

Theoretical and Numerical Aspects of a Third-order Three-point Nonhomogeneous Boundary Value Problem

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ABSTRACT. In this paper we are considering a third-order three-point equation with nonhomogeneous conditions in the boundary. Using Krasnoselskii's Theorem and Leray-Schauder Alternative we provide existence results of positive solutions for this problem. Nontrivial examples are given and a numerical method is introduced.

Keywords: numerical solutions, third-order, boundary value problem, Krasnoselskii's Theorem.

1 INTRODUCTION

Multi-point boundary value problems there has been attention of several studies mainly focused on the existence of solutions with qualitative and quantitative aspects, we recommend [2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 14, 15] and the references therein. It is well known that the Krasnoselskii's fixed point theorem, Avery-Peterson and Leggett-Williams theorems are massively used in this line.

In this paper, motivated by [13], we discuss the existence of a positive solution for the third-order boundary value problem:

$$u''' + f(t, u, u') = 0, \quad 0 < t < 1, \quad (1.1)$$

$$u(0) = u'(0) = 0, \quad u'(1) - \alpha u'(\eta) = \lambda, \quad (1.2)$$

where $\eta \in (0, 1)$, $\alpha \in [0, \frac{1}{\eta})$ are constants and $\lambda \in (0, \infty)$ is a parameter. Essentially, we combine Leray-Schauder Alternative and Krasnoselskii's theorem to show the existence of a positive solution for (1.1)-(1.2) without supposing superlinearity on f . Numerical solutions are poorly

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explored, thus we complement this work presenting a numerical study for (1.1)-(1.2) based on Banach's Contraction Principle.

2 BACKGROUND MATERIAL

We begin this section by stating the following results.

Theorem 1. *Let E be a Banach space, $C \subset E$ a closed and convex set, Ω an open set in C and $p \in \Omega$. Then each completely continuous mapping $T : \overline{\Omega} \rightarrow C$ has at least one of the following properties:*

(A1) *T has a fixed point in $\overline{\Omega}$.*

(A2) *There are $u \in \partial\Omega$ and $\lambda \in (0, 1)$ such that $u = \lambda T(u) + (1 - \lambda)p$.*

Theorem 2. *Let E be a Banach space and let $K \subset E$ be a cone in E . Assume Ω_1, Ω_2 are bounded open subsets of E with $0 \in \Omega_1, \overline{\Omega}_1 \subset \Omega_2$, and let $T : K \cap (\overline{\Omega}_2 \setminus \Omega_1) \rightarrow K$ be a completely continuous operator such that, either*

(B1) *$\|Tu\| \leq \|u\|, u \in K \cap \partial\Omega_1$, and $\|Tu\| \geq \|u\|, u \in K \cap \partial\Omega_2$, or*

(B2) *$\|Tu\| \geq \|u\|, u \in K \cap \partial\Omega_1$, and $\|Tu\| \leq \|u\|, u \in K \cap \partial\Omega_2$.*

Then T has a fixed point in $K \cap (\overline{\Omega}_1 \setminus \Omega_1)$.

The first theorem is a well-known Leray-Schauder alternative and the second theorem is due to Krasnoselskii, see [1].

Let us set an auxiliary problem that will be useful in our context.

$$u''' + f(t, x, x') = 0, \quad 0 < t < 1, \quad (2.1)$$

$$u(0) = u'(0) = 0, \quad u'(1) - \alpha u'(\eta) = \lambda. \quad (2.2)$$

Related to this problem we have an important lemma.

Lemma 3. *Let $x \in C^1[0, 1] := \{x \in C^1[0, 1], t \in [0, 1]\}$, then we have a unique solution for (2.1)-(2.2). Moreover, this solution is expressed by*

$$u(t) = \int_0^1 G(t, s) f(s, x(s), x'(s)) ds + \frac{\alpha t^2}{2(1 - \alpha\eta)} \int_0^1 G_1(\eta, s) f(s, x(s), x'(s)) ds + \frac{\lambda t^2}{2(1 - \alpha\eta)}, \quad (2.3)$$

where G is the Green's function:

$$G(t, s) = \frac{1}{2} \begin{cases} (2t - t^2 - s)s, & s \leq t \\ (1 - s)t^2, & t \leq s \end{cases} \quad (2.4)$$

and

$$G_1(t, s) = \frac{\partial G(t, s)}{\partial t} = \begin{cases} (1-t)s, & s \leq t \\ (1-s)t, & t \leq s \end{cases}. \tag{2.5}$$

Proof. If $u(t)$ is solution of (2.1), we can suppose that

$$u(t) = -\frac{1}{2} \int_0^t (t-s)^2 f(s, x, x') ds + At^2 + Bt + C.$$

From condition (2.2), we have $B = C = 0$ and

$$A = \frac{1}{2(1-\alpha\eta)} \int_0^1 (1-s)f(s, x, x') ds - \frac{\alpha}{2(1-\alpha\eta)} \int_0^\eta (\eta-s)f(s, x, x') ds + \frac{\lambda}{(1-\alpha\eta)}$$

Thus (2.1)-(2.2) has a unique solution. Furthermore $u(t) = -\frac{1}{2} \int_0^t (t-s)^2 f(s, x, x') ds +$

$$\begin{aligned} & \frac{t^2}{2(1-\alpha\eta)} \int_0^1 (1-s)f(s, x, x') ds \\ & - \frac{\alpha t^2}{2(1-\alpha\eta)} \int_0^\eta (\eta-s)f(s, x, x') ds + \frac{\lambda t^2}{2(1-\alpha\eta)} \\ & = -\frac{1}{2} \int_0^t (t-s)^2 f(s, x, x') ds + \frac{t^2}{2} \int_0^1 (1-s)f(s, x, x') ds \\ & + \frac{\alpha \eta t^2}{2(1-\alpha\eta)} \int_0^1 (1-s)f(s, x, x') ds - \frac{\alpha t^2}{2(1-\alpha\eta)} \int_0^\eta (\eta-s)f(s, x, x') ds + \frac{\lambda t^2}{2(1-\alpha\eta)} \\ & = \frac{1}{2} \int_0^t (-t^2 + 2st - s^2) f(s, x, x') ds + \frac{1}{2} \int_0^t (1-s)t^2 f(s, x, x') ds \\ & + \frac{1}{2} \int_t^1 (1-s)t^2 f(s, x, x') ds + \frac{\alpha t^2}{2(1-\alpha\eta)} \int_0^\eta (1-s)\eta f(s, x, x') ds \\ & + \frac{\alpha t^2}{2(1-\alpha\eta)} \int_\eta^1 (1-s)\eta f(s, x, x') ds - \frac{\alpha t^2}{2(1-\alpha\eta)} \int_0^\eta (\eta-s)f(s, x, x') ds + \frac{\lambda t^2}{2(1-\alpha\eta)} \\ & = \frac{1}{2} \int_0^t (2t - t^2 - s) s f(s, x, x') ds + \frac{1}{2} \int_t^1 (1-s)t^2 f(s, x, x') ds \\ & + \frac{\alpha t^2}{2(1-\alpha\eta)} \left(\int_0^\eta (1-\eta) s f(s, x, x') ds + \int_\eta^1 \eta (1-s) f(s, x, x') ds \right) + \frac{\lambda t^2}{2(1-\alpha\eta)} \\ & = \int_0^1 G(t, s) f(s, x, x') ds + \frac{\alpha t^2}{2(1-\alpha\eta)} \int_0^1 G_1(\eta, s) f(s, x, x') ds + \frac{\lambda t^2}{2(1-\alpha\eta)}. \end{aligned}$$

□

Defining $x(t) = u(t)$ in Lemma 3 is easy to see that the solution of (1.1)-(1.2) can be expressed as fixed point of the operator $T : C^1[0, 1] \rightarrow C^1[0, 1]$ defined by:

$$Tu(t) = \int_0^1 G(t, s) f(s, u, u') ds + \frac{\alpha t^2}{2(1-\alpha\eta)} \int_0^1 G_1(\eta, s) f(s, u, u') ds + \frac{\lambda t^2}{2(1-\alpha\eta)}. \tag{2.6}$$

Remark 4. Related to G and G_1 we have useful properties that will be used in the next section.

- For all $(t, s) \in [0, 1] \times [0, 1]$:

$$0 \leq G_1(t, s) \leq (1-s)s$$

- For all $(t, s) \in [0, 1] \times [0, 1]$:

$$G(t, s) \leq G_1(1, s) = \frac{1}{2}(1-s)s$$

3 POSITIVE SOLUTIONS

Let $E = \{u \in C^1[0, 1] : u(0) = 0\}$, where $C^1[0, 1]$ be the Banach space of continuously differentiable functions in $[0, 1]$ equipped with

$$\|u\|_E = \max\{\|u\|_\infty, \|u'\|_\infty\}.$$

Remark 1. If $u \in E$ then Tu satisfies $Tu(0) = 0$. Besides $\|(Tu)'\|_\infty \geq \|Tu\|_E$.

In order to prove the existence we need to consider some basic assumptions.

(H1) There exist positive constants A , B and β such that

- $\max_{(s, v_1, v_2) \in [0, 1] \times [-\beta, \beta] \times [-\beta, \beta]} \{|f(s, v_1, v_2)|\} \leq \frac{\beta(1-\alpha\eta)6B}{1+\alpha(1-\eta)}$
- $\lambda \leq A\beta(1-\alpha\eta)$
- $A+B \leq 1$.

Lemma 2. Suppose that (H1) holds. Thus the problem (1.1)-(1.2) has a solution $u^* \in E$ with $\|u^*\|_E \leq \beta$.

Proof. Let us consider the Theorem 1 with $p = 0$ and $\Omega = \{u \in E : \|u\|_E < \beta\}$.

We claim that T is continuous and completely continuous. In fact, the continuity follows immediately from the Lebesgue dominated convergence theorem and noting that

$$\begin{aligned} |T(u)(t) - T(u_n)(t)| &\leq \int_0^1 G(t, s) |f(s, u(s), u'(s)) - f(s, u_n(s), u'_n(s))| ds + \\ &+ \left| \frac{\alpha t^2}{2(1-\alpha\eta)} \right| \int_0^1 G_1(\eta, s) |f(s, u(s), u'(s)) - f(s, u_n(s), u'_n(s))| ds \\ &\leq \int_0^1 G_1(1, s) |f(s, u(s), u'(s)) - f(s, u_n(s), u'_n(s))| ds + \end{aligned}$$

$$+ \left| \frac{\alpha}{2(1-\alpha\eta)} \right| \int_0^1 G_1(\eta, s) |f(s, u(s), u'(s)) - f(s, u_n(s), u'_n(s))| ds,$$

with $u_n, u \in E$. To show complete continuity we will use the Arzela-Ascoli's theorem. Let $\Omega \subseteq E$ be bounded, in other words, there exists $\Lambda_0 > 0$ with $\|u\| \leq \Lambda_0$ for each $u \in \Omega$. Now if $u \in \Omega$ we have

$$\begin{aligned} |(Tu)'(t)| &= \left| \int_0^1 G_1(t, s) f(s, u, u') ds + \frac{\alpha t}{1-\alpha\eta} \int_0^1 G_1(\eta, s) f(s, u, u') + \frac{\lambda t}{1-\alpha\eta} \right| \\ &\leq \int_0^1 |G_1(t, s) f(s, u, u')| ds + \frac{\alpha t}{1-\alpha\eta} \int_0^1 |G_1(\eta, s) f(s, u, u')| + \left| \frac{\lambda t}{1-\alpha\eta} \right| \\ &\leq \max_{t \in [0,1]} \frac{1-\alpha\eta + \alpha t}{1-\alpha\eta} \int_0^1 |(1-s)s f(s, u, u')| ds + \left| \frac{\lambda t}{1-\alpha\eta} \right| \\ &\leq \frac{1 + \alpha(-\eta + 1)}{1-\alpha\eta} \int_0^1 |(1-s)s| |f(s, u, u')| ds + \left| \frac{\lambda}{1-\alpha\eta} \right|. \end{aligned}$$

Then $T\Omega$ is a bounded equicontinuous family on $[0, 1]$. Consequently the Arzela-Ascoli theorem implies $T : E \rightarrow E$ is completely continuous.

In addition, suppose there are $u \in \partial\Omega$ and $\lambda \in (0, 1)$ with $u(x) = \lambda Tu(x)$. According (H1) we have:

$$\begin{aligned} \|Tu\|_E &< \|(Tu)'\|_\infty = \max_{t \in [0,1]} |(Tu)'(t)|, \\ &\leq \max_{t \in [0,1]} \frac{1 + \alpha(-\eta + 1)}{1-\alpha\eta} \int_0^1 |(1-s)s| |f(s, u, u')| ds + \left| \frac{\lambda}{1-\alpha\eta} \right| \\ &\leq \max_{(s, v_1, v_2) \in [0,1] \times [-\beta, \beta] \times [-\beta, \beta]} \frac{1 + \alpha(-\eta + 1)}{1-\alpha\eta} |f(s, v_1, v_2)| \int_0^1 (1-s)s ds + \left| \frac{\lambda}{1-\alpha\eta} \right| \\ &\leq \max_{(s, v_1, v_2) \in [0,1] \times [-\beta, \beta] \times [-\beta, \beta]} \frac{1 + \alpha(-\eta + 1)}{1-\alpha\eta} \frac{|f(s, v_1, v_2)|}{6} + \left| \frac{\lambda}{1-\alpha\eta} \right| \\ &\leq \frac{1}{1-\alpha\eta} \left[\frac{1 + \alpha(1-\eta)}{6} \max |f(s, v_1, v_2)| + \lambda \right] \\ &\leq \frac{1}{1-\alpha\eta} \left[\frac{1 + \alpha(1-\eta)}{6} \frac{\beta(1-\alpha\eta)6B}{1 + \alpha(1-\eta)} + \lambda \right] \\ &\leq \frac{1}{1-\alpha\eta} [\beta(1-\alpha\eta)B + A\beta(1-\alpha\eta)] \\ &\leq \beta A + \beta B \leq \beta. \end{aligned}$$

Therefore, $\|u\|_E < \beta$ and (A2) in Theorem 1 cannot occur. Thus (A1) holds and there is $u^* \in E$ such that $\|u^*\|_E \leq \beta$. □

Theorem 3. *Suppose that (H1) holds and $f(s, u, v) \geq 0, \forall (s, u, v) \in [0, 1] \times [-\beta, \beta] \times [-\beta, \beta]$. Then (1.1)-(1.2) has at least one positive solution $u^* \in E$.*

Proof. We start the proof defining the cone $K \subset E$ by

$$K = \{u \in E : u \geq 0, u(0) = 0, u'(0) = 0\}.$$

From (H1) and the definition of G and G_1 , we have that T applies K in K . As seen in the last result, T is completely continuous.

We shall apply Theorem 2. Thus, we will define $\Omega_1 = \{u \in E; \|u\|_E < \beta\}$, $\Omega_2 = \{u \in E; \|u\|_E < \alpha\}$ and we will show that the following conditions are true for all $u \in K$:

- (a) if $\|u\|_E = \alpha$ then $\|Tu\|_E \leq \alpha$;
- (b) if $\|u\|_E = \beta$ then $\|Tu\|_E \geq \beta$.

In fact, the demonstration of (a) is similar to the proof of the Lemma 2. To prove (b) is necessary to verify that there is $\bar{\gamma} > 0$ with

$$\|Tu\|_E \geq \|u\|_E, \quad \forall u \in K \cap \partial\Omega_3,$$

where $\Omega_3 = \{u \in E; \|u\|_E < \bar{\gamma}\}$.

Let us assume that the inequality is false, that is, for every $\bar{\gamma}$ such that $\beta > \bar{\gamma} > 0$ there exists $u \in E$ with $\|u\|_E = \bar{\gamma}$ and $\|Tu\|_E < \bar{\gamma}$. Thus for all $n \in \{1, 2, \dots\}$ with $\frac{1}{n} < \alpha$, we can find $u_n \in K$ such that

$$\|u_n\|_E = \frac{1}{n} \text{ and } \|Tu_n\|_E < \frac{1}{n}.$$

Then $\|u_n\| \rightarrow 0$ and $\|Tu_n\| \rightarrow 0$, when $n \rightarrow \infty$. Being T continuous, we have $\|T0\|_E = 0$. On the other hand, using (H1) and the definition of G and G_1 we have

$$\begin{aligned} \|T0\|_\infty &\geq \max_{t \in [0,1]} \left\{ \frac{\lambda t^2}{2(1-\alpha n)} \right\}, \\ &\geq \frac{\lambda}{2(1-\alpha n)} > 0 \end{aligned}$$

which is a contradiction. Therefore we have the result. □

Remark 4. Note that the most important step in the proof of Theorem 3 is to impose conditions to conclude that 0 is not fixed point of T .

Example 3.1. Let us consider (1.1)-(1.2) with

$$\begin{aligned} f(t, u, v) &= \frac{1}{4}t + u^2 + v^2 \\ \eta &= \frac{1}{10}, \alpha = \frac{1}{3}, \lambda = \frac{1}{4} \end{aligned}$$

Choosing the constants

$$\beta = 10, \quad A = 0.54, \quad B = 0.45,$$

we can easily verify that in these conditions the hypotheses (H1) are satisfied.

Example 3.2. Let us define

$$f(t, u, v) = \frac{1}{4}t + \sin(u) + \frac{1}{4}\cos(v)$$

$$\eta = \frac{1}{9}, \alpha = \frac{1}{6}, \lambda = \frac{14}{10}$$

As before, choosing the constants

$$\beta = 2, A = 0.75, B = 0.2,$$

we can verify that (H1) is satisfied.

4 NUMERICAL SOLUTIONS

In this section we show the existence and uniqueness for (1.1)-(1.2) using Banach Fixed Point Theorem. This approach is classical but very important to define numerical methods for our problem. Let us consider the iterative sequence

$$u^{k+1} = T(u^k)$$

and the basic assumptions

$$(H2) |f(s, u, u') - f(s, v, v')| \leq A \max \{ |u(s) - v(s)|, |u'(s) - v'(s)| \};$$

$$(H3) \frac{-t^2 + t}{2} + \frac{\alpha t \eta (-\eta + 1)}{2(1 - \alpha \eta)} \leq \frac{1}{A}.$$

Theorem 1. Suppose that (H1), (H2) and (H3) are satisfied. Then (1.1)- (1.2) has a unique solution u with $\|u\|_E \leq \beta$. Moreover, $u^{k+1} = T(u^k) \rightarrow u$.

Proof. Let us consider $u, v \in \Omega$ with $\|u\|_E \leq \beta$ and $\|v\|_E \leq \beta$. Then

$$\begin{aligned} \|Tu - Tv\|_E &= \|(Tu - Tv)'\|_\infty \\ &= \left| \int_0^1 G_1(t, s)[f(s, u, u') - f(s, v, v')]ds + \frac{\alpha t}{1 - \alpha \eta} \int_0^1 G_1(t, s)[f(s, u, u') - f(s, v, v')]ds \right| \\ &\leq A \max_s \{ |u(s) - v(s)|, |u'(s) - v'(s)| \} \left(\int_0^1 G_1(t, s)ds + \frac{\alpha t}{1 - \alpha \eta} \int_0^1 G_1(\eta, s)ds \right) \\ &\leq A \max_s \{ |u(s) - v(s)|, |u'(s) - v'(s)| \} \left(\frac{-t^2 + t}{2} + \frac{\alpha t \eta (-\eta + 1)}{2(1 - \alpha \eta)} \right) \end{aligned}$$

Using (H3) we obtain

$$\begin{aligned} &\leq A \max_s \{ |u(s) - v(s)|, |u'(s) - v'(s)| \} \frac{1}{A} \\ &\leq \max_s \{ |u(s) - v(s)|, |u'(s) - v'(s)| \} = \|u - v\|_E \quad \square \end{aligned}$$

Motivated by the last result we can define Algorithm 1.

In sequence we are presenting some examples in order to establish the effectiveness of Algorithm 1. In tables, ϵ_μ^k denotes $\|u^* - u^k\|_\infty$ where u^* is the exact solution, ϵ^k denotes $\|u^{k+1} - u^k\|_\infty$ and $\bar{\epsilon}^k = \frac{\|u^{k+1} - u^k\|_\infty}{\|u^{k+1}\|_\infty}$. Still, “It” denotes “iteration”.

Algorithm 1 Fixed-Point

-
- 1: Define an uniformly distributed mesh $\{x_j\}$ in $[0, 1]$
 - 2: Define an initial approximation $u_j^0 = u^0(x_j)$
 - 3: **for** $k = 0, 1, 2, \dots$, **do**
 - 4: Compute u_j^k using finite differences
 - 5: Compute u_j^{k+1} using $u^{k+1} = T(u^k)$ and Trapezoidal Rule
 - 6: Test the convergence
 - 7: **end for**
-

Example 4.1. *In this example, we consider*

$$\begin{aligned} f(x, u, u') &= -u' \\ \eta &= \frac{\pi}{4}, \alpha = \frac{1}{10}, \lambda = 0.770760306689242 \end{aligned}$$

The analytical solution is $u^*(x) = 1 - \cos(x)$. The Table 1 contains results of application in Example 4.1.

We can make additional tests. From Theorem 3 we have a solution for Examples 3.1 and 3.2 but in both case, we do not know which they are. Let us apply Algorithm 1 in these problems. For this purpose, we can consider the condition

$$\frac{\|u^{k+1} - u^k\|}{\|u^{k+1}\|_\infty} < 10^{-4}$$

as stopping criterion for the algorithm. The results for these examples are presented in Table 2 and 3, respectively. The illustrations of these results are given in Figure 1 and 2.

Table 1: Algorithm 1 considering Example 4.1.

| It | ϵ_u^k | ϵ^k | $\bar{\epsilon}^k$ |
|----|-------------------|-------------------|--------------------|
| 1 | 0.104585227251908 | 0.355112466879952 | 1.000000000000000 |
| 2 | 0.072538564385106 | 0.032046662866802 | 0.082773878760819 |
| 3 | 0.069264937033799 | 0.003273627351307 | 0.008384612437847 |
| 4 | 0.068925441261629 | 0.000339495772170 | 0.000868781674432 |
| 5 | 0.068890166416009 | 0.000035274845620 | 0.000090261428243 |

Table 2: Algorithm 1 considering Example 3.1.

| It | ϵ_u^k | ϵ^k | $\bar{\epsilon}^k$ |
|----|----------------|-------------------|--------------------|
| 1 | - | 0.168278823890335 | 1.000000000000000 |
| 2 | - | 0.007563461402919 | 0.043012756518181 |
| 3 | - | 0.000744660869474 | 0.004216964422657 |
| 4 | - | 0.000077049209989 | 0.000436134198292 |

Table 3: Algorithm 1 considering Example 3.2.

| It | ϵ_u^k | ϵ^k | $\bar{\epsilon}^k$ |
|----|----------------|-------------------|--------------------|
| 1 | - | 0.740971458506793 | 1.000000000000000 |
| 2 | - | 0.010141509530254 | 0.013876702158219 |
| 3 | - | 0.000276799190473 | 0.000378602975785 |
| 4 | - | 0.000007307338952 | 0.000009995000056 |

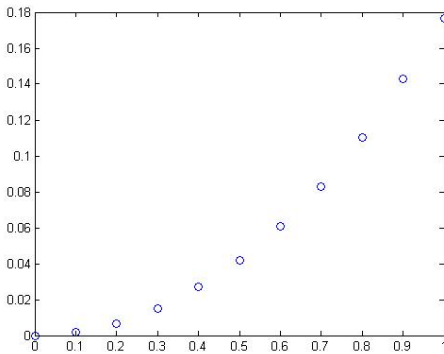


Figure 1: Numerical solution obtained from Example 1 using Algorithm 1.

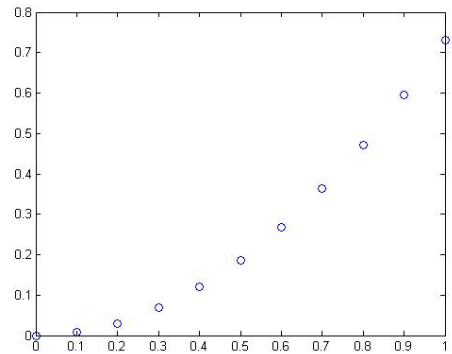


Figure 2: Numerical solution obtained from Example 2 using Algorithm 1.

RESUMO. Neste artigo, consideramos uma equação com três pontos de fronteira de terceira ordem com condições de contorno não homogêneas. Com uso do Teorema de Krasnoselskii e da Alternativa de Leray-Schauder, apresentamos resultados de existência para soluções positivas. Exemplos não triviais são fornecidos e um método numérico é introduzido.

Palavras-chave: soluções numéricas, terceira-ordem, problema de valor de contorno, Teorema de Krasnoselskii.

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