP, 10µg), cephalotin (CF, 30µg), gentamicin (GN, 10µg), and cephalixin (CX, 30µg).

Ribotyping. All isolates recovered from blood cultures were ribotyped as well as the isolates from sealed bottle. Among isolates from in-use parenteral nutrition solutions we selected at least one isolate of each bottle. Chromosomal DNA of *E. cloacae* and *E. agglomerans* was extracted and purified as previously described³. DNA samples (3 µg) were cleaved with the restriction enzymes *EcoRI* and *BamHI* according to the reaction conditions recommended by the manufacturer (Pharmacia, LKB). DNA fragments were subjected to horizontal electrophoresis in 0.8% agarose (Sigma) in 0.04 M TRIS-acetate and 0.001 M EDTA buffer, and restriction fragments were transferred under vacuum (Vacugene, Pharmacia, LKB) to nylon membranes. The membranes were hybridized with the 16+23S cDNA probe transcribed by reverse transcriptase from *E. coli* rRNA (Boehringer Mannheim, Germany) and labelled with digoxigenin according to POPOVIC *et al.*²⁹. A *Haemophilus aegyptius* (strain # 3031) *EcoRI* DNA digest (fragment sizes of 1492, 1713, 3228, 3789, 4960, 5575, 6334, and 17613 bp) was used as molecular marker. Fragment sizes were estimated with a computer program (DNASTAR Computer System for Molecular Biology and Genetics, London, UK).

RESULTS

Biotyping and serotyping. Of *E. cloacae* isolates 39 were assigned to biotype 26 / serotype O3, 13 to biotype 26 / OR (rough), four belonged to other biotypes / serotypes and one was non-typable by biotyping or serotyping. The characteristics of all strains are summarized in Table 1.

Antimicrobial susceptibility patterns. The majority of *E. cloacae* showed no important differences in the antimicrobial susceptibility patterns, being homogeneously resistant to ampicillin, cephalothin, cephalixin, and gentamicin. One isolate of biotype 26 / O19 and one of biotype 66 / NT were resistant to 9 drugs. Of 16 *E. agglomerans* isolates, 13 were susceptible to all tested drugs, 2 were resistant to ampicillin, gentamicin and tetracycline and one was resistant to ampicillin, gentamicin, tetracycline, amikacin and chloramphenicol.

Ribotyping. DNA samples of 39 *E. cloacae* and 15 *E. agglomerans* were digested with *EcoRI* and *BamHI*. All 34 *E. cloacae* isolates belonging to biotype 26 and to serotype O3 or OR (rough isolates), irrespective of their origin (solution administered to patients, sealed bottle of parenteral nutrition solution and blood cultures) were homogeneously cleaved with *EcoRI*, generating 16 fragments ranging in size from 1.3 to 22.9 kp, and were assigned to pattern E1. These 34 isolates, which also showed a unique pattern (B1) with 8 bands ranging in size from 6.0 to 25.8 kp when cleaved with *BamHI*, were assigned to ribotype E1B1. DNA sample (extraction was repeated twice) of one *E. cloacae* isolate (biotype 26 / serotype O14) was sheared and was not digested. *E. cloacae* isolates belonging to biotype 26 / serotype O19 (one isolate), biotype 66 / NT (two isolates) and NT / NT (one isolate) displayed patterns distinct from E1B1 when cleaved with *EcoRI* or *BamHI* and were classified as ribotypes E2B2, E3B3, E4B4, and E5B5, respectively. All *E. agglomerans* isolates when cleaved with *EcoRI* showed a unique pattern (E6) with 12 fragments ranging from 1.7 to 14.5 kp. The homogeneity of these isolates was confirmed with the second enzyme *BamHI*. All *E. agglomerans* isolates were classified as ribotype E6B6. Figure 1 shows the banding patterns of *E. cloacae* and *E. agglomerans* recovered from solutions administered to patients, from blood cultures and from one sealed bottle of parenteral nutrition solution, after digestion with *EcoRI* and *BamHI*, respectively. Schematic representation of the banding patterns of ribotypes of *E. cloacae* and *E. agglomerans* is shown in Figure 2.

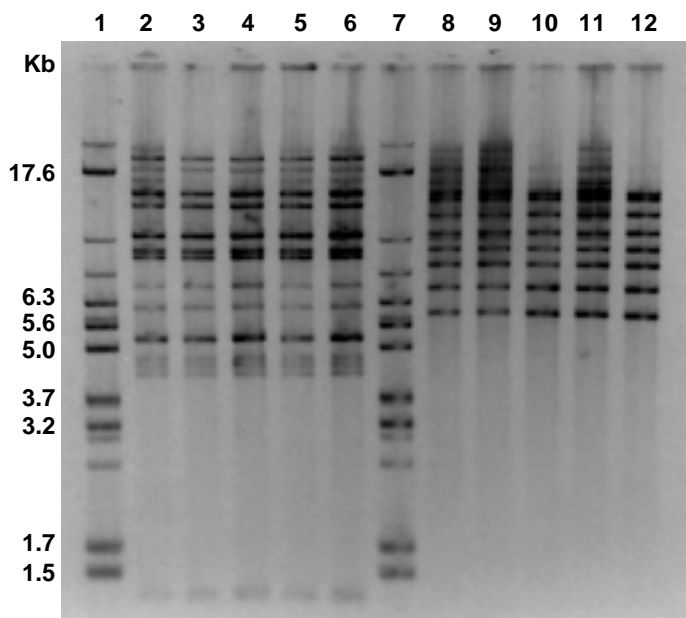


Fig. 1 - Banding patterns of *E. cloacae* belonging to biotype 26/serotype O3 (lanes 2 to 6) generated by *EcoRI* (pattern E1), and of *E. agglomerans* (lanes 8 to 12) after cleavage with *BamHI* (pattern B6). Isolates were obtained from blood cultures (lanes 2, 3, 8, and 9), solutions administered to patients (lanes 4, 5 10 and 11) and sealed bottle (lanes 6 and 12). Lane 1 and 7, size marker (*H. aegyptius* 3031 *EcoRI* DNA digest, fragment size in kilobases).

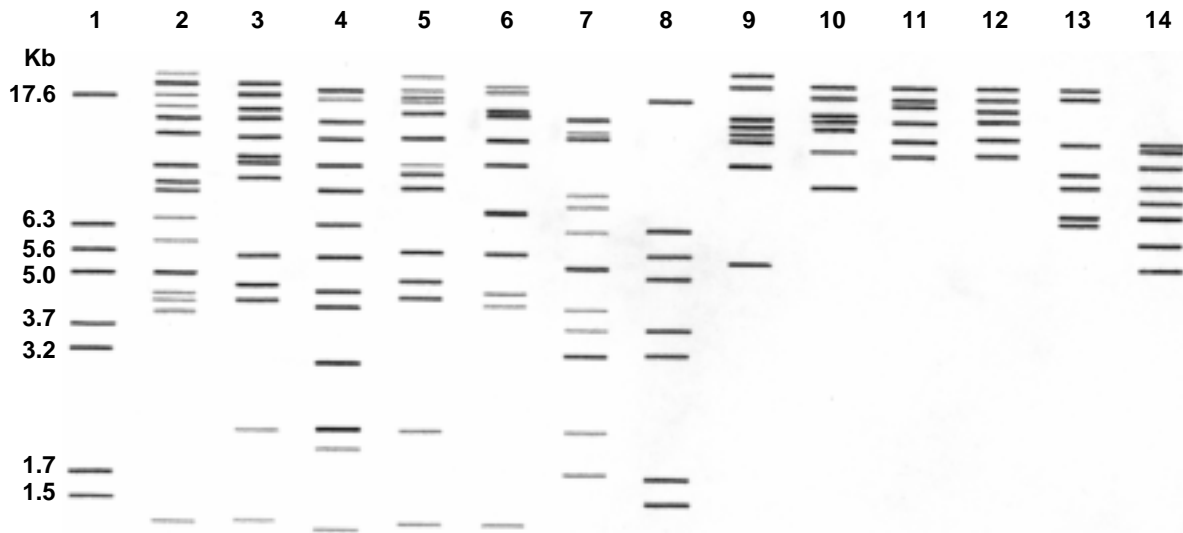


Fig. 2 - Schematic representation of the banding patterns E1 to E5 of *E. cloacae* obtained from DNA after digestion with *EcoRI* (lanes 2 to 6), and banding patterns B1 to B5 after digestion with *BamHI* (lanes 9 to 13). Banding patterns of *E. agglomerans* from DNA after digestion with *EcoRI* (E6) and *BamHI* (B6) are represented in Lane 7 and 14. Lane 1 and 8, DNA molecular weight marker.

DISCUSSION

Phenotypic methods when used in combination could be sufficiently discriminatory and satisfactory to differentiate the majority of clinical isolates of *E. cloacae*^{13,36}. Using conventional typing methods, we could differentiate a main group of 39 *E. cloacae* represented by isolates characterized as biotype 26 / serotype O3. *E. cloacae* serotype O3 strains are the most frequently isolated serotype from human infections^{16,36} and are probably widely disseminated in the environment.

This group of phenotypically similar isolates was genetically homogeneous as determined by ribotyping. On the other hand, four isolates which belonged to distinct biotypes and serotypes were also heterogeneous when assayed by ribotyping.

Biochemical and genotypic similarities shared by *E. cloacae* isolated from a sealed bottle of parenteral nutrition solution and *E. cloacae* isolated from solutions administered to patients point to the intrinsic contamination of these solutions, probably from environmental origin because of the antimicrobial susceptibility pattern. Extrinsic contamination of the solutions administered to patients is unlikely, considering that nosocomial strains of *E. cloacae* are usually multiresistant.

Multiple resistant *E. cloacae* strains belonging to some biotypes such as biotype 26 and 66 are frequently associated with human infections probably because these strains are present in some hospital units such as intensive care units³⁷. It was not surprising that such nosocomial pathogens could be isolated from some infusion bottles as was seen with Patient 7, where in addition to the intrinsic contamination, an extrinsic contamination by multiple resistant nosocomial *E. cloacae* (biotype 66 / NT and ribotype E4B4) probably occurred. An extrinsic contamination was also suspected in an infusion bottle administered to

Patient 8 from which it was isolated a multiple resistant *E. cloacae* strain belonging to biotype 26 / serotype O19, and ribotype E2B2.

The high genetic heterogeneity of *E. agglomerans*^{4,20,21} makes it difficult to establish a satisfactory system for its identification. According to FARMER¹¹, only cultures that are yellow pigmented and triple decarboxylase-negative strains are usually identified as *E. agglomerans*. All of our isolates that were triple decarboxylase-negative, although none was yellow pigmented, were identified as *E. agglomerans*. The lack of phenotypic methods to discriminate strains and to support similarities among strains of *E. agglomerans* is a drawback in epidemiological studies. In this study the relatedness of *E. agglomerans* strains isolated from solutions administered to patients, from blood cultures and from a sealed bottle of parenteral nutrition solution could be only confirmed by ribotyping. Both *EcoRI* and *BamHI* provided a precise evidence of strains relatedness.

Ribotyping proved to be an extremely useful method to confirm the identity of our isolates as other investigators had already reported^{13,18,35,38}. In our sample, most of *E. cloacae* isolates were assigned to the same ribotype (E1B1) which assesses the genetic similarity of the isolates of the main group identified as biotype 26/serotype O3 or 26/OR of distinct origins.

Our results suggest that *E. cloacae* and *E. agglomerans* were present in the solutions when they were delivered to the hospitals (intrinsic contamination) since at least one of the two microorganisms present in a sealed bottle was isolated from the solutions administered to 26 patients. On the other hand, recovery of the same microorganisms from blood cultures of patients using these solutions incriminates them as a vehicle of transmission of these agents during the outbreak.

RESUMO

Epidemiologia molecular de um surto de bacteriemia por *Enterobacter cloacae* e *Enterobacter agglomerans* ocorrido na região de Campinas, S. Paulo, Brasil

Foram estudadas um total de 73 cepas (57 de *E. cloacae* e 16 *E. agglomerans*), isoladas durante um surto de bacteriemia ocorrido na região de Campinas, S. Paulo. Entre estas cepas, 61 foram isoladas de solução de nutrição parenteral, 9 de sangue, 2 de bolsa fechada de solução de nutrição parenteral e uma era de origem desconhecida. Entre as 57 cepas de *E. cloacae*, a maioria das cepas foram do biotipo 26/sorotipo O3 (39 cepas) e do biotipo 26/OR (13). Entre as 39 cepas de *E. cloacae* ribotipadas, 87,2% apresentaram o mesmo padrão de bandas com *EcoRI* e *BamHI*. Cepas de *E. cloacae* pertencentes ao mesmo biotipo, sorotipo e ribotipo não apresentaram diferenças significativas em relação ao padrão de sensibilidade aos agentes antimicrobianos. Todas as cepas de *E. agglomerans*, independente da origem, pertenciam ao mesmo ribotipo após a clivagem com *EcoRI* e *BamHI*. Os resultados obtidos sugerem uma contaminação intrínseca das soluções de nutrição parenteral, incriminando-as como o veículo de transmissão dos agentes etiológicos do surto.

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SUMMARY OF THESIS*

CAVALHEIRO, Norma de Paula – Análise dos sorotipos do VHC identificados em pacientes da cidade de São Paulo, através de método imunoenzimático. São Paulo, 1999. (Dissertação de Mestrado - Faculdade de Medicina da Universidade de São Paulo).

ANALYSIS OF SEROTYPES OF HCV IN PATIENTS FROM THE CITY OF SÃO PAULO, BY MEANS OF A ENZYME-IMMUNOASSAY METHOD

With the objective of analyzing the prevalence of the different types of Hepatitis C Virus (HCV) in a population of chronic carriers of HCV, through a serologic method (MUREX HCV Serotyping Assay), 219 patients were studied who showed a positive polymerase chain reaction. This sera were submitted to immunoenzymatic tests for the detection of antibodies in relation to HCV types 1,2,3,4,5 and 6. The samples were diluted and incubated in the presence of heterologous competing peptides, with microwells coated with serotype-specific antigens of HCV. Of the 219 patients, it was possible to detect the HCV serotype in 166, revealing a sensitivity of 75.8%. The results showed a predominance of type 1 (70.0%) in our medium, followed by type 3 (22.3%) and type 2 (4.2%). Serotypes 4 and 5 were present in 1.8% of the patients, but always associated with serotype 1. These samples, in spite of fulfilling the prerequisites of validity for testing, showed a very high optical density reading for all types of viruses tested, including positive and negative controls. The possibility of cross reactions in these cases should be considered. Confirmation by genotyping and a more detailed investigation on the origin and mode of acquisition of the HCV of these patients should be researched. Type 6 was not confirmed in any of the samples tested and probably was not present in this particular collection. The epidemiological parameters evaluated were: age, sex and means of

transmission. Of the 166 patients diagnosed with the HCV, 108 (65.1%) were men and 58 (34.9%) were women. The age of the patients varied from 12 to 73 years, the average being 41.1 years. The means of transmission mentioned were blood transfusion in 52 (31.3%) cases, intravenous drug use in 18 (10.8%) cases, by tattoos in 8 (4.8%) cases, 6 (3.6%) cases were sexually transmitted, 3 (1.8%) were by accident with a needle, 2 (1.2%) through work in the health field, one (0.6%) through acupuncture and one by being hemophiliac. Sixty one (36.7%) patients were not able to offer any risk factor which justified the acquisition of the HCV infection. No significant difference was verified among the different types of HCV found and the different epidemiological parameters studied. The predominance of types 1, 3 and 2 is compatible with other genotyping studies which involved Brazilian samples, particularly in the city of São Paulo. The samples which showed high or low dense optical reading for all the wells of the same samples tested even the positive or negative controls, suggested confirmation by sequencing or genotyping. The practicality obtained by the HCV serotyping test, in spite of the fact that it does not identify the sub type, can be useful in clinical practice and helpful in the prognostication of the disease, not needing the technology demanded by the tests which involve molecular biology.

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