# Heart defects treatment in Sergipe: propose of resources' rationalization to improve care

Tratamento das cardiopatias congênitas em Sergipe: Proposta de racionalização dos recursos para melhorar a assistência

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Abstract

*Objective:* This study aims evaluate the treatment of congenital heart disease conducted from 2000 to 2009.

Methods: The sample consisted of all patients undergoing surgical correction for congenital heart disease for ten years in Sergipe, Brazil. The patients were operated in three hospitals located in the city of Aracaju, capital of the state of Sergipe (Brazil). The study was divided into two periods defined by the start date of centralization of surgery. The variables collected were: age, sex, postoperative diagnosis, destination, type of surgery and hospital where the procedure was performed and the classification RACHS -1.

Results: In the period I, the estimate deficit of surgery was 69% decrease occurring in the period II to 55.3%. The postoperative diagnosis was more frequent closure of the interventricular communication (20.5%), closure of patent ductus arteriosus (20.2%) and atrial septal defect (19%). There was a statistically significant correlation between the expected mortality RACHS-1 and observed in the sample. The evaluation of RACHS-1 as a predictor of hospital mortality by ROC curve showed area of 0.860 95% CI 0.818 to 0.902 with P < 0.0001.

Conclusion: The results of this study indicate that the centralization and organization of existing resources are needed to improve the performance of surgical correction of congenital heart diseases.

Descriptors: Heart defects, congenital. Cardiovascular surgical procedures. Health policy.

Resumo

*Objetivo*: Avaliar o tratamento das cardiopatias congênitas realizadas de 2000 a 2009.

Métodos: A amostra constituiu-se de todos os pacientes submetidos a correção cirúrgica para cardiopatias congênitas por dez anos em Sergipe, Brasil. Os pacientes foram operados em três hospitais localizados na cidade de Aracaju (SE, Brasil), capital do estado de Sergipe. O estudo foi dividido em dois períodos, definidos pela data do início da centralização das cirurgias. As variáveis coletadas foram: faixa etária, gênero, diagnóstico pós-operatório, destino, tipo de cirurgia e hospital em que foi realizado o procedimento e a classificação RACHS -1.

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Abbreviations, acronyms & symbols			
СРВ	cardiopulmonary bypass		
IAC	interatrial communication		
IVC	interventricular communication		
AC	Aortic coarctation		
AVSD	Atrioventricular Septal Defect		
CI	Confidence Interval		
PDA	Patent ductus arteriosus		
RACHS-1	Risk Adjustment for Congenital Heart Surgery		
ROC	Receiver-Operating Characteristic curve		
SUS	Unified Health System		
RV	Right ventricle		
LV	Left ventricle		

Resultados: No período I, a estimativa do déficit de cirurgia foi de 69%, ocorrendo decréscimo no período II para 55,3%. O diagnóstico pós-operatório mais frequente foi de fechamento de comunicação interventricular (20,5%), fechamento de canal arterial (20,2%) e da comunicação interatrial (19%). Houve correlação estatisticamente significativa entre mortalidade esperada pelo RACHS-1 e a observada na amostra. A avaliação do RACHS-1 como fator preditor da mortalidade hospitalar por meio da curva ROC demonstrou área de 0,860 IC 95% 0,818 a 0,902, com P < 0,0001.

Conclusão: Os resultados deste estudo indicam que a centralização e a organização dos recursos existentes são necessárias para melhora no desempenho das correções cirúrgicas das cardiopatias congênitas.

Descritores: Cardiopatias congênitas. Procedimentos cirúrgicos cardiovasculares. Política de saúde.

# INTRODUCTION

The many advances made in cardiac surgery in the world last year [1] are often not accessible to people in developing countries [2].

Recently, in several developing countries, strategies were proposed for correction of congenital heart diseases, such as transfer of patients to developed countries, arrangement of cardiac surgeons' trips to these countries or the creation of a local cardiovascular surgery program [3-6].

Brazil has several developments within cardiac surgery [7], but when comparing to other countries, shows a deficit of surgery for correction of congenital heart disease estimated at around 65%, depending on the region, with the northern region (93.5%) and Northeast (77.4%) with the major deficits [8-10].

In Sergipe, since 2007, through an agreement with the Health System all surgical correction of congenital heart disease are performed in an institution, which previously was distributed in three services. The aim of this study is to assess the treatment of congenital heart disease performed from 2000 to 2009, comparing the periods before and after the surgeries centralization.

# **METHODS**

Sampling was performed consecutively, collected retrospectively, and all patients underwent surgical correction for congenital heart disease from January 1<sup>st</sup>, 2000 to December 31<sup>th</sup>, 2009 in Sergipe.

The patients underwent surgery in three hospitals

located in the city of Aracaju, capital of Sergipe State, being designated hospital 1, 2 and 3, for ethical reasons. Data from these patients were collected from the records of cardiopulmonary bypass (CPB), of compulsory filling, stored in single file, pertaining to all departments of cardiovascular surgery of Sergipe. The study was divided into two periods defined by the starting date of the agreement between SUS (Unified Health System-UHS) and the hospital 3, the period called I runs from January 1st, 2000 to December 31, 2006 and the period II from January 1st, 2007 to December 31th, 2009. The hospital is a charity institution that serves mostly UHS patients. The hospital 2, private, serves mostly patients from health plans and private, having an agreement with the UHS to perform heart surgery. Due to difficulties encountered in these hospitals for surgeries in pediatric patients, an agreement between UHS and the Hospital 3 was signed centralizing all procedures in the pediatric population in this service.

The data collection of surgical correction was performed from July to December 2010. The variables collected were: age, gender, postoperative diagnosis, destination (discharge or death), type of surgery and hospital where the procedure was performed.

The age range was established in the neonatal period (1-28 days old), 1st year of life (29 days to <1 year), children (from 1 year to 12 years) and adolescents and adults (over 12 years). We adopted the definition of the Brazilian Statute for Children and Adolescents establishing pediatric patients up to 12 years. For analysis of hospital mortality, child age was grouped with the adult, for statistical reasons, because there were no deaths in the latter.

In the CPB record there was description of the preand postoperative diagnosis being considered in this study only the latter. From the postoperative diagnosis and the type of surgery were categorized in the classification of surgical risk for congenital heart disease according Risk Adjustment for Congenital Heart Surgery (RACHS-1)[11].

For death, we considered those occurring during the hospitalization and so-called hospital mortality.

Were requested from the Health Secretary of Sergipe official birth certificate from 2000 to 2009.

Due to difficulties in the diagnosis of congenital heart disease, we chose to assess the deficit of corrective surgery of congenital heart disease through estimates of disease incidence in relation to the number of live births, according to the methodology used in the literature [12].

We calculated the estimated deficit of surgery, where from the prevalence of the disease, 8 in 1000 live births, and the need for surgery in 80% of cases (7.2 per 1000 live births), we calculated the number of surgeries performed each year and subtracting the number of surgeries performed, it was the annual deficit.

Categorical variables were described as single frequency (count) and percentages and their 95% CI (confidence interval for an estimated 95%) when appropriate.

To assess the association between categorical variables we used the chi-square test. The analysis of expected mortality and by RACHS -1 and that observed in the sample were performed by Pearson correlation. For assessment of hospital mortality and RACHS-1 was constructed ROC curves and estimated the area under it.

We considered the significance level as P < 0.05, power 0.80 and the tests as two-tailed.

The statistical software used was SPSS version 17 for testing.

This study was submitted to the Ethics in Research Involving Human Committee at the Federal University of Sergipe and approved in May 2010.

# **RESULTS**

There was a deficit reduction of surgeries of 75.6% in 2000 to 54% in 2008, the year with lowest deficit. There was significant reduction of the surgery deficit over the years with P < 0.0001. In relation to the periods of study, in the period I the estimated deficit of surgery was 69%, with decrease in period II to 55.3% (Table 1).

In terms of age we observed a higher frequency of surgery in the age group 1-12 years, followed by over 12 years, with the smallest percentage in the neonatal period. Comparing the periods of the study, 15.5% of patients younger than one year underwent surgery in the period I and 20.3% in period II (Table 2).

According to the postoperative diagnosis, the most frequent correction was closure of interventricular communication (IVC) (20.5%), closure of the *ductus arteriosus* (20.2%) and interatrial communication (IAC) (19%) (Table 3).

In the classification of surgical risk for congenital heart defects by RACHS-1 there it was more common in categories 1 and 2, not occurring in category 5 in the ten years of follow-up (Table 4).

Of the 932 patients undergone surgery, 77 (8.3% CI 95% 6.5 to 10.1) have evolved to death during hospitalization, and the neonatal age group had the highest rate of death (56.6%) and death not occurring in patients over 12 years (Table 5).

Table 1. Estimated deficit of congenital heart disease surgeries per year in Sergipe

Year	Number of	Estimated need for	Number of surgeries	Surgery deficit
	births	correction	performed	(%)
2000	40.331	290	71	219 (75.6)
2001	39.560	284	77	207 (72.8)
2002	36.614	263	85	178 (67.7)
2003	36.793	264	110	154 (58.3)
2004	35.604	256	79	177 (69.1)
2005	37.576	270	85	185 (68.5)
2006	37.330	268	80	188 (70.1)
2007	35.820	257	114	143 (55.6)
2008	36.637	263	121	142 (54)
2009	35.096	252	110	142 (56.3)

Table 2. Distribution of number of surgeries according to age by period in Sergipe

Age		Period		
	I	%cumulative	II	%cumulative
1 to 28 days	31 (5.3)	5.3	22 (6.4)	6.4
29d to 1 year	60 (10.2)	15.5	48 (13.9)	20.3
>1 to 12 years	376 (64.2)	79.7	209 (60.4)	80.4
>12 years	119 (20.3)	100	67 (19.4)	100
Total	586 (100)		346 (100)	

Table 3. Distribution of number of congenital heart disease surgeries according postoperative diagnostic in Sergipe from 2000 to 2009.

110111 2000 to 2007.	
Postoperative diagnosis	Number (%)
IVC	191 (20.5)
PDA	188 (20.2)
IAC	177 (19)
Tetralogy of Fallot	115 (12.3)
Pulmonary stenosis	38 (4.1)
Pulmonary atresia	34 (3.6)
Total AVSD	31 (3.3)
Tricuspid atresia	22 (2.4)
TGA	21 (2.3)
AoCo	20 (2.1)
TAPVD	12 (1.3)
Double RV outflow tract	9(1)
Atrioventricular channel	9(1)
Single ventricle	9(1)
Aortic Stenosis	8 (0.9)
Ebstein disease	7 (0.8)
LV hiplopasia syndrome	6 (0.6)
truncus arteriosus	5 (0.5)
Double LV outflow tract	3 (0.3)
Partial AVSD	2 (0.2)
Others	11 (1.2)
Total	932 (100)

CIV = comunicação interventricular; PCA = persistência do canal arterial; CIA = comunicação interatrial; DSAV = defeito do septo atrioventricular; TGA = transposição das grandes artérias; CoAo = coartação da aorta; DATVP = drenagem anômala total das veias pulmonares; VD = ventrículo direito; VE = ventrículo esquerdo

Table 4. Distribution of hospital mortality of congenital heart disease according RACHS-1 classification by period

disease according KACHS-1 classification by period		
RACHS-1	Period I (%)	Period II (%)
Category 1	1/249(0.4)	0/137(0)
Category 2	21/250(8.4)	6/159(3.8)
Category 3	7/45(15.6)	0/18(0)
Category 4	26/39(66.7)	10/19(34.5)
Category 6	3/3(100)	3/3(100)

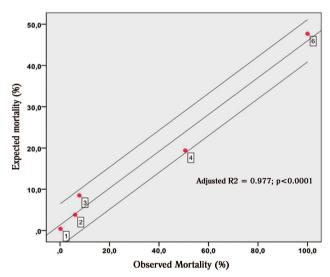


Fig. 1 - Linear regression between expected and observed mortality by RACHS-1, confidence interval of 95%. Each RACHS-1 category in red

Table 5. Distribution of hospital mortality of congenital heart disease surgery by age group for pre- and post-agreement in Sergipe.

	Period			P
Age group	I(%)	II(%)	Total(%)	
1 to 28 days	21/31 (67.7)	9/22 (40.9)	30/53(56.6)	0.05
29 d to 1 year	13/60(21.6)	4/48 (8.3)	17/108(15.7)	0.06
>1 to 12 years	24/376 (6.3)	6/209 (2.8)	30/585(27.7)	0.06
>12 years	0/119 (0)	0/67 (0)	0/186(0)	
Total	58/586 (9.8)	19/346 (5.4)	77/932(8.3)	0.02

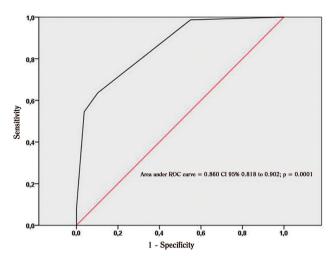


Fig. 2 - RACHS-1 risk classification as a predictor of hospital mortality (ROC) area. (CI-confidence interval)

Regarding the RACHS-1 risk classification the higher frequency of hospital mortality occurred in higher risk categories (4/6), however, with statistically significant difference between the periods, with reduced mortality in the period II, P < 0,0001 (Fig. 1).

There was a statistically significant correlation (adjusted  $R^2 = 0.977$  and P < 0.0001) between expected mortality by RACHS-1 and observed in the sample.

The assessment of the RACHS-1 as a predictor of hospital mortality by ROC curve showed area of  $0.860\,95\%$  CI 0.818 to 0.902 with P < 0.0001 and statistically significant and comparable to that found in the literature [9] (Fig. 2].

# **DISCUSSION**

In the present study data, the number of surgeries over the years shows the increasing trend year by year from 2000 to 2003. Between 2004 to 2006 there was a decrease with increasing number of surgeries after 2007. This represents, numerically, the crisis experienced by the pediatric cardiac surgery between 2004 and 2006 which led to the centralization of infrastructure and human resources in the hospital 3, making productivity returned in 2003, Table 1.

There was reduction in surgery deficit to 56% in period II, a value close to the estimated deficit in the Southeast region, site of the largest number of surgeries and centers for cardiovascular care [10].

Most surgeries occurred between age 1-12 years in two periods, being always the neonatal age group with the lowest ratio, followed by the age of 29 days to one year, according Table 2. This data serves as another indicator of deficit, because half of the repairs should

have been performed up to the 1st year of life [13]. With the centralization of activities in the hospital 3, this increased proportion of children who underwent surgery before 1 year of 15.5% to 20.3% after the agreement, however, not statistically significant, probably due to sample size (Table 2).

As described in the literature, 20% of patients undergoing repair of congenital heart disease were in the adult age group (table 2), a fact that did not change between periods (Table 4). This finding reinforces the deficit of surgical correction, since no patient had to postpone the procedure to adulthood, when some changes are already irreversible [12].

In period II a partnership with the Service of Mobile Emergency Care was organized, responsible for transport between units and hospitals for the early return of newborns to neonatal intensive care, generating turnover of intensive care beds and, consequently, increasing the number of surgeries.

With respect to postoperative diagnosis, the frequency of correction of the interventricular septum defect was 20%, slightly below the described in the literature [14]. However, the frequency of IAC (19%) and PDA (20%) were well above those described in the literature [15,16]. Probably the surgeries deficit associated with the fact these more benign pathologies bear the delay in repair caused the cumulative frequency of these pathologies.

Tetralogy of Fallot, with 12.3% of cases, was similar to the frequency found in the literature [17], also the Total Atrioventricular Septal Defect with 3.3% and Pulmonary Atresia with 3.6% of cases [18]. The aortic coarctation, with frequency in the literature of 5-8%, appears lower than expected with 2.1% of cases [19].

The pulmonary stenosis with 4.1% and transposition with 2.3% of cases were below the reported in the literature [18]. However, as the study approached surgical repairs and it is very serious diseases, probably the difference is the number of patients who progressed to death before surgical opportunity.

The agreement with UHS reflected the reduction in hospital mortality of 9.8% in the pre-agreement to 5.4% in the post-agreement (Table 5), mainly with a reduction in the neonatal period, where there was statistical significance and in the other age groups there was no statistical significance probably due to the number of cases, but the total number of surgeries we realize the impact of the reduction with P < 0.02.

The association between higher volume of cases and better patient outcomes has been demonstrated for several surgical procedures and medical treatments, including surgery for children with congenital heart disease. In this context, centers with fewer than 70 procedures per year are considered low productivity, between 70 to 110 of

productivity average and more than 110 procedures are considered as high productivity. The centers with higher productivity present better results [20,21].

The agreement between UHS and Hospital 3, which divides the study periods, promoted the centralization of the flow of patients with congenital heart disease in the pediatric population for this institution. The number of surgeries performed in the period I was of low productivity, because it was divided between the Hospital 1, which received more complex patients, and Hospital 2, which admitted patients with better prognosis. In period II, the surgeries of patients under 12 years were performed at Hospital 3, and the number of procedures already ranks as of average productivity.

With increase in the number of surgeries per year the support team becomes well prepared, which for major surgery and the need for adequate infrastructure is of great importance, impacting the outcomes. Grouped by RACHS-1 risk classification between the periods, it is clear the reduction in mortality, especially among the categories 2, 3 and 4, lower risk class, Table 5.

Therefore, the centralization of material and human resources had as benefit the increasing number of surgeries, as well as the surgical outcomes with reduced hospital mortality rates, even when assessing the severity of cases.

In linear regression between the RACHS-1 risk classification and hospital mortality it is clearly demonstrated that there are statistically significant correlation and that is a valid instrument for use in our country [9].

## **CONCLUSION**

The outcomes of this study indicate that the centralization of both human resources and infrastructure are important for surgery of congenital heart disease, and the organization of existing resources is necessary to improve the performance of the service.

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